Survey of Occupational Injuries and Illnesses, 2016



YOUR RESPONSE IS REQUIRED BY LAW IN 30 DAYS.

Please correct your company address as needed.

For your convenience, you can submit your survey response on our website at https://idcf.bls.gov.

We estimate it will take you an average of 24 minutes to complete this survey (ranging from 10 minutes to 5 hours per package), including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this information. If you have any comments regarding the estimates or any other aspect of this survey, including suggestions for reducing this burden, please send them to the Bureau of Labor Statistics, Occupational Safety and Health Statistics (1220-0045), 2 Massachusetts Avenue, N.E., Washington, DC 20212. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. **DO NOTSEND THE COMPLETED FORM TO THIS ADDRESS.**

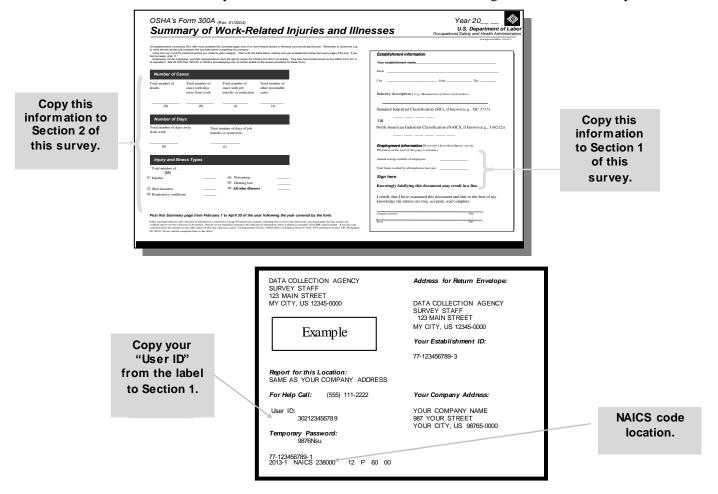
The Bureau of Labor Statistics, its employees, agents, and partner statistical agencies, will use the information you provide for statistical purposes only and will hold the information in confidence to the full extent permitted by law. In accordance with the Confidential Information Protection and Statistical Efficiency Act of 2002 (Title 5 of Public Law 107-347) and other applicable Federal laws, your responses will not be disclosed in identifiable form without your informed consent.

OMB No. 1220-0045 BLS-9300 N06

Steps to Complete this Survey

This survey requires employers to provide information about work-related injuries and illnesses based upon the information you have maintained for Calendar Year 2016 on your Occupational Safety and Health Administration (OSHA) Forms for Recording Work-Related Injuries and Illnesses. Copies of these forms were mailed to you in late 2015. Under Public Law 91-596, all establishments that receive this **mandatory** survey must complete and return it within 30 days, even if they had **no** work-related injuries and illnesses during 2016. The instructions below outline the steps to complete the survey regardless of whether your establishment did or did not have injuries or illnesses in 2016.

- **Step 1:** Complete this survey only for the establishment(s) noted on the front cover under "**Report for this Location**." If you are unsure, please call the number(s) listed on the front of this form in the "**For Help Call:**" section.
- **Step 2:** Check "**Your Company Address**" printed on the front cover. Make any necessary corrections directly on the front cover.
- **Step 3**: Refer to your establishment's OSHA *Forms for Recording Work-Related Injuries and Illnesses*. Copies of these forms were mailed to you in late 2015. Form 300A from that mailing is shown immediately below.



- If you had no work-related injuries or illnesses in 2016, answer all questions in Sections 1 and 4 of the survey.
- If you had at least one work-related injury or illness in 2016, answer all questions in Sections 1, 2 and 4 of the survey.
- Report cases with Days Away From Work (with or without days of job transfer or restriction) in Section 3.
- Report cases with *Job Transfer or Restriction* (without days away from work) in Section 3 if you are reporting for a private industry establishment whose six-digit NAICS code begins with these numbers: 312, 452, 492, 562, 622, or 721 (see mailing label example for NAICS code location).
- **Step 4:** In case we have questions, write the name of the person who completed this survey in Section 4: Contact Information, on the last page of this survey.
- **Step 5:** Return this survey and any attachments in the enclosed envelope within 30 days of the date your establishment received it.

