

## U.S. PRODUCERS' QUESTIONNAIRE

### ALUMINUM FOIL FROM ARMENIA, BRAZIL, OMAN, RUSSIA, AND TURKEY

This questionnaire must be received by the Commission by **July 16, 2021**

*See last page for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing and antidumping duty investigations concerning aluminum foil from Armenia, Brazil, Oman, Russia, and Turkey (Inv. Nos. 701-TA-658-659 and 731-TA-1538-1542 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Website \_\_\_\_\_

Has your firm produced aluminum foil (as defined on next page) at any time since January 1, 2018?

☐

**NO**

(Sign the certification below and promptly return **only** this page of the questionnaire to the Commission)

☐

**YES**

(Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)

Return questionnaire via the U.S. International Trade Commission **Drop Box** by clicking on the following link: <https://dropbox.usitc.gov/oinv/>. (PIN: **FOIL**)

#### CERTIFICATION

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout these proceedings in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.*

*I, the undersigned, acknowledge that information submitted in response to this request for information and throughout these proceedings or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.*

\_\_\_\_\_  
Name of Authorized Official

\_\_\_\_\_  
Title of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Phone

\_\_\_\_\_  
Email address

**PART I.—GENERAL INFORMATION**

**Background.**-- This proceeding was instituted in response to petitions filed on September 29, 2020, by the Aluminum Association Trade Enforcement Working Group, Arlington, Virginia and its individual members - Gränges Americas Inc., Franklin, Tennessee; JW Aluminum Company, Daniel Island, South Carolina; and Novelis Corporation, Atlanta, Georgia. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at [LINK](#).

**Aluminum foil** -- The merchandise covered by these investigations is aluminum foil having a thickness of 0.2 mm or less, in reels exceeding 25 pounds, regardless of width. Aluminum foil is made from an aluminum alloy that contains more than 92 percent aluminum. Aluminum foil may be made to ASTM specification ASTM B479, but can also be made to other specifications. Regardless of specification, however, all aluminum foil meeting the scope description is included in the scope, including aluminum foil to which lubricant has been applied to one or both sides of the foil.

Excluded from the scope of these investigations is aluminum foil that is backed with paper, paperboard, plastics, or similar backing materials on one side or both sides of the aluminum foil, as well as etched capacitor foil and aluminum foil that is cut to shape. Where the nominal and actual measurements vary, a product is within the scope if application of either the nominal or actual measurement would place it within the scope based on the definitions set forth above. The products under investigation are currently imported under statistical reporting numbers 7607.11.3000, 7607.11.6090, 7607.11.9030, 7607.11.9060, 7607.11.9090, and 7607.19.6000 of the Harmonized Tariff Schedule of the United States (HTSUS).

Further, merchandise that falls within the scope of these proceedings may also be imported into the United States under HTSUS statistical reporting numbers 7606.11.3060, 7606.11.6000, 7606.12.3045, 7606.12.3055, 7606.12.3091, 7606.12.3096, 7606.12.6000, 7606.91.3095, 7606.91.6095, 7606.92.3035, and 7606.92.6095. The HTSUS provisions are for convenience and customs purposes; the written description of the scope is dispositive.

**Reporting of information.**--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

**Confidentiality.**--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

**Verification.**--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

**Release of information.**--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

**D-GRIDS tool.**--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macro-enabled MS Excel file available for download from the Commission's generic questionnaires webpage ([https://www.usitc.gov/trade\\_remedy/question.htm](https://www.usitc.gov/trade_remedy/question.htm)) called the "D-GRIDS tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDS tool to populate their data into this questionnaire will need the D-GRIDS specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDS tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDS tool are available within the D-GRIDS tool itself.

- I-1a. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

- I-1b. **TAA information release.**--In the event that the U.S. International Trade Commission (USITC) makes an affirmative final determination in this proceeding, do you consent to the USITC's release of your contact information (company name, address, contact person, contact person's title, telephone number, email address) appearing on the front page of this questionnaire to the Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made eligible for benefits under the Trade Adjustment Assistance program?

☐ Yes

☐ No

I-2a. **Establishments covered.**--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. **Firms operating more than one establishment should combine the data for all establishments into a single report.**

***"Establishment"***--Each facility of a firm involved in the production of aluminum foil, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

Establishments covered <sup>1</sup>	City, State	Zip (5 digit)	Description
1			
2			
3			
4			
5			
6			

Establishments covered <sup>1</sup>	Thickness (in inches)		Width (in inches)	
	Minimum	Maximum	Minimum	Maximum
1				
2				
3				
4				
5				
6				
<sup>1</sup> Additional discussion on establishments consolidated in this questionnaire: _____.				

I-2b. **Stock symbol information.**-- If your firm or parent firm is publicly traded, please specify the stock exchange and trading symbol: \_\_\_\_\_.

