

Instructions for the Federal Permit Application for Vessels Fishing in the Exclusive Economic Zone (EEZ)

Rev 04/04/2017

In addition to the instructions provided herein, applicants with specific questions are encouraged to contact the Permits Office at (727) 824-5326 or toll free at (877) 376-4877 between 8:00 a.m. and 4:30 p.m. ET, or visit the SERO Permits website at sero.nmfs.noaa.gov/permits.

Please consult the U.S. Code of Federal Regulations whose guidance for application requirements, permit eligibilities, and related information will always prevail. NMFS will return incomplete or illegible applications.

General Instructions:

What Sections do I complete? Complete all applicable sections of this application form. All application fields should be typed or printed in ink. Specifically, All applicants must fill out Section 1, and Section 2 and/or Section 3. If the vessel's USCG documentation or state registration indicates the vessel is owned by one or more individuals, fill out Section 4. If the vessel's USCG documentation or state registration indicates the vessel is owned by one or more businesses, fill out Section 5. If the vessel is leased and the permit(s) will be issued to the lessee(s), complete Section 6. If the vessel is owned by a business which is owned by another business, in addition to completing Section 5, complete Section 7. In Section 7, provide information about all businesses that are parent companies of businesses that own the vessel. If the vessel is leased by a business which is owned by another business, in addition to completing Section 6, complete Section 7. In Section 7, provide information about all businesses that are parent companies of businesses that lease the vessel. If the vessel is owned or leased by a business, in addition to completing Section 5 and/or 6, complete Section 8. In Section 8, provide information about all individuals that are owners or officers of businesses listed in Section 5, Section 6, and/or Section 7. Complete Section 9 if the any owners of the businesses listed in Section 5, Section 6, or Section 7 hold an ownership percentage less than 1%. This is not common. Applicants of Historical Captain Endorsements for Gulf of Mexico Charter/Headboat permits, or designated operator (income qualifier) for Commercial Spiny Lobster Permits, complete section 10 Applicants requesting a Sea Bass Pot endorsement or Golden Crab permit fill out Section 11. All applicants must fill out Section 12, and Section 13. See pages 3-6 for information about specific sections of this application.

What is the fee?

The application fee is \$25 for one fishery and \$10 for each additional fishery, as described in the table below. This application fee is collected to cover the administrative cost of processing the application, and is non-refundable.

For Gulf of Mexico Charter/Headboat permits only, there is an additional, non-refundable \$10 fee for each decal. The fee to replace one or more permits issued to a vessel is \$18. NMFS will not refund money for denied permits. A check or money order payable to the U.S. TREASURY must accompany each application.

Permits

4 = \$55 5 = \$65 6 = \$75 7 = \$85 8 = \$95 9 = \$105 10 = \$115 11 = \$125

Gulf of Mexico Charter/headboat Decals

 $1 = $10 \quad 2 = 20

Where do I send the application?

Mail the complete application, payment, and all required supporting documentation to: National Marine Fisheries

Service (F/SER14), 263 13th Avenue South, St. Petersburg, FL 33701. To receive permits via overnight carrier, enclose a completed, pre-paid air bill and envelope. Please note using the prepaid overnight delivery option does not expedite permit processing; it only expedites delivery of your completed permit package.

What about reporting compliance?

NMFS will not renew or transfer a permit until all reporting requirements for the permit being renewed or transferred have been met (e.g., logbooks, the MRIP For-Hire telephone survey, etc.). To avoid delays, applicants are encouraged to comply with all reporting requirements before submitting a permit application.

- Send Coastal and Pelagic logbook report(s) to National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9915. Please direct questions concerning reporting Coastal and Pelagic reporting requirements to the Southeast Research Management Division at (305) 361-4581. You can also check the status for these logbooks online at https://grunt.sefsc.noaa.gov/vrsr/VesselReportingStatus.jsp.
- Send Gulf of Mexico Shrimp Landing Reports and Gulf Shrimp Vessel & Gear Characterization forms to Rebecca Smith, NMFS Galveston Laboratory, 4700 Avenue U, Galveston, TX 7755; also, Gulf Shrimp applicants need to ensure compliance with the cELB program. See www.galvestonlab.sefsc.noaa.gov/ELB/FAQ/elbresponsibilities.html for details. For information about all Gulf of Mexico Shrimp data collection programs contact Rebecca Smith at the NMFS Galveston Laboratory (409)-766-3783.
- Vessels selected for the For Hire headboat survey should contact Mr. Ken Brennan of the NMFS Southeast Fisheries Science Center at (252) 728-8618 for information about required reports.

	Science Center at (252) 728-8618 for information about required reports.
W	hat supporting documentation do I need?
	Documentation or state registration : Include a copy of the vessel's valid USCG Documentation or, if not required to be USCG Documented include a copy of the vessel's valid State Registration, with the application.
√	Payment: Include a check or money order made out to the US Treasury. See "What is the Fee" on page 1 of these instructions for more information. Include a separate check or money order if requesting Floy tags for Golden Crab or Sea Bass pots.
	Lease Agreement: Include a copy of the current lease agreement if the vessel is leased, rented, or leased-to-own. The lease must identify the vessel, the individual(s) or business(es) leasing the vessel, and the vessel owners as listed on the vessel's USCG Certificate of Documentation or, if not documented, the State Registration. The lease agreement must include a lease start date, and lease expiration date. The lease must run for a minimum of 7 months from the date your application is received. The lease may extend for many years if the lessee and lessor anticipate a long-term arrangement. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement.
	Original Permits: When transferring limited entry permits from one vessel to another, or from one owner or lessee to another owner or lessee, include the original permits being transferred.
	• Except when transferring a permit to the same vessel owner(s) and lessee(s) (as applicable), the permit holder listed on the face of the permit must sign the back of the permit as the seller. If the permit holder is a business or organization, an officer or owner of the permit holder must sign the back of the permit as the seller. The seller's signature must be notarized.
	When transferring a Gulf of Mexico Charter/headboat permit, even to the same vessel owner(s) /lesee(s), the

- When transferring a Gulf of Mexico Charter/headboat permit, even to the same vessel owner(s) /lesee(s), the
 transferor must sign the back to affirm removal of the charter/headboat decal.
- If the transfer is not for a Gulf of Mexico Charter/ headboat permit, and the vessel owner(s)/lessee(s) will remain the same, the permit does not need to be signed.

