

**U.S. DEPT OF COMMERCE, NOAA**  
 NMFS PERMITS OFFICE, F/SER14  
 263 13th Avenue South  
 St. Petersburg, FL 33701  
 Toll Free 877/376-4877 (8:00 am - 4:30 pm ET)  
 727/824-5326 (8:00 am - 4:30 pm ET)  
 http://sero.nmfs.noaa.gov



# FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EXCLUSIVE ECONOMIC ZONE (EEZ)

Application ID

FOR OFFICE USE ONLY

FOR OFFICE USE ONLY	
Reviewer's Initials and Date	
Permit Check or Money Order Number and Amount	
Floy Tag Check or Money Order Number and Amount	
Sanction Case Number if Sanctioned	
Non Compliance Hold Date	
Non Compliance Cleared Date	
Expiration Date(s)	

**REMEMBER TO SEND A COPY of your current (not expired) United States Coast Guard (USCG) Certificate of Documentation. If this vessel is not documented, send a copy of your state vessel registration. If we have a copy of your documentaion or state registration on file, it must not be expired. Do not send your original. We cannot accept a bill of sale. If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.**

## 1. VESSEL INFORMATION

<p><b>OFFICIAL NUMBER FROM USCG CERTIFICATE OF DOCUMENTATION</b> (if the vessel is documented)</p> <div style="border: 1px solid black; height: 25px; width: 100%;"></div> <p><b>STATE REGISTRATION NUMBER</b> (as applicable)</p> <div style="border: 1px solid black; height: 25px; width: 100%;"></div> <p><b>VESSEL NAME</b></p> <div style="border: 1px solid black; height: 25px; width: 100%;"></div> <p><b>HULL IDENTIFICATION or IMO NUMBER</b></p> <div style="border: 1px solid black; height: 25px; width: 100%;"></div> <p><b>HAILING PORT CITY</b></p> <div style="border: 1px solid black; height: 25px; width: 100%;"></div> <p><b>HAILING PORT COUNTY OR PARISH</b>      <b>HAILING PORT STAT</b></p> <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; width: 45%; height: 25px;"></div> <div style="border: 1px solid black; width: 45%; height: 25px;"></div> </div>	<p><b>YEAR BUILT</b></p> <div style="border: 1px solid black; height: 25px; width: 100%;"></div> <p><b>Crew Size - Including the Captain</b></p> <div style="border: 1px solid black; height: 25px; width: 100%;"></div>	<p><b>LENGTH (FEET)</b></p> <div style="border: 1px solid black; height: 25px; width: 100%;"></div> <p><b>HOLD or FISH BOX CAPACITY</b> (Pounds of Harvest) How many pounds of product can you bring to the dock when full?</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<p><b>TOTAL HORSEPOWER</b></p> <div style="border: 1px solid black; height: 25px; width: 100%;"></div>
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USCG DOCUMENTED VESSELS ONLY

<b>GROSS TONS</b>	<b>NET TONS</b>

**HULL MATERIAL**

FIBERGLASS

STEEL

WOOD

CEMENT

OTHER \_\_\_\_\_

**FUEL DATA**

DIESEL

GASOLINE

OTHER (DESCRIBE)

**FUEL CAPACITY - TOTAL GALLONS**

**PRODUCT STORAGE (check all that apply)**

ON ICE IN HOLD, FISH BOX, ICE CHEST, COOLER ETC.,

FREEZER

LIVE WELL

**PASSENGER CAPACITY DATA FOR CHARTER/ HEADBOAT VESSELS ONLY**

UNINSPECTED VESSEL - "6-PACK"

USCG INSPECTED VESSEL (Specify Passenger Capacity as listed on the USCG Certificate of Inspection, not including Capt. and Crew)

**This vessel is used MOSTLY for (select only 1)**

Commercial Fishing

Headboat

Charter

For Shark and Swordfish Directed and Incidental Permit Applicants Only: Does your vessel fish with, or carry onboard, either longline or gillnet gear?

Yes       No

Reminder: If yes, include a copy of your "Protected Species Release, Disentanglement, and Identification Workshop Certificate".

## 2. OPEN ACCESS PERMITS and ENDORSEMENTS

INSTRUCTIONS: Find the permits you want in the left column and mark the check box beside that fishery to indicate what transaction(s) you want.

### OPEN ACCESS COMMERCIAL PERMITS

	NEW	RENEWAL	DUPLICATE
COMMERCIAL ATLANTIC DOLPHIN/ WAHOO (ADW)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SPINY LOBSTER (LC) (Not required for the EEZ off Florida)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SPINY LOBSTER TAILING (LT) (You must have an LC permit OR provide your FL SPL information below to obtain this permit)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SPANISH MACKEREL (SM)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ROCK SHRIMP (CAROLINAS ZONE) (RSCZ)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC PENAEID SHRIMP (SPA)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GULF ROYAL RED SHRIMP ENDORSEMENT (GRRS) (You must possess a valid Gulf of Mexico Shrimp (SPGM) permit to obtain this endorsement.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### FOR LOBSTER TAILING PERMIT APPLICANTS ONLY:

LOBSTER TAILING APPLICANTS: To obtain a lobster tailing permit you must possess a Florida SPL or if you do not have a Florida SPL, you must possess or simultaneously obtain a valid Federal Spiny Lobster (LC) permit.

You must provide a copy of your Florida SPL if you do not have a Federal Spiny Lobster (LC) permit

Saltwater Products License Number	<input style="width: 100%;" type="text"/>	Crawfish Endorsement Number	<input style="width: 100%;" type="text"/>
Saltwater Products License Expiration date	<input style="width: 100%;" type="text"/>	Do You Have A Restricted Species Endorsement?	<input type="checkbox"/> YES <input style="margin-left: 100px;" type="checkbox"/> NO

### OPEN ACCESS CHARTER/HEADBOAT PERMITS

	NEW	RENEWAL	DUPLICATE
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR SNAPPER-GROUPER (SC)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR COASTAL MIGRATORY PELAGICS (CHS)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ATLANTIC CHARTER/ HEADBOAT FOR DOLPHIN/ WAHOO (CDW)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>The South Atlantic Snapper-Grouper complex (commercial and charter) includes Snappers, Groupers, Tilefishes, Seabasses, Wrasses, Jacks, Grunts, Porgies, Spadefishes, Triggerfishes and Wreckfish. For a detailed list, refer to Table 4 of Appendix A to 50CFR622.</p> <p>The South Atlantic Coastal Migratory Pelagic group consists of King Mackerel, Cerro Mackerel, Spanish Mackerel, Cobia and Little Tunny.</p>			

Applicants that wish to obtain a Highly Migratory Species (HMS) permit for Tunas (other than Atlantic Tuna Longline) or either Charter or Recreational Angling should call 1-888-USA-TUNA or visit the website at <https://hmspermits.noaa.gov>. An HMS permit is required when fishing for Atlantic Tunas (Atlantic Bluefin, Bigeye, Yellowfin, Albacore and Skipjack), Blue Marlin, White Marlin, Striped Marlin, Sailfish, Spearfish, Swordfish and Sharks.

