	Bank	Secrecy Act	SANCIAL CRIME		
FinCEN Report 111	Suspicious	s Activity Report			
December 2011	Please type or pri	nt. Always complete entire report.			
BSA E-File Only		ms marked with an asterisk (*). jointly filed (See instructions).	OMB Control Number 1506-0065		
	(See instructions for restriction	as on checking multiple boxes and for j			
a. Initial report b. Correct/Amend prior report c. Continuing activity report d. Joint report e. Prior report document control/file number if items 1b or 1c are checked (see instructions)					
Part I Subject Informa	Part I Subject Information 2 Check: a if entity, b if all critical* subject information is unavail (does not include item 24).				
*3 Individual's last name or entity's legal name a. Unk 🗌 *4 First name a. Unk 🗌 5 Midd					
5a Gender b. Male 6 Alternate name, e.g., AKA - individual or DBA - entity 7 Occupation or type of business 7a NAIG c. Fem.					
8 Address	а	. Unk □ [] 9 City a.	Unk		
*11 ZIP/Postal Code a. Unk	□ *12 Country code a. Unk □ ↓ a. Unk □ ↓	*13 TIN a. Unk 	14 TIN type a □ EIN		
b Driver's license/state ID		Alien registration z			
e Number			f Issuing State g Country		
	Phone number - Type 1	8 Phone number	18a Ext. (If any)		
	: 🗌 Mobile d 🗌 Fax				
19 E-mail address (If available)	19a Website (U	IRL) address (If available)	20 Corroborative statement to filer? a Yes b No		
21 Relationship of the subject to an in	stitution listed in Part III or IV (d	check all that apply) 21a	Institution TIN		
-		/ f Borrower g Customer			
j No relationship to institution k Officer I Owner or Controlling Shareholder z Other					
22 If item 21h, i, k, or l is checked, indicate status of relationship 23 Action date if 22 b, c, or d is checked a Relationship continues b Terminated c Suspended /barred d Resigned					
Note: A joint SAR cannot be filed if any boxes in 22 are checked.					
*24 Financial inst. TIN and acct. number(s) affected that are related to subject, if any. Check "Yes" if closed. a. No known acct. involved b. Non-US Fin. Inst.					
c. TIN	d. acct #	e. Yes 🗌 f. acct #	g. Yes		
h. TIN	i. acct #	j. Yes 🗌 k. acct #	I. Yes 🗌		
25 Subject's role in suspicious activity	25 Subject's role in suspicious activity (If applicable) a 🗌 Purchaser/Sender b 🗌 Payee/Receiver c 🗌 Both a & b				
Part II Suspicious Acti	vity Information	*26 Amount involved in this report a	Amt. unk. b No amt. involved		
*27 Date or date range of suspicious a	activity for this report	28 Cumulative amount only if box 1	,		
	To://				
When completing items 29 through 38, check all that apply.		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	· · · · · · · · · · · · · · · · · · ·		
29. Structuring		30. Terrorist Financing			
a Alters transaction to avoid BSA recordkeeping requirement		a. Known or suspected terrorist/terrorist organization z. Other:			
b. Alters transaction to avoid CTR requirement					
c. Customer cancels transaction to avoid BSA reporting and recordkeeping requirements		31. Fraud (Type) a. ACH	g. 🗌 Mail		
d. Multiple transactions below BSA recordkeeping threshold			h. Mass-marketing		
e. Multiple transactions below CTR threshold		c. 🗌 Check i	. Dyramid scheme		
f. Suspicious inquiry by custome		d. Consumer Ioan j	. Wire		
or recordkeeping requirements		e. Credit/Debit card z	z Other		
z Other:					

Part II Suspicious Activity Info	ormation* (cont	inued) (Check all that apply). 2
32. Casinos a. Inquiry about end of business day b. Minimal gaming with large transactions c. Suspicious intra-casino funds transfers d. Suspicious use of counter checks or m z. Other:	ne identities ntation	 33. Money laundering a. Exchanges small bills for large bills or vice versa b. Suspicion concerning the physical condition of funds c. Suspicion concerning the source of funds d. Suspicious designation of beneficiaries, assignees or joint owners e. Suspicious EFT/wire transfers f. Suspicious exchange of currencies g. Suspicious receipt of government payments/benefits h. Suspicious use of multiple accounts i. Suspicious use of noncash monetary instruments j. Suspicious use of third-party transactors (straw-man) k. Trade Based Money Laundering/Black Market Peso Exchange l. Transaction out of pattern for customer(s) z. Other
