

# PUBLIC LAW 110-53-AUG. 3, 2007

# IMPLEMENTING RECOMMENDATIONS OF THE 9/11 COMMISSION ACT OF 2007

# Public Law 110-53 110th Congress

## An Act

Aug. 3, 2007 [H.R. 1]

To provide for the implementation of the recommendations of the National Commission on Terrorist Attacks Upon the United States.

Implementing Recommendations of the 9/11 Commission Act of 2007. 6 USC 101 note. Be it enacted by the Senate and House of Representatives of

## SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

the United States of America in Congress assembled,

- (a) SHORT TITLE.—This Act may be cited as the "Implementing Recommendations of the 9/11 Commission Act of 2007".
- (b) TABLE OF CONTENTS.—The table of contents for this Act is as follows:
- Sec. 1. Short title; table of contents.

#### TITLE I-HOMELAND SECURITY GRANTS

- Sec. 101. Homeland Security Grant Program.
  Sec. 102. Other amendments to the Homeland Security Act of 2002.
  Sec. 103. Amendments to the Post-Katrina Emergency Management Reform Act of
- Sec. 104. Technical and conforming amendments.

## TITLE II—EMERGENCY MANAGEMENT PERFORMANCE GRANTS

- Sec. 201. Emergency management performance grant program. Sec. 202. Grants for construction of emergency operations centers.

#### TITLE III—ENSURING COMMUNICATIONS INTEROPERABILITY FOR FIRST RESPONDERS

- Sec. 301. Interoperable emergency communications grant program. Sec. 302. Border interoperability demonstration project.

# TITLE IV—STRENGTHENING USE OF THE INCIDENT COMMAND SYSTEM

- Sec. 401. Definitions.

- Sec. 402. National exercise program design.
  Sec. 403. National exercise program model exercises.
  Sec. 404. Preidentifying and evaluating multijurisdictional facilities to strengthen incident command; private sector preparedness.
- Sec. 405. Federal response capability inventory.
- Sec. 406. Reporting requirements.
- Sec. 407. Federal preparedness.
- Sec. 408. Credentialing and typing.
- Sec. 409. Model standards and guidelines for critical infrastructure workers.
- Sec. 410. Authorization of appropriations.

### TITLE V-IMPROVING INTELLIGENCE AND INFORMATION SHARING WITH-IN THE FEDERAL GOVERNMENT AND WITH STATE, LOCAL, AND TRIBAL GOVERNMENTS

## Subtitle A-Homeland Security Information Sharing Enhancement

- Sec. 501. Homeland Security Advisory System and information sharing.
- Sec. 502. Intelligence Component Defined.
  Sec. 503. Role of intelligence components, training, and information sharing.
- Sec. 504. Information sharing.

#### III—ENSURING **COMMUNICA-**TITLE TIONS INTEROPERABILITY FOR FIRST RESPONDERS

### SEC. 301. INTEROPERABLE EMERGENCY COMMUNICATIONS GRANT PROGRAM.

(a) ESTABLISHMENT.—Title XVIII of the Homeland Security Act of 2002 (6 U.S.C. 571 et seq.) is amended by adding at the end the following new section:

#### 6 USC 579.

## "SEC. 1809. INTEROPERABLE EMERGENCY COMMUNICATIONS GRANT PROGRAM.

"(a) ESTABLISHMENT.—The Secretary shall establish the Interoperable Emergency Communications Grant Program to make grants to States to carry out initiatives to improve local, tribal, statewide, regional, national and, where appropriate, international interoperable emergency communications, including communications in collective response to natural disasters, acts of terrorism, and other man-made disasters.

"(b) POLICY.—The Director for Emergency Communications shall ensure that a grant awarded to a State under this section is consistent with the policies established pursuant to the responsibilities and authorities of the Office of Emergency Communications under this title, including ensuring that activities funded

by the grant-

"(1) comply with the statewide plan for that State required by section 7303(f) of the Intelligence Reform and Terrorism Prevention Act of 2004 (6 U.S.C. 194(f)); and

"(2) comply with the National Emergency Communications

Plan under section 1802, when completed.

"(c) ADMINISTRATION.—
"(1) IN GENERAL.—The Administrator of the Federal Emergency Management Agency shall administer the Interoperable Emergency Communications Grant Program pursuant to the responsibilities and authorities of the Administrator under title

"(2) GUIDANCE.—In administering the grant program, the Administrator shall ensure that the use of grants is consistent with guidance established by the Director of Emergency Communications pursuant to section 7303(a)(1)(H) of the Intelligence Reform and Terrorism Prevention Act of 2004 (6 U.S.C.

"(d) USE OF FUNDS.—A State that receives a grant under this section shall use the grant to implement that State's Statewide Interoperability Plan required under section 7303(f) of the Intelligence Reform and Terrorism Prevention Act of 2004 (6 U.S.C. 194(f)) and approved under subsection (e), and to assist with activities determined by the Secretary to be integral to interoperable emergency communications.