## 2 (continued). LIMITED ACCESS/ MORATORIUM PERMITS and ENDORSEMENTS

INSTRUCTIONS: Find the permits you want in the left column and mark the check box beside that fishery to indicate what transaction(s) you want.

The Gulf of Mexico Reef Fish complex (commercial and charter) includes Snappers, Groupers, Tilefishes, Wrasses, Jacks, Triggerfishes, etc. For a detailed list, refer to Table 3 of Appendix A to 50CFR622.

The Gulf of Mexico Coastal Migratory Pelagic group (charter) consists of Dolphin, Bluefish, King Mackerel, Cerro Mackerel, Spanish Mackerel, Cobia and Little Tunny.

The South Atlantic Snapper Grouper complex (commercial and charter) includes Snappers, Groupers, Tilefishes, Seabasses, Wrasses, Grunts, Jacks, Porgies, Spadefishes, Triggerfishes and Wreckfish. For a detailed list, refer to Table 4 of appendix a to 50CFR622.

### LIMITED ACCESS/MORATORIUM COMMERCIAL PERMITS

	PERMIT NUMBER	TRANSFER	RENEWAL	DUPLICATE
GULF OF MEXICO SHRIMP (SPGM)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
KING MACKEREL (KM)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GILLNET FOR KING MACKEREL (GN)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GULF OF MEXICO REEF FISH (RR)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
EASTERN GULF OF MEXICO REEF FISH BOTTOM LONG LINE ENDORSEMENT (RRLE) You must possess a valid Commercial Gulf Reef Fish (RR) permit to obtain this endorsement.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ROCK SHRIMP (SOUTH ATLANTIC EEZ) (RSLA)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC UNLIMITED SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG1)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC 225 LB TRIP LIMIT SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG2)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SWORDFISH DIRECTED (SFD)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SWORDFISH HANDGEAR (SFH)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SWORDFISH INCIDENTAL (SFI)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SHARK DIRECTED (SKD)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SHARK INCIDENTAL (SKI)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ATLANTIC TUNA LONGLINE (ATL) You must possess valid shark and swordfish permits (SFI, SKI, SFD, SKD) to obtain this permit.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC GOLDEN CRAB (GC)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### LIMITED ACCESS/MORATORIUM CHARTER/ HEADBOAT PERMITS

	PERMIT NUMBER	TRANSFER	RENEWAL	DUPLICATE
GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (CHG)	<input style="width: 80%;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (RCG)	<input style="width: 80%;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HISTORICAL CAPTAIN GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (HCHG)	<input style="width: 80%;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HISTORICAL CAPTAIN GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (HRCG)	<input style="width: 80%;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### 3. VESSEL OWNER AND LESSEE INFORMATION

Copy this page as needed to provide the required information on all persons or businesses that own or lease the vessel listed in Section 1.

- 1) Please complete the top section of this page for the owner of the vessel as shown on the USCG Certificate of Documentation or, if not documented, on the state registration certificate. If the vessel is jointly owned, please enter the information for the managing (primary) owner. If the owner is a business, enter the Federal ID number and date the business was formed. If the owner is an individual, enter the Social Security Number.
- 2) Complete the bottom section of this page for a joint owner if the vessel is jointly owned by more than one owner, OR if the vessel is leased, for the entity that is leasing the vessel from the vessel owner. You must submit information on each joint owner and for each lessee. If you need more spaces for additional owners or lessees, copy the blank form or provide the required information on a separate sheet of paper.
- 3) Place an "X" in the Mailing Recipient block to indicate who will receive the permit and all related information, usually the managing owner if the vessel is jointly owner. Please only mark one box.
- 4) If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners.

Vessel Owner as shown on the USCG Certificate of Documentation,  
or for undocumented vessels, the State Registration; and/or Vessel Lessee Information  
This section is required for all applications.

Check one  INDIVIDUAL or SOLE PROPRIETORSHIP  JOINT OWNERSHIP  PARTNERSHIP  CORPORATION  OTHER \_\_\_\_\_

Mailing Recipient - Mark this box if you want this entity to receive all mail concerning this permit; mark only one person

Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address						

Tax ID # (Federal Tax ID or SSN)	Date of Birth or Date Business Filed (MM/DD/YYYY)	Area Code	Phone Number

Second Vessel Owner as shown on the USCG Certificate of Documentation or State Registration, or Vessel Lessee.  
This section is required only if the vessel is jointly owned and/or if the vessel is leased from the owner.  
Photocopy this page if more room is needed.

This entity is a vessel OWNER  or vessel LESSEE  (For lessees only) LEASE START DATE:  LEASE EXPIRATION DATE:

Check one  INDIVIDUAL or SOLE PROPRIETORSHIP  JOINT OWNERSHIP  PARTNERSHIP  CORPORATION  OTHER \_\_\_\_\_

Mailing Recipient - Mark this box if you want this entity to receive all mail concerning this permit; mark only one person

Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix - JR,SR,etc.

Mailing Address	Apt/Suite #	City	State	County/parish	Zip Code	Country

Physical Address	Apt/Suite #	City	State	County/parish	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address						

Tax ID # (Federal Tax ID or SSN)	Date of Birth or Date Business Filed (MM/DD/YYYY)	Area Code	Phone Number

**REMINDER: THE APPLICANT MUST SIGN THE APPLICATION IN THE SIGNATURE SECTION ON PAGE 7**

**4. OFFICER/SHAREHOLDER INFORMATION FOR ENTITIES THAT OWN OR LEASE THE VESSEL**

This page must be filled out if a company/business is listed as the owner or the lessee of the vessel in Section 4. Copy this page as needed to provide information on all persons that are officers/shareholders of the business/company shown in Section

If this vessel is owned or leased by a business, then complete this section for each officer or partner associated with the business. You must provide the information for all officers that are shown on your most recent annual report. If your business is structured as a corporation, you are required to identify all shareholders in the corporation that own at least 1% of the shares, as well as the percentage of all shares in the corporation held by each shareholder. Please mark the box indicating there are minor shareholders if you have shares holders that individually hold less than 1% of the shares of the company. The total of all entries must be 100 percent unless you have minor shareholders.