Highly Migratory Species (HMS) Workshop Certificate:

- To <u>renew</u> a Shark or Swordfish Directed or Incidental permit, include a copy of a valid Protected Species Safe Handling, Release, and Identification Workshop Owner's Certificate issued to the vessel owner.
- To <u>transfer</u> Shark or Swordfish Directed or Incidental permits, include a copy of either a valid Owner *or* Operator's Protected Species Safe Handling, Release, and Identification Workshop Certificate issued to the vessel owner.
- Florida Saltwater Products License: To obtain a lobster <u>tailing</u> permit, if the vessel will <u>not</u> obtain or already possess a valid commercial spiny lobster permit, include a copy of a Florida Saltwater Products License with crawfish endorsement issued to the vessel or to the applicant.
 - **Miscellaneous or uncommon documents**: To transfer a Snapper Grouper Unlimited permit to an immediate family member, documentation proving the familial relationship will be required. To transfer a permit pursuant to will/probate of a deceased permit holder, copies of the will and court order will be required. For these sorts of unusual transfer transactions, we recommend you contact the Permits Office toll free at (877) 376-4877 to discuss the details of your particular situations.

A few words about transfers and renewals...

- Any change to the identity of the entities that own or lease the vessel, or to the vessel to which permits are issued, means the permit cannot be *renewed*. If the permit is a limited entry permit, it may be *transferred* to the new vessel or vessel owner(s) and lessee(s). If the permit is open access, a *new* permit may be obtained.
- Various restrictions apply to the renewal or transfer of limited entry permits and endorsements. Consult the applicable US Code of Federal Regulations, available online at sero.nmfs.noaa.gov/sustainable_fisheries/policy_branch/
- A vessel owner with moratorium Gulf of Mexico Coastal Migratory Pelagic Charter/Headboat and/or a Reef Fish Charter/Headboat permit(s) that transfers the permit(s) to another vessel owner or to another vessel, must remove the Federal Charter/Headboat decal from the vessel.
- With the exception of Sea Bass Pot endorsements and Golden Tilefish endorsements, NMFS cannot transfer expired permits/endorsements to a new permit holder. For all other limited entry permits, an applicant may transfer a permit only when the seller(s) signature is notarized BEFORE the expiration date, and the applicant submits an application to transfer the permit before the permit terminates, which is the date one year following the expiration date of the permit (or 6 months following the expiration date of a Golden Crab permit) and as printed on the face of the permit. An applicant may transfer a Sea Bass Pot endorsement or Golden Tilefish endorsements only when the seller(s) signature is notarized and the application is submitted BEFORE the termination date of the endorsements.



APPLICATION SECTION 1 – VESSEL INFORMATION.

Complete all portions of Section 1.

- Enter the Official Number and the length of the vessel as they appear on the U.S. Coast Guard Certificate of Documentation, or the State Registration certificate for a vessel without USCG documentation.
- Provide the Hull Identification Number (HIN) if available. The HIN is a unique number assigned by the boat builder. Most HINs are shown on the state registration or USCG documentation.
- For Highly Migratory Species (HMS) applicants only:
 - An International Maritime Organization (IMO) number is required for all vessels longer than 20 meters (65 feet 8 inches) applying for HMS commercial shark, swordfish or Atlantic Tuna longline permits.
 - If applying for a HMS commercial swordfish, shark, or Atlantic Tuna Longline permit without a vessel, write "NO VESSEL" in the field for USCG Official Number.
 - Shark and Swordfish directed and incidental permit applicants must indicate whether the vessel fishes with or carries on board longline and gillnet gear.
- For For-Hire vessel applicants only:
 - Indicate if the vessel is an uninspected vessel authorized to use a "6-pack" license, or a USCG inspected vessel, and if so, the passenger capacity of the vessel.



APPLICATION SECTIONS 2 AND 3 - Permits Requested.

Indicate the fishery and transaction type of the permits requested. For renewal and transfer requests of limited entry permits, indicate the permit number in the space provided.

Commercial Spiny Lobster Income Qualification: NMFS requires an Income Qualification Affidavit with each application for a Commercial Spiny Lobster permit, as proof of meeting permit income qualification requirement of the Commercial Spiny Lobster fishery. Additional income qualification documentation may be required upon request.



SPINY LOBSTER INCOME REQUIREMENTS						
Percentage of earned income At least 10%						
Source of earned income	Sale of catch					
Time frame for qualification	Year prior to application					

Additionally, Lobster *Tailing* applicants must either obtain a Commercial Spiny Lobster permit or provide a copy of a valid Florida Saltwater Product License with a crawfish endorsement, issued to the vessel or the applicant.

APPLICATION SECTION 4 -- Individuals that own the vessel.



If the U.S. Coast Guard Certificate of Documentation or State Registration indicates the vessel is owned by one or more individuals, complete Section 4 for all owners listed. Complete Section 4a for an individual owner. Also fill out Section 4b if the vessel is jointly owned by another individual. Photocopy Section 4 as necessary to provide information for all individuals that own the vessel.

- For each owner, provide the owner's full name, tax ID number (SSN), date of birth, phone number, physical and mailing address, sex, and race/ethnicity information.
- Indicate if the owner is a United States citizen or permanent resident alien. Note, this information will not affect eligibility to obtain a permit.
- Provide an email address or SMS mobile phone number to receive digital updates about your permit and application status (when available). If there is more than one vessel owner, and the vessel is not leased, select a single Primary Mailing Recipient to whom all correspondence about this application and requested permits will be sent.



APPLICATION SECTION 5 -- Businesses that own the vessel.

If the U.S. Coast Guard Certificate of Documentation or the State Registration is a business, provide information for all businesses listed. Complete section 5a for a single business owner. Also fill out Section 5b if the vessel is **jointly owned** by another business.