"(e) APPROVAL OF PLANS.—

"(1) APPROVAL AS CONDITION OF GRANT.—Before a State may receive a grant under this section, the Director of Emergency Communications shall approve the State's Statewide Interoperable Communications Plan required under section 7303(f) of the Intelligence Reform and Terrorism Prevention Act of 2004 (6 U.S.C. 194(f)).

"(2) PLAN REQUIREMENTS.—In approving a plan under this subsection, the Director of Emergency Communications shall ensure that the plan—

"(A) is designed to improve interoperability at the city,

county, regional, State and interstate level;

"(B) considers any applicable local or regional plan;

"(C) complies, to the maximum extent practicable, with the National Emergency Communications Plan under section 1802.

"(3) APPROVAL OF REVISIONS.—The Director of Emergency Communications may approve revisions to a State's plan if the Director determines that doing so is likely to further interoperability.

"(f) LIMITATIONS ON USES OF FUNDS.—

"(1) IN GENERAL.—The recipient of a grant under this section may not use the grant—

"(A) to supplant State or local funds;

"(B) for any State or local government cost-sharing contribution; or

"(C) for recreational or social purposes.

"(2) PENALTIES.—In addition to other remedies currently available, the Secretary may take such actions as necessary to ensure that recipients of grant funds are using the funds for the purpose for which they were intended. "(g) LIMITATIONS ON AWARD OF GRANTS.—

"(1) NATIONAL EMERGENCY COMMUNICATIONS PLAN REQUIRED.—The Secretary may not award a grant under this section before the date on which the Secretary completes and submits to Congress the National Emergency Communications Plan required under section 1802.

"(2) VOLUNTARY CONSENSUS STANDARDS.—The Secretary may not award a grant to a State under this section for the purchase of equipment that does not meet applicable voluntary consensus standards, unless the State demonstrates that there are compelling reasons for such purchase.

"(h) AWARD OF GRANTS.—In approving applications and awarding grants under this section, the Secretary shall consider—

"(1) the risk posed to each State by natural disasters, acts of terrorism, or other manmade disasters, including—

"(A) the likely need of a jurisdiction within the State

to respond to such risk in nearby jurisdictions;

"(B) the degree of threat, vulnerability, and consequences related to critical infrastructure (from all critical infrastructure sectors) or key resources identified by the Administrator or the State homeland security and emergency management plans, including threats to, vulnerabilities of, and consequences from damage to critical infrastructure and key resources in nearby jurisdictions;

"(C) the size of the population and density of the population of the State, including appropriate consideration of

military, tourist, and commuter populations;

"(D) whether the State is on or near an international border;

"(E) whether the State encompasses an economically

significant border crossing; and

"(F) whether the State has a coastline bordering an ocean, a major waterway used for interstate commerce, or international waters; and

"(2) the anticipated effectiveness of the State's proposed

use of grant funds to improve interoperability.

"(i) OPPORTUNITY TO AMEND APPLICATIONS.—In considering applications for grants under this section, the Administrator shall provide applicants with a reasonable opportunity to correct defects in the application, if any, before making final awards.

"(j) MINIMUM GRANT AMOUNTS.—

- "(1) STATES.—In awarding grants under this section, the Secretary shall ensure that for each fiscal year, except as provided in paragraph (2), no State receives a grant in an amount that is less than the following percentage of the total amount appropriated for grants under this section for that fiscal year:
  - "(A) For fiscal year 2008, 0.50 percent. "(B) For fiscal year 2009, 0.50 percent. "(C) For fiscal year 2010, 0.45 percent. "(D) For fiscal year 2011, 0.40 percent.

"(E) For fiscal year 2012 and each subsequent fiscal

year, 0.35 percent.

- "(2) TERRITORIES AND POSSESSIONS.—In awarding grants under this section, the Secretary shall ensure that for each fiscal year, American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, and the Virgin Islands each receive grants in amounts that are not less than 0.08 percent of the total amount appropriated for grants under this section for that fiscal year.
- "(k) CERTIFICATION.—Each State that receives a grant under this section shall certify that the grant is used for the purpose for which the funds were intended and in compliance with the State's approved Statewide Interoperable Communications Plan.

"(1) STATE RESPONSIBILITIES.—

"(1) AVAILABILITY OF FUNDS TO LOCAL AND TRIBAL GOVERN-MENTS.—Not later than 45 days after receiving grant funds, any State that receives a grant under this section shall obligate or otherwise make available to local and tribal governments—

"(A) not less than 80 percent of the grant funds;

"(B) with the consent of local and tribal governments, eligible expenditures having a value of not less than 80 percent of the amount of the grant; or

"(C) grant funds combined with other eligible expenditures having a total value of not less than 80 percent

of the amount of the grant.

"(2) ALLOCATION OF FUNDS.—A State that receives a grant under this section shall allocate grant funds to tribal governments in the State to assist tribal communities in improving interoperable communications, in a manner consistent with the Statewide Interoperable Communications Plan. A State may not impose unreasonable or unduly burdensome requirements on a tribal government as a condition of providing grant funds or resources to the tribal government.

"(3) PENALTIES.—If a State violates the requirements of this subsection, in addition to other remedies available to the

Deadline.