I-2c. **External counsel.**-- If your firm or parent firm is represented by external counsel in relation to this proceeding, please specify the name of the law firm and the lead attorney(s).

Law firm:	
Lead attorney(s):	

I-3. **Petitioner status.**--Is your firm a petitioner in this proceeding or a member firm of the petitioning entity?

<b>No</b>	<b>Yes</b>
<input type="checkbox"/>	<input type="checkbox"/>

I-4. **Petition support.**--Does your firm support or oppose the petition?

Country	Investigation type	Support	Oppose	Take no position
Armenia	AD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Brazil	AD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Oman	AD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	CVD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Russia	AD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Turkey	AD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	CVD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I-5. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

☐ No      ☐ Yes--List the following information, relating to the ultimate parent/owner.

Firm name	Country	Extent of ownership (percent)

- I-6. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing aluminum foil from Armenia, Brazil, Oman, Russia, and Turkey into the United States or that are engaged in exporting aluminum foil from Armenia, Brazil, Oman, Russia, and Turkey to the United States?

☐ No ☐ Yes--List the following information.

Firm name	Country	Affiliation

I-7. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of aluminum foil?

☐ No      ☐ Yes--List the following information.

[illegible]



**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from Celia Feldpausch (202-205-2387, [celia.feldpausch@usitc.gov](mailto:celia.feldpausch@usitc.gov)). **Supply all data requested on a calendar-year basis.**

- II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part II.

Name	
Title	
Email	
Telephone	

- II-2a. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of aluminum foil since January 1, 2018.

<i>(check as many as appropriate)</i>		<i>(If checked, please describe the nature, date(s), and significance of any such reported changes as well as the business reasons for them; leave completely blank if not applicable)</i>
<input type="checkbox"/>	plant openings	
<input type="checkbox"/>	plant closings	
<input type="checkbox"/>	relocations	
<input type="checkbox"/>	expansions	
<input type="checkbox"/>	acquisitions	
<input type="checkbox"/>	consolidations	
<input type="checkbox"/>	prolonged shutdowns or production curtailments	
<input type="checkbox"/>	revised labor agreements	
<input type="checkbox"/>	other (e.g., technology)	

- II-2b. **COVID-19 pandemic.**—Since January 1, 2020, has the COVID-19 pandemic or have any government actions taken to contain the spread of the COVID-19 virus resulted in changes in relation to your firm's supply chain arrangements, production, employment, and shipments relating to aluminum foil?

<b>No</b>	<b>Yes</b>	<b>If yes, describe these changes including a separate discussion of the (a) supply chain impact, (b) production and shipments impact, and (c) employment impact of the COVID-19 pandemic.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

- II-3a. **Production using same machinery.**--Please report your firm's production of products using the same equipment, machinery, or employees as used to produce aluminum foil, and the combined production capacity on this shared equipment, machinery, or employees in the periods indicated.

**"Overall production capacity" or "capacity"** – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

Note.--If your firm does not produce any out-of-scope merchandise on the same machinery and equipment as scope merchandise then the "overall production capacity" numbers reported in this question should be exactly equal to the "average production capacity" numbers reported in question II-7. If, however, your firm does produce out-of-scope merchandise using the same machinery and equipment as scope merchandise, then the "average production capacity" reported in question II-7 should exclude the portion of "overall production capacity" that was used to produce this out-of-scope merchandise.

**"Production"** – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

II-3a. **Production using same machinery.-- Continued**

Quantity (in short tons)					
Item	Calendar year			January-March	
	2018	2019	2020	2020	2021
<b>Overall production capacity<sup>1</sup></b>					
<b>Production of:</b> aluminum foil <sup>2</sup>	0	0	0	0	0
Out-of-scope production.-- Aluminum sheet, all alloys including can stock					
Aluminum plate					
Other products <sup>3</sup>					
Subtotal, out-of-scope production	0	0	0	0	0
Total production using same machinery or workers	0	0	0	0	0
<sup>1</sup> Data reported for capacity (first line) should be greater than data reported for total production (last line). <sup>2</sup> Data entered for production of aluminum foil will populate here once reported in question II-7. <sup>3</sup> Please identify these products: _____.					

II-3b. **Operating parameters.**--The production capacity reported in II-3a is based on the following operating parameters:

Hours per week	Weeks per year

II-3c. **Capacity calculation.**--Please describe the methodology used to calculate overall production capacity reported in II-3a, and explain any changes in reported capacity. In particular, please explain how your firm accounts for shifting from one product to another in calculating the reported production capacity (e.g. ultra-thin, thin, standard, heavy, and extra-heavy aluminum foil).

II-3d. **Production constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's production capacity.

II-3e. **Production constraints.**--Please describe technology constraint(s) and discuss how they limit capacity and production of specific aluminum foil products.

