Findings and Recommendations from Cognitive Testing of the Redesigned Annual Survey of Public Employment and Payroll Forms: Round 2

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Background

The Governments Division (GOVS) asked members of the Response Improvement Research Staff (RIRS) to conductive cognitive interviews for the Annual Survey of Public Employees and Payroll (ASPEP). ASPEP collects information about the amount of payroll and the frequency of pay for employees across a variety of government entities including schools, universities, and special districts, in addition to towns and municipalities. For the 2013 data collection year, the paper forms will migrate into the Census Bureau's integrated Computer-Assisted Data Entry (iCADE) data capture system. The new data capture system necessitated significant changes to the layout of the paper forms.

In March of 2012, the RIRS did an initial round of cognitive pretesting on the redesigned forms. This first round of testing evaluated the new layout, as well as proposed shading conventions that would help guide the respondents through the form. The results of the testing indicated that respondents did not find the shading useful or necessary for form navigation. Our testing also revealed that some of the instructions and examples were creating problems for the respondents. Finally, we also were unable to test the form for school districts during the first round.

Based on our recommendations, GOVS revised the forms. We conducted a second round of cognitive pretesting to further evaluate the form layout changes. This report presents the finding and recommendations of the second round of cognitive interviews with cities (and counties), special districts, and schools, the E-4, E-3, and E-6 forms, respectively.

Research Methodology

Participants

In June, 2012, we conducted 24 interviews with respondents from government entities in the Seattle, Washington, and Portland, Oregon metropolitan area. For this round of testing, we isolated the forms testing within the two geographical areas. Because the school systems in the state of Washington are part of a central collection agreement in which a single entity within the state provides employment and payroll for all of the school systems, we were unable to test the E-6 form in the state of Washington. Also, because we were able to meet with only one school during the first round of testing, we needed to meet with a large number of school systems. Based of these constraints, we tested the E-6 in the Portland area and tested the E-4 and E-3 forms in the Seattle area. Our respondents for this round of testing included Human Resources employees, Payroll employees, and Clerks. Table 1 indicates the number respondents from each type of governments with whom we met.

Table 1: Types of government entities by form type.

Type of Government	Form Number	Number of Respondents
City/town	E-4	6
Special District	E-3	6
School District	E-6	12

Materials

The three forms we tested in this round were similar to the forms we tested in Round 1. However, based on Round 1 recommendations, there were a few changes. The example on all three forms underwent significant revisions. The example was reduced in size to make it visually distinct from the actual data-entry fields on the form. There also was an additional example. The current production cycle used the new format for entering payroll interval codes across three lines. Respondents were confused with the multiple lines for multiple pay frequencies, thinking they needed to itemize their payroll figures in the rows of boxes. The additional example illustrated entering more than one pay frequency. Finally, the example was moved to precede the instructions, in an effort to ensure that respondents noticed how to record payroll information.

In addition to changes to the instructions and the example, both the E-4 and E-6 were repagniated so that each employee category was on a separate page. Also, because this new pagination significantly lengthened the E-4, for ease for processing, the National Processing Center (NPC) printed the form in booklet format.

Finally, the response format for the E-3 form was changed to be consistent with all of the other ASPEP forms. Previously, the E-3 form contained response boxes for all of the possible pay intervals. Respondents had read through and to fill out only the lines that corresponded to their government's pay frequency. The current version of the form now has the same format as the other ASPEP forms we tested. All of the payroll intervals were removed and respondents now have three lines to specify the pay intervals, number of employees, and payroll amounts for full-time and part-time employees. Appendix C contains the forms we evaluated in Round 2.

Procedures

We conducted our interviews using concurrent and retrospective think-aloud techniques, with follow-up probes. We gave respondents copies of the form, along with verbal instructions to complete it, but not to report actual data if doing so would be inconvenient or time-consuming. Most respondents used data from a previously completed form to complete the current form.

As respondents went through the form, we observed how they navigated from question to question and page to page. We asked non-leading questions about their impressions of the layout and instructions. We non-directively probed their responses until we had a thorough and accurate understanding of their perceptions. Appendix A contains a more detailed discussion of cognitive interview methodology. Appendix B contains a copy of the protocol we used for cognitive interviewing.

In this report, we present our findings and recommendations for each form, separately. We tested the forms in different locations, and the three forms have significantly different layouts that created different response problems that necessitate separate discussions.

E-4 Findings and Recommendations

Finding #1: Overall impressions of the forms

Overall, respondents' reactions to the E-4 form were mixed. There were some aspects of the new form that respondents liked. They indicated that they liked the "clean" look of the new form, the delineated response boxes, and the vertical concatenation of the full-time and part-time employee payroll amounts, echoing our

findings from Round 1. Respondents also liked the fact that the form was printed on standard-sized paper instead of legal-sized paper, which is more difficult for respondents to copy, scan, fax, or file. However, there were some aspects of the form that the respondents did not like, leading them to prefer certain aspects of the 2012 form design. We will discuss those aspects in more detail in with the specific findings within this section.

Recommendation: None

Finding #2: Part 2 instructions and example

In Part 2 of the form, respondents record their government's employee and salary information. In Round 1 testing, the instructions and the examples created problems for respondents. More specifically, the example looked too much like the actual data entry fields. Compounding the problem was the pagination of the instructions and example, which it broke across two pages. Having part of the example at the top of the actual of the page where respondents began entering their information led respondents to believe it was a data-entry field.

<u>Instructions:</u> In this round of testing, most of our respondents skimmed the instructions. Because our respondents all had prior experience filling out the form, they did not feel it was necessary to read through instructions on how to fill out the form. The skimming led two respondents to be momentarily confused on how to fill out the form. When these respondents got to the three rows of boxes for the full-time employee payroll figures. Both respondents then went back to read the instructions to figure out how to report their payroll information.

Both of these respondents had recently filled out the 2012 ASPEP form, which used the same format. Neither respondent indicated that they had trouble with the previous version of the form. Part of the difficulty could have been due to the fact that although the form had the same format, the response boxes and the layout looked different. The format changes may have made the respondents think we were asking for different information. It also could have been that these respondents struggled with the format change for the 2012 version of the form, but did not remember having any difficulty filling it out.

Respondents also noted the payroll frequency code key. Most of the E-4 respondents we met with did not have a problem with the payroll codes. They noticed the key, understood the information it communicated, and were able to record payroll frequencies correctly, despite not reading the instructions carefully. However, one respondent had difficulty finding the correct frequency code. Employees in her government are paid on a twice-monthly basis. However, her government referred to this pay frequency as "semi-monthly." The respondent searched the codes and could not find one for "semi-monthly." She did not know what "twice-monthly" meant and that it was the code she should be using. Several other respondents we met with during the week also used that term, although only this one respondent struggled with selecting the appropriate code. One respondent explained that the payroll software his government uses refers to a twice-monthly pay interval as "semi-monthly." Most likely, these respondents used the same software.