- NMFS will not issue permits to a business with an INACTIVE status with the applicable Secretary of State.
- Provide the business's full name, tax ID number (FEIN), date the business was formed, phone number, physical and mailing address, and business type.
- Indicate if the business was established by the laws of the United States or any state of the United States. Note, this information will not affect eligibility to obtain a permit.
- Provide an email address or SMS mobile phone number to receive digital updates about your permit and application status (when available).
- If there is more than one vessel owner, and the vessel is not leased, select a single Primary Mailing Recipient to whom all correspondence about this application and requested permits will be sent.
- For a brief definition of applicable business types, see our frequently asked questions at http://sero.nmfs.noaa.gov/operations_management_information_services/constituency_services_branch/permits/permit_faq/index.html



APPLICATION SECTION 6 - LEASE Information

If the vessel is leased by one or more individuals, fill out section **6A.** Copy this section as necessary to provide information about all individuals that lease the vessel.

- For each individual lessee, include the lessee's full name, tax ID number (SSN), date of birth, phone number, physical address, mailing address, sex, and race/ethnicity information.
- Indicate if the lessee is a United States citizen or permanent resident alien. Note, this information will not affect eligibility to obtain a permit.
- Provide an email address or SMS mobile phone number to receive digital updates about your permit and application status (when available).
- If there is more than one vessel lessee, select a single Primary Mailing Recipient to whom all correspondence about this application and requested permits will be sent.

If the vessel is leased by one or more businesses, fill out section **6B**. Copy this page as necessary to provide information about all businesses that lease the vessel

- For each business that leases the vessel, provide the business's full name, tax ID number (FEIN), date the business was formed, phone number, physical address, mailing address, and business type.
- Indicate if the business was established by the laws of the United States or any state of the United States. Note, this information will not affect eligibility to obtain a permit.
- Provide an email address or SMS mobile phone number to receive digital updates about your permit and application status (when available).
- If there is more than one vessel lessee, select a single Primary Mailing Recipient to whom all correspondence about this application and requested permits will be sent.
- For a brief definition of applicable business types, see our frequently asked questions at http://sero.nmfs.noaa.gov/operations_management_information_services/constituency_services_branch/permits/
 permit faq/index.html

A SPECIAL NOTE ABOUT LEASES: There is no provision in the federal regulations to lease *permits*. Permit holders may lease a *vessel* and obtain permits on the vessel as the lessee. Note that vessel *owners* and *lessees* cannot independently hold permits for the same vessel at the same time.

4

Company A Company B

APPLICATION SECTION 7 -Businesses that Own Businesses

Complete this section for any business that owns more than 1% of any business within the ownership hierarchy of vessel owners or lessees listed in section 5a, 5b, or 6b. For every business, the sum of ownership, by either individuals or other businesses, must total 100%. Photocopy this section as necessary to provide information about all businesses within the ownership hierarchy of the vessel.



- For each business, provide the business's full name, tax ID number (FEIN), date the business was formed, phone number, physical and mailing address, and business type.
- Indicate if the business was established by the laws of the United States or any state of the United States. Note, this information will not affect eligibility to obtain a permit.
- Provide an email address or SMS mobile phone number to receive digital updates about your permit and application status (when available).
- For a brief definition of applicable business types, see our frequently asked questions at http://sero.nmfs.noaa.gov/operations_management_information_services/constituency_services_branch/permits/ permit fag/index.html

Example: If a vessel's USCG documentation indicates that the vessel is owned by Company A, and Company A is owned by Company B. Provide information about Company A in section 5 and Company B in section 7.



APPLICATION SECTION 8 - Businesses Officers and Individual Owners

Complete this section for any individual that owns more than 1% of any business within the ownership hierarchy of vessel owners or lessees, as listed in section 5a, 5b, 6b, or 7. For every business, the sum of ownership, by either individuals or other businesses, must total 100%. Photocopy this section as necessary to provide information about all businesses within the ownership hierarchy of the vessel

- For each individual owner or officer, include the entity's full name, tax ID number (SSN), date of birth, phone number, physical address, mailing address, sex, and race/ethnicity information.
- Indicate if the individual is a United States citizen or permanent resident alien. Note, this information will not affect eligibility to obtain a permit.
- Provide an email address or SMS mobile phone number to receive digital updates about your permit and application status (when available).

Example: If a vessel's USCG documentation indicates that the vessel is owned by Company A, provide information about all owners and officers of Company A. If Company A is also owned in whole or part by Company B, complete section 8 to provide information about all individual owners and officers of Company A and Company B.

Photocopy this section as necessary to provide information about all businesses and their respective owners and officers that comprise the ownership hierarchy of the vessel.

APPLICATION SECTION 9 - Minor shareholders

This section is left blank for most applicants. Complete Section 9 if a business listed in Section 5a, 5b, 6b, or 7 has owners that individually own less than 1% of the business. Provide the total percentage of ownership which is individually held by owners who own less than 1%.



APPLICATION SECTION 10 -- Historical Captain or Designated Operator (Income Qualifer)

This section does not apply for most applications. Only complete this section for

- Gulf of Mexico Charter/Headboat permits with a Historical Captain endorsement, or
- Commercial Spiny Lobster permit for which the income qualification requirement has been met the fishing income of a Designated Operator. A Designated Operator is a vessel operator who is neither a vessel owner nor lessee listed in Section 4a, 4b, 6a, nor an officer of a business that owns or leases the vessel as listed in 7a.
- For each historical captain or designated operator, include the individual's full name, tax ID number (SSN), date of birth, phone number, physical and mailing address, and gender/ethnicity information.
- Indicate if the individual is a United States citizen or permanent resident alien. Note, this information will not affect eligibility to obtain a permit.
- Provide an email address or SMS mobile phone number to receive digital updates about your permit and application status (as available).
- Note that Designated Operators must ALSO sign the application in Section 11.



APPLICATION SECTION 11 - Sea Bass pots or Golden Crab pots

Complete this section only if you fish with pots in the snapper-grouper fishery or traps in the golden crab fishery off the southern Atlantic states.

