

**THE UNITED STATES OF AMERICA  
DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION**

***APPLICATION FOR PERMIT TO HARVEST and/or TRANSSHIP  
ANTARCTIC MARINE LIVING RESOURCES (AMLR)***

A Vessel Identification Form (VIF) is attached to this application for each vessel seeking a permit to harvest AMLR or transship *Dissostichus* species. A Harvesting Information Form (HIF) describing contemplated fisheries, catch, and operations is attached to this application for each vessel seeking a permit to harvest AMLR.

**Complete one.**

**Harvesting AMLR**

Under the provisions of the Antarctic Marine Living Resources Convention Act of 1984, the following person(s) \_\_\_\_\_ submit(s) this permit application for harvesting resources within the area covered by the Convention on the Conservation of Antarctic Marine Living Resources (CCAMLR).

Is this application for a fishery designated by the Commission for the Conservation of Antarctic Marine Living Resources as a: New Fishery \_\_\_\_\_ Exploratory fishery \_\_\_\_\_

**Transshipping toothfish**

Under the provisions of the Antarctic Marine Living Resources Convention Act of 1984, the following person(s) \_\_\_\_\_ submit(s) this permit application for transshipping toothfish species, wherever harvested.

**Signature**

Submitted by (Firm or individual):

Signature: \_\_\_\_\_

Title:

Date: \_\_\_\_\_

Detailed descriptions of the vessels and the methods of operation proposed are attached to this application. Completion of this application is required to obtain a permit to harvest Antarctic marine living resources under provisions of the Antarctic Marine Living Resources Act Convention of 1984 (16 USC 2431 et seq.). No permit will be issued without completion of this application.

**VESSEL IDENTIFICATION FORM (VIF)**

**FOR ALL VESSELS**

(1) VESSEL NAME: \_\_\_\_\_

(2) ALL PREVIOUS VESSEL NAMES AND FLAGS (IF KNOWN):

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(3) NAME AND ADDRESS OF OWNER:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(4) NAME AND ADDRESS OF BENEFICIAL OWNERS (IF KNOWN):

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(5) NAME AND ADDRESS OF LICENCE OWNER (IF DIFFERENT FROM VESSEL OWNER):

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(6) U.S. COAST GUARD DOCUMENTATION NUMBER: \_\_\_\_\_

(7) PORT OF HAIL: \_\_\_\_\_

(8) VESSEL'S EXTERNAL MARKINGS (ATTACH THREE COLOR PHOTOS):

(9) INTERNATIONAL RADIO CALL SIGN: \_\_\_\_\_

(10) VESSEL'S INTERNATIONAL MARITIME ORGANIZATION (IMO) NUMBER (IF ISSUED):

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(11) VESSEL TYPE: \_\_\_\_\_

(12) WHERE BUILT: \_\_\_\_\_

(13) WHEN BUILT: \_\_\_\_\_

(14) HULL NUMBER: \_\_\_\_\_

(15) LENGTH OVERALL: \_\_\_\_\_

(16) GROSS TONS: \_\_\_\_\_

(17) MAXIMUM SHAFT OR HORSE POWER: \_\_\_\_\_

(18) PROCESSING EQUIPMENT: \_\_\_\_\_

(19) HOLD CAPACITY (IN CUBIC METERS - BALE CAPACITY ONLY):

HOLD #1: \_\_\_\_\_ HOLD #2: \_\_\_\_\_ HOLD #3: \_\_\_\_\_ HOLD #4: \_\_\_\_\_

(IF MORE THAN 4 HOLDS, GIVE TOTAL HOLD CAPACITY)

(20) OTHER CARRYING CAPACITY (IN TONNES): \_\_\_\_\_

(21) DETAILS OF THE TAMPER-PROOF ELEMENTS OF THE SATELLITE-LINKED VESSEL MONITORING  
DEVICE (WHERE APPLICABLE): \_\_\_\_\_

(22) PROVIDE LAST AMLR PERMIT NUMBER \_\_\_\_\_ IF NONE CHECK \_\_\_\_\_

(23) WILL HARVESTING VESSEL BE SUPPORTED BY OR WORKING WITH A FOREIGN VESSEL? \_\_\_\_\_

IF YES, PROVIDE:

NAME OF THE VESSEL: \_\_\_\_\_

FLAG OF THE VESSEL: \_\_\_\_\_

INTERNATIONAL RADIO CALL SIGN: \_\_\_\_\_

TYPE OF VESSEL: \_\_\_\_\_

PERMIT NUMBER: \_\_\_\_\_

VESSEL'S INTERNATIONAL MARITIME ORGANIZATION (IMO) NUMBER (IF ISSUED):

\_\_\_\_\_

**ADDITIONAL INFORMATION FOR VESSELS PARTICIPATING IN A NEW OR EXPLORATORY FISHERY**

(1) NAME, ADDRESS OF OPERATOR (IF DIFFERENT FROM VESSEL OWNER):