<u>Example:</u> The example in the Part 2 instructions was problematic for respondents in Round 1. Because of its pagination and its similarity to actual data entry fields, respondents did not find it completely helpful. In this round, the example appeared to be more helpful to the respondents. None of the E-4 respondents had any problems with the example. In fact, because many of the respondents did not carefully read the instructions, it was the example that was helpful in communicating how the respondents were supposed to fill out the form.

Recommendations:

- Although E-4 respondents did not have any problems with the instructions or example, respondents for the E-6 forms did have problems. To maintain consistency in the instructions and example across the ASPEP forms, we recommend making the same changes to the E-4 form that are made for the other forms.
- Consider adding a parenthetical reference to "semi-monthly" pay frequency after "twice-monthly" in the pay interval key code. Although this term appears to be regional, it may be useful to respondents. The new code would read:

"T = Twice-monthly (Semi-monthly)"

Resolution: GOVS will add the parenthetical reference to a "semi-monthly" pay interval.

Finding #3: Pagination and booklet formatting

The pagination of the form caused problems for respondents during the last round of testing. In that round, the pagination sometimes broke reporting categories across multiple pages. For example, respondents recorded the data for full-time "other fire protection employees" on the bottom of one page and recorded the data for part-time "other fire protection employees" on the next page. This pagination created some difficulty for respondents, and they expressed the need not to have page breaks within a reporting category. Based on this feedback, we recommended re-paginating the form so that the full-time and part-time reporting categories.

For this round of testing, the entire form was re-paginated so that each reporting category was on a separate page. This re-pagination significantly lengthened the form from 19 pages to 32 pages, and prompted the printing of the form in booklet format. Respondents did not respond positively to the new pagination and booklet format.

Respondents commented on the blank spaces that the pagination created, as some pages could fit multiple reporting categories without creating the page-break problems we observed in Round 1. As one respondent indicated, her region of the country was very environmentally conscious. The large amount of blank space at the bottom and the blank pages at the end of the form that are the result of booklet formatting looked wasteful.

Additionally, the added pages to the form created the perception that the form was longer. One respondent described the thick booklet as "intimidating." She indicated that at first glance, she would set the form aside and delay her response because the form looked so long, despite the fact that it was no longer than it had been during previous data collection cycles. Other respondents echoed the sentiment that the form appeared longer and more onerous to fill out.

Accordingly, while respondents liked standard-sized paper of the new form, the pagination and booklet format led some respondents to prefer the old format. Not only was it less intimidating, as one respondent indicated, flipping through multiple pages made it difficult to keep track of how she had reported her data. She liked being able to see more of the reporting categories on one page.

Finally, one respondent indicated that having the form in booklet format made it as equally difficult to process the form. The booklet format made it difficult to photocopy or scan the pages and maintain them for her records. Respondents would have to cut apart the form to scan it. She did not think other respondents would like the new format.

Recommendations:

- Repaginate the form, where possible, to include more than one reporting category on the page.
- We strongly recommend eliminating the booklet format. Although it may be more cost effective to print and mail a longer form in booklet format in the short term, that format could lead to delayed response or nonresponse, both of which will necessitate additional expenses associated with nonresponse follow-up later on in production.

Resolution: GOVS is looking into solutions to the increased length in the E-4 form that may include the paring of instructions and the possibility that the form may be able to go back to a non-booklet format. In the short term, RIRS will do additional testing on this form to gather more information of respondents' reactions to the E-4 format.

Finding #4: Response box labels - Part-time employees and Payroll Codes

For this round of testing, there was a printing error with the response box labels for the Part-time employee dollar amounts. Instead of reading "Number of Part-time Employees," the label read "Number of Full-time Employees."

Additionally, one E-4 respondent noted that the response box label for payroll codes was inconsistent with the code key label. The code key refers to "Payroll Interval Codes," while the response box label refers to a "Payroll Code." This inconsistency confused the respondents, and she had to ask for clarification on what she should report in the box. Across multiple rounds of testing, only one respondent encountered any difficulties with this response box label. While maintaining consistency between the label and the key follows the principles of questionnaire design, adding a lengthy label could take up too much space, increasing the length of an already lengthy form. We advise GOVs to look at how the change would affect the length and pagination of the form.

Recommendations:

- Correct the printing error for the labels on the part-time employee payroll amounts.
- Consider making the label more consistent with the code key label.

Resolutions:

- GOVS will correct the error in the labels for part-time employment on the E-4 form and the examples for on all three forms.
- GOVS will look into which labels to change to make the Payroll Interval Code key consistent with the response box label.

Form E3 Findings and Recommendations

Finding #5: Overall impressions of the forms

Overall, respondents' reactions to this version of the form were positive. Respondents liked the look of the form and the fact that the form was short and easy to fill out. One respondent echoed the sentiment of one of the E-4 respondents. She indicated that she liked seeing a short form because people in the area were environmentally conscious and did not like to see wasted paper.

Recommendation: None

Finding #6: Part 2 instructions and example

In the first round of testing, the instructions and example for Part 2 confused E-3 respondents. Respondents thought the example was a valid data entry field. In this round of testing, we did not observe any problems with the instructions or the example.

<u>Instructions</u>: Respondents tended not to read the instructions carefully. However, not reading the instructions did not lead to any response errors. When respondents did go back and read them, they were able to understand them and found them to be helpful.

<u>Example:</u> We did not observe any problems with the example. Respondents were able to understand it and found it to be helpful. One respondent did express momentary confusion with how to report her data because she did not carefully read the example. She did not know what to do with the three lines under full-time and part-time payroll. After going back and reading through the example, she understood how to provide her payroll information.

Recommendation:

 Although E-3 respondents did not have any problems with the instructions or example, respondents for the E-6 forms did have problems. To maintain consistency in the instructions and example across the ASPEP forms, we recommend making the same changes to the E-6 form that are made for the other forms.

Resolution: GOVS will ensure that any changes to the instructions and example are consistent across all versions of the forms.

Finding #7: Part 2 response re-formatting

For this round of testing, the E-3 form was reformatted to contain the three payroll lines for full-time and parttime employees, rather than providing answer boxes for all of the payroll frequencies and having respondents only fill out the relevant categories. We observed no problems with this new format. All of the respondents were able to fill out the form correctly.

The new format shortened the form, a change that some respondents noticed and liked. Most of the respondents indicated that they preferred the new format because it meant that they had to read less information. Having all of the pay intervals on the form made some respondents wonder if they needed to fill out all of that information. In past rounds of testing, some respondents interpreted all of the payroll categories as indicating that they should provide data for each of the pay intervals. The restructuring of the form should help eliminate that confusion.