- The Sea Bass pot fishery requires tags be ordered through NMFS. Trap Tags for the golden crab fishery do not need to be ordered through NMFS.
- To order tags from Floy Tag Inc through NMFS, complete this section and include a <u>separate</u> check or money order for pot or trap tags (\$1.80 per tag) payable to FLOY TAG INC. Floy Tag Inc. will ship all Floy Tag orders to you directly; NMFS will not send tags with the permit package.

APPLICATION SECTION 12 - Small Business or Organization Certification

This section is required for ALL applicants. Review the business/organization descriptions in the boxes directly under the word "START", and identify the one that describes the applicant's primary activity. Then answer the questions in the box to the right of the business activity you selected.

If you have questions about these standards or the definition of affiliation, please call Southeast Regional Economist Mike Travis at 727-209-5982.

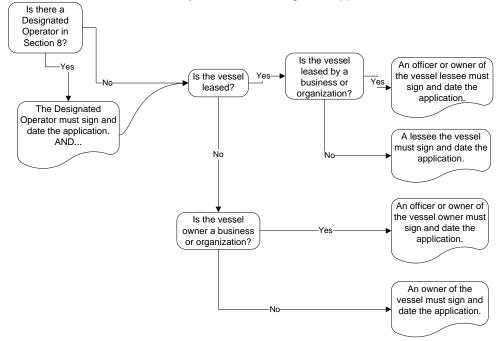


<u>APPLICATION SECTION 13 – SIGNATURE FOR APPLICATION</u>

If the vessel is leased, a lessee or a lessee's representative must sign the application as the applicant. If the vessel is not leased, a vessel owner or an owner's representative must sign the application as the applicant.

If the vessel has a Designated Operator/Income Qualifier for Commercial Spiny Lobster permits, the Designated Operator must *also* sign and date the application.

The following flowchart describes how to identify who needs to sign the application.



KNOWINGLY SUPPLYING FALSE INFORMATION OR WILLFULLY OVERVALUING ANY FISHING INCOME TO OBTAIN A PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.

Public reporting burden for this collection of information is estimated to average 50 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER26, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Non-confidential information may be released via a NOAA Fisheries website. Non-confidential information means: Name, Street Address, City, State, Zip Code, Effective Date of Permit, Pyess, Vessel Name, Vessel Identification Number, Vessel length, Vessel tonnage (gross and net), Vessel horse power, in the case of a "for hire" vessel the Passenger Capacity, or individual, corporate and lease holders of permits. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information displays a currently valid OMB Control Number.

U.S. Department of Commerce, NOAA

NMFS PERMITS OFFICE, F/SER14
263 13th Avenue South
St. Petersburg, FL 33701
Toll Free 877-376-4877 (8:00 a.m. - 4:30 p.m. ET)
727-824-5326 (8:00 a.m. - 4:30 p.m. ET)
sero.nmfs.noaa.gov/permits



FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EXCLUSIVE ECONOMIC ZONE (EEZ)

FOR OFFICE U	ISE ONLY
Reviewer Initials and date	
Application Check Or Money Order number and Amount	
Floy Tag Check or Money	
Order Number and Amount	
Non Compliance Hold date	
Non Compliance Cleared date	
Expiration Date(s)	
SCAN DATE AND INITIALS	

FOR OFFICE USE ONLY

Application ID

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REMEMBER TO SEND A COPY of the current (not expired) U of the State Vessel Registration. Do not send the original. If of the vessel's title, or other documentation from the appro	the vessel's state registrate	tion does not list all owner	s. also provide a copy
			1
SECTION 1 -	- VESSEL INFORMATIO	DN	
Official Number From USCG Certificate Of Documentation (If the vessel is documented)	Year Built	Length (ft)	Total Horsepower
State Registration Number (as applicable)			
		e—Including the Captain, including passengers.	
Vessel Name	HOLD or FISH BOX CAP of product can you brin	ACITY: How many pounds g to the dock when full?	
Hull Identification Number (HIN) Hailing Port City	Hull Material FIBERGLASS WOOD	Fuel Data DIESEL GASOLINE	Product Storage (check all that apply) ON ICE IN HOLD, FISH
Hailing Port County Or Parish Hailing Port State USCG DOCUMENTED VESSELS ONLY Gross Tons Net Tons International Maritime Organization (IMO) Number	STEEL ALUMINUM OTHER (DESCRIBE)	OTHER (DESCRIBE) Fuel Capacity - Total Gallons	BOX, ICE CHEST, COOLER, ETC FREEZER LIVE WELL
As applicable (see instructions) Passenger Capacity Data For Charter Vessels/Headboats Only UNINSPECTED VESSEL - "6-PACK" USCG INSPECTED VESSEL: Specify Passenger Capacity as listed on the USCG Certificate of Inspection, not including Capt. and Crew.	This vessel is used MOSTLY for (select only one) Commercial Fishing Charter Headboat	For Shark and Swordfisl Incidental Permit Applic vessel fish with, or carn longline or gillnet gear? Yes Reminder: If yes, includ "Protected Species Rele Disentanglement, and I Workshop Certificate".	nonts Only: Does your onboard, either No e a copy of your ease,

SECTION 2 - OPEN ACCESS PERMITS AND ENDORSEMENTS

Payment Reminder: All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the U.S. Treasury. The fee is \$25.00 for the first permit and \$10.00 for each additional permit or endorsement requested on this application. A separate decal is now required for each Gulf of Mexico charter/headboat permit. The fee is \$10 per decal per permit. The fee schedule is found with the Gulf of Mexico Charter/headboat permit requests on page 3.

NEW

RENEW

CCC	SCHEDULE		EDIVITE	VND	NIDOE	CENTENITC
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OPEN ACCESS COMMERCIAL PERMITS

ATLANTIC DOLPHIN/WAHOO (ADW)

Permit: 1: \$25 2: \$35 3: \$45 4: \$55 5: \$65 6: \$75 7: \$85 8: \$95 9: \$105 10: \$115 11: \$125 12: \$135

INSTRUCTIONS: Find the permits in the left column and mark the check box beside that fishery to indicate what transaction(s) you want.