Owner or lessee of the vessel:  Owner  Lessee

Business name:  Federal Tax ID #

All individuals associated with the above-named vessel owner or lessee must be included in this application. Photocopy this page or attach additional sheets as necessary to list all officers, directors, shareholders, and registered agents of the business. Provide their name, Social Security Number, address, phone number, date of birth, and position held in business.

**Position held - check ALL that apply**  
 President/CEO  Vice President  Secretary  Treasurer  Director/Manager  Shareholder  Other

Percent (%) of Corporation Held

Mr/Mrs/Ms  Last Name  First Name  Middle Name  Suffix - JR,SR,etc.

Mailing Address  Apt/Suite #  City  State  County/parish  Zip Code  Country

Physical Address  Apt/Suite #  City  State  County/parish  Zip Code  Country   
 Check box if same as Mailing Address

Tax ID # (SSN)  Date of Birth  Area Code  Phone Number

**Position held - check ALL that apply**  
 President/CEO  Vice President  Secretary  Treasurer  Director/Manager  Shareholder  Other

Percent (%) of Corporation Held

Mr/Mrs/Ms  Last Name  First Name  Middle Name  Suffix - JR,SR,etc.

Mailing Address  Apt/Suite #  City  State  County/parish  Zip Code  Country

Physical Address  Apt/Suite #  City  State  County/parish  Zip Code  Country   
 Check box if same as Mailing Address

Tax ID # (SSN)  Date of Birth  Area Code  Phone Number

MINOR SHAREHOLDERS - Check here if one or more shareholders individually holds shares that is less than 1% of the total shares of the company.  
 TOTAL PERCENTAGE (%) of the company shares held by minor shareholder(s)

## 5. HISTORICAL CAPTAIN OR OTHER INCOME QUALIFIER (DESIGNATED OPERATOR)

Provide information on person who is the Historical Captain for Gulf of Mexico Charter/Headboat Historical Captain permit(s) and/or the designated Operator (income qualifier) who is not the vessel owner or lessee, or related through business association to the vessel owner or lessee, as listed in Section 4 or Section 5 of this application.

Please complete this section of the application only if you are applying for:

- \* A Gulf of Mexico Charter/Headboat permit with a Historical Captain provision (renewal or transfer),
- \* A King Mackerel, Spanish Mackerel, Reef Fish, or Commercial Spiny Lobster permit for which you have met the income qualification requirement by using the fishing income of a designated operator who is not a vessel owner or lessee as listed in Section 4 of this application. Nor is an officer or a shareholder of a business that owns or leases the vessel, as listed in Section 5 of this application.

Please complete the bottom part of this section of the application only if you are applying for:

- \* A King Mackerel or Spanish Mackerel permit for which you have met the income qualification requirement by using the fishing income of a company that is not the vessel owner or lessee as listed in Section 4 of this application.

For all other applications, this section should be blank.

This person is a (check all that apply):							
<input type="checkbox"/> Historical Captain for Gulf of Mexico Charter/Headboat for Reef Fish							
<input type="checkbox"/> Historical Captain for Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic Fish							
<input type="checkbox"/> Income Qualifier (Designated Operator other than Permit Holder) for: (check all that apply)							
<input type="checkbox"/> Commercial King Mackerel		<input type="checkbox"/> Commercial Spiny Lobster		<input type="checkbox"/> Spanish Mackerel		<input type="checkbox"/> Reef Fish	
<b>Mr/Mrs/Ms</b>	<b>Last Name</b>	<b>First Name</b>	<b>Middle Name</b>	<b>Suffix - Jr,Sr,etc</b>			
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>			
<b>Mailing Address</b>		<b>Apt/Suite #</b>	<b>City</b>	<b>State</b>	<b>County/parish</b>	<b>Zip Code</b>	<b>Country</b>
<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Physical Address</b>		<b>Apt/Suite #</b>	<b>City</b>	<b>State</b>	<b>County/parish</b>	<b>Zip Code</b>	<b>Country</b>
<input type="checkbox"/> Check box if same as Mailing Address		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>SSN</b>	<b>Date of Birth (MM/DD/YYYY)</b>		<b>Area Code</b>	<b>Phone Number</b>			
<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>			

## 6. SNAPPER-GROUPER POTS OR GOLDEN CRAB TRAPS.

**COMPLETE THIS SECTION ONLY IF YOU FISH WITH SEA BASS POTS IN THE SOUTH ATLANTIC SNAPPER-GROUPER FISHERY OR YOU HAVE GOLDEN CRAB TRAPS. TAGS ARE REQUIRED FOR ALL POTS/TRAPS (SEE NOTE).**

NOTE: Trap and Pot information is required. Each pot or trap must have the commercial vessel permit number permanently affixed so as to be easily distinguished, located and identified. For Sea Bass Pots, an identification tag for this purpose must be ordered through the NMFS Southeast Regional Office from Floy Tag Inc. For Golden Crab traps, an identification tag for this purpose may (but is not required to) be ordered through the NMFS Southeast Regional Office from Floy Tag Inc. Or tags for Golden Crab traps may be obtained from a different source through your efforts and expense.

Tag cost is \$1.50 per tag made payable by check or money order to Floy Tag, Inc.

What color is your Buoy for Sea Bass Pots or Golden Crab Traps?	Color	<input type="text"/>
If you have an existing buoy color code for ANY other trap or pot fishery, list it here.	Color	<input type="text"/>
<b>South Atlantic Sea Bass Pot/Golden Crab Trap Information</b>	Number of Pots/Traps	<input type="text"/>
Size: H x L x W (in inches)	Mesh size (in inches) Height x Width	<input type="text"/>

**IF SECTION 6 OF THIS PAGE IS FILLED OUT, THE HISTORICAL CAPTAIN OR OTHER INCOME QUALIFIER SHOWN IN SECTION 6 MUST SIGN THE SIGNATURE SECTION AS THE APPLICANT IN SECTION 8.**



Payment Reminder: All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the U.S. Treasury. The fee required is \$25.00 for the first permit or endorsement and \$10.00 for each additional permit or endorsement requested with each application. Checks returned for insufficient funds will result in the issuance of a Notice of Intent to Annul the permit(s) for which the check was submitted as payment. Further non-payment will result in the eventual annulment of the permits(s).

**FEE SCHEDULE FOR PERMITS AND ENDORSEMENTS:**

1: \$25	2: \$35	3: \$45	4: \$55	5: \$65	6: \$75	7: \$85	8: \$95	9: \$105
10: \$115	11: \$125	12: \$135	13: \$145	14: \$155	15: \$165	16: \$175	17: \$185	18: \$195
19: \$205	20: \$215	21: \$225	22: \$235	24: \$245				

## 7. SIGNATURE FOR APPLICATION - REQUIRED

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001, 16 USC 1857). Further, the undersigned certifies that if a spiny lobster tailing permit is requested, the applicant routinely fishes commercially in Federal waters on trips of up to 48 hours or more and that such fishing activity requires the separation of the tail and carapace to maintain quality product.