Respondents also indicated that the condensed format made the form seem easier to fill out than it had in the past. Only one respondent indicated that she preferred the old format. This preference seemed to stem from the fact that the change in format necessitated that she had to re-learn how to fill out the form. It is worth noting that format changes require that respondents spend more time filling out the form. Some respondents may not initially like the format changes if they increase the amount of time it takes to fill out the form.

Recommendation: None

Form E6 Findings and Recommendations

Finding #8: Overall findings

Overall, respondents' reactions to the E-6 were positive, however, there were some aspects of the form that respondents did not like. First, respondents had mixed feelings about the length of the form. While some respondents recognized the number of questions was equal to the previous form, other respondents noted the increased number of pages. Respondents' perception of additional cost to print the pages and the additional burden to fill out the form seemed to be creating their impressions of the form. However, in general, respondents did not seem overburdened by the additional pages.

In addition, some respondents di not like delineated response boxes required for the iCADE data-capture system. These respondents indicated they might have trouble reporting their dollar amounts in the delineated boxes. This perception seemed to stem from the fact that there were no commas to visually delineate the place-holders for the dollar amounts. One respondent suggested, "put commas in the spaces" (i.e., to indicate the billions, millions, and thousands answer spaces) so respondents could be clear on how to map their dollar amounts onto the boxes.

Recommendation:

 Consider adding commas in the blue space between the placeholder response boxes to differentiate the billions, millions, thousands, and hundreds dollar amounts.

Resolution: Due to the iCADE system requirements, it is not possible to add commas to the response boxes for dollar amounts. The iCADE system does allow for lines around each placeholder, an option that GOVS did not think would be visually appealing throughout the entire form. Therefore, because the problem with the response boxes most likely will not be a major issue in production, GOVS decided to leave the response boxes undelineated.

Finding #9: Item 2: Full-time hours

As with previous rounds of testing, respondents tended to struggle with this question. However, only the E-6 respondents had had difficulty with this question for this round of testing. Respondents were not clear what "full time" meant. For example, one respondent said, "Average hours for us can mean different things. 37 ½ hours in a week is paid as an 8 hour employee (40 hours)." Almost all of the respondents indicated that they would report 40 hours as the average for full time hours, even if it was not the most accurate answer.

Part of the confusion with this item may stem from the fact that school systems employ both instructional and non-instructional employees. Both types of employees can work different numbers of full-time hours. Instructional personnel tend to work fewer hours than administrative and other non-instructional employees. In past rounds of testing of this question, some respondents failed to take into account which set of employees made of the majority of their staff. Some respondents only considered instructional staff. Respondents in this round may have had similar response difficulties, as they were not always clear on whether the majority of the full-time employees were working 40 hours. These respondents requested clarification on how to calculate the average number of hours.

Recommendations:

 To circumvent the confusion of how to determine full-time hours, we recommend adding a special instruction on the E-6 to help respondents calculate their full-time hours. The new instruction could read:

"On average, how many hours per week do the majority of your full-time employees work?

Include

- All instructional and non-instructional employees.
- Full-time hours as recorded by this school system.
- Persons paid to work the number of hours that represents regular, full-time employment.
- Temporary or seasonal employees who are working the number of hours that represents regular full- time work.

Resolution: GOVS will make the recommended changes to the Item 2 instructions on the E-6 form.

Finding #10: Part 2 reference period

Respondents in this round wondered about the significance of March 12th payroll date. This reference period caused problems for some of our respondents. Although several respondents identified the March 12th reference period, the majority of respondents were answering this item incorrectly. Although the school systems we visited all reported monthly salaries figures, the pay dates for these monthly salaries varied from school to school. For schools with pay period during the last several days of the month, the timing of the form made it difficult to report for the pay period including March 12th. Their payroll figures for the reference date are not available until the following month. Respondents with these later pay dates indicated that the form is usually due before this later payroll information is available. Additionally, the payroll information for part-time and full-time employees may not be consistent. Some respondents also indicated that they would be able to report March 12th payroll information before the due date for part-time employees because they are paid on a different pay schedule (e.g., the 1st to the 31st of each month) than the full-time employees.

Because the ASPEP forms use the March 12th reference period to maintain consistent estimates on payroll amounts over time, we do not recommend making any changes to the reference period. However, we describe the respondents' difficulty with the reference period to indicate that providing accurate data within the due date may be difficult for respondents. The data some respondents report may not be complete if their payroll processes do not allow them to report the March 12th payroll figures before the survey due date.

Recommendation: None

Finding #11: Part 2 instructions and example

Because we met with only one E-6 respondent in the first round of testing, we were unable to draw specific conclusions about this form's example and instructions. However, the one respondent we did meet with did incorrectly fill out the example because she thought it was a data-entry field. In this round of testing, we were able to more fully evaluate the instructions and example.

<u>Instructions:</u> Respondents tended not to read through the instructions. They indicated that there was a lot of information on the page and that they just skimmed over the information to get a "jist" of what they needed to do. Not reading the instructions led some respondents to struggle with how to fill out the form. However, most of the confusion stemmed from how respondents read and understood the example.

For the most part, respondents also noticed the payroll frequency codes and were able to use them. However, we did observe one response error. Similar to the E-4 respondent, this respondent indicated that her government's employees were paid "semi-monthly." She incorrectly selected the "bi-weekly" pay frequency.

<u>Example</u>: The example was not as helpful to the E-6 respondents in this round of testing. The example still confused respondents. As with the last round of testing on the other forms, respondents confused the example with a data-entry field. These respondents thought that they were supposed to report their payroll totals in the example boxes. Once again, a respondent recommended adding a watermark over the example to make it look less like a data-entry field.

Additionally, one respondent was confused about the order of the instructions and the example. When the respondent read through the example and saw the bulleted instructions at the top of the next page, she thought that it was a new question, and not a continuation from the example. This respondent expected to see the instructions precede the example.

We also observed one response error. One respondent thought the three lines for under full-time and part-time employees represented three different payroll months. She indicated that she would report the first three payroll amounts for the year on these three separate lines. It was not until further probing that she realized her mistake. The respondent indicated there was too much information to read through with the instructions and the example.

It is unclear how often this type of error will happen in actual production. When respondents do take the time to read through the example and the instructions, hey find the information helpful. However, when actually filling out the form, they tend not to read the information, especially if they have prior experience filling out the form. Because these respondents most likely rely on prior experience when filling out the form, circumventing response errors when there are layout changes will be difficult.

The respondents who recognized this was an example said things like, "I like examples, it makes sure I'm on the same page" and "some people are visual and like to see rather than read." One respondent noticed that there is a typo in the example that reads "full-time" instead of "part-time."

Recommendations:

 Add a "watermark" to the example to keep respondents from interpreting it as a valid dataentry field.

Resolution: The iCADE system may not allow the addition of a watermark over the example. If it is possible to have a watermark, GOVS will add it to the form.