Section 1: Establishment Information

Instructions: Using your completed Calendar Year 2016 *Summary of Work-Related Injuries and Illnesses* (OSHA Form 300A), copy the establishment information into the boxes. If these numbers are not available on your OSHA Form 300A, or if your establishment does not keep records needed to answer (2) and (3) below, you can estimate using the steps that follow on the next page.

1. Enter your "User ID" from the front cover.	———
2. Enter the annual average number of employ	vees for 2016.
3. Enter the total hours worked by all employe	ees for 2016. — — — — — — — — — — — — — — — — — — —
4. Check any conditions that might have affect	ted your answers to questions 2 and 3 above during 2016:
 Strike or lockout Shutdown or layoff Seasonal work Natural disaster or adverse weather conditions 	 □ Shorter work schedules or fewer pay periods than usual □ Longer work schedules or more pay periods than usual □ Other reason: □ Nothing unusual happened to affect our employment or hours figure
 5. Did you have ANY work-related injuries □ Yes. Go to Section 2: Summary of W □ No. Go to Section 4: Contact Information 	Vork-Related Injuries and Illnesses, 2016, directly below.

Section 2: Summary of Work-Related Injuries and Illnesses, 2016

Instructions:

- 1. Refer to the OSHA Forms for Recording Work-Related Injuries and Illnesses for the location referenced on the front cover of the survey under "Report for this Location." If you prefer, you may enclose a photocopy of your Summary of Work-Related Injuries and Illnesses (OSHA Form 300A).
- 2. If more than one establishment is noted on the front cover of this survey, be sure to include the OSHA Form 300A for all of the specified establishments.
- 3. If any total is zero on your OSHA Form 300A, write "0" in that total's space below.
- 4. The **total** Number of Cases recorded in G + H + I + J must equal the **total** Injury and Illness Types recorded in M (1 + 2 + 3 + 4 + 5 + 6).

Number of Cases			
Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
(G)	(H)	(I)	(J)
Number of Days			
Total number of days		Totalnumber of days	
away from work		of job transfer or restriction	
(K)		(L)	
Injury and Illness Typ	es		
Total number of			
(M)			
(1) Injuries		(4) Poisonings	
(2) Skin disorders		(5) Hearing loss	
(3) Respiratory conditions		(6) All other illnesses	

If you had any work-related deaths in 2016, please tell us on the line below where you assigned/classified each death within the list of items (M1) through (M6) provided under *Injury and Illness Types* above (e.g., "fatal case was due to injury resulting from fall" or "death resulted from respiratory conditions")

Steps to estimate annual average number of employees for 2016:

Step 1:

To calculate the annual average number of employees your establishment paid during 2016, you must calculate the total number of employees your establishment paid for all periods. Add the number of employees your establishment paid in every pay period during Calendar Year 2016. Count all employees that you paid at any time during the year and include full-time, part-time, temporary, seasonal, salaried, and hourly workers. Note that pay periods could be monthly, weekly, bi-weekly, etc.

Example:

Acme Construction paid its employees in 12 pay periods during 2016:

Pay Period	Number of Employees Paid			
Per Pay Period				
1	30			
2	0			
3	35			
4	37			
5	37			
6	40			
7	43			
8	42			
9	37			
10	35			
11	30			
12	+26			
	392 (total number of employees paid over all pay periods)			

Step 2:

Divide the total number of employees (from Step 1) by the number of pay periods your establishment had in 2016. Be sure to count any pay periods when you had no (zero) employees.

Example:

Acme Construction had 12 pay periods and paid a total of 392 employees during these pay periods.

392 divided by 12 = 32.67

Step 3:

Round the answer you computed in Step 2 to the next highest whole number. Write that number in the box for Section 1, Question 2 on the previous page.

Example:

Acme would round 32.67 to 33.

Steps to estimate total hours worked by all employees for 2016:

Step 1:

Determine the number of full-time employees at your establishment.

Example:

Of Acme's 33 employees in 2016, 28 were full-time.