SPINY LOBSTER (LC) (Not required for the EEZ off Florida)		
SPINY LOBSTER TAILING (LT) You must have an LC permit OR provide your FL SPL information below.		
SPANISH MACKEREL (SM)		
ROCK SHRIMP - CAROLINAS ZONE (RSCZ)		
SOUTH ATLANTIC PENAEID SHRIMP (SPA)		
GULF ROYAL RED SHRIMP ENDORSEMENT (GRRS) You must have a valid Gulf of Mexico Shrimp permit		
HMS COMMERCIAL CARIBBEAN SMALL BOAT PERMIT (CCSB) Valid only in U.S. Caribbean (Puerto Rico a	and USVI)	
SMOOTH HOUND SHARK (SHS)		
OPEN ACCESS CHARTER/HEADBOAT PERMITS	NEW	RENEW
ATLANTIC CHARTER/HEADBOAT FOR DOLPHIN/WAHOO (CDW)		
ATLANTIC CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGICS (CHS)		
SOUTH ATLANTIC CHARTER/HEADBOAT FOR SNAPPER-GROUPER (SC)		
supplying false information or willfully overvaluing any fishing income for the purpose of obtaining a punishable by a fine and/or imprisonment. The affidavit below fulfills this requirement to obtain a ST The following information applies to my income qualification for the Spiny Lobster fishery: I,, hereby declare under penalty of perju	ury that the foregoing	information is
true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001; 16 USC 1857). I agree to provide the prove that I met the earned income requirement when so requested by the National Marine F	•	ntation to
Executed on (date signed). Printed Name Signatur		
Business Name (if Applicable)Type of business (if Applicable)		
Position In Business (if Applicable)	-,	
FOR LOBSTER TAILING PERMIT APPLICANTS ONLY		
LOBSTER TAILING APPLICANTS: To obtain a lobster tailing permit you must possess a Florida Saltwa Restricted Species and Crawfish endorsements. If you do not have a Florida SPL with Restricted Spemust possess or simultaneously obtain a valid Federal Spiny Lobster (LC) permit.	iter Products License (SP ecies and Crawfish Endor	L) with rsements, you
You must provide a copy of your Florida SPL if you do not have a Federal Spin	ny Lobster (LC) permit	
Saltwater Products License Number Crawfish Endorsement Number		
Saltwater Products License Expiration Date		

SECTION 3 - LIMITED ACCESS/MORATORIUM PERMITS AND ENDORSEMENTS

Payment Reminder: All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the *U.S. Treasury*. Please refer to the fee schedule in section 2 of the application.,

INSTRUCTIONS: Find the permits in the left column and mark the check box beside that fishery to indicate what transaction(s) you want.

LIMITED ACCESS COMMERCIAL PERMITS	PERMIT NUMBER	TRANSFER	RENEW	
KING MACKEREL (KM)				
GILLNET FOR KING MACKEREL (GN)				
GULF OF MEXICO SHRIMP (SPGM)				
GULF OF MEXICO COMMERCIAL REEF FISH (RR)				
EASTERN GULF OF MEXICO REEF FISH BOTTOM LONG LINE ENDORSEMENT (RRLE)				
ROCK SHRIMP (SOUTH ATLANTIC EEZ) (RSLA)				
SOUTH ATLANTIC GOLDEN CRAB (GC)				
SOUTH ATLANTIC UNLIMITED SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG1)				
SOUTH ATLANTIC 225 LB TRIP LIMIT SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG2)				
SOUTH ATLANTIC SEA BASS POT ENDORSEMENT (SBPE)				
SOUTH ATLANTIC GOLDEN TILEFISH ENDORSEMENT (GTFE)				
SWORDFISH DIRECTED (SFD)				
SWORDFISH HANDGEAR (SFH)				
SWORDFISH INCIDENTAL (SFI)				
SHARK DIRECTED (SKD)				
SHARK INCIDENTAL (SKI)				
ATLANTIC TUNA LONGLINE (ATL) Must have either SFI or SKI and either SFD or SKD				

Fee Schedule for Charter Decals: 1 Decal - \$10 2 Decals - \$20.

LIMITED ACCESS CHARTER/HEADBOAT PERMITS

Note: Decal fees are in addition to normal permit fees. See fee Schedule at the top of section 2.

GULF CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (CHG)			
GULF CHARTER/HEADBOAT FOR REEF FISH (RCG)			
HISTORICAL CAPTAIN GULF CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (HCHG)			
HISTORICAL CAPTAIN GULF CHARTER/HEADBOAT FOR REEF FISH (HRCG)			

TRANSFER RENEW

NUMBER

SECTION 4 -	INDIVIDU	IAL VESSEL OWNE	R(S) IN	FORMATI	ON		
Section 4a: Primary or Sole Owner: Complete this so Registration or title as the registered owner of the v	ection if the essel. <i>Selec</i>	ere is one or more indi t only ONE mailing re	vidual sh cipient.	own on the	USCG documenta	ation, State	
MAILING RECIPIENT - All mail about this will go to the individual listed in Section		Is this individual a United States Citizen or permanent resident alien?					
What this individual's Male Female		Is this Individual of Hi	spanic, La	ntino, or Spar	nish origin?	Yes No	
What is this individual's race? White Black or African American	Native	can Indian or Alaska H American	\mathbf{H}	itive Hawaiiar her	n or Other Pacific Isl	ander	
Last Name	First N	lame	Middle Name Suffix - Jr, Sr, etc.				
If you are operating under a different name, what is your Doing Business As (DBA) name?							
Tax ID Number (SSN)	Date of B	irth (MM/DD/YYYY)	Area C	ode Pho	ne Number		
Mailing Address	Apt #	City	State	County/Pa	arish Zip Code	Country	
Check box if the Physical Address is the s		mailing address					
Physical Address (PO Box not acceptable)	ame as tne Apt #	City	State	County/Pa	arish Zip Code	Country	
OPTIONAL: Check here if you would you like to	receive digi	tal updates (texts & ema	ils). Provid	de your digita	I contact information	on below.	
Email		Cell Phone num	per and	provider:			
Section 4b: Joint Owner. Complete this section if the as the registered joint owner of the vessel. Copy this	ere is more	than one individual s	nown on	the USCG d	ocumentation, S	tate Registration or title	
MAILING RECIPIENT - All mail about this will go to the individual listed in Section	permit	Is this i	ndividua		tates Citizen	YES NO	
What this individual's Male Female		Is this Individual of His	panic, Lat	ino, or Spani	sh origin?	Yes No	
What is this White	America Native	an Indian or Alaska	Nat	ive Hawaiian	or Other Pacific Isla	nder	
individual's Black or African American		merican	Oth	er			
Last Name	First N	Name	Mi	ddle Name	Suffix - Jr, Sr	, etc.	
If you are operating under a different name, what is your Doing Business As (DBA) name	•						
Tax ID Number (SSN)	Date of I	Birth (MM/DD/YYYY)	Area (Code Pho	one Number		
Mailing Address	Apt #	City	State	County/F	Parish Zip Code	Country	
Check box if the Physical Address is the	same as the	•					
Physical Address (PO Box not acceptable)	Apt #	City	State	County/F	Parish Zip Code	Country	
OPTIONAL Charle bare if you would us I'll a	o roccina di	sital undates (to the Original	oile) Directi	ido vora di ar	al contact information	on below	
OPTIONAL: Check here if you would you like t	o receive dig	Cell Phone num			ai contact informati	on below.	
Email		_ Cell Filone null	וטכו מווע	provider.			