Finding #12: Pagination

As mentioned in Finding #8, respondents thought that the form was too long. Similar to the E-4 respondents, the E-6 respondents were reacting the large amount of blank space that the pagination created. They commented that they would like to see a shorter form.

Although it may not be possible to entirely repaginate the form, there are sections that could be moved to reduce the overall number of pages. For example, there is a page break after the example for "College and Other Postsecondary Education" section. The verbal instructions, although they appear to be able to fit on the same page as the example, are on a separate page. These instructions could be moved to reduce the amount of blank space on the form and improve respondents' perceptions.

Recommendation: Consider repagination the E-6 form to reduce the amount of blank space.

Resolution: Like with the E-4 form, GOVS will look into the repaginating of the form. One option to reduce the length of the form is to divide the Elementary/Secondary and Post-secondary sections into separate forms. However, creating a new form may be difficult. GOVS will look into the option. If GOVS makes significant changes to the form, it will need to undergo more testing.

Finding #13: Part 2 -Section Headings

Some respondents felt the headers for "elementary and secondary education" and "post secondary education" were not very clear. The section header for the "Elementary and secondary education" appear on a separate page from the where respondents actually begin entering their data. Respondents pointed out that this heading was getting lost in the question text for Part 2. This format is also inconsistent with the structure of the "Post secondary education" section of the form, which has the header at the top of the page, immediately above the data entry fields.

Recommendations:

• Move the "Elementary and secondary education" to the page on which respondents begin to enter their data for Part 2. The header would be immediately above the data-entry fields.

Resolution: GOVS will look into making this change.

About the Response Improvement Research Staff (RIRS)

The Response Improvement Research Staff (RIRS) in the Office of Economic Planning and Innovation (OEPI) assists economic survey program areas and other governmental agencies with research associated with the behavioral aspects of survey response and data collection. The mission of RIRS is to improve data quality in surveys while reducing survey nonresponse and respondent burden. This mission is achieved by:

- Conducting expert reviews, cognitive pretesting, site visits and usability testing, along with postcollection evaluation methods, to assess the effectiveness and efficiency of the data collection instruments and associated materials.
- Assisting program areas with the development and use of nonresponse reduction methods and contact strategies.
- Conducting empirical research to help better understand behavioral aspects of survey response, with the aim of identifying areas for further improvement as well as evaluating the effectiveness of qualitative research.

For more information on how RIRS can assist your economic survey program area or agency, please visit the <u>RIRS intranet site</u> or contact the staff chief, <u>Diane Willimack</u>.

Appendix A: Cognitive Research Methodology

Cognitive research is used in survey methodology "(a) to understand the thought processes used to answer survey items, and (b) to use this knowledge to find better ways of constructing, formulating, and asking survey questions" (Forsyth and Lessler, 1991).¹ Cognitive interviews traditionally focus on the four steps of Tourangeau's (1984)² cognitive response model: comprehension, retrieval, judgment, and communication/reporting. Comprehension refers to the respondent's interpretation and understanding of the question's language, structure, and grammar. In order to answer the question, a respondent must understand what information is being requested on the survey. Retrieval is the step where relevant information is obtained, either from records or from memory. The next step, judgment, describes the respondent's evaluation of the completeness or relevance of the data obtained. It is here that estimates are made based on partial or incomplete data. The last step, communication or reporting, deals with mapping the response to the answer space provided and possibly altering the answer.

While Tourangeau's model is suitable for household and social surveys, the establishment survey setting presents additional factors that must be considered. First, instead of or in addition to a reliance on memory, establishment surveys rely heavily on records and the information contained within them. Second, organizations tend to have distributed knowledge. Some people are experts in one type of information, while others keep information about something else. Third, competing priorities, both for the organization and the individual(s) completing the questionnaire, mean that the survey sometimes does not receive the amount of attention that researchers and data collectors would like. Finally, organizations regularly authorize only a few individuals to release data. If the data provider is not authorized to release the data, an additional step must be added to the response process. Tourangeau's model was expanded by Sudman *et al* (2000)³ to account for these factors.

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¹ Forsyth, B.H. and Lessler, J.T. (1991). "Cognitive Laboratory Methods: A Taxonomy." In <u>Measurement Errors in Surveys</u>, P.P. Biemer, R.M. Groves, L.E. Lyberg, N.A. Mathiowitz, S. Sudman (eds). New York: John Wiley & Sons, Inc.

² Tourangeau, R. (1984). "Cognitive Sciences and Survey Methods." In <u>Cognitive Aspects of Survey Methodology</u>, T.B. Jabine, M.L. Straf, J.M. Tanur, and R. Tourangeau (eds). Washington, DC: National Academy Press.

³ Sudman, S., Willimack, D.K., Nichols, E., and Mesenbourg, T.L. (2000). "Exploratory Research at the U.S. Census Bureau on the Survey Response Process in Large Companies." Paper prepared for presentation at the Second International Conference on Establishment Surveys, Buffalo, NY.

Appendix B: Cognitive Interview Protocol

Research Questions to Address:

- Do the changes in layout adversely affect the response process, and the responses provided?
- Are there any items that cause the respondents problems?

Before the Questionnaire:

- What types of services does this government entity provide?
- What is your role in this government entity? What kind of responsibilities do you have?
- What was your role in the process for responding to this questionnaire? (Gathered data? Compiled data? Checked data? Authorized release?)

General Probes:

- Reflect back R's specific answer: "you said..."
- In your own words, what is this question asking?
- How did you arrive at this number/answer this question? / Tell me how you arrived at these answers. What did you include in this number? What did you specifically exclude?
- What records (if any) did you look at? What line or lines were of interest?
- Were any other people involved in the process of coming up with this number? [If Yes] What departments are they in?
- How well does this data request match with your records?

Item 2 - FT Hours

Warm-up probes -- administer right after R finishes item:

- What is this question asking you?
- How did you arrive at your answers?

Part 2: Employees, Payroll, & PT Hours

Pay careful attention to how the respondents grapple with the layout.

Administer probes after R fills out this section.

General Probes for this section:

- How would you go about completing this question?
- What is this question asking you?
- How did you arrive at your answers?
- What information are you putting in each column?

Part 2 Instructions and Example:

The example and instructions bridge two pages. Make a note of how the R grapples with the layout.

- Did you notice the example?
 - O What did you think it was telling you?

- Was it clear? Did it help you figure out what you needed to know to fill out the form? If not, what could we do to make it more helpful?
- Did you notice the instructions?
 - O What did you think they were telling you?
 - Were they clear? Did they help you figure out what you needed to know to fill out the form? If not, what could we do to make them more helpful?
 - o Are they missing anything?
 - o Anything that shouldn't be there?
- Did you notice the payroll frequency codes?
 - O What did you think it was telling you?
 - o Was it clear? Did it help you figure out what you needed to know to fill out the form?
- What do you think about the payroll frequency codes listed on the page?
 - Are they easy or difficult to find?
 - o Was it helpful having them there?
 - o Did you notice them at the tops of the pages?
 - o Was it helpful to have them there?