Please note: If the vessel listed in Section 1 is leased, the applicant who signs below must be an individual named as a lessee in Section 4, or an officer or shareholder of the lessee as listed in Section 5. If the vessel listed in Section 1 is not leased, the applicant must be an individual named as an owner in Section 4, or an officer or shareholder of the owner as listed in Section 5.

Applicant Signature		Position in Company		Date	
Print Name					

## 8. INCOME QUALIFICATION AFFIDAVIT FOR INCOME QUALIFIED PERMITS

An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. This signed Income Qualification Affidavit is required with every application to renew or transfer an income qualified permit (as listed below). Knowingly supplying false information or willfully overvaluing any fishing income for the purpose of obtaining a permit is a violation of Federal law punishable by a fine and/or imprisonment.

<p><b>Commercial Spiny Lobster</b> 50CFR640.4(A)(2) An applicant must provide the following information: (vi) A sworn statement by the applicant for a vessel permit certifying that at least 10 percent of his or her earned income was derived from commercial fishing, that is, sale of the catch, during the calendar year preceding the application.</p>	<p><b>King Mackerel</b> 50CFR622.4(a)(iii) To obtain or renew a commercial vessel permit for king mackerel, at least 25 percent of the applicant's earned income, or at least \$10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.</p>	<p><b>Spanish Mackerel</b> 50CFR622.4(a)(iv) To obtain or renew a commercial vessel permit for Spanish mackerel, at least 25 percent of the applicant's earned income, or at least \$10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.</p>	<p><b>Gulf of Mexico Reef Fish</b> 50CFR622.4(a)(v) To obtain or renew a commercial vessel permit for Gulf reef fish, more than 50 percent of the applicant's earned income must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during either of the 2 calendar years preceding the application.</p>
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The following information applies to my income qualification for the following fisheries:

- Commercial Spiny Lobster     
  King Mackerel     
  Spanish Mackerel     
  Gulf of Mexico Reef Fish

I, \_\_\_\_\_, hereby declare under penalty of perjury that the foregoing information is true and correct. (28 USC 1746; 18 USC 1621; 18 USC 1001; 16 USC 1857). I agree to provide the necessary documentation to prove that I met the earned income requirement when so requested by the National Marine Fisheries Service.

Executed on \_\_\_\_\_  
(date signed)

Printed Name	Signature
Business Name (If Applicable)	Type of Business (If Applicable)

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.



# Instructions for the Federal Permit Application for Vessels Fishing in the Exclusive Economic Zone (EEZ)

Rev 05/18/2011

## **General Instructions:**

**In addition to the instructions provided herein, applicants with specific questions are encouraged to contact the Permits Office at (727) 824-5326 or toll free at (877) 376-4877 between 8:00 a.m. and 4:30 p.m. ET, and to consult the U.S. Code of Federal Regulations whose guidance for application requirements, permit eligibilities, and related information will always prevail. (Incomplete or illegible applications will be returned.)**

1. Complete all applicable sections of this application form. Incomplete or illegible applications will be returned. Applications should be typed, or hand printed and should be filled out in ink.
2. The application fee is **\$25 for one fishery and \$10 for each additional fishery** and is **non-refundable**. A check or money order payable to the **U.S. TREASURY** must accompany each application. There is a fee schedule on page 6 of the application and further along in these instructions to assist you in determining the total amount to pay for your permits.
3. Mail the application, payment, and all required supporting documentation to: **National Marine Fisheries Service (F/SER14), 263 13<sup>th</sup> Avenue South, St. Petersburg, FL 33701**. Questions may be telephoned to our toll free number at (877) 376-4877 or 727/824-5326 between 8 am - 4:30pm ET. If you would like your permit and associated documents returned to you overnight, enclose a completed, pre-paid air bill and envelope, complete with your physical street delivery address (non US Post Office services do not deliver to PO Boxes), telephone number, and account number or major credit card number with the expiration date. Please note, using the prepaid overnight delivery option does not expedite permit processing. It only expedites delivery of your completed permit package.
4. If transferring a Gulf of Mexico charter/headboat vessel permit, a copy of a **valid** U.S. Coast Guard Operator of Uninspected Passenger Vessel License (commonly referred to as a 6-pack license) or a valid U.S. Coast Guard Masters License must be provided, along with any other requested information. A **valid** U.S. Coast Guard Certificate of Inspection must be provided if passenger capacity of the vessel is greater than 6 passengers. A valid temporary Certificate of Inspection will be accepted.
5. Each vessel issued a Rock Shrimp (South Atlantic) or a Rock Shrimp (Carolinas Zone) permit or an Atlantic Dolphin/Wahoo (charter/headboat or commercial) permit, when at sea or offloading, is required to have on board at least one person who has a Federal operator permit card issued by the NMFS Southeast Regional Office or the NMFS Northeast Regional Office.
6. Requests to renew or transfer permits will not be processed until all required reporting requirements (e.g., logbooks, the MRIP For-Hire telephone survey, etc) have been met. Noncompliance with any reporting requirement will preclude processing of all permit transaction requests. To avoid delays, ensure you comply with all reporting requirements in a timely manner and in advance of any permit application requests. Send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9915**. Questions concerning your reporting requirements should be phoned to the Research Management Division at 305/361-4581. We cannot renew or transfer your permit(s) until all reporting requirements (e.g., logbook submission) are met.
7. An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. A signed Income Qualification Affidavit will be required with every application to renew or transfer an income qualified permit. The blank Income Qualification Affidavit is included on page 6 of this application.
8. Applications to transfer permits for which the Federal Regulations restrict transfers to certain family members or to a vessel with certain characteristics may be required to submit documentation to verify their eligibility for such transfers. The applicable United States Code of federal regulations is available on line at <http://sero.nmfs.noaa.gov/regulations/reg.htm>

***In accordance with Federal regulations, any change in your permit information must be reported in writing to NMFS within 30 days of the change.***

**APPLICATION SECTION 1** Unless otherwise exempted by the application form, complete **all** portions of Section 1. Enter the Official Number and the length of the vessel as they appear on the U.S. Coast Guard Certificate of Documentation; or if not documented, the state registration certificate. If applying for a Highly Migratory Species commercial swordfish or shark permit issued without a vessel write "NO VESSEL" in the field for USCG Official Number. For charter/headboats that are inspected, list the greatest passenger capacity listed on the USCG Certificate of Inspection. A vessel's passenger capacity does not include the captain and crew.

### **APPLICATION SECTION 2**

Indicate the fishery and transaction type for each permit requested in this application.