SECTION 5 – BUSINESS VESSEL OWNER(S) INFORMATION Section 5a: Primary or Sole Owner: Complete this section if there is one or more businesses shown on the USCG Documentation, State Registration or Title as the registered owner of the vessel. Select only ONE mailing recipient. S Corporation Cooperative Other Was this Business properly established by YES Type of business: the laws of the United States or any state of the United States? C Corporation Limited Liability Co. **Partnership** NO MAILING RECIPIENT - All mail about this permit will go to the business listed in Section 5a **Registered Name of Business** Tax ID Number (FEIN) Date Business Formed (MM/DD/YYYY) **Area Code Phone Number Mailing Address** Apt # City State County/Parish **Zip Code** Country Check box if the Physical Address is the same as the mailing address. Physical Address (PO Box not acceptable) Apt # City State County/Parish Zip Code Country OPTIONAL: Check here if you would you like to receive digital updates (texts & emails). Provide your digital contact information below. **Email** Cell Phone number and provider: Section 5b: Joint Owner: Complete this section if there is another business shown on the USCG Documentation, State Registration or Title as the registered joint owner of the vessel. **S** Corporation Was this Business properly established by YES Cooperative Other Type of the laws of the United States or any state business: Limited Liability Co. C Corporation Partnership of the United States? NO MAILING RECIPIENT - All mail about this permit will go to the business listed in Section 5a **Registered Name of Business** Tax ID Number (FEIN) Date Business Formed (MM/DD/YYYY) **Area Code Phone Number Mailing Address** Apt # County/Parish City State Zip Code Country Check box if the Physical Address is the same as the mailing address. Physical Address (PO Box not acceptable) City County/Parish Zip Code Apt# State Country

OPTIONAL: Check here if you would you like to receive digital updates (texts & emails). Provide your digital contact information below.

Email

Cell Phone number and provider:

SECTION 6 -LEASE INFORMATION

If the permit holder is leasing the vessel from the vessel owner in order to assign permits to the vessel, provide the lease information in this section. You must provide a copy of the lease agreement. The term of the lease must be a minimum of 7 months. **Please Note:** Any permits already held and assigned to the vessel by the vessel owner will be ended and will not be valid for fishing if the vessel is leased to another permit holder whose permits are assigned to the vessel.

Lease start date:	:	Lease end date	:			
Section 6a: Individual or Joint Lessee: Compleindividual is leasing the vessel from the vessel						r. If more than one
MAILING RECIPIENT - All mail about this p will go to the individual listed in Section 6		Is this individu permanent res			izen or	YES NO
What this individual's Male Female	Is	this Individual of Hispa	anic, Latino, o	r Spanish o	origin?	Yes No
What is this individual's race? White Black or African American	American Ir Native Asian Amer	ndian or Alaska	Native Ha		Other Pacific Is	lander
Last Name	First Name	1	Middle Nan	ne Su	uffix - Jr, Sr, e	etc.
If you are operating under a different name, what is your Doing Business As (DBA) name?						
Tax ID Number (SSN)	Date of Birth (N	/IM/DD/YYYY) Arc	ea Code	Phone Nu	mber	
Mailing Address	Apt # City	St	ate Count	y/Parish	Zip Code	Country
Check box if the Physical Address is the sa			ata Count	. /Dowiek	7in Cada	Country
Physical Address (PO Box not acceptable)	Apt # City	50	ate Count	y/Parisii	Zip Code	Country
OPTIONAL: Check here if you would you like to	receive digital und	lates (texts & emails) [Provide your d	ligital conta	ect information	helow
Email		Cell Phone number a	•	Ĭ —	act illioilliatioi	i below.
		cen i mone namber a	ina providei	·		
ction 6b: Business Lessee: Complete this section			m the vesse	l owner. I	f a business	s leasing the vessel
ficer and owner information for the business mu	· —			_		\square
pe of S Corporation Cooperative siness:		t	Was this Bus he laws of t of the United	he United	perly establi: States or ar	y state
C Corporation Limited Liabil	lity Co. Partn	ership C	or the United	a States?		NO
MAILING RECIPIENT - All mail about this p	ermit will go to t	the business listed in	Section 6b			
Registered Name of Business		7				
Tax ID Number (FEIN)	Date Business Fo	rmed (MM/DD/YYY	Y) Area C	ode Ph	one Numbe	r
Mailing Address	Apt # City	St:	ate County	//Parish	Zip Code	Country
Training Flagricos	The in City			,, ,	_ip code	
Chack hav if the Dhysical Address is the second	J	ag address			L	
Check box if the Physical Address is the sa Physical Address (PO Box not acceptable)	Apt # City		ate County	//Parish	Zip Code	Country
, and a social control of the social control						
OPTIONAL: Check here if you would you like	to receive digital III	odates (texts & emails)	. Provide vour	digital con	tact informati	on below.
					.case imormati	
Email		Cell Phone number a	ina providel			