Secretary, the Secretary may terminate or reduce the amount of the grant awarded to that State or transfer grant funds previously awarded to the State directly to the appropriate local or tribal government.

"(m) REPORTS.-

"(1) Annual reports by state grant recipients.—A State that receives a grant under this section shall annually submit to the Director of Emergency Communications a report on the progress of the State in implementing that State's Statewide Interoperable Communications Plans required under section 7303(f) of the Intelligence Reform and Terrorism Prevention Act of 2004 (6 U.S.C. 194(f)) and achieving interoperability at the city, county, regional, State, and interstate levels. The Director shall make the reports publicly available, including by making them available on the Internet website of the Office of Emergency Communications, subject to any redactions that the Director determines are necessary to protect classified or other sensitive information.

"(2) ANNUAL REPORTS TO CONGRESS.—At least once each year, the Director of Emergency Communications shall submit to Congress a report on the use of grants awarded under this section and any progress in implementing Statewide Interoperable Communications Plans and improving interoperability at the city, county, regional, State, and interstate level, as

a result of the award of such grants.

"(n) RULE OF CONSTRUCTION.—Nothing in this section shall be construed or interpreted to preclude a State from using a grant awarded under this section for interim or long-term Internet Protocol-based interoperable solutions.

"(o) AUTHORIZATION OF APPROPRIATIONS.—There are authorized

to be appropriated for grants under this section—

"(1) for fiscal year 2008, such sums as may be necessary; "(2) for each of fiscal years 2009 through 2012, \$400,000,000; and

"(3) for each subsequent fiscal year, such sums as may

be necessary.

(b) CLERICAL AMENDMENT.—The table of contents in section l(b) of such Act is amended by inserting after the item relating to section 1808 the following:

"Sec. 1809. Interoperable Emergency Communications Grant Program.".

- (c) Interoperable Communications Plans.—Section 7303 of the Intelligence Reform and Terrorist Prevention Act of 2004 (6 U.S.C. 194) is amended—
  - (1) in subsection (f)-

(A) in paragraph (4), by striking "and" at the end; (B) in paragraph (5), by striking the period at the end and inserting a semicolon; and

(C) by adding at the end the following:

"(6) include information on the governance structure used to develop the plan, including such information about all agencies and organizations that participated in developing the plan and the scope and timeframe of the plan; and

"(7) describe the method by which multi-jurisdictional, multidisciplinary input is provided from all regions of the jurisdiction, including any high-threat urban areas located in the

information.

6 USC 572.

jurisdiction, and the process for continuing to incorporate such input.";

(2) in subsection (g)(1), by striking "or video" and inserting

"and video".

(d) NATIONAL EMERGENCY COMMUNICATIONS PLAN.—Section 1802(c) of the Homeland Security Act of 2002 (6 U.S.C. 652(c)) is amended-

(1) in paragraph (8), by striking "and" at the end;

(2) in paragraph (9), by striking the period at the end and inserting "; and"; and
(3) by adding at the end the following:
"(10) set a date, including interim benchmarks, as appro-

priate, by which State, local, and tribal governments, Federal departments and agencies, and emergency response providers expect to achieve a baseline level of national interoperable communications, as that term is defined under section 7303(g)(1) of the Intelligence Reform and Terrorism Prevention Act of 2004 (6 U.S.C. 194(g)(1)).".

#### SEC. 302. BORDER INTEROPERABILITY DEMONSTRATION PROJECT.

(a) IN GENERAL.—Title XVIII of the Homeland Security Act of 2002 (6 U.S.C. 571 et seq.) is amended by adding at the end the following new section:

6 USC 580.

#### "SEC. 1810. BORDER INTEROPERABILITY DEMONSTRATION PROJECT.

"(a) IN GENERAL.—

"(1) ESTABLISHMENT.—The Secretary, acting through the Director of the Office of Emergency Communications (referred to in this section as the 'Director'), and in coordination with the Federal Communications Commission and the Secretary of Commerce, shall establish an International Border Community Interoperable Communications Demonstration Project (referred to in this section as the 'demonstration project').

"(2) MINIMUM NUMBER OF COMMUNITIES.—The Director shall select no fewer than 6 communities to participate in

a demonstration project.

"(3) LOCATION OF COMMUNITIES.—No fewer than 3 of the communities selected under paragraph (2) shall be located on the northern border of the United States and no fewer than 3 of the communities selected under paragraph (2) shall be

located on the southern border of the United States. "(b) CONDITIONS.—The Director, in coordination with the Federal Communications Commission and the Secretary of Commerce, shall ensure that the project is carried out as soon as adequate spectrum is available as a result of the 800 megahertz rebanding process in border areas, and shall ensure that the border projects do not impair or impede the rebanding process, but under no circumstances shall funds be distributed under this section unless the Federal Communications Commission and the Secretary of Commerce agree that these conditions have been met.

"(c) Program Requirements.—Consistent with the responsibilities of the Office of Emergency Communications under section 1801, the Director shall foster local, tribal, State, and Federal interoperable emergency communications, as well as interoperable emergency communications with appropriate Canadian and Mexican authorities in the communities selected for the demonstration

project. The Director shall—

Canada. Mexico.