Step 2:

Determine the number of hours generally worked by a full-time employee for a year. Multiply the number of full-time employees you calculated in Step 1 by this number. This total number of full-time hours worked should exclude vacation, sick leave, holidays, and any other non-work time.

Example:

Each of Acme's 28 full-time employees worked an average of 2,000 hours per year after excluding vacation, sick leave, holidays, and other non-work time. This works out to 40 hours per week for 50 weeks of the year.

28 full-time employees X 2,000 hours per year 56,000 total full-time hours

Step 3:

Determine the number of hours of overtime worked by your full-time employees.

Determine the number of regular hours worked by your non-full-time employees. (Non-full-time employees include part-time, seasonal, and temporary employees.)

Add these numbers to the number you calculated in Step 2 above. This is the estimated number of hours worked by all of your employees, full-time and non-full-time, during 2016. Write this number in Section 1, Question 3 on the previous page.

Example:

Acme's 28 full-time employees worked a total of 2,800 hours of overtime during 2016 and 56,000 regular hours. Acme's 5 part-time employees worked a total of 2,716 hours during 2016.

56,000 full-time hours from Step 2
2,800 over time hours
+2,716 part-time hours
61,516 total hours worked

Section 3: Reporting Cases

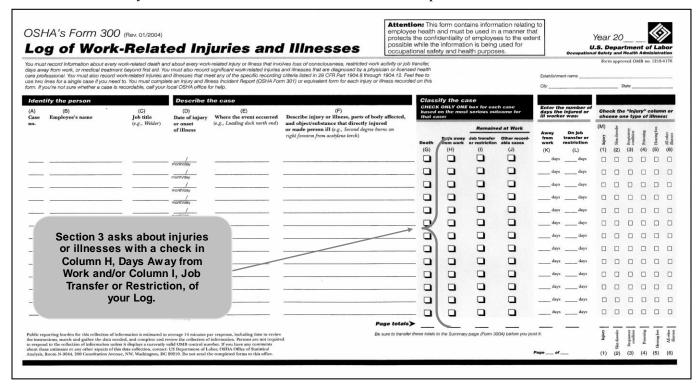
Instructions:

- 1. If you had **NO** cases with days away from work (Column H) and **NO** cases with days of job transfer or restriction (Column I), please proceed to Section 4: Contact Information.
- 2. If you had cases with days away from work (Column H) and/or cases with days of job transfer or restriction only (Column I), please complete Section 3. You should report all cases with days away from work (with or without job transfer or restriction). If you are reporting for a <u>private industry</u> establishment whose six-digit **NAICS code begins** with: 312, 452, 492, 562, 622, or 721, you should also report all cases with days of job transfer or restriction (without days away from work). Your NAICS code is located on the mailing label on the front of this booklet. To identify the individual cases to report, follow these steps:
 - Step 1: Go to your completed OSHA Form 300.

 Note each case that has a check in Column (H) and/or Column (I).

 These are the only cases you should report.

 See the illustration in Step 3 below.
 - **Step 2:** Fill out one Injury and Illness Case Form for each case that you identified in Step 1. You can find most of the information on a supplementary document such as the *Injury and Illness Incident Report* (OSHA Form 301), a workers' compensation report, an accident report, or an insurance form.
 - **Step 3:** If more than one establishment is noted on the front cover under "**Report for this Location**," be sure to look at all your OSHA Form 300's to find which cases to report.



- Step 4: We have designed this survey to ensure that you do not have to report more than approximately 16 cases. If you have significantly more than 16 cases, please go to Section 5: If You Need Help... at the back of this booklet and call the phone number(s) listed for your State for assistance. If you need additional Injury and Illness Case Forms, you may either photocopy a blank form or go to Section 5: If You Need Help... at the back of this booklet and call the phone number(s) listed for your State.
- **Step 5:** When you are finished, proceed to Section 4: Contact Information on the back cover of this booklet and provide information for the person who completed this survey.

Injury and Illness Case Form

Tell us about a 2016 work-related injury or illness **only** if it resulted in days away fromwork or job transfer/restriction. To find out which case(s) you should report, read the instructions at the beginning of *Section 3: Reporting Cases*.