While respondents are filling out the form, make a note of how they interact with the payroll frequency codes that appear on the top of every page.

Part 2 Reporting Categories

Observe how the R respondent handles the form navigation for full and part time payroll. They are now concatenated down the page instead of across.

FOR E-6 RESPONDENTS:

The header for the Elementary and Secondary Schools is located before the instructions and on a separate page from the start of the section. Note how respondents answer Part A. Probe any difficulty they have with this layout.

FOR E-3 RESPONDENTS:

This layout is new. It follows the E-4 and E-6 layout.

• If respondent seems to be having trouble filling: How would/did you record full time and part time employees?

Part 2 Layout

- What do you think of the way these questions are laid out on the pages?
- Is it easy or difficult to figure out how to report the information?
- What did you like about the layout of the form overall? What did you like? Not like?

FOR E-4 RESPONDENTS:

- What do you think of the "booklet" format?
- Is this an ok format for you? (If R doesn't like the format: Will having it in booklet format cause any problems? What kind?)
- Do you prefer it this way or would you prefer to have the pages stapled together?

Wrap-up Questions

- What do you like about the current form that you do not like about this new form we showed you today?
- What do you like about the new form we showed you today that you do not like about the current form?
- Which version of the form do you like better? Why?
- About how long would it take you to complete this form (estimate in hours)?
- (If R hasn't indicated reporting online) Do you think you would fill this form out online?

Thank you for your help!

Appendix C: Questionnaires Used During Round 1 Cognitive Testing



U.S. DEPARTMENT OF COMMERCE Economics and Statistics Administration U.S. CENSUS BUREAU

FORM

E-4 (06-01-2012) Draft 5

2013 ANNUAL SURVEY OF PUBLIC EMPLOYMENT & PAYROLL March 2013 – Municipalities, Counties, Townships

OMB No. 0607-0452: Approval Expires 04/30/2013

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DUE DATE:			
RETURN TO:			
U.S. Census Bureau 1201 East 10th Street Jeffersonville, IN 47132-0001			
Need help or have questions?			
Visit census.gov/govs/asps			
• Call 1-800-832-2839 weekdays, 7am to 5pm ET			
• Email govs.employ@census.gov			
In correspondence pertaining to this report, please refer to the User ID			
below the address box.			
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REPORT ONLINE: It's fast to this survey via the Inte	rnet at the following web d User ID and Password: —	User ID: Password:	
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B □ 37	.5 to 39.9 ho	urs		D	32	to 33.9 h	ours		F	No Fu	II-Time Eı	mployees	
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	are paid	d annua	lly; please	provide	the dat	a for the one	bi-week	y payroll	that incl	udes March 1	2, 2013 for t	rt-time employees he employees paid	
	employ	ees paid	d annually.				ior the ei	ipioyees	paid illoi	itmy, and the	annuai payro	oll data for the	
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	Α			5				0	0 0		200		



Include

- Salaries, wages, fees, or commissions.

- Overtime, premium, and night differential pay. Bonuses and incentive payments that are paid at regular pay intervals. Persons in paid leave status and persons paid from Federal grant funds.
- Amounts withheld for taxes, employee contributions to retirement systems, etc.
- An estimate of hours worked during the pay interval for part-time employees, not compensation on an
- · Temporary or seasonal employees who are working the number of hours that represent full-time employment should be reported as full-time employees.
- · Part-time, temporary, or seasonal employees working less than the regular, full-time workweek should be reported as part-time employees.
- Current employees in paid leave status whether paid from the general fund, special funds, or Federal
- grant funds.

 Officials paid on salary basis; by fees or commissions; on a per meeting basis; or a flat sum quarterly,
- semi-annually, or annually.

 All elected or appointed officials who receive any amount of payor stipend (even small amounts of \$25 per meeting or \$100 annually).

- Employees of fee offices should be included in "Financial administration".

 Include any county supervision of public schools in "All other".

 If more than three payroll intervals need to be reported, please photocopy the necessary pages and return them with the form.

- Lump sum payments and the value of living quarters and subsistence allowances furnished to employees.
- Employees on unpaid leave, unpaid officials, pensioners, and contractors and their employees.
- Any school system employees and payrolls.

Do not report

- Cumulative salaries since the beginning of the calendar or fiscal year.
- Payroll amounts from last fiscal year.
- Employer costs of non-wage employee benefits such as workers' compensation, FICA, health insurance, etc.

Payroll Interval Codes

W = Weekly; B = Bi-Weekly; T = Twice a Month M = Monthly; Q = Quarterly; S = Semi-Annually; A = Annually

A. CENTRAL GOVERNMENT ADMINISTRATION

1. Financial administration

Include

- Treasurer's office
- Auditor's or comptroller's office
- Tax assessment, tax billing and collection
- Budaetina
- Purchasing
- Central accounting offices
- Information technology
- Similar financial administration

a. Full-time employees and gross payroll

Full-time Payroll Code	Number of Full-time Employees	Gros \$Bil.	ss Payroll for Mil.	Full-time Em Thou.	nployees Dol.

h Part-time employees gross navroll and hours

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Part-time Payroll Code	Number of Full-time Employees	Gros \$Bil.	s Payroll for Mil.	Full-time Em Thou.	ployees Dol.	Part-time Hours Paid



Include Council board of supervisors or commissioners Central administrative officers and agencies, such as manager or mayor Clerk Recorder Zoning Central personnel agency Central planning agency Financial activities (reported in item 1.) Judicial/legal activities (report in item 3.) a. Full-time employees and gross payroll Code Full-time Employees SBil. Mil. Thou. Dol. b. Part-time employees, gross payroll, and hours Part-time Payroll Code Full-time Employees SBil. Mil. Thou. Dol. Part-time Employees Amil. Thou. Dol. Part-time Hours Payroll Code Full-time Employees Amil. Thou. Dol. Part-time Hours Payroll Code Amil. Thou. Dol.	W = Weekly;	B = Bi-Weekly; T = Tw		yroll Interval C M = Monthly;		rly; S = Semi-	Annually; A = Annuall
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	lude	mployees not reported in item 4a.	
	Full-time employees		
Full-time	• •	Gross Payroll for Full-time Employees	
Payroll Code	Full-time Employees	\$Bil. Mil. Thou. Dol.	
<u> </u>			
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Code	Full-time Employees	\$Bil. Mil. Thou. Dol.	; P