### **APPLICATION SECTION 3**

Enter the information of the person/business shown as the "**owner**" on the U.S. Coast Guard Certificate of Documentation or, if not documented, from the state registration certificate. If there is more than one vessel owner shown on the USCG documentation or the vessel is titled to more than one person, provide the required information for all listed owners. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all vessel owners and lessees.

If the owner/qualifier is an individual, provide the owner's date of birth and enter the Social Security Number (taxpayer ID information). If the person shown as the "**owner**" is a corporation or partnership, provide the date that the corporation was filed or the partnership was formed and

the company's Federal Tax ID number (taxpayer ID information). If corporations are in an INACTIVE status, permits will not be issued.

If the vessel is leased, also provide information on all vessel lessees. If lessee is an individual, provide the owner's date of birth and enter the Social Security Number (taxpayer ID information). If the person shown as the "lessee" is a corporation or partnership, provide the date that the corporation was filed or the partnership was formed and the company's Federal Tax ID number (taxpayer ID information). If corporations are in an INACTIVE status, permits will not be issued.

If the vessel is leased, provide a copy of the signed (both parties) and dated lease agreement (see the Renewal and Initial (New) Issuance of permits section (below) of these instructions for lease requirements).

If any entities named as a vessel owner or for leased vessels named as vessel lessee are also an income qualifier for permits associated with this application, indicate the permits for which their income has been used for income qualification and submit a signed Income Qualification Affidavit.

#### **APPLICATION SECTION 4**

If the application is for a vessel that is owned by corporation, partnership, or other business entity, then information on the owner's officers/shareholders is required. Information on all officers/shareholders associated with the vessel owners and/or lessees is required. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all officers/shareholders associated with the vessel owners and lessees.

**PLEASE NOTE:** you are no longer required to submit articles of incorporation/organization or an annual report for businesses that hold permits, own or lease vessels.

If any individuals named as an officer or shareholder of the vessel owner, or for leased vessels named as an officer or shareholder of the vessel lessee, are also an income qualifier for permits associated with this application, indicate the permits for which their income has been used for income qualification, and submit a signed Income Qualification Affidavit.

If the company holds IFQ shares, indicate what percentage of the company each person listed owns. The percentages reported must equal 100%.

#### **APPLICATION SECTION 5**

Complete this section **only** for applications that include:

- Gulf of Mexico Charter/Headboat permits with a Historical Captain provision, or
- King Mackerel, Spanish Mackerel, Reef Fish, and/or Commercial Spiny Lobster permit which has been income qualified using the fishing income of an operator who is neither a vessel owner or lessee as listed in Section 3 of this application, nor is an officer of a business that owns or leases the vessel, as listed in Section 4 of this application.

For all other applications, this section should remain blank.

**APPLICATION SECTION 6** Complete this section only if you fish with pots in the snapper-grouper fishery or traps in the golden crab fishery off the southern Atlantic states. A separate check or money order for pot or trap tags (\$1.50 per tag) payable to FLOY TAG INC. must be provided.

**Pot Tags are required for use in the snapper-grouper fishery.** Trap Tags are optional for the golden crab fishery. Tags will be mailed directly from Floy Tag Inc., and will not be sent with the permit package.

**APPLICATION SECTION 7** The application must be signed and dated by the vessel owner or for leased vessels by the vessel lessee. For corporate owned or leased vessels, an officer or shareholder of the vessel owner or vessel lessee must sign and date the application.

**APPLICATION SECTION 8** An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. The affidavit is a signed promise, under penalty of perjury, that the applicant meets the federal income qualification requirements for the income qualified permit(s) and will provide such income documentation if NMFS requests such documentation. You are not required to provide tax or income documents unless we specifically ask for them. A signed Income Qualification Affidavit will be required with every application to renew an income qualified permit.

## **RENEWAL AND INITIAL (NEW) ISSUANCE OF PERMITS**

- All permit renewal and first issuance requests must include, as a minimum: an application, the appropriate fees, and a copy of the unexpired U.S. Coast Guard Certificate of Documentation or state registration certificate. Please note - we cannot accept a bill of sale as documentation or registration.
- If the vessel is leased/rented/leased to own, include a copy of the current lease agreement. The lease must state who is leasing what vessel (identified by USCG Documentation or if not documented, then state registration number) to whom, what date the lease begins and what specific date the lease expires. The lease must run for a minimum of 7 months, and if you anticipate a long term arrangement, let the lease run for a number of years. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement.
- To ensure that your renewal goes as quickly and smoothly as possible, send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9916**. Questions concerning your reporting requirements should be phoned to the Research Management Division at 305/361-4581. We cannot renew your permit(s) until all logbook reporting requirements are met.

## TRANSFERS

- All permit transfer requests must include, as a minimum: an application, the original permits with appropriate signatures on the back, the appropriate fees, and a copy of the unexpired U.S. Coast Guard Certificate of Documentation or state registration certificate.
- **SIGNATURES ON PERMITS:** provide the **valid** (not expired) **ORIGINAL (not a copy)** transferable permit(s) with the signatures of the seller on the reverse of the permit.
  - If the sellers are a joint ownership, ALL persons that sell the permit **MUST** sign the permit.
  - The seller's signature(s) must be notarized.
  - **Print** the name of the new permit holder (individual(s) or business) as the receiving vessel permit owner.
  - If transferring to a different vessel owned by the same entity (person or business), no signatures are required unless the permit(s) is a Gulf of Mexico Charter/Headboat Permit(s).
  - Signatures are required to transfer Gulf of Mexico Charter/Headboat Permit(s) to certify that you have removed the charter/headboat permit sticker from the old vessel.
  - Permits sold by a business must be signed by an officer or shareholder of the business. The correct format must include the signature, the person's position in the business and the company name, for example:  
John Doe, President, ABC Fishing Inc.
- Applications for the transfer of Gulf of Mexico Charter/Headboat permits must provide a copy of the unexpired USCG Operator of Uninspected Passenger Vessel License or Masters License. And, if larger than a 6-pack, you must also provide a copy of the vessel's unexpired Certificate of Inspection (COI).
- Gulf of Mexico Charter/Headboat permits (including Historical Captain permits) may not be transferred to a vessel that has a larger U.S. Coast Guard passenger capacity rating than the permit's baseline passenger rating. For example, a 10 passenger permit may not be transferred to a vessel with a COI with a highest rating of 12 passengers. Permits with a 6 passenger rating (6 pack) may only be transferred to an uninspected vessel (another "6 pack" vessel).
- Upon transfer of a Gulf of Mexico moratorium Coastal Migratory Pelagic Charter/Headboat permit and/or Gulf of Mexico moratorium Reef Fish Charter/Headboat permit, the Federal Charter/Headboat **decals must be removed** from your vessel.
- New owners of Limited Access/Moratorium permits must meet the earned income requirement not later than the first full calendar year after the issuance of the permit.
- Transfer of Atlantic SHARK or SWORDFISH or TUNA permits for swordfish handgear or swordfish and shark directed permits and Atlantic Tuna Long Line permits are subject to vessel size increase limitations.
- An ATLANTIC TUNA LONGLINE permit must be transferred to either a vessel that already has shark and swordfish permits assigned to it, or must be transferred along with shark and swordfish permits to a vessel that has none of these permits. Any vessel size and horsepower limits will apply.
- If the vessel is leased/rented/leased to own, include a copy of the current lease agreement. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement. The lease must state the beginning and ending dates of the lease term. **Expired permits may not be transferred.**
- The following permits and endorsements are NOT transferable:

<u>Charter</u> South Atlantic Charter/headboat for Coastal Migratory Pelagics South Atlantic Charter/headboat for Snapper-Grouper Atlantic Charter/headboat for Dolphin/Wahoo	<u>Commercial</u> Atlantic Dolphin/Wahoo - Commercial Spiny Lobster Spiny Lobster Tailing Gulf of Mexico Royal Red Shrimp Endorsement Spanish mackerel Rock Shrimp (Carolinas Zone)
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### GENERAL INFORMATION ABOUT THE SOUTH ATLANTIC GOLDEN CRAB FISHERY

- The South Atlantic EEZ is divided into three fishing zones as follows: (i) northern zone; (ii) middle zone; and (iii) southern zone. Permits have been issued for a single zone. **Effective May 3, 2002, through May 3, 2005**, a vessel with a documented overall length greater than 65 ft with a southern zone permit may fish in that zone (except in the subzone – see below), **and may also fish in the northern zone without changes to the vessel permit; such vessel may discontinue fishing in the northern zone and return to fish in the southern zone without changes to the vessel permit.** A vessel with a permit to fish for golden crab in the northern zone or the middle zone will continue to be authorized to fish only in that zone. A vessel may possess golden crab only in a zone in which it is authorized to fish under this measure, except that other zones may be transited if the vessel notifies NMFS, Office of Enforcement, Southeast Region, St. Petersburg, FL, by telephone (727-570-5344) in advance of the fishing trip.
- **The historical (5,000-lb.) catch requirement for renewing a commercial vessel permit for golden crab is no longer in effect.**
- Renewal of your vessel's golden crab permit requires submission of all reports to National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne FL 33149-9916 as required under the Magnuson-Stevens Act; and you must not be subject to a sanction or denial. **During the year 2002 and subsequent years when an application is required, a commercial vessel permit will not be renewed if the permit is revoked or if NMFS does not receive the required application for renewal by June 30 of that year (i.e., within six months after the permit's expiration date of December 31 each year). Your permit's expiration date is shown on the permit.**
- **To obtain a permit for the middle or southern zone via transfer, the documented length overall of the replacement vessel may not exceed the documented overall length, or aggregate documented overall lengths, of the replaced vessel(s) by more than 20 percent.** The owner of a vessel permitted for the middle or southern zone who has requested that NMFS transfer that permit to a smaller vessel (i.e., downsized) may subsequently request NMFS transfer that permit to a vessel of a length calculated from the length of the permitted vessel immediately prior to downsizing. **There are no vessel size limitations to obtain a permit for the northern zone via transfer.**

**GENERAL INFORMATION ABOUT THE SOUTH ATLANTIC GOLDEN CRAB FISHERY (cont.)**

- A small-vessel sub-zone is established within the southern zone bounded on the north by 24°15' North latitude, on the south by 24°07' North latitude, on the east by 81°22' West longitude, and on the west by 81°56' West longitude. No vessel with a documented length overall greater than 65 ft may fish for golden crab in this sub-zone. A vessel with a documented length overall of 65 ft or less that is permitted for the southern zone may fish for golden crab only in this sub-zone.

**DUPLICATE OR REPLACEMENT PERMITS**

To request replacement of lost permits the USCG Official Number and/or the State Registration Number fields of Section 1 must be completed. Section 2 must be completed to indicate for which permit(s) duplicates are requested. The application must be signed in Section 7 by a permit owner. A check or money order for \$18 payable to the U.S. Treasury must accompany the application.

**FEEES**

Fees for permit or endorsement renewal, initial issuance, and transfers are \$25 for the first permit or endorsement and \$10 for each additional permit or endorsement.

1 = \$25	2 = \$35	3 = \$45	4 = \$55	5 = \$65	6 = \$75	7 = \$85
8 = \$95	9 = \$105	10 = \$115	11 = \$125	12 = \$135	11 = \$145	14 = \$155
15 = \$165	16 = \$175	17 = \$185	18 = \$195	19 = \$205	20 = \$215	21 = \$225
22 = \$235	23 = \$245	24 = \$255	25 = \$265			

A flat rate fee of \$18 is assessed for replacement of one or more permits issued to a single vessel.

**EARNED INCOME OR GROSS SALES REQUIREMENTS FOR COMMERCIAL PERMITS**

	<b>KING &amp; SPANISH MACKEREL</b>	<b>REEF FISH (GULF OF MEXICO)</b>	<b>SPINY LOBSTER</b>
Percentage of earned income	At least 25%	More than 50%	At least 10%
Gross sales alternative	More than \$10,000 in sales of fish	N/A	N/A
Source of earned income	Commercial, charter, or headboat	Commercial, charter, or headboat	Sale of catch
Time frame for qualification	One of three years prior to application	Either of two years prior to application	Year prior to application

The following fisheries permits do not have an income qualification requirement:

**COMMERCIAL**

- Atlantic Dolphin/Wahoo - Commercial
- Shark Directed or Incidental
- Swordfish Directed, Incidental, or Handgear
- Rock Shrimp (Carolinas Zone)
- Rock Shrimp (South Atlantic EEZ)
- Spiny Lobster Tailing
- South Atlantic Unlimited Snapper-Grouper excluding Wreckfish
- South Atlantic 225 lb. Trip Limit Snapper-Grouper excluding Wreckfish
- Gulf of Mexico Shrimp
- Gulf of Mexico Royal Red Shrimp Endorsement
- Eastern Gulf of Mexico Reef Fish Bottom Long Line Endorsement
- Atlantic Tuna Longline
- South Atlantic Penaeid Shrimp
- Golden Crab

**CHARTER/HEADBOAT**

- Atlantic Dolphin/Wahoo - Charter
- Gulf of Mexico Coastal Migratory Pelagic Fish Charter/Headboat
- South Atlantic Coastal Migratory Pelagic Fish Charter/Headboat
- South Atlantic Charter for Snapper-Grouper
- Gulf of Mexico Reef Fish Charter/Headboat

An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. A signed Income Qualification Affidavit will be required with every application to renew an income qualified permit.