SECTION 7 - BUSINESSES THAT OWN BUSINESSES

Complete this section for each business that owns 1% or more of a business listed in sections 5a, 5b and 6b. Copy this section as needed.

ection 7a: Business owner:
Business for which this business is an owner of:
Percent of Business Owned:
Type of Dusiness: S Corporation C Cooperative C Corporation C C C C C C C C C C C C C C C C C C C
Registered Name of Business
Tax ID Number (FEIN) Date Business Formed (MM/DD/YYYY) Area Code Phone Number
Mailing Address Apt # City State County/Parish Zip Code Country
Check box if the Physical Address is the same as the mailing address.
Physical Address (PO Box not acceptable) Apt # City State County/Parish Zip Code Country
OPTIONAL: Check here if you would you like to receive digital updates (texts & emails). Provide your digital contact information below.
Email Cell Phone number and provider:
ection 7b: Additional Business owner: Business for which this business is an owner of:
Percent of Business Owned:
Type of pusiness: C Corporation C Cooperative Limited Liability Co. C Corporation C Corporation C Cooperative Limited Liability Co. Partnership NO Registered Name of Business Was this Business properly established by the laws of the United States or any state of the United States? NO
Tax ID Number (FEIN) Date Business Formed (MM/DD/YYYY) Area Code Phone Number
Mailing Address Apt # City State County/Parish Zip Code Country
Check box if the Physical Address is the same as the mailing address.
Physical Address (PO Box not acceptable) Apt # City State County/Parish Zip Code Country
OPTIONAL: Check here if you would you like to receive digital updates (texts & emails). Provide your digital contact information below.

SECTION 8 - BUSINESS OFFICERS AND INDIVIDUAL OWNERS

Complete this section by providing information on all individual officers and owners that own 1% or more of any business listed in sections 5a, 5b, 6b, and 7. Copy this section as needed.

Section 8a: Individual Officer/Owner:								
Section ba. maividual Officer/Owner.								
Business for which this individual is an office	Business for which this individual is an officer/owner of:							
Position Held - Check ALL That Apply								
President/CEO Vice President	Secretary Treasurer Director/ Manager Shareholder Other							
Percent of Business Owned:	Is this individual a United States citizen or permanent resident YES NO							
What this individual's Male Female Is this Individual of Hispanic, Latino, or Spanish origin? Yes No								
What is this White American Indian or Alaska Native Hawaiian or Other Pacific Islander								
race? Black or African American	ividual's Mauve Mauve							
Last Name	First Name Middle Name Suffix - Jr, Sr, etc.							
Tax ID Number (SSN)	Date of Birth (MM/DD/YYYY) Area Code Phone Number							
Tax 12 Hamber (35H)] [
Mailing Address	Apt # City State County/Parish Zip Code Country							
Check box if the Physical Address is the	same as the mailing address.							
Physical Address (PO Box not acceptable)	Apt # City State County/Parish Zip Code Country							
rilysical Address (FO BOX Hot acceptable)	Apt # city State country/raisin zip code country							
OPTIONAL: Check here if you would you like	ke to receive digital updates (texts & emails). Provide your digital contact information below.							
Email	Cell Phone number and provider:							
Section 8b: Additional Officer/Owner:								
·	, ,							
Business for which this individual is an office	er/owner of:							
Position Held - Check ALL That Apply								
President/CEO Vice President	Secretary Treasurer Director/ Manager Shareholder Other							
Percent of Business Owned:	Is this individual a United States citizen or permanent resident YES NO							
refective of Business of Mileur	Is this individual a United States citizen or permanent resident YES NO							
What this individual's Male Female	Is this Individual of Hispanic, Latino, or Spanish origin?							
What is this White	American Indian or Alaska Native Native Hawaiian or Other Pacific Islander							
race? Black or African American	Asian American Other							
	7 Islan 7 Interieum							
Last Name	First Name Middle Name Suffix - Ir. Sr. etc.							
Last Name	First Name Middle Name Suffix - Jr, Sr, etc.							
Last Name Tax ID Number (SSN)	First Name Middle Name Suffix - Jr, Sr, etc. Date of Birth (MM/DD/YYYY) Area Code Phone Number							
Tax ID Number (SSN)	Date of Birth (MM/DD/YYYY) Area Code Phone Number							
Tax ID Number (SSN)	Date of Birth (MM/DD/YYYY) Area Code Phone Number							
Tax ID Number (SSN)	Date of Birth (MM/DD/YYYY) Area Code Phone Number Apt # City State County/Parish Zip Code Country							
Tax ID Number (SSN) Mailing Address	Date of Birth (MM/DD/YYYY) Area Code Phone Number Apt # City State County/Parish Zip Code Country							
Tax ID Number (SSN) Mailing Address Check box if the Physical Address is the	Date of Birth (MM/DD/YYYY) Area Code Phone Number Apt # City State County/Parish Zip Code Country same as the mailing address.							
Tax ID Number (SSN) Mailing Address Check box if the Physical Address is the Physical Address (PO Box not acceptable)	Date of Birth (MM/DD/YYYY)							
Tax ID Number (SSN) Mailing Address Check box if the Physical Address is the Physical Address (PO Box not acceptable)	Date of Birth (MM/DD/YYYY) Area Code Phone Number Apt # City State County/Parish Zip Code Country same as the mailing address.							