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F.	UTILITIES							
	18. Water	supply system 091 – F	ublic water s	upply or dist	ribution syster	n operated	by your g	overnment
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20. Gas sı	upply system 091 – Pu	ıblic gas supp	ply or distributi	on system ope	erated by y	our gove	rnment
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	22. Librar	ies 052 – Public lik	oraries ope	rated by y	our governr	nent				
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PART 3 - REMARKS 4 Use this space to: a) Explain any significant changes to employment or payroll occurring within the last year that would aid in understanding this report; b) Describe any difficulties you encountered in completing this form; c) List the groups of employees for which you were unable to supply information; d) Provide contact information for other people who assisted you in completing this report; e) Indicate if the majority of your full-time employees work more then 40 hours per week on **PART 4 - CONTACT INFORMATION** 5 Who should be contacted to answer questions about data reported on this form? Name of contact person - Please print Title of contact person - Please print Area code and phone number Extension Area code and fax number Date form was completed (MM) (DD) (YYYY) E-mail Address - Please print Thank you for completing this form. Retain a copy of the completed questionnaire for your records. NOTE: The U.S. Census Bureau receives its authorization to conduct this survey from Title 13, United States Code, Section 182. This form has been approved by the Office of Management and Budget (OMB) and given the number 0607-0143. Please note the number displayed in the upper right-hand corner of this form. Display of this number confirms that we have approval from OMB to conduct this survey. If this number was not displayed, under the Paperwork Reduction Act, we could not request your participation in this voluntary survey. Information provided on this questionnaire compiled from or customarily provided in public records are exempt from confidential treatment as cited in Title 13, United States Code, Section 9. Please note that this is a national form that applies to governments with wide differences in the size of their service areas, the amount of population served, and the extent and complexity of their activities. Public reporting burden for this collection of information is estimated to vary from 30 minutes to 2 hours per response, with an average of 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and

Paperwork@census.gov; use Paperwork Project 0607-0143 as the subject.

reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: Paperwork Project 0607-0143, U.S. Census Bureau, 4600 Silver Hill Road, AMSD-3K138, Washington, DC 20233. You may e-mail comments to



U.S. DEPARTMENT OF COMMERCE Economics and Statistics Administration U.S. CENSUS BUREAU

FORM

2013 ANNUAL SURVEY OF PUBLIC EMPLOYMENT & PAYROLL March 2013 - Special Districts and Local Agencies

	012) Draft 4 OMB No. 0607-0452: Approval Expires 04/30/20)13
DUE DATE:		
RETURN TO: U.S. Census Bureau 1201 East 10th Street Jeffersonville, IN 47132-0001	01	
Need help or have questions?		
• Visit census.gov/govs/apes		
• Call 1-800-832-2839 weekdays, 7am to 5pm ET		
• Email govs.employ@census.gov		
In correspondence pertaining to this report, please refer to the User ID below the address box.		
REPORT ONLINE: It's fast a to this survey via the Interne address using the supplied U respond.census.gov/aspep	user ID: User ID: User ID:	
1 Is your addressee title/ Yes – Go to 2 Addressee Title or Depart	e/department and mailing address the same as shown in the address label? No - Enter correct information below rtment	
ATTN:		
Street 1		
Street 1		
Street 1 Street 2		
	State Zip Code	
Street 2	State Zip Code	
Street 2 City		
Street 2 City Does this agency curre	State Zip Code Cently have paid employees and/or paid officials? Mark "X" only one box.	
Street 2 City		
Street 2 City Does this agency curre		
Street 2 City Does this agency curre Yes – go to 3		

Include

PART 1 - FULL-TIME EMPLOYEES STANDARD WEEKLY HOURS

3 On average, how many hours per week do the majority of your full-time employees work?

Persons paid to work the number of hours that represents regular, full-time employment.

Temporary or seasonal employees who are working the number of hours that represents regular, full-time

PART 2 - EMPLOYEES, PAYROLL, AND PART-TIME HOURS - (Continued)

Include

- · Salaries, wages, fees, or commissions.
- · Overtime, premium and night differential pay.
- Bonus and incentive payments that are paid at regular pay intervals.
- Amounts withheld for taxes, employee contributions to retirement systems, etc.
- An estimate of hours worked during the pay interval for part-time employees, not compensated on an hourly basis.
- Temporary or seasonal employees who are working the number of hours that represent full-time employment should be reported as full-time employees.
- Part-time, temporary, or seasonal employees working less than the regular, full-time workweek should be reported as part-time employees.
- Current employees in paid leave status whether paid from the general fund, special funds, or Federal grant funds.
- Officials paid on salary basis; by fees or commissions; on a per meeting basis; or a flat sum quarterly, semi-annually, or annually.

Exclude

- Lump sum payments and the value of living quarters and subsistence allowances furnished to employees.
- Employees on unpaid leave, unpaid officials, pensioners, and contractors and their employees.

Do not report

- Cumulative salaries since the beginning of the calendar or fiscal year.
- Payroll amounts from last fiscal year.
- Employer costs of non-wage employee benefits such as workers' compensation, FICA, health insurance, etc.

				Pay	roll Interval C	odes		
W =	Weekly;	B = Bi-Weekly;	T = Twic	e a Month;	M = Monthly;	Q = Quarterly;	S = Semi-Ann	ually; A = Annually
1.	Full-tin	ne employees	and gro	ss payroll				
	Full-time Payroll Code	Number of Full-time Emplo		Gros \$Bil.	s Payroll for Fo Mil.	ull-time Employ Thou.	ees Dol.	
2.	Part-ti	me employees	, gross p	oayroll, an	d hours			
	Part-time Payroll Code	Number of Part-time Emplo		Gros: \$Bil.	s Payroll for Pa Mil.	art-time Employ Thou.		art-time Hours Paid



PART 3 - REMARKS

- 5 Use this space to:
 - a) Explain any significant changes to employment or payroll occurring within the last year that would aid in understanding this report;
 - b) Describe any difficulties you encountered in completing this form;
 - c) List the groups of employees for which you were unable to supply information;
 - d) Provide contact information for other people who assisted you in completing this report;
 - e) Indicate if the majority of your full-time employees work more than 40 hours per week on average.

PART 4 – CONTACT INFORMATION

6 Who should be contacted to answer questions about data reported on this form?

Name of contact person - Please print	Title of contact po	erson - Plea	se print		
Area code and phone number	Extension	Area	code and fa	ax numbe	r
000 000 0000					
E-mail Address - Please print			Date form (MM)	n was con (DD)	npleted (YYYY)
·					

Thank you for completing this form.

Retain a copy of the completed questionnaire for your records.

NOTE: The U.S. Census Bureau receives its authorization to conduct this survey from Title 13, United States Code, Section 182. This form has been approved by the Office of Management and Budget (OMB) and given the number 0607-0143. Please note the number displayed in the upper right-hand corner of this form. Display of this number confirms that we have approval from OMB to conduct this survey. If this number was not displayed, under the Paperwork Reduction Act, we could not request your participation in this voluntary survey. Information provided on this questionnaire compiled from or customarily provided in public records are exempt from confidential treatment as cited in Title 13, United States Code, Section 9.