Ultra-thin	
Thin	
Standard	
Heavy	
Extra-heavy	

II-3f. **Production shifting between categories of aluminum foil.**--Is your firm able to produce the following aluminum foil category using the same equipment and labor as other aluminum foil products at your facility?

If you previously produced this category, but currently have no production, please indicate when you ceased producing it and why.

Item	Able to produce using the same equipment?		If no longer producing, please indicate when production ceased and why:
	No	Yes	
Ultra-thin – aluminum foil less than 0.000315 inch (8 microns) thickness.	<input type="checkbox"/>	<input type="checkbox"/>	
Thin – aluminum foil greater than or equal to 0.000315 inch (8 microns) and less than 0.00039 inch (10 microns) thickness.	<input type="checkbox"/>	<input type="checkbox"/>	
Standard – aluminum foil greater than or equal to 0.00039 inch (10 microns) and less than 0.001 inch (25 microns) thickness.	<input type="checkbox"/>	<input type="checkbox"/>	
Heavy – aluminum foil greater than or equal to 0.001 inch (25 microns) and less than 0.00177 inch (45 microns) thickness.	<input type="checkbox"/>	<input type="checkbox"/>	
Extra heavy – aluminum foil greater than or equal to 0.00177 inch (45 microns) thickness.	<input type="checkbox"/>	<input type="checkbox"/>	

II-4. **Product shifting.**—

- (a) Is your firm able to switch production (capacity) between aluminum foil and other products using the same equipment and/or labor?

No	Yes	If yes—(i.e., have produced other products or are able to produce other products) Please identify other actual or potential products.
<input type="checkbox"/>	<input type="checkbox"/>	

- (b) Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

--

- II-5. **Tolling.**--Since January 1, 2018, has your firm been involved in a toll agreement regarding the production of aluminum foil?

**“Toll agreement”**--Agreement between two firms whereby the first firm furnishes the raw materials and the second firm uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

No	Yes	If yes--Please describe the toll arrangement(s) and name the firm(s) involved.
<input type="checkbox"/>	<input type="checkbox"/>	

II-6. **Foreign trade zones.**--

- (a) **Firm's FTZ operations.**--Does your firm produce aluminum foil in and/or admit aluminum foil into a foreign trade zone (FTZ)?

**"Foreign trade zone"** is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

No	Yes	If yes--Describe the nature of your firm's operations in FTZs and identify the specific FTZ site(s).
<input type="checkbox"/>	<input type="checkbox"/>	

- (b) **Other firms' FTZ operations.**--To your knowledge, do any firms in the United States import aluminum foil into a foreign trade zone (FTZ) for use in distribution of aluminum foil and/or the production of downstream articles?

No	Yes	If yes--Identify the firms and the FTZs.
<input type="checkbox"/>	<input type="checkbox"/>	

- II-7. **Production, shipment, and inventory data**--Report your firm's production capacity, production, shipments, and inventories related to the production of aluminum foil in its U.S. establishment(s) during the specified periods.

**"Average production capacity" or "capacity"** – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

**"Production"** – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

**"Commercial U.S. shipments"** –Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

**"Internal consumption"** – Product consumed internally by your firm. Such transactions are valued at fair market value.

**"Transfers to related firms"** –Shipments made to related firms. Such transactions are valued at fair market value.

**"Related firm"** –A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

**"Export shipments"** –Shipments to destinations outside the United States, including shipments to related firms.

**"Inventories"**— Finished goods inventory, not raw materials or work-in-progress.

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.*

II-7. **Production, shipment, and inventory data.--Continued**

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-March	
	2018	2019	2020	2020	2021
<b>Average production capacity<sup>1</sup> (quantity)</b> (A)					
<b>Beginning-of-period inventories</b> (quantity) (B)					
<b>Production (quantity)</b> (C)					
<b>U.S. shipments:</b>					
<b>Commercial shipments:</b>					
Quantity (D)					
Value (E)					
<b>Internal consumption:<sup>2</sup></b>					
Quantity (F)					
Value <sup>2</sup> (G)					
<b>Transfers to related firms:<sup>2</sup></b>					
Quantity (H)					
Value <sup>2</sup> (I)					
<b>Export shipments:<sup>3</sup></b>					
Quantity (J)					
Value (K)					
<b>End-of-period inventories (quantity)</b> (L)					

<sup>1</sup> The production capacity reported is based on operating \_\_\_\_ hours per week, \_\_\_\_ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity \_\_\_\_.

<sup>2</sup> Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): \_\_\_\_\_. However, the data provided above in this table should be based on fair market value.

<sup>3</sup> Identify your firm's principal export markets: \_\_\_\_\_.

**RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.**--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar year			January-March	
	2018	2019	2020	2020	2021
B + C – D – F – H – J – L = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0	0	0

<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.



II-7b. **Production for market segments.** Has your firm produced any of the products described below in the United States since 2018?