Tell us about the Case			
Go to your completed OSHA Form 300. Copy the case information	fromthat forminto the spaces below.		
Employee's name (Column B) (Column C)	Date of injury or onset of illness (Column D) / /16 month day year		
Tell us about the Employee	Tell us about the Incident		
1. Check the category which best describes the employee's regular type of job or work: (optional) Office, professional, business, or management staff Sales Product assembly, product manufacture Repair, installation or service of machines, equipment Construction Other: The product of machines are of the control of machines are of the control of machines. The product manufacture of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product manufacture of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product assembly, product manufacture of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product assembly of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product assembly of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product assembly of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product manufacture of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product manufacture of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product manufacture of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product manufacture of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product manufacture of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product manufacture of building, grounds Material handling (e.g. stocking loading/unloading, moving, etc.) Farming The product manufacture of building (e.g. stocking loading/unloading, moving, etc.) The product manufacture of building (e.g. stocking loading/unloading, moving, etc.) The product manufacture of building (e.g. stocking loading/unloading/u	Answer the questions below or attach a copy of a supplementary document that answers them. 6. Was employee treated in an emergency room?		
NO TE: You may either answer questions (3) to (13) or attach a copy of a supplementary document that answers them.			
3. Employee's age:OR date of birth:/	12. What was the injury or illness? Tell us the part of the body that was affected and how it was affected; be more specific than "hurt," "pain," or "sore." Examples: "strained back"; "chemical burn, hand"; "carpal tunnel syndrome."		
Less than 3 months From 3 to 11 months From 1 to 5 years More than 5 years 5. Employee's gender: Male Female	13. What object or substance directly harmed the employee? Examples: "concrete floor"; "chlorine"; "radial arm saw." If this question does not apply to the incident, leave it blank.		

Injury and Illness Case Form

Tell us about a 2016 work-related injury or illness **only** if it resulted in days away from work or job transfer/restriction. To find out which case(s) you should report, read the instructions at the beginning of *Section 3: Reporting Cases*.