**KNOWINGLY SUPPLYING FALSE INFORMATION OR WILLFULLY OVERVALUING ANY FISHING INCOME FOR THE PURPOSE OF OBTAINING A PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.**

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER2, 263 13<sup>th</sup> Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Non-confidential information may be released via a NOAA Fisheries website. Non-confidential information means: Name, Street Address, City, State, Zip Code, Effective Date of Permit, Permit Types, Vessel Name, Vessel Identification Number, and in the case of a "for hire" vessel the Passenger Capacity, or individual, corporate and lease holders of permits. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

## ACTIVITIES FOR WHICH PERMITS ARE REQUIRED

### OPEN ACCESS PERMITS (New permits may be issued; these permits may NOT be transferred)

**ATLANTIC DOLPHIN-WAHOO CHARTER VESSEL/HEADBOAT**- For a person aboard a vessel that is operating as a charter vessel/headboat to fish for or possess a dolphin-wahoo in or from Federal waters in the Atlantic, an Atlantic dolphin-wahoo charter vessel/headboat permit must be issued to that vessel. There are no earned income or landing requirements for this permit. An owner of a charter vessel/headboat who desires to sell dolphin is required to obtain a dolphin-wahoo commercial vessel permit (see below) in addition to the charter vessel/headboat permit. (The sale of wahoo harvested in the Atlantic EEZ by a vessel operating as a charter vessel/headboat is not allowed.) The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

**ATLANTIC DOLPHIN-WAHOO COMMERCIAL** - A vessel must be issued an Atlantic dolphin-wahoo commercial permit to be eligible for exemption from the bag and possession limits for dolphin-wahoo in the Atlantic EEZ or to sell dolphin-wahoo harvested in the Atlantic EEZ. . The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

**SOUTH ATLANTIC COASTAL MIGRATORY PELAGIC CHARTER OR SNAPPER-GROUPER CHARTER** - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic fish or snapper-grouper in or from Federal waters off the southern Atlantic states, a charter permit must be issued to the vessel and be on board. These permits do NOT allow for the sale of fish caught under these permits.

**SOUTH ATLANTIC PENAID SHRIMP** - For a person aboard a trawler to fish for penaeid shrimp (brown, pink, or white shrimp) in the South Atlantic EEZ or possess penaeid shrimp in or from the South Atlantic EEZ, a valid (not expired) commercial vessel permit for South Atlantic penaeid shrimp must have been issued to the vessel and must be on board.

**GULF OF MEXICO ROYAL RED SHRIMP ENDORSEMENT** – For a person aboard a vessel to fish for royal red shrimp in the Gulf of Mexico EEZ or possess royal red shrimp in the Gulf of Mexico EEZ, a valid vessel permit for Gulf of Mexico shrimp **and** a royal red shrimp endorsement must have been issued to the vessel and must be on board. This endorsement is not transferable.

**SPANISH MACKEREL** - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for Spanish mackerel, a commercial permit must be issued to the vessel and be on board. At time of application income criteria must be submitted. *If applying for a Spanish mackerel permit, earned income or gross sales requirement must be met at time of application. See Earned Income or Gross Sales Requirements for Commercial Permits on page 4 of these instructions.*

**SPINY LOBSTER** - For a person aboard a vessel in Federal waters off of Texas, Louisiana, Mississippi, or Alabama to be exempt from the daily catch and possession limit, a commercial spiny lobster permit must be issued to the vessel and be on board. Florida's requirements apply to a vessel fishing in Federal waters off Florida. The daily bag or possession limit for spiny lobster in or from the EEZ of the southern Atlantic states other than Florida (North Carolina, South Carolina, and Georgia), is two per person for recreational and commercial fishing year round. To possess a separated spiny lobster tail in or from Federal waters, a tail-separation permit must be issued to the vessel and be on board. Tail separation permits may only be obtained for vessels that, due to the nature of the fishing, are required to remain at sea for 48 hours or longer. To obtain a lobster tailing permit, you must possess a Florida Saltwater Products License (SPL) or if you do not have a Florida SPL, you must possess or simultaneously obtain a valid Federal Spiny Lobster (LC) permit. *If applying for a commercial spiny lobster permit, the income requirement must be met at time of application. See Earned Income Requirements for Commercial Permits on page 4 of these instructions.*

**SOUTH ATLANTIC ROCK SHRIMP (CAROLINAS ZONE)** - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, either a Rock Shrimp (South Atlantic EEZ) permit or a South Atlantic Rock Shrimp (Carolinas EEZ) permit is required. A vessel may not be issued both a Rock Shrimp (South Atlantic EEZ) permit and a Rock Shrimp (Carolinas Zone) permit simultaneously. If a vessel has a Rock Shrimp (South Atlantic EEZ) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (Carolinas Zone) permit on the same vessel, the Rock Shrimp (South Atlantic EEZ) permit must first be transferred from the vessel or surrendered to NMFS.

### LIMITED ACCESS/MORATORIUM PERMITS (new permits will NOT be issued; these permits MAY be transferred)

Please note that charter permits DO NOT allow you to sell fish caught on a charter.

**SOUTH ATLANTIC ROCK SHRIMP (SOUTH ATLANTIC EEZ)** - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from Georgia and the east coast of Florida, a Rock Shrimp (South Atlantic EEZ) permit is required. For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, either a Rock Shrimp (South Atlantic EEZ) permit or a South Atlantic Rock Shrimp (Carolinas EEZ) permit is required. To transfer a Rock Shrimp (South Atlantic EEZ) permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred\*.**

A vessel may not be issued both a Rock Shrimp (South Atlantic EEZ) permit and a Rock Shrimp (Carolinas Zone) permit simultaneously. If a vessel has been issued a Rock Shrimp (Carolinas Zone) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (South Atlantic EEZ) permit on the same vessel, the Rock Shrimp (Carolinas Zone) permit must first be surrendered to the NMFS. Similarly, if a vessel has been issued a Rock Shrimp (South Atlantic EEZ) permit, which is valid (not expired) as of the date of this application, and the vessel owner is applying for a Rock Shrimp (Carolinas Zone) permit on the same vessel, the Rock Shrimp (South Atlantic EEZ) permit must first be transferred from the vessel or surrendered to the NMFS.

**SOUTH ATLANTIC SNAPPER-GROUPER UNLIMITED and 225-LB (EXCLUDING WRECKFISH PERMITS)** - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits, to engage in a directed fishery for tilefish or to use a sea bass pot, a commercial permit must be issued to the vessel and be on board. Permits for the South Atlantic snapper-grouper fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) permit.