Item	Capability to produce?		Actually produced any time since January 1, 2018		Explanation
	No	Yes	No	Yes	
Ultra-thin foil in widths exceeding 63 inches	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Ultra-thin foil, gauge 0.000236 to 0.000315	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Standard gauge for use in producing household foil, defined as foil fabricated from 8000 series alloy in gauges of 0.00039 to 0.0015 inches.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- II-8. **Channels of distribution**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by channel of distribution.

**"Consumer Packaging /Converter"**--Shipments to end users in the business of packaging foods, cosmetics, pharmaceuticals and to other chemical products; these end users are otherwise known as converters in the industry.

**"Household use/spoolers"**-- Shipment to end users for eventual retail sale as aluminum foil used for short-term wrapping, mostly in households, catering and food and floristry retail businesses after further processing into small reels (e.g., in the context of internal consumption).

**"Industrial applications"**-- Shipments to end users in the business of using the foil in thermal insulation, cables, and electronics, among other industrial end uses.

Quantity (in short tons)					
Item	Calendar year			January-March	
	2018	2019	2020	2020	2021
<b>Channels of distribution:</b>					
<b>U.S. shipments:</b>					
To distributors (M)					
To consumer packaging/converter (N)					
To household use/spoolers (O)					
To industrial applications (P)					

**RECONCILIATION OF CHANNELS**--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year			January-March	
	2018	2019	2020	2020	2021
M + N + O + P – D - F- H = zero ("0"), if not revise.	0	0	0	0	0

- II-9. **U.S. shipments by thickness.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms).

**Ultra-thin.** -- Aluminum foil less than 0.000315 inch (8 microns) thickness.

**Thin.** -- Aluminum foil greater than or equal to 0.000315 inch (8 microns) and less than 0.00039 inch (10 microns) thickness.

**Standard.** -- Aluminum foil greater than or equal to 0.00039 inch (10 microns) and less than or equal to 0.001 inch (25 microns) thickness.

**Heavy.** -- Aluminum foil greater than 0.001 inch (25 microns) thickness and less than 0.00177 inch (45 microns) thickness.

**Extra heavy.** -- Aluminum foil greater than or equal to 0.00177 inch (45 microns) thickness.

Quantity (in short tons); value (\$1,000)					
Item	Calendar year			January-March	
	2018	2019	2020	2020	2021
<b>U.S. shipments.--</b>					
<b>Ultra-thin:</b>					
Quantity (Q)					
Value (R)					
<b>Thin:</b>					
Quantity (S)					
Value (T)					
<b>Standard:</b>					
Quantity (U)					
Value (V)					
<b>Heavy:</b>					
Quantity (W)					
Value (X)					
<b>Extra-heavy:</b>					
Quantity (Y)					
Value (Z)					

**RECONCILIATION OF U.S. SHIPMENTS BY THICKNESS.**— Please ensure that the data reported for U.S. shipments by thickness (i.e., lines Q through Z) in each time period equal the data reported for U.S. shipments (i.e., lines D through I) in table II-7 in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year			January-March	
	2018	2019	2020	2020	2021
<b>Quantity:</b> $Q + S + U + W + Y - D - F - H$ = should equal zero ("0")	0	0	0	0	0
<b>Value:</b> $R + T + V + X + Z - E - G - I$ = should equal zero ("0")	0	0	0	0	0

II-10a. **U.S. shipments of specific products: in-scope fin stock aluminum foil.**--Report your firms' U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of in-scope fin stock aluminum foil for the specified period. Data reported in this question should be a subset of overall U.S. shipments reported in question II-7.

Quantity ( <i>in short tons</i> ); value (\$1,000)					
Item	Calendar year			January-March	
	2018	2019	2020	2020	2021
<b>U.S. shipments:</b>					
<b>In-scope fin stock aluminum foil:</b>					
Quantity					
Value					

II-10b. **U.S. shipments of specific products: ultra-thin and standard gauge foil.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of any of the products for the specified period. Data reported in this question should be a subset of overall U.S. shipments reported in question II-7.

Quantity ( <i>in short tons</i> )					
Item	Calendar year			January-March	
	2018	2019	2020	2020	2021
<b>U.S. shipments.--</b>					
Ultra-thin foil in widths exceeding 63 inches					
Ultra-thin foil, gauge 0.000236 to 0.000315					
Standard gauge for use in producing household foil, defined as foil fabricated from 8000 series alloy in gauges of 0.00039 to 0.0015 inches.					

- II-11. **Employment data.**--Report your firm's employment-related data related to the production of aluminum foil and provide an explanation for any trends in these data.

**"Production and Related Workers" (PRWs)** includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to March periods, calculate similarly and divide by 3.

If your firm had the same number of PRWs in all calendar year and had not experienced any changes in PRWs in the most recent interim period, you would have the same number of PRWs for the interim periods, regardless of whether the interim periods are Jan-Mar (Q1), Jan-June (Q1+Q2), or Jan-Sept (Q1+Q2+Q3)."