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(2) NAME, NATIONALITY OF MASTER: \_\_\_\_\_

(3) NAME, NATIONALITY OF FISHING MASTER (WHERE RELEVANT):

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(4) VESSEL COMMUNICATION TYPES AND NUMBERS:

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(5) NORMAL CREW COMPLEMENT: \_\_\_\_\_

(6) ICE CLASSIFICATION: \_\_\_\_\_

**VESSEL OWNER'S/APPLICANT'S SIGNATURE**

The undersigned acknowledges that he/she is bound by Federal regulations governing the harvest of Antarctic Marine Living Resources in Convention on the Conservation of Antarctic Marine Living Resources (CCAMLR) waters. This permit is valid only so long as the undersigned complies with these applicable regulations.

Knowingly supplying false information for the purpose of obtaining a permit is a violation of Federal law punishable by a fine of up to \$10,000 and/or imprisonment up to 5 years.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

TIN (Tax Identification Number) if a business: \_\_\_\_\_ Date of incorporation or birth: \_\_\_\_\_ (mm/dd/yyyy)

This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal permits. The primary purpose for requesting the TIN is for the collection and reporting on any delinquent amounts arising out of such person's relationship with the government pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). Personal information is confidential and protected under the Privacy Act (5 U.S.C. 552a). Business information may be disclosed to the public.

## HARVESTING INFORMATION FORM (HIF)

Use the space below (and supplemental sheets as necessary) to list each target species for which an AMLR harvesting permit is requested and to identify for each: the tonnage requested, CCAMLR statistical area to be fished, gear to be used, and type and amount of bycatch anticipated.

CONVENTION ON THE CONSERVATION OF ANTARCTIC  
MARINE LIVING RESOURCES (CCAMLR)

INSTRUCTIONS FOR COMPLETING  
APPLICATION FOR PERMIT TO HARVEST and/or TRANSSHIP  
ANTARCTIC MARINE LIVING RESOURCES (AMLR)  
VESSEL INFORMATION FORM (VIF)  
HARVESTING INFORMATION FORM (HIF)

Complete an application and VIF for each vessel for which a permit is requested. Applicants for a harvesting permit should also submit an HIF. Submit these documents at least 90 days before harvesting and/or transshipment activities are to begin. If you are applying to participate in an exploratory fishery, submit an application to the Assistant Administrator no later than four months before the annual meeting of CCAMLR (i.e., no later than July 15 in any given year). Applicants wishing to harvest krill must submit an application to the Assistant Administrator for NMFS no later than June 1 prior to the krill season opening on December 1 of the same year. This allows time for review, issuance and delivery of the permit. Timely submission will ensure prompt consideration of your request. Incomplete, unsigned, or improperly signed applications will be returned for completion.

Type all materials in English. Complete all items except the space near the top, right hand corner labeled "No." A number will be assigned by the Government of the United States.

**Application for Permit to Harvest and/or Transship Marine Living Resources**

- (1) **Harvest:** If this is an application to harvest AMLR, please complete the harvesting line.

New or Exploratory Fisheries: If this is an application to initiate a new fishery or participate in an exploratory fishery, please check either the New Fishery or Exploratory box.

*New Fishery:* A new fishery is defined as a fishery on a species using a particular method in CCAMLR statistical subarea for which: (1) information on distribution, abundance, demography, potential yield and stock identity from comprehensive research/surveys or exploratory fishing has not yet been submitted to CCAMLR; (2) catch and effort data have never been submitted to CCAMLR; or (3) catch and effort data from the two most recent seasons in which fishing has occurred have not been submitted to CCAMLR. If you are applying to initiate a new fishery, submit an application to the Assistant Administrator no later than June 1 of the year in which you are requesting to fish. Your application must be accompanied by information on: (1) the nature of the proposed fishery, including target species, methods of fishing, proposed region, and any minimum level of catches that would be required to develop a viable fishery; (2) biological information from comprehensive research/survey cruises, such a distribution, abundance, demographic data and information on stock identity; (3) details of dependent and associated species and the likelihood of them being affected by the proposed fishery; and (4) information from other fisheries in the region or similar fisheries elsewhere that may assist in the valuation of potential yield. This information should be submitted as a narrative attached to the harvesting vessel application. Applicants wishing to harvest krill, must also, to the extent possible, identify the products to be derived from the anticipated catch of krill. If you need assistance in providing this information, please contact the National Marine Fisheries Service, Southwest Fisheries Science Center, Antarctic Ecosystem Research Group, P.O. Box 271, La Jolla, California 92038-0271 or phone (858) 546-5601.