South Atlantic snapper-grouper (excluding wreckfish) 225-lb trip limit permits are only transferable to a vessel owned or leased by the same permit owner.

With the exception of South Atlantic unlimited snapper-grouper (excluding wreckfish) permits transferred from an individual to a "family corporation", a person desiring to acquire a permit for South Atlantic unlimited snapper-grouper (excluding wreckfish) must obtain and exchange two such permits for one new permit. If the South Atlantic unlimited snapper-grouper (excluding wreckfish) permit is transferred from an individual to a "family corporation" made up exclusively of that individual's mother, father, brother, sister, son, daughter, and/or spouse, it may be obtained as a one-for-one transfer. However, permits obtained by a "family corporation" under this 1:1 transfer provision may only be renewed by the family corporation as long as the corporation is comprised exclusively of the mother, father, brother, sister, son, daughter, or spouse of the individual who originally transferred the permit to the family corporation.

For all South Atlantic Snapper-Grouper permit transfers, the original valid (not expired) permit(s) must be returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred\*.**

**LIMITED ACCESS/MORATORIUM PERMITS (CONTINUED)**

**SOUTH ATLANTIC GOLDEN CRAB** - For a person aboard a fishing vessel to fish for Golden Crab in either the Northern Zone, the Middle Zone or the Southern Zone, a Golden Crab (South Atlantic EEZ) permit is required. To transfer a Golden Crab (South Atlantic EEZ) permit, the original valid (not expired) permit must be signed and notarized as required and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred\*.**

**GULF OF MEXICO CHARTER/ HEADBOAT FOR COASTAL MIGRATORY PELAGICS** - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic fish in or from Federal waters in the Gulf of Mexico, a moratorium charter permit must be issued to the vessel and be on board. Permits for Gulf coastal migratory pelagic charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic fish permit, the original valid permit must be signed and notarized as required, and returned with an application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred\*.**

**GULF OF MEXICO CHARTER/ HEADBOAT FOR REEF FISH** - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a reef fish in or from Federal waters of the Gulf of Mexico, a moratorium charter permit must be issued to the vessel and be on board. Permits for Gulf of Mexico reef fish charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Charter/ Headboat for Reef Fish permit, the original valid (not expired) permit must be signed and notarized as required and, returned with an application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred\*.**

**GULF OF MEXICO COMMERCIAL REEF FISH** - As a prerequisite to sell reef fish in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the commercial reef fish fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Reef Fish permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred\*.** Please note: Gulf of Mexico Reef Fish Permits may only be renewed or transferred on vessels that have an installed, activated and operational VMS (Vessel Monitoring System).

**EASTERN GULF REEF FISH BOTTOM LONGLINE ENDORSEMENT** - For a person aboard a vessel, for which a valid (not expired) commercial vessel permit for Gulf reef fish had been issued, to use a bottom longline for Gulf reef fish in the Gulf EEZ east of 85°30' W. long., a valid eastern Gulf reef fish bottom longline endorsement must have been issued to the vessel and must be on board. This endorsement must be renewed annually and may only be renewed if the associated vessel has a valid commercial vessel permit for Gulf Reef Fish or both the endorsement and permit are renewed concurrently. The owner of a vessel with a valid reef fish bottom longline endorsement may transfer that endorsement to an owner of a vessel that has a valid commercial vessel permit for Gulf reef fish. To transfer an Eastern Gulf of Mexico Reef Fish Bottom Long Line Endorsement, the original valid endorsement must be signed and notarized as required, returned with the application and all applicable documents. **Endorsements must be renewed within one year of the expiration date – expired endorsements may not be transferred\*.**

**GULF OF MEXICO SHRIMP** - As of March 26, 2007, permits for the Gulf of Mexico shrimp fishery are under a moratorium program. For a person aboard a vessel to fish for or shrimp in the Gulf of Mexico EEZ or possess shrimp in or from the Gulf of Mexico EEZ, a valid commercial vessel permit for Gulf of Mexico shrimp must have been issued to the vessel and must be on board. To transfer a Gulf of Mexico shrimp permit, the original valid permit must be signed and notarized as required and, returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred\*.**

**KING MACKEREL** - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for king mackerel, a commercial permit must be issued to the vessel and be on board. Permits for King Mackerel are under a limited entry program. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a King Mackerel permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred\*.**

**GILLNET FOR KING MACKEREL** - For a person aboard a vessel in Federal waters to use a run-around gillnet for King Mackerel in the southern Florida west coast subzone, a commercial gillnet permit for King Mackerel must be issued to the vessel and be on board. Gillnet permits for King Mackerel are under a limited entry program. No new vessel may be permitted except by transfer of a valid (not expired) permit. Gillnet permits for King Mackerel may only be transferred to an immediate family member (mother, father, husband, wife, son, daughter, brother, sister). To transfer a gillnet for King Mackerel permit, the original valid (not expired) permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date– expired permits may not be transferred\*.**

**SHARK** - As a prerequisite to sell a shark in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the shark fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a shark permit, the original valid permit must be signed and notarized as required, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred\*.**

**SWORDFISH** - To fish for or possess swordfish in or from the North Atlantic Ocean, or take swordfish as bycatch, whether or not retained, a commercial permit must be issued to the vessel and be on board. Permits for the swordfish fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a swordfish permit, the original valid (not expired) permit must be signed and notarized as required and, returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date – expired permits may not be transferred\*.**

**ATLANTIC TUNA LONGLINE:** Vessel owners conducting longline operations that may result in the incidental taking of large, medium and giant bluefin or in the directed/incidental taking of other Atlantic tunas must obtain this permit. The number of longline permits is limited, i.e., a vessel owner seeking to obtain a longline permit must obtain a longline permit from a qualified permit holder. To transfer an Atlantic Tuna Longline permit, the original valid permit must be signed and notarized as required, and returned with the application and all applicable documents. **Expired permits may not be transferred\*.** Important: A total of 3 permits (swordfish, shark, and tuna longline ) must be obtained to use longline to fish for tunas. The shark and swordfish permit may be either directed or incidental. Directed swordfish and shark permits are subject to upgrading and ownership restrictions. For further information on swordfish, shark, or tuna regulations, please call the Highly Migratory Species Management Division at (301) 713-2347.

\*\*\*\*\***IMPORTANT INFORMATION**\*\*\*\*\*

\* For all transferable permits – an expired permit MAY be transferred only when the seller(s) has signed over the permit AND the seller's signature(s) was notarized BEFORE the expiration date. Otherwise, an expired permit must be renewed by the permit holder shown on the front of the permit before it can be signed over for transfer to ownership by another entity. Permits that have been expired for more than 1 year and have been terminated will not be transferred or renewed.