Tell us about the Employee	Tell us about the Case					
Signature Sign	Go to your completed OSHA Form 300.	Copy the case information	fromthat forminto the	spaces below.		
Tell us about the Employee			or onset of illness	away from work	or restriction	
Check the category which best describes the employee's regular type of job or work: (optional) Office, professional, business, Healthcare Delivery or driving Sales Food service Cleaning, maintenance of management staff Delivery or driving Form 3 to 11 months From 1 to 15 years OR check length of service at establishment when incident certains Management Less than 3 months From 1 to 5 years Employee's gender: Office, professional, business, Healthcare Delivery or driving Food service Delivery or driving Pood service Cleaning, maintenance of building, grounds Sales Pood service Cleaning, maintenance of machines, equipment Delivery or driving Sales Pood service Purming Pood service Pood service Purming Pood service Purming Pood service Pood service Purming Pood service Purming Pood service Purming Purmin						
Office, professional, business, or management staff Delivery or driving Sales Food service Product assembly, product manufacture of building, grounds Material handling (e.g. stocking looding/unloading, moving, etc.) Farming Other:	Tell us about the Employee		Tell us about	the Incident		
or management staff Sales Sales Solds		employee's regular type			opy of a supplementary	
Product assembly, product manufacture Gleaning, maintenance of building, grounds Repair, installation or service of machines, equipment Construction Farming Samplese's race or ethnic background: (optional-check one or more) Employee's race or ethnic background: (optional-check one or more) American Indian or Alaska Native Asian Black or African American Hispanic or Latino Native Hawaiian or Other Pacific Islander White Not available Not available OTE: You may either answer questions (3) to (13) or attach a copy of a applementary document that answers them. Employee's age: OR date of birth: month day year OR check #in date white month day year OR check #in date white month day year OR check #in date white Material Malage American Material Malage Mal	Office, professional, business, or management staff Healthcare Delivery or driving		8. Was employee treated in an emergency room? $\square_{yes} \square_{no}$			
Repair, installation or service of machines, equipment loading/unloading, moving, etc.	Product assembly,	eaning, maintenance				
Construction	Repair, installation or service M	aterial handling (e.g.,stocking,				
10. What was the employee doing just before the incident of Describe the activity as well as the tools, equipment, or mate employee was using. Be specific. Examples: "climbing a la while carrying roo fing materials"; "spraying chlorine from his sprayer"; "daily computer key-entry." 11. What happened? Tell us how the injury or illness occurred. Examples: "Worker developed soreness in wrist over time to the body was affected and how it was affected; be more specific than "pain," or "sore." Examples: "strained back"; "chemical bur hand"; "carpal tunnel syndrome." 12. What was the injury or illness? Tell us the part of the body was affected and how it was affected; be more specific than "pain," or "sore." Examples: "strained back"; "chemical bur hand"; "carpal tunnel syndrome." 13. What object or substance directly harmed the employee? Examples: "concrete floor"; "chlorine"; "radial arm saw." If question does not apply to the incident, leave it blank.	Construction Fa		Event occurred: (c	optional) before	during after work sh	
Native Hawaiian or Other Pacific Islander White Not available Not held loft in illus how the injury or illness occurred. Not worker was sprayed with chlorine when gasket broke during replacement"; "Worker developed soreness in wrist over time o	2. Employee's race or ethnic background: (optional-check one or more) American Indian or Alaska Native Asian Black or African American		10. What was the employee doing just before the incident occurre Describe the activity as well as the tools, equipment, or material themployee was using. Be specific. Examples: "climbing a ladder while carrying roofing materials"; "spraying chlorine from hand sprayer"; "daily computer key-entry."			
Implementary document that answers them. Imployee's age: OR date of birth: Month day year OR check length of service at establishment when incident ccurred: Less than 3 months From 3 to 11 months From 1 to 5 years More than 5 years Employee's gender: DR that was the injury or illness? Tell us the part of the body was affected and how it was affected; be more specific than "pain," or "sore." Examples: "strained back"; "chemical bur hand"; "carpal tunnel syndrome." 13. What object or substance directly harmed the employee? Examples: "concrete floor"; "chlorine"; "radial arm saw." If question does not apply to the incident, leave it blank.	Native Hawaiian or Other Pacific Islander White		11. What happened? Tell us how the injury or illness occurred. Examples: "When ladder slipped on wet floor, worker fell 20 feet" "Worker was sprayed with chlorine when gasket broke during replacement"; "Worker developed soreness in wrist over time."			
Employee's age:OR date of birth:	•	(13) or attach a copy of a				
OR check length of service at establishment when incident occurred: Less than 3 months From 3 to 11 months From 1 to 5 years More than 5 years Employee's gender:	3. Employee's age:OR date of birth:/		12. What was the injury or illness? Tell us the part of the body that was affected and how it was affected; be more specific than "hurt," "pain," or "sore." <i>Examples</i> : "strained back"; "chemical burn, hand"; "carpal tunnel syndrome."			
Less than 3 months From 3 to 11 months From 1 to 5 years More than 5 years Employee's gender: 13. What object or substance directly harmed the employee? Examples: "concrete floor"; "chlorine"; "radial arm saw." If question does not apply to the incident, leave it blank.	month day year					
Less than 3 months From 3 to 11 months From 1 to 5 years More than 5 years Employee's gender:	Less than 3 months From 3 to 11 months From 1 to 5 years		13. What object or substance directly harmed the employee?			
			Examples: "concrete floor"; "chlorine"; "radial arm saw." If this			
Female	Male					

Section 4: Contact Information

Fill in the name, title, and phone number of the person who completed this survey in case we have questions.

	_ () -		_ () -	
Printed name	Telephonenumber	Ext.	Fax number	
	/			
Title	Today's date			

Use the return envelope to send us the entire package -- everything that we sent you -- within 30 days of the date your establishment received it. If the return envelope is missing, send the entire package to the return address on the front cover (look for Address for Return Envelope).

Section 5: If You Need Help . . .