**"Hours worked"** includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

**"Wages paid"** --Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

Item	Calendar year			January-March	
	2018	2019	2020	2020	2021
Average number of PRWs ( <i>number</i> )					
Hours worked by PRWs ( <i>1,000 hours</i> )					
Wages paid to PRWs ( <i>\$1,000</i> )					

Explanation of trends:

- II-12. **Related firms.**--If your firm reported transfers to related firms in question II-7, please identify the firm(s) and indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

- II-13. **Purchases.**--Has your firm purchased aluminum foil produced in the United States or in other countries since January 1, 2018? (Do not include imports for which your firm was the importer of record. These should be reported in an importer questionnaire.)

**"Purchase"** – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

**"Import"** – A transaction to buy from a foreign supplier where your firm is the importer of record.

No	Yes	If yes--Report such purchases in the table below and explain the reasons for your firms' purchases.
<input type="checkbox"/>	<input type="checkbox"/>	

*Note:* If your firm served as the importer of record for any purchases from foreign suppliers, either for your own account or as a service for another entity, those purchases are to be considered "imports" not "purchases" and **should not** be included in the table below.

(Quantity in short tons)					
Item	Calendar year			January-March	
	2018	2019	2020	2020	2021
<b>Purchases from U.S. importers<sup>1</sup> of aluminum foil from—</b>					
Armenia					
Brazil					
Oman					
Russia					
Turkey					
China					
Germany					
Korea					
All other sources					
<b>Purchases from domestic producers<sup>2</sup></b>					
<b>Purchases from other sources<sup>3</sup></b>					
<sup>1</sup> Please list the name of the importer(s) from which your firm purchased this product. If your firm's import suppliers differ by source, please identify the source for each listed supplier: _____. <sup>2</sup> Please list the name of the U.S. producer(s) from which your firm purchased this product: _____. <sup>3</sup> Please list the name of the firm(s) from which your firm purchased this product: _____.					

- II-14. **Imports.**--Since January 1, 2018, has your firm imported aluminum foil?

No	Yes	If yes-- <b>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</b>
<input type="checkbox"/>	<input type="checkbox"/>	

II-15. **Captive production use.**—Please report your firm's internal consumption and/or transfers to related firms in 2020 by disposition.

<b>Products</b>	<b>Internal consumption 2020 (short tons)</b>	<b>Transfers to related firms 2020 (short tons)</b>
Sold as scope merchandise	N/A	
Unusable/not further processed <sup>1</sup>		
Processed into other products <sup>2</sup>		
<b>Total</b>	<b>0</b>	<b>0</b>
<sup>1</sup> Please describe the eventual disposition of these products: _____. <sup>2</sup> Please identify the top-5 products that the aluminum foil was processed into by your firm, rank ordered by larger to smaller quantity: _____.		

**RECONCILIATION OF INTERNAL CONSUMPTION AND TRANSFERS.**—The sum of the data reported above should be equal to the 2020 data reported for internal consumption and transfers in question II-7 for 2019. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

<b>Reconciliation</b>	<b>Internal consumption 2020 (short tons)</b>	<b>Transfers to related firms 2020 (short tons)</b>
Data in this question minus data in question II-7.	0	0

II-16. **Aluminum foil's share contribution to small reels of aluminum foil.**--Does your firm or a related firm produce small reels of aluminum foil using your firm's U.S.-produced aluminum foil?

<b>No</b>	<b>Yes</b>	<b>If yes--</b> please report the share that aluminum foil accounts for small reels of aluminum foil.
<input type="checkbox"/>	<input type="checkbox"/>	

<b>Material inputs used in small reels of aluminum foil production</b>	<b>Share of <u>value</u> accounted for in small reels of aluminum foil (percent)</b>	<b>Share of the <u>quantity</u> accounted for in small reels of aluminum foil (percent)</b>
Aluminum foil		
All other material inputs		
<b>Total (should sum to 100.0%)</b>	<b>0.0</b>	<b>0.0</b>

- II-17. **Aluminum foil's share contribution to other downstream products.**--Does your firm or a related firm produce products other than small reels of aluminum foil out of your firm's production of aluminum foil? If yes, describe these downstream products and report the share that aluminum foil accounts for of those products on both a value and quantity basis.

<b>No</b>	<b>Yes</b>	<b>If yes--</b> Please describe these downstream products and then report the share that aluminum foil accounts for of these products.
<input type="checkbox"/>	<input type="checkbox"/>	

Material inputs used in products other than small reels of aluminum foil	Share of <u>value</u> accounted for of the downstream product(s) (percent)	Share of the <u>quantity</u> accounted for of the downstream product(s) (percent)
Aluminum foil		
All other material inputs		
<b>Total</b> (should sum to 100.0%)	0.0	0.0

- II-18. **Other explanations.**--If your firm would like to further explain a response to a question in Part II for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

--



**PART III.--FINANCIAL INFORMATION**

Address questions on this part of the questionnaire to Jennifer Brinckhaus (202-205-3188, jennifer.brinckhaus@usitc.gov).