Please note that this is a national form that applies to governments with wide differences in the size of their service areas, the amount of population served, and the extent and complexity of their activities. Public reporting burden for this collection of information is estimated to vary from 10 minutes to 1½ hours per response, with an average of 20 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: Paperwork Project 6007-0143, U.S. Census Bureau, 4600 Silver Hill Road, AMSD-3K138, Washington, DC 20233. You may e-mail comments to Paperwork@census.gov; use Paperwork Project 0607-0143 as the subject.



U.S. DEPARTMENT OF COMMERCE Economics and Statistics Administration U.S. CENSUS BUREAU

FORM

2013 ANNUAL SURVEY OF PUBLIC EMPLOYMENT & PAYROLL March 2013 - School Systems

E-O (05-22-2012	2) Draft 2 OMB No. 0607-0452: Approval Expires 04/30/2013
DUE DATE:	
RETURN TO: U.S. Census Bureau 1201 East 10th Street Jeffersonville, IN 47132-0001	
Need help or have questions?	
Visit census.gov/govs/cog2012/ cog_employment.html	
• Call 1-800-832-2839 weekdays, 7am to 5pm ET	
• Email govs.employ@census.gov	
In correspondence pertaining to this report, please refer to the User ID below the address box.	
REPORT ONLINE: It's fast at to this survey via the Internet address using the supplied U respond.census.gov/aspep	at the following web User ID:
1 Is your addressee title/o Yes – Go to 2 Addressee Title or Departs	department and mailing address the same as shown in the address label? No - Enter correct information below ment
ATTN:	
Street 1	
Street 2	
City	State Zip Code
City	State Zip Code



Page 2	
PART 1 – FULL-TIM	E EMPLOYEES STANDARD WEEKLY HOURS
	w many hours per week do the majority of your full-time employees work?
	d to work the number of hours that represents regular, full-time employment. or seasonal employees who are working the number of hours that represents regular, full-time
Exclude	on unpaid leave, unpaid officials, pensioners, and contractors and their employees.
Mark "X" only o	
A 🗌 40 hour	s or more C 34 to 37.4 hours E 30 to 31.9 hours
B 37.5 to 3	39.9 hours F No Full-Time Employees
PART 2 – EMPLOYE	ES, PAYROLL, AND PART-TIME HOURS
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	123456780 7890
W = Weekly,	
	Full-time Payroll Number of Gross Payroll for Full-time Employees Code Full-time Employees \$Bil. Mil. Thou. Dol.
	B 156 295 932
	If some part-time employees are paid bi-weekly, some part-time employees are paid monthly, and some part-time employees are paid annually; please provide the data for the one bi-weekly payroll that includes March 12, 2013 for the employees paid bi-weekly, the monthly data for the month of March for the employees paid monthly, and the annual payroll data for the employees paid annually. 2. Part-time employees, gross payroll, and hours Part-time Payroll Number of Gross Payroll for Full-time Employees
	Code Part-time Employees \$Bil. Mil. Thou. Dol. Part-time Hours Paid B
	M
	A

PART 2 - EMPLOYEES, PAYROLL, AND PART-TIME HOURS

Include

- Salaries, wages, fees, or commissions.
- Overtime, premium, and night differential pay.
- Bonus and incentive payments that are paid at regular pay intervals.
- Persons in paid leave status and persons paid from Federal grant funds.
- Amounts withheld for taxes, employee contributions to retirement systems, etc.
- An estimate of hours worked during the pay interval for part-time employees, not compensated on an hourly basis.
- Temporary or seasonal employees who are working the number of hours that represent full-time employment should be reported as full-time employees.
- Part-time, temporary, or seasonal employees working less than the regular, full-time workweek should be reported as part-time employees.
- reported as part-time employees.
 Current employees in paid leave status whether paid from the general fund, special funds, or Federal grant funds.
- · Substitute teachers and student employees.
- Board members or school trustees paid on a per meeting basis or a flat sum quarterly, semi-annually, or annually.
- If more than three payroll intervals need to be reported, please photocopy the necessary pages and return them with the form.

Exclude

- Lump sum payments and the value of living quarters and subsistence allowances furnished to employees.
- Employees on unpaid leave, unpaid officials, pensioners, and contractors and their employees.
- School board members or school trustees who serve without compensation.
- College and other postsecondary employees (report them in Section B.)

Do not report

- Cumulative salaries since the beginning of the calendar or fiscal year.
- Payroll amounts from last fiscal year.
- Employer costs of non-wage employee benefits such as workers' compensation, FICA, health insurance, etc.

		Pay	roll Interval Co	odes		
W = Weekly;	B = Bi-Weekly;	T = Twice a Month;	M = Monthly;	Q = Quarterly;	S = Semi-Annually;	A = Annually

1. Instructional personnel 012

Include

- Teachers
- Teachers aides
- Substitute teachers
- Principals
- Supervisors of instruction
- Superintendents
- School librarians
- Guidance personnel
- Psychological personnel

a. Full-time employees and gross payroll

Full-time Payroll Code	Number of Full-time Employees	Gro \$Bil.	ss Payroll for Mil.	r Full-time Em Thou.	nployees Dol.

b. Part-time employees, gross payroll, and hours

Part-time Payroll Code	Number of Part-time Employees	Gros \$Bil.	s Payroll for Mil.	Part-time Emp Thou.	oloyees Dol.	Part-time Hours Paid



- EM	PLOYEE	S, PAYROLL, AND PA	RT-TIME HO	URS – (Conti	inued)		
			Payre	oll Interval Co	odes		
W =	Weekly;	B = Bi-Weekly; T = Twice	ce a Month;	M = Monthly;	Q = Quarterly	; S = Semi-	Annually; A = Annu
2.	All othe	r school system emplo	oyees 112				
1	PlanMaiiCafeBusHealRecrStudOthe	transportations lth	oersonnel				
		· ime employees and gr					
	Full-tim Payroll	Number of	Gross	s Payroll for F	•	•	
	Code	Full-time Employees	\$Bil.	Mil.	Thou.	Dol.	
							-
	b. Part-1	time employees, gross	s payroll, an	d hours			
	Part-tim Payroll Code		Gross \$Bil.	s Payroll for F Mil.	Part-time Emp Thou.	oloyees Dol.	Part-time Hours
		00000					0000