SECTION 9 - OWNER INFORMATION FOR UNNAMED MINOR SHAREHOLDERS OF BUSINESSES								
MINOR OWNERS - Check here if one or more owners (individual OR business) holds shares that are less than 1% of the total business shares. TOTAL PERCENTAGE of the business shares held by minor owners.								
	SECTION 10 - HISTORICA	L CAPTA	IN OR DES	SIGNATE	OPERA	TOR (INCO	ME QUA	LIFIER)
This individual is a (check Historical Captain OR Designated Operator): Historical Captain for Gulf of Mexico Charter/Headboat permits								
Designated Operator (Income Qualifier who is not the Permit Holder) for Commercial Spiny Lobster A Historical Captain MUST sign Section 9 as the applicant. A Designated Operator MUST sign Section 9 as the operator along with the applicant.								
	NOTE: All mail about historical Captain Pe						iaiii.	
Win	Is this individual a United States Citizen or permanent resident alien? What this individual's Male Female Is this Individual of Hispanic, Latino, or Spanish origin? Yes No What is this individual's White American Indian or Alaska Native Native Mative Other Pacific Islander Other Black or African American Asian American Other							
_	ast Name	First I	First Name		Middl	e Name	Suffix - Jr, S	Sr, etc.
L	ax ID Number (SSN)	Date of		Birth (MM/DD/YYYY)		le Phone No	Phone Number	
	Mailing Address	Apt #	City		State (County/Parish	Zip Code	Country
	Check box if the Physical Address is the sa	ame as the	e mailing add	lress.				
P	hysical Address (PO Box not acceptable)	Apt #	City		State (County/Parish	Zip Code	e Country
E	OPTIONAL: Check here if you would you like to receive digital updates (texts & emails). Provide your digital contact information below. Email Cell Phone number and provider:							
	SECTION	11 - SEA	A BASS PO	rs or go	LDEN C	RAB TRAPS		
	COMPLETE THIS SECTION ON	LY IF YOU	HAVE SEA	BASS POTS	OR IF YO	OU HAVE GOL	.DEN CRA	B TRAPS.
Tag cost is \$1.80 per tag made payable by check or money order to Floy Tag, Inc. I need tags for: Sea Bass Pots Golden Crab Traps What color are your Buoys for Sea Bass Pots or Golden Crab Traps?								
	South Atlantic Sea Bass Pot/Golden Crab Trap Information - You are allowed a MAXIMUM of 35 Sea Bass Pots							
	Number of Pot or Trap Height Pots/Traps (inches)		rap Length ches)		ap Width hes)	Mesh Size (inche		Mesh Size Width (inches)

SECTION 12 - SMALL BUSINESS OR ORGANIZATION CERTIFICATION

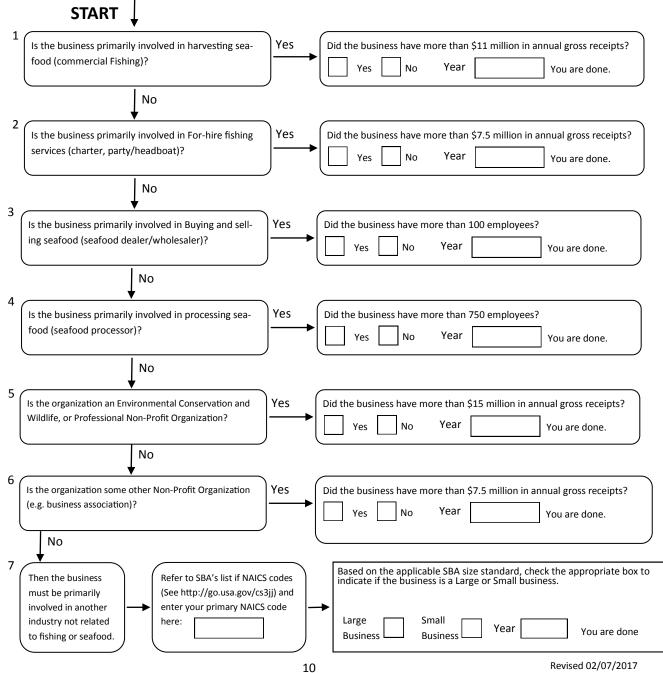
(All Applicants must complete this section)

For vessels that are leased, complete this section for business(es)/organization(s)/sole proprietorship(s) that lease the vessel. For vessels that are not leased, complete this section for the business(es)/organization(s)/ sole proprietorship(s) that own the vessel (i.e. the business(es)/organization(s) that appear on the vessel's USCG documentation or state registration).

When proposing regulations, the National Marine Fisheries Service (NMFS) is required to analyze the economic effects of such regulations on small entities (e.g., businesses and non-profit organizations). As part of the required analyses, NMFS must determine if permit applicants are large or small entities according to standards established by the Small Business Administration (SBA) and NMFS. Only one standard applies to each business or non-profit organization. The standard is based on your primary North American Industry Classification System (NAICS) code, which indicates the industry your business or non-profit organization is primarily engaged in. The industry you are primarily engaged in is based on the activity that generated the greatest gross receipts (sales) in the most recent calendar year. The SBA has also established "principles of affiliation" to determine whether a business concern is "independently owned and operated." In general, businesses are affiliates of each other when one business controls or has the power to control the other business or a third party controls or has the power to control both.

For this assessment, please estimate your annual gross receipts (sales) OR number of employees (see chart below to determine which estimate you need). Estimates of annual gross receipts must be for all businesses with which you are affiliated. Estimates of the number of employees must include all full, part-time, and temporary employees of all businesses with which you are affiliated. For example, if two businesses jointly own or lease a vessel, it is highly likely they are affiliated. If you have any questions about affiliation, please contact Mike Travis, SERO Economist, at mike.travis@noaa.gov or call 727-209-5982.

Please base your responses on the most recent calendar year for which you have complete data regarding your business' or organization's operations, and specify that calendar year in the appropriate box below



Revised 02/07/2017

SECTION 13 - S	SIGNATURE FOR	APPLICATION -	REQUIRED
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The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001, 16 USC 1857). Further, the undersigned certifies that if a spiny lobster tailing permit is requested, the applicant routinely fishes commercially in Federal waters on trips of up to 48 hours or more and that such fishing activity requires the separation of the tail and carapace to maintain quality product.

Please note: If the vessel listed in Section 1 is leased, the applicant who signs below must be an individual named as a lessee in Section 6a, or an officer or shareholder of the lessee as listed in Section 7b, with that individuals information listed in section 8. If the vessel listed in Section 1 is not leased, the applicant must be an individual named as an owner in Section 4, or an officer or shareholder of the owner as listed in Section 8.

Applicant Signature	Position in Business	Date	
Print Name	Designated Operator Signature	Date	