- III-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part III.

Name	
Title	
Email	
Telephone	

- III-2. **Accounting system.**—Please provide the following information on your firm's financial accounting system.

- A. When does your firm's fiscal year end (month and day)? \_\_\_\_\_  
If your firm's fiscal year changed during the data-collection period, explain below:

- B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include aluminum foil:

2. Does your firm prepare profit/loss statements for aluminum foil:  
☐ Yes ☐ No
3. How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.  
☐ audited, ☐ unaudited, ☐ annual reports, ☐ 10Ks, ☐ 10 Qs,  
☐ monthly, ☐ quarterly, ☐ semi-annually, ☐ annually
4. Accounting basis: ☐ U.S. GAAP, ☐ IFRS, ☐ cash, ☐ tax, or ☐ other comprehensive basis of accounting (specify) \_\_\_\_\_

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes aluminum foil, as well as specific statements and worksheets) used to compile these data.*

- III-3. **Cost accounting system.**--Briefly describe your firm's cost accounting system (e.g., standard cost, job order cost, etc.).

- III-4. **Allocation basis.**--Briefly describe your firm's allocation basis, if any, for COGS, SG&A, and interest expense and other income and expenses.

--

- III-5. **Product listing.**--Please list the products your firm produced in the facilities in which your firm produced aluminum foil and provide the share of net sales accounted for by these products in your firm's most recent fiscal year.

Products	Share of sales in 2020
Aluminum foil	%
	%
	%
	%
	%

- III-6. **Inputs from related suppliers.**--Does your firm purchase **inputs** (raw materials, labor, energy, or any services) used in the production of aluminum foil from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?

<b>Yes--Continue to question III-7</b>	<b>No—Skip to question III-9a.</b>
<input type="checkbox"/>	<input type="checkbox"/>

- III-7. **Inputs from related suppliers detailed.**--Please identify the inputs used in the production of aluminum foil that your firm purchases from related suppliers and that are reflected in question III-9a. For "Share of total COGS" please report this information by relevant input on the basis of your most recently completed fiscal year. For "Input valuation method" please describe the basis, as recorded in your company's own accounting system, of the purchase cost from the related supplier; e.g., the related supplier's actual cost, cost plus, negotiated transfer price to approximate fair market value ("FMV").

Input	Related supplier	Share of total COGS in 2020
		%
		%
		%
		%
<b>Input valuation method (e.g., cost plus, transfer price to approximate FMV, etc.)</b>		

- III-8. **Inputs purchased from related suppliers.**--Please confirm that the inputs purchased from related suppliers, as identified in III-7, are reported in III-9a (financial results on aluminum foil) in a manner consistent with your firm's accounting books and records.

Yes	No	If no--In the space below, please report the valuation basis of inputs purchased from related suppliers as reported in question III-9a.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-9a. **Operations on aluminum foil.**--Report the revenue and related cost information requested below on the aluminum foil operations of your firm's U.S. establishment(s).<sup>1</sup> Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records.

Quantity (in short tons) and value (in \$1,000)					
Item	Fiscal year			January-March	
	2018	2019	2020	2020	2021
<b>Net sales quantities:</b> <sup>2</sup>					
Commercial sales ("CS")					
Internal consumption ("IC")					
Transfers to related firms ("Transfers")					
Total net sales quantities	0	0	0	0	0
<b>Net sales values:</b> <sup>2</sup>					
Commercial sales:					
Metal price portion of net sales					
Conversion price portion of net sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	0
<b>Cost of goods sold (COGS):</b> <sup>3</sup>					
Raw materials:					
Aluminum					
All other raw material costs					
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	0
<b>Gross profit or (loss)</b>	0	0	0	0	0
<b>Selling, general, and administrative (SG&amp;A) expenses</b>					
<b>Operating income (loss)</b>	0	0	0	0	0
<b>Other expenses and income:</b>					
Interest expense					
All other expense and income items, net <sup>4</sup>					
<b>Net income or (loss) before income taxes</b>	0	0	0	0	0
<b>Depreciation/amortization included above</b>					

<sup>1</sup> Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations.

<sup>2</sup> Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

<sup>3</sup> COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.

<sup>4</sup> If the total reported amount is net other expenses, report as a positive number. If the total reported amount is net other income, report as a negative number. Please check the calculated "Net income or (loss) before income taxes" to ensure proper reporting of these items.

