

U.S. DEPARTMENT OF COMMERCE  
Economics and Statistics Administration  
U.S. CENSUS BUREAU**QUARTERLY SURVEY OF PLANT CAPACITY UTILIZATION**

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**Item 1 OPERATIONAL STATUS**

Mark (X) One box that best describes the status at the end of the quarter for the facility identified in the address box above.

012 ☐ In operation

013 ☐ Temporarily idle

015 ☐ Permanently ceased operations

Month Year

→ Date closed?

016

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|  |  |  |  |  |  |  |  |  |  |
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014 ☐ Sold or leased to another company

Month Year

→ Date sold or leased?

017

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|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|

} SOLD OR LEASED TO

Name

Street

City

State

ZIP Code

Country

**CONTINUE WITH Item 2 ON PAGE 2.**

**Item 2 VALUE OF PRODUCTION****A.** Report market value of **actual production** for the quarter.**ACTUAL PRODUCTION** .....

|  |
|--|
|  |
|  |

023 \$

| Mil. | Thou. |
|------|-------|
|      |       |
|      |       |

**B.** Estimate the market value of production of this plant as if it had been operating at **full production capability** for the quarter.

Assume:

- only machinery and equipment **in place and ready to operate**.
- normal downtime.
- labor, materials, utilities, etc. **ARE FULLY AVAILABLE**.
- the number of shifts, hours of operation and overtime pay that can be **sustained** under **normal** conditions and a **realistic** work schedule in the long run.
- the **same product mix** as the actual production.

**FULL PRODUCTION CAPABILITY** .....

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|  |
|  |

034 \$

| Mil. | Thou. |
|------|-------|
|      |       |
|      |       |

**C.** Divide your **actual production** estimate by your **full production estimate**. Multiply this ratio by 100 to get a percentage. ....

|  |
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|  |

020

|  |
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|  |
|  |

%

**Capacity Utilization**

Is this a reasonable estimate of your utilization rate for this quarter?

☐ Yes☐ No – Review item 2A and 2B**Item 3 ACTUAL AND FULL PRODUCTION COMPARISONS****A. FULL PRODUCTION CAPABILITY: CURRENT QUARTER VS. PREVIOUS QUARTER**If your estimate of current quarter **full production capability** has changed compared to the previous quarter, mark (X) the primary reasons.35 ☐ Building capital expenditures36 ☐ Machinery capital expenditures – *Include new, replaced, or enhanced machinery*37 ☐ Building retirements38 ☐ Machinery retirements39 ☐ Price changed but product mix is the same40 ☐ Revised estimation assumption with no change in plant or operations41 ☐ Change in method of operation42 ☐ Change in product mix or product specifications43 ☐ Change in material input48 ☐ Other – *Specify* ↗

49

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**B. ACTUAL OPERATIONS VS FULL PRODUCTION CAPABILITY**If this plant's **actual** production in the current quarter was **less** than **full production capability**, mark (X) the primary reasons:51 ☐ Not most profitable to operate at full production capability52 ☐ Insufficient supply of materials53 ☐ Insufficient orders54 ☐ Insufficient supply of local labor force/skills55 ☐ Lack of sufficient fuel or electric energy56 ☐ Equipment limitations57 ☐ Storage limitations58 ☐ Logistics/transportation constraints59 ☐ Sufficient inventory of finished goods on hand60 ☐ Strike or work stoppage61 ☐ Seasonal operations62 ☐ Environmental restrictions68 ☐ Other – *Specify* ↗

69

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**CONTINUE WITH Item 4 ON PAGE 3.**

**Item 4 WORK PATTERNS FOR THE QUARTER**

Report work patterns for **each shift of actual operations** in the quarter.

- If the plant did not operate a second or third shift, do not complete the corresponding columns.
- Complete ALL items for each shift reported.

|                                                                                                                                |  | Shift 1 |  | Shift 2 |  | Shift 3 |
|--------------------------------------------------------------------------------------------------------------------------------|--|---------|--|---------|--|---------|
| <b>A.</b> Days per week-in-operation . . . . .                                                                                 |  | 916     |  | 917     |  | 918     |
| <b>B.</b> Plant hours per week-in-operation . . . . .                                                                          |  | 926     |  | 927     |  | 928     |
| <b>C.</b> Weeks-in-operation in the quarter . . . . .                                                                          |  | 936     |  | 937     |  | 938     |
| <b>D.</b> Number of production workers in the 2nd week of the 2nd month of the quarter (including temporary workers) . . . . . |  | 946     |  | 947     |  | 948     |

**Item 5 NATIONAL EMERGENCY PRODUCTION**

**A.** Estimate the market value of production for this plant as if it had been operating under **national emergency** conditions for the quarter . . . . .

|        | Mil. | Thou. |
|--------|------|-------|
| 099 \$ |      |       |

Assume:

- **full** use of **all** your machinery and equipment, including that requiring reconditioning.
- plant production **as close to 168 hours per week as possible**, including extra shifts.
- **minimal** downtime.
- funding, labor, materials, components, utilities, etc. **are fully available to you and your suppliers.**
- your product mix **is** permitted to change.
- you can sell **all** of your output.

**B.** If **actual** operations in the quarter were **less** than **national emergency production**, how quickly could the plant increase to the national emergency production level if given emergency priority by the government?

Mark (X) the shortest amount of time the plant would require.

82 ☐ Less than 3 months      83 ☐ 3 to 6 months      84 ☐ 7 to 12 months      85 ☐ More than one year

Remarks  
911

**Item 6 PERSON TO BE CONTACTED REGARDING THIS REPORT – Print name, telephone number, and email.**

|                     |             |           |        |
|---------------------|-------------|-----------|--------|
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