 a. Account takeover b. Bribery or gratuity c. Counterfeit instruments d. Elder financial exploitation e. Embezzlement/theft/disappearance of funds f. Forgeries g. Identity theft h. Little or no concern for product performance penalties, fees, or tax consequences i. Misuse of "free look"/cooling-off/right of rescission j. Misuse of position or self-dealing k. Suspected public/private corruption (domestic) l. Suspected public/private corruption (foreign) m. Suspicious use of informal value transfer system n. Suspicious use of multiple transaction locations o. Transaction with no apparent economic, business, or lawful purpose p. Two or more individuals working together q. Unauthorized electronic intrusion r. Unlicensed or unregistered MSB z. Other		 36. Insurance a. Excessive insurance b. Excessive or unusal cash borrowing against policy/annuity c. Proceeds sent to or received from unrelated third party d. Suspicious life settlement sales insurance (e.g.,STOLI's, <i>Viaticals</i>) e. Suspicious termination of policy or contract f. Unclear or no insurable interest z. Other:
		 37. Securities/Futures/Options a. Insider trading b. Market manipulation/wash trading c. Misappropriation d. Unauthorized pooling z. Other:
b Commercial mortgage h Hedge c Commercial paper i Home d Credit card j Home e Debit card k Insura f Forex transactions I Mutual	s/Options on futures fund equity loan equity line of credit unce/Annuity products fund	
a Bank/Cashier's check d Gami b Foreign currency e Gove c Funds transfer f Mone 41 Commodity type (If applicable) If applicable If applicable	ag instruments rnment payment ey orders 42 Product/Instrument d 45 CUSIP® number	g Personal/Business check z Other (List below) h Travelers checks i U.S. Currency
. , ,		

Part III Information about Financial Institution Where Activity Occurred (See	e instructions) 3					
*47 Type of financial a Casino/Card Club b Depository institution c Insurance company	*48 Primary					
Institution (check only one) d MSB e Securities/Futures z Other	Federal regulator					
49 If item 47a is checked indicate type (Check only one)						
a 🗌 State licensed casino b 🗌 Tribal authorized casino c 🗌 Card club z 🗌 Other(specify)						
50 If item 47e is checked, indicate type of Securities and Futures institution or ind. where activity occurred - Check box(es) that apply to this report.						
a Clearing broker-securities d Introducing broker-commodities g Investment company						
	al/bank holding company					
Z Other						
51 Financial institution identification number (Check one box to indicate type) f a CRD number b IARD number c NFA number d RSSD number e SEC number i						
52 Financial institution's role in transaction (if applicable) a 🗌 Selling location b 🗌 Paying location c 🔲 Both a & b						
*53 Legal name of financial institution a. Unk 🗌 54 Alternate name, e.g., AKA - individual c	or trade name, DBA - entity					
*55 TIN a. Unk □ 56 TIN type a □ EIN (If 55 is b □ SSN-ITIN						
known) c □ Foreign *57 Address a. Unk □ *58 City a. Unk □ 59 State *60 ZIP/Po	stal Code a. Unk					
*61 Country a. Unk 62 Internal control/file number 63 Loss to financial institution (If appl \$	icable)					
64 Branch's role in transaction (if applicable) a Selling location b Paying location c Both a & b	· · · · · · · · · · · · · · · · · · ·					
*65 Address of branch or office where activity occurred If no branch activity involved, check this box a	66 RSSD number					
67 City 68 State 69 ZIP/Postal Code	70 Country					
	(2-letter code)					
71 Branch's role in transaction (if applicable) a Selling location b Paying location c Both a & b						
72 Address of branch or office where activity occurred (If applicable) a Check if additional branch addresses are listed in Part V	73 RSSD number					
74 City 75 State 76 ZIP/Postal Code	77 Country (2-letter code)					
Part IV Filing Institution Contact Information	*78 Primary Federal					
*79 Filer name (Holding Co., lead fin. inst., or agency, if applicable). *80 TIN	regulator ≉81 TIN a □ EIN					
	type b □ SSN/ITIN					
*82 Type of financial a Casino/Card Club b Depository institution c Insurance company						
Institution (check only one) d MSB e Securities/Futures z Other						
83 Type of Securities and Futures institution or individual filing this report - Check box(es) for functions that apply to this report						
a 🗌 Clearing broker-securities f 🔲 Introducing broker-securities j 🔲 SRO Futures						
b CPO/CTA g Investment Adviser k SRO Securities						
c Futures Commission Merchant h Investment company I Subsidiary of financial/bank holding company d Holding company i Retail foreign exchange dealer z Other						
e Introducing broker-commodities						
84 Financial institution identification number (Check one box to indicate type) a CRD number b IARD number c NFA number d RSSD number e SEC number						
*85 Address *86 City 87 State *88 ZIP/Post						
*89 Country (2-letter code) 90 Alternate name, e.g., AKA - individual or trade name, DBA - entity 91 Internal	control/file number					
92 LE contact agency 93 LE contact name 94 LE contact phone number (Include Area Code	e) 94a Ext. (if any)					
95 LE contact date//						
	ate filed					
	ee inst.) / / /					