*Note --The table above contains calculations that will appear when you have entered data in the MS Word form fields.*

III-9b. **Operations on aluminum foil – U.S. commercial sales and exports only (“open market sales”).-**

Report the revenue and related cost information requested below on the aluminum foil operations of your firm's U.S. establishment(s).<sup>1</sup> Include both domestic and export **commercial sales** of aluminum foil, but do not report resales of purchased aluminum foil. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records.

Quantity (in short tons) and value (in \$1,000)					
Item	Fiscal year			January-March	
	2018	2019	2020	2020	2021
<b>Net open market sales quantities<sup>2</sup></b>	0	0	0	0	0
<b>Net open market sales values:<sup>2</sup></b>					
Metal price portion of net sales	0	0	0	0	0
Fabrication price portion of net sales	0	0	0	0	0
<b>Cost of goods sold (COGS):<sup>3</sup></b>					
Raw materials:					
Aluminum					
All other raw material costs					
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	0
<b>Gross profit or (loss)</b>	0	0	0	0	0
<b>Selling, general, and administrative (SG&amp;A) expenses</b>					
<b>Operating income (loss)</b>	0	0	0	0	0
<b>Other expenses and income:</b>					
Interest expense					
All other expense and income items, net <sup>4</sup>					
<b>Net income or (loss) before income taxes</b>	0	0	0	0	0
<b>Depreciation/amortization included above</b>					
<sup>1</sup> Include only sales (whether <u>domestic or export</u> ) and costs related to your <u>U.S. manufacturing operations</u> . <sup>2</sup> Net open market sales are based on the commercial sales lines from question III-9a and will populate in this question once input into question III-9a. <sup>3</sup> COGS (whether for domestic or export sales) should include <u>costs associated with commercial sales only</u> . <sup>4</sup> If the total reported amount is net other expenses, report as a positive number. If the total reported amount is net other income, report as a negative number. Please check the calculated “Net income or (loss) before income taxes” to ensure proper reporting of these items.					

- III-9c. **Financial data reconciliation.**-- The calculable line items from questions III-9a and III-9b (*i.e.*, total net sales quantities and values, total COGS, gross profit (or loss), operating income (or loss), and net income (or loss)) have been calculated from the data submitted in the other line items. Do the calculated fields return the correct data according to your firm's financial records ignoring non-material differences that may arise due to rounding?

		<b>If no--</b> If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise. Also, check signs of the line item "All other expense and income items, net." If the net value of the all other items reported here is an expense/loss, it should be reported as a positive number. If the net value is an income/gain it should be reported as a negative value. If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.
<b>Yes</b>	<b>No</b>	
<input type="checkbox"/>	<input type="checkbox"/>	

- III-9d. **Raw materials.**--Please report the share of total raw material costs in 2020 (reported in III-9a) for the following raw material inputs:

Input	Share of total raw material costs (percent)	Procurement method	
		Primarily produced by your firm	Primarily purchased by your firm
Re-roll stock aluminum		<input type="checkbox"/>	<input type="checkbox"/>
Primary aluminum (e.g., wrought)		<input type="checkbox"/>	<input type="checkbox"/>
Secondary aluminum (e.g., scrap)		<input type="checkbox"/>	<input type="checkbox"/>
Other material inputs <sup>1</sup>		<input type="checkbox"/>	<input type="checkbox"/>
Total (should sum to 100 percent)	0.0		
<sup>1</sup> Please indicate notable "other material inputs" and provide the share of the total raw material costs for which each account: _____.			

- III-9e. **Active management of aluminum costs.**-- Does your firm actively manage its metal costs (*i.e.*, the price of acquiring aluminum to roll) used in the production of aluminum foil?

<b>No</b>	<b>Yes</b>	<b>If yes, please describe.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

- III-9f. **Effects on financial performance of COVID-19**-- Please explain how the COVID-19 pandemic has affected the financial performance of your firm's operations on aluminum foil as reported in III-9a.

--

- III-10. **Nonrecurring items (charges and gains) included in the subject product financial results.**--For each annual and interim period for which financial results are reported in question III-9a, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific question III-9a line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (*in \$1,000*), as reflected in question III-9a; i.e., if an aggregate nonrecurring item has been allocated to question III-9a, only the allocated value amount included in question III-9a should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in question III-9a.

Item	Fiscal year			January-March	
	2018	2019	2020	2020	2021
	Value (\$1,000)				
Nonrecurring item 1					
Nonrecurring item 2					
Nonrecurring item 3					
Nonrecurring item 4					
Nonrecurring item 5					
Nonrecurring item 6					
Nonrecurring item 7					

**Nonrecurring item:** In this table please provide a brief description of each nonrecurring item reported above and indicate the specific line item in table III-9a where the nonrecurring item is classified.

	Description of the nonrecurring item	Income statement classification of the nonrecurring item
Nonrecurring item 1		
Nonrecurring item 2		
Nonrecurring item 3		
Nonrecurring item 4		
Nonrecurring item 5		
Nonrecurring item 6		
Nonrecurring item 7		

- III-11. **Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the company.**--If non-recurring items were reported in question III-10 above, please identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., just as responses to question III-10 identify where these items are reported in question III-9a.

--

- III-12a. **Asset values.**--Report the total assets (i.e., both current and long-term assets) associated with aluminum foil. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for aluminum foil in the normal course of business, please estimate this information.

For any assets that are not specific to aluminum foil, please allocate the asset(s) based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question III-9a.

**Note:** Total assets should reflect assets after any accumulated depreciation and allowances are deducted.

Value (in \$1,000)			
Item	Fiscal year		
	2018	2019	2020
Total assets (net)			

- III-12b. **Description of asset values.**-- Please describe the main asset categories (both current and long-term assets) in the above response. Provide a brief explanation if there are any substantial changes in total asset value during the period; *e.g.*, due to asset write-offs, revaluation, and major purchases.

--



III-13a. **Capital expenditures and research and development (“R&D”) expenses.**--Report your firm's capital expenditures and R&D expenses for aluminum foil.

Value (in \$1,000)					
Item	Fiscal year			January-March	
	2018	2019	2020	2020	2021
Capital expenditures					
R&D expenses					

III-13b. **Description of reported capital expenditures.**—Please describe the nature, focus, and significance of your firm's reported capital expenditures. If no capital expenditures were reported, please explain the reason.

III-13c. **Description of reported R&D expenses.**—Please describe the nature, focus, and significance of your firm's reported R&D expenses. If no R&D expenses were reported, please explain the reason.

III-14. **Data consistency and reconciliation.**--Please indicate whether your firm's financial data for questions III-9a, 12a, and 13a are based on a calendar year or on your firm's fiscal year:

Calendar year	Fiscal year	Specify fiscal year
<input type="checkbox"/>	<input type="checkbox"/>	

**RECONCILIATION OF TRADE VS FINANCIAL DATA.**--Please ensure that the quantities and values reported for total shipments in question II-7 (including export shipments) equal the quantities and values reported for total net sales in question III-9a in each time period unless the financial data from Part III are reported on a fiscal year basis, in which case only the interim periods must reconcile. If the calculated fields below return values other than zero (i.e., "0") and both are being reported on a calendar basis, please explain the discrepancy below.

Reconciliation	Fiscal year			January-March	
	2018	2019	2020	2020	2021
<b>Quantity:</b> Trade data from question II-7 (lines D, F, H, and J) less financial total net sales quantity data from question III-9a, = zero ("0").	0	0	0	0	0
<b>Value:</b> Trade data from question II-7 (lines E, G, I, and K) less financial total net sales value data from question III-9a, = zero ("0").	0	0	0	0	0

Do these data in question III-9a reconcile with data in question II-7?

Yes	No	If no, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	

If your responses to any of the items in questions III-15, III-16, and III-17 differ by country, please describe these differences and, as applicable, indicate which country or countries your response refers to in the relevant form fields.

- III-15. **Effects of imports on investment.**--Since January 1, 2018, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of aluminum foil from Armenia, Brazil, Oman, Russia, or Turkey?

<b>No</b>	<b>Yes</b>	<b>If yes, my firm has experienced actual negative effects as follows.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

	<i>(check as many as appropriate)</i>	<i>(please describe)</i>
<input type="checkbox"/>	Cancellation, postponement, or rejection of expansion projects	
<input type="checkbox"/>	Denial or rejection of investment proposal	
<input type="checkbox"/>	Reduction in the size of capital investments	
<input type="checkbox"/>	Return on specific investments negatively impacted	
<input type="checkbox"/>	Other	

- III-16. **Effects of imports on growth and development.**--Since January 1, 2018, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of aluminum foil from Armenia, Brazil, Oman, Russia, or Turkey?

<b>No</b>	<b>Yes</b>	
<input type="checkbox"/>	<input type="checkbox"/>	<b>If yes, my firm has experienced actual negative effects as follows.</b>

<i>(check as many as appropriate)</i>		<i>(please describe)</i>
<input type="checkbox"/>	Rejection of bank loans	
<input type="checkbox"/>	Lowering of credit rating	
<input type="checkbox"/>	Problem related to the issue of stocks or bonds	
<input type="checkbox"/>	Ability to service debt	
<input type="checkbox"/>	Other	

- III-17. **Anticipated effects of imports.**--Does your firm anticipate any negative effects due to imports of aluminum foil from Armenia, Brazil, Oman, Russia, or Turkey?

No	Yes	If yes, my firm anticipates negative effects as follows.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-18. **Other explanations.**--If your firm would like to further explain a response to a question in Part III for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

--

**PART IV.--PRICING AND MARKET FACTORS**

Further information on this part of the questionnaire can be obtained from James Horne (202-205-2722, James.Horne@usitc.gov).

IV-1. **Contact information.**--Please