If you have any questions or if you need help completing this survey, call the phone number(s) that is listed below for

Alabama	Illinois	Nebraska	Rhode Island
(334) 242-3461, 3463	(217) 524-2098	(402) 471-3547, 1645	(617) 565-2302
(334) 242-2543 fax	(217) 558-4122 fax	(800) 599-5165	(617) 565-3847 fax
Alaska	Indiana	(402) 471-6523 fax	South Carolina
(907) 465-4539	(317) 232-2668	Nevada	(803) 896-7659, 7683
(907) 465-4506 fax	(317) 233-3790 fax	(866) 931-1216	(803) 896-4676 fax
Arizona	Iowa	(702) 486-9187	South Dakota
(602) 542-3739	(516) 281-0202	(702) 486-9175 fax	(312) 353-7253
(602) 542-6360 fax	(516) 281-5522 fax	New Hampshire	(312) 353-7230 fax
Arkansas	Kansas	(617) 565-2302	Tennessee
(501) 682-4872	(785) 581-7479	(617) 565-3847 fax	(616) 741-1748
(501) 682-4754 fax	(785) 296-2161 fax	New Jersey	(800) 778-3966
California	Kentucky	(609) 292-8999	(616) 253-5501 fax
(416) 703-3020	(502) 564-3312, 4105, 4259	(609) 633-0618 fax	Texas
(416) 703-3029 fax Colorado	(502) 564-0091 fax Louisiana	New Mexico (505) 476-8740	(866) 237-6405 (512) 804-4652 fax
(816) 285-7031, or 7146	(225) 342-3126	(505) 476-8740 (505) 476-8735 fax	(312) 804-40321ax Utah
(972) 850-4810 fax	(225) 342-3120 (225) 342-3269 fax	New York	(801) 530-6926, 6823
Connecticut	Maine	(888) 425-1323	(801) 536-7906 fax
(860) 263-6278	(207) 623-7903, 7904	(888) 807-0410 fax	Vermont
(860) 263-6276 (860) 263-6263 fax	(207) 623-7903, 7904 (207) 623-7937 fax	North Carolina	(802) 828-5985
Delaware	Maryland	(919) 733-2758	(802) 828-2195 fax
(302) 761-8221	(410) 527-4460, 4461, 4462	(919) 733-2186 fax	Virgin Islands
(302) 762-3590 fax	(410) 527-4497 fax	North Dakota	(340) 776-3700 ext. 2019
District of Columbia	Massachusetts	(312) 353-7253	(340) 777-4803 fax
(202) 442-5930, 5926, 9010	(617) 626-6945	(312) 353-7230 fax	Virginia
(202) 442-4833 fax	(617) 626-6944 fax	Ohio	(804) 786-1995, 1035
Florida	Michigan	(866) 569-7806	(804) 786-2376 fax
(216) 861-5638, 5625	(517) 322-1848	(614) 995-8608	Washington
(216) 861-5736 fax	(517) 284-7816 fax	(614) 728-6460 fax	(360) 902-5640
Georgia	Minnesota	Oklahoma	(360) 902-4249 fax
(404) 463-0737, 0753, 0738	(888) 589-6322	(312) 353-7253	West Virginia
(404) 656-7089	(651) 284-5726 fax	(312) 353-7230 fax	(800) 652-9033
(404) 656-5529 fax	Mississippi	Oregon	(304) 558-0301 fax
Guam	(404) 893-1934, 8344	(503) 947-7030	Wisconsin
(671) 300-6339	(404) 893-8343 fax	(503) 947-7312 fax	(800) 884-1273
(671) 475-7060 fax	Missouri	Pennsylvania	(608)-221-6293
Hawaii	(573) 751-3802, 2719	(800) 238-9412	(608) 221-6297 fax
(808) 586-9001	(573) 751-2319 fax	(717) 705-4318 fax	Wyoming
(808) 586-9022 fax	Montana	Puerto Rico	(866) 518-6680
Idaho	(800) 541-3904	(787) 754-5300, ext. 3032,	(307) 473-3838
(416) 625-2275, 2267	(406) 444-2638 fax	3036, 3051, 3056, 3057	(307) 473-3863 fax
(416) 625-2356 fax		(787) 754-5360 fax	