TOTAL – (Sum of items 1. through 2.) a. Full-time employees and gross payroll Full-time Payroll Code Full-time Employees \$Bil. Mil. Thou. Dol. b. Part-time employees, gross payroll, and hours Part-time Payroll Code Part-time Employees \$Bil. Mil. Thou. Dol. Part-time Employees \$Bil. Mil. Thou. Dol. Part-time Hours Pa	Full-time Payroll Code Full-time Employees \$Bil. Mil. Thou. Dol.	W = Wee	kly; B =	Bi-Weekly;	T = Twice		roll Interv M = Mont		es Ω = Quartei	rly; S = Sem	i-Annually;	A = Annually
a. Full-time employees and gross payroll Full-time Payroll Number of Code Full-time Employees \$Bil. Mil. Thou. Dol. Do	a. Full-time employees and gross payroll Full-time Payroll Number of Code Full-time Employees Sail. Mil. Thou. Dol. Description of Part-time employees, gross payroll, and hours Part-time Payroll Number of Gross Payroll for Part-time Employees Gross Payroll for Part-time Employees											·
b. Part-time employees, gross payroll, and hours Payroll Payroll Payroll Rumber of Gross Payroll for Part-time Employees Gross Payroll for Part-time Employees Gross Payroll for Part-time Employees	b. Part-time employees, gross payroll, and hours Payroll Number of Gross Payroll for Part-time Employees Part-time Payroll Number of Gross Payroll for Part-time Employees	a. I	ull-time		_		ı					
b. Part-time employees, gross payroll, and hours Part-time Payroll Number of Gross Payroll for Part-time Employees	b. Part-time employees, gross payroll, and hours Part-time Payroll Payrol	F	avroll	Number	of plovees			for Fu				
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Part-time Number of Gross Payroll for Part-time Employees	Part-time Number of Gross Payroll for Part-time Employees											
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Payroll Number of Gloss Fayroll for Fait-time Employees	Payroll Number of Gloss Fayroll for Fait-time Employees			employe	es, gross _l	payroll, a	nd hours					
		F	avroll	Number art-time Em	of plovees			for Pa			Part-tir	ne Hours Pai
		L										
		L										

Report here only those persons employed in college and other postsecondary activities (above grade 12).

$\begin{tabular}{ll} \textbf{Payroll Interval Codes} \\ W = Weekly; & B = Bi-Weekly; & T = Twice a Month; & M = Monthly; & Q = Quarterly; & S = Semi-Annually; & A = Annually & A = Annually; & Annually; & Annually; & Annually; & Annually; & Annually; & Annuall$

EXAMPLE

If all full-time employees are paid bi-weekly, please provide the one bi-weekly payroll that includes March 12, 2013.

1. Full-time employees and gross payroll



If some part-time employees are paid bi-weekly, some part-time employees are paid monthly, and some part-time employees are paid annually; please provide the data for the one bi-weekly payroll that includes March 12, 2013 for the employees paid bi-weekly, the monthly data for the month of March for the employees paid monthly, and the annual payroll data for the employees paid annually.

2. Part-time employees, gross payroll, and hours



Part-time Payroll Code	Number of Part-time Employees	Gross Payroll fo \$Bil. Mil.	or Full-time Employees Thou. Dol.	Part-time Hours Paid
В	103		63 077	2252
М		80 888	55590	1985
Α	88888 5	80 888	6 0 0 0	200

PART 2 - EMPLOYEES, PAYROLL, AND PART-TIME HOURS - (Continued)

Include

- · Salaries, wages, fees, or commissions.
- Overtime, premium, and night differential pay.
- Bonus and incentive payments that are paid at regular pay intervals.
- Persons in paid leave status and persons paid from Federal grant funds.
- Amounts withheld for taxes, employee contributions to retirement systems, etc.
- An estimate of hours worked during the pay interval for part-time employees, not compensated on an hourly basis.
- Temporary or seasonal employees who are working the number of hours that represent full-time employment should be reported as full-time employees.
- Part-time, temporary, or seasonal employees working less than the regular, full-time workweek should be reported as part-time employees.
- Current employees in paid leave status whether paid from the general fund, special funds, or Federal grant funds.
- Student employees.
- Board members or school trustees paid on a per meeting basis or a flat sum quarterly, semi-annually, or annually.
- If more than three payroll intervals need to be reported, please photocopy the necessary pages and return them with the form.

Exclude

- Lump sum payments and the value of living quarters and subsistence allowances furnished to employees.
- Employees on unpaid leave, unpaid officials, pensioners, and contractors and their employees.
- School board members or school trustees who serve without compensation.
- College and other postsecondary employees (report them in Section B.)

Do not report

- Cumulative salaries since the beginning of the calendar or fiscal year.
- Payroll amounts from last fiscal year.
- Employer costs of non-wage employee benefits such as workers' compensation, FICA, health insurance, etc.

10/ 10/	D. Di Washin		yroll Interval Co		C. Carai Arrayallar	A
W = Weekly;	B = BI-VVeekiy;	T = Twice a Month;	ivi = iviontniy;	Q = Quarterly;	S = Semi-Annually;	A = Annually
Include • Em (de • Em deg	ployees engaged partmental) rese ployees engaged pree granting ins	d in college or other arch d in continuing educ	ation and othe	r non-degree pr	ograms that are ope	

a. Full-time employees and gross payroll

Full-time Payroll Code	Number of Full-time Employees	Gro: \$Bil.	ss Payroll fo Mil.	r Full-time Em Thou.	ployees Dol.

b. Part-time employees, gross payroll, and hours

Part-time Payroll Number of			or Part-time Em		
Code Part-time Employees	\$Bil.	Mil.	Thou.	Dol.	Part-time Hours Paid





W = Weekly;	B = Bi-Weekly; T = Twice	Payroll Interval e a Month; M = Month		; S = Semi- <i>l</i>	Annually; A = Annually
3. TOTAL -	(Sum of items 1. throug	h 2.)			
	ime employees and gro	oss payroll			
Full-tim Payroll Code	Number of Full-time Employees	Gross Payroll \$Bil. Mil.	for Full-time Emp Thou.	loyees Dol.	
b. Part-	time employees, gross	payroll, and hours			
Part-tim Payroll Code	Number of Part-time Employees	Gross Payroll \$Bil. Mil.	for Part-time Emp Thou.	loyees Dol.	Part-time Hours Paic
Code	Tart-time Employees		Tilou.		Tait-time riours raid

Use this space to:

- a) Explain any significant changes to employment or payroll occurring within the last year that would aid in understanding this report;
- b) Describe any difficulties you encountered in completing this form;
- c) List the groups of employees for which you were unable to supply information;
- d) Provide contact information for other people who assisted you in completing this report;
- e) Indicate if the majority of your full-time employees work more than 40 hours per week on average.

ART 4 – CONTACT INFO	RMATION		

Name of contact person - Please print	Title o	of contact person - Please print
Area code and phone number	Extension	Area code and fax number
E-mail Address - Please print		Date form was completed (MM) (DD) (YYYY)

Thank you for completing this form.

Retain a copy of the completed questionnaire for your records.

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