*Exploratory Fishery:* An exploratory fishery is a fishery that was originally a new fishery, but has not yet been fully developed. A fishery will continue to be classified by CCAMLR as an exploratory fishery until sufficient information is available to (1) evaluate the distribution, abundance, and demography of the target species, leading to an estimate of the fishery's potential yield; (2) review the fishery's potential impacts on dependent and associated species; and (3) allow the CCAMLR Scientific Committee to formulate and provide advice to the Commission for the Conservation of Antarctic Marine Living Resources on appropriate harvest catch levels and fishing gear.

- (2) **Transshipment:** If this is an application to transship *Dissostichus* species, whether harvested within or outside CCAMLR waters, please complete the transshipment line.

## VESSEL IDENTIFICATION FORM (VIF)

### INSTRUCTIONS FOR ALL VESSELS

- (1) **Vessel Name:** Type in the name of the vessel.
- (2) **All Previous Vessel Names and Flags (If Known):** Provide all, or as many, of the of the previous names and flags of the vessel as known.
- (3) **Owner's Name and Address:** Enter the names and mailing addresses of all owners of the vessel. If there is more than one owner, enter the first name and address on the VIF and the other names and addresses on attached pages. "Owner" includes any person, company, or government agency that owns the vessels; any charterer, whether bareboat, time or voyage; and any person or company that acts in the capacity of a charterer, including but not limited to parties to a management agreement, operations agreement, or any similar agreement that bestows control over the destination, function, or operation of the vessel.
- (4) **Names and Addresses of Beneficial Owners (If Known):** Enter the names and mailing addresses of all beneficial owners of the vessel. If there is more than one beneficial owner, enter the first name and address on the VIF and the other names and addresses on attached pages.
- (5) **Name and Address of License Owner (If Different From Vessel Owner):** Enter the names and mailing addresses of all owners of the vessel's license. If there is more than one owner of the license, enter the first name and address on the VIF and the other names and address on attached pages.
- (6) **U.S. Coast Guard Documentation Number:** Enter the Coast Guard Documentation number of the vessel.
- (7) **Port of Hail:** Enter the port of registration of the vessel.
- (8) **External Markings:** Attach three color photographs of the vessel (a) one photograph not smaller than 12x7 cm showing the starboard side of the vessel displaying its full overall length and complete structural features; (b) one photograph not smaller than 12x7 cm showing the port side of the vessel displaying its full overall length and complete structural features; and (c) one photograph not smaller than 12x7 cm showing the stern taken directly from astern.
- (9) **Call Sign:** Enter the vessel identifying markings permanently affixed to the vessel, normally the international radio call sign.
- (10) **Vessel's International Maritime Organization (IMO) and Lloyd's Registry Number (If Issued):** Provide the IMO and Lloyd's registry number if one has been issued for the vessel.
- (11) **Vessel Type:** Enter one of the following vessel types:

Stern Trawler (small/0-289 GRT, medium/290-1399 GRT large/1,400 plus GRT)	Longliner/Pot
Side Trawler	Longliner/Gillnet
Side Trawler/Purse Seiner	Gillnet
Pair Trawler	Troller
Danish Seiner	Factory/Mothership
Purse Seiner	Cargo/Transport
Longliner	Tanker
	Patrol/Cargo

If other than one of the above, specify.

- (12) **Where Built:** Indicate the city and shipbuilder where the vessel was originally built
- (13) **When Built:** Indicate the year the vessel was built.
- (14) **Hull Number: Enter the vessel's hull number.**
- (15) **Length Overall:** Enter the vessel's overall length to the nearest meter.

- (16) **Gross Tons:** Enter the registered gross tonnage, GRT, rounded to the nearest metric ton.
- (17) **Maximum Shaft Power or Horse Power:** Enter the vessel's maximum shaft power (kw at...rev/min) or horse power.
- (18) **Processing Equipment:** List the types of fish processing equipment aboard the vessel such as flash freezer, header, eviscerator, filleter, fish meal plant, fish oil plant, surimi plant, cannery, etc.
- (19) **(Fill out only for a harvesting permit) Hold Capacity:** Enter in cubic meters the hold capacity for each hold or other space (including flash freezers) in which fish or fish products may be stored. Use **bale** capacity only. If there are more than four (4) holds, give the total hold capacity in cubic meters. Otherwise, list the holds individually. Record this information **accurately;** enforcement officials and CCAMLR inspectors to determine the quantity of fish or fish products aboard the vessel use the data.
- (20) **Other Carrying Capacity:** Provide the carrying capacity in tons of the vessels' storage capacity exclusive of holds.
- (21) **Details of the Tamper-Proof Elements of the Vessel's Satellite-Linked Vessel Monitoring Device:**  
Describe the type and configuration of the vessel monitoring device installed on the vessel. Note: The device must be located within a sealed unit and be protected by official seals (or mechanisms) of a type that indicate whether the unit has been accessed or tampered with. The unit must be capable of preventing the input or output of false positions and not capable of being over-ridden manually, electronically or otherwise.
- (22) **(Fill out only for a harvesting permit)** Indicate if the vessel has fished in Convention waters in prior years by listing the last permit application number. If the vessel has never applied for a permit, indicate so with a check mark next to the blank marked "none."
- (23) If the permitted vessels will be supporting or working with foreign vessels that are not permitted by the United States, the name of the foreign vessel, the flag of the vessel, the international radio call sign, type of vessel, permit number, and IMO and Lloyd's Registry numbers (if issued).
- (24) In the event the modifications or amendments to this application become necessary, please submit a new application.

**INSTRUCTIONS FOR SUPPLYING ADDITIONAL INFORMATION FOR VESSELS PARTICIPATING IN A NEW OR EXPLORATORY FISHERY**

- (1) **Name and Address of Operator (If Different from Vessel Owner):** Enter the name and mailing address of the operator of the vessel.
- (2) **Name and Nationality of the Master:** Enter the name and nationality of the master (captain) of the vessel.
- (3) **Name and Nationality of the Fishing Master:** Enter the name and nationality of the fishing master of the vessel, if the vessel is operating with a fishing master in addition to the master of the vessel.
- (4) **Vessel Communication Types and Numbers:** Indicate the numbers of INMARSAT A, B or C.
- (5) **Normal crew complement:** Indicate the normal number of crew on the vessel.
- (6) **Ice classification:** Only vessels with a minimum classification standard of ICE-IC will be licensed for fishing in high latitude areas (Subareas 88.1 and 88.2).

Please sign and date as the Vessel Owner/Applicant at the bottom of the application also providing the TIN (Tax Identification Number) and Date of Incorporation. If the entity applying is not a corporation then Date of Birth can be substituted for Date of Incorporation.

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## Harvesting Information Form (HIF)

- (1) **Target species:** Identify all target species. Categories include: finfish, krill, squid, crab, and other species. **If krill is identified, to the extent possible, identify the products to be derived from the anticipated catch of krill.** If finfish is identified, please specify from the list below which finfish will be harvested.

Antarctic Finfish include:

Humped rockrod (*Gobionotothen gibberifrons*)  
Marbled rockrod (*Notothenia rossii*)  
Grey rockrod (*Lepidorhirus squamifrons*)  
Striped-eyed rockcod (*Lepidonothon kempfi*)  
Patagonian toothfish (*Dissostichus eleginoides*)  
Antarctic toothfish (*D. mawsoni*)  
Lanternfish (*Electrona carlsbergi*)  
Patagonian rockrod (*Patagonothen brevicauda guntheri*)  
Antarctic silverfish (*Pleuragramma antarcticum*) Antarctic  
cods (*Trematomus* spp.)  
Blackfin icefish (*Chaenocephalus aceratus*)  
Spiny icefish (*Chaenodraco wilsoni*)  
Mackerel icefish (*Champscephalus gunnari*)  
Ocellated icefish (*Chionodraco rastrospinosus*)  
South Georgia icefish (*Pseudochaenichthys georgianus*)

- (2) **Total Tonnage Requested.** For each species, enter the requested amounts in metric tons. If more tonnage is needed after submission of the first application, another application is required to increase the earlier request. If an additional application is not submitted to increase the tonnage from the initial application, you may only harvest the amount initially requested.
- (3) **CCAMLR Statistical Areas:** See enclosed map for designation of CCAMLR areas. Enter the CCAMLR statistical area(s) where each species will be harvested.
- (4) **Gear to be used:** Identify the gear which will be used in harvesting each requested species.
- (5) **Type and amount of bycatch:** Identify the type and amount of bycatch anticipated to be taken in each Statistical Area while harvesting for each requested species and the planned disposition of the bycatch (i.e., whether it is to be retained or discarded).

Completed applications should be sent to the following address:

Assistant Administrator for Fisheries  
National Marine Fisheries Service  
1315 East-West Highway  
Silver Spring, Maryland 20910  
Attention: Office of International Affairs

NMFS manages Antarctic fisheries and the importation of resulting products under the Antarctic Marine Living Resources Convention Act, codified at 16 U.S.C. 2431 et seq. NMFS implements CCAMLR conservation measures by regulations at 50 CFR part 300, subparts A and G. Changes to the existing regulations are necessary to incorporate new conservation measures and facilitate enforcement. Public reporting burden for this collection of information is estimated to average 30 minutes per response in the case of a harvesting permit and 12 minutes in the case of a transshipment permit, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send Comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to National Marine Fisheries Service, 1315 East West Highway, Attention: Office of International Affairs, Silver Spring, Maryland, 20910. Responses to the collection are mandatory. Confidentiality of information collected will be subject to NOAA Administrative Order 216-100, "Confidentiality of Fishery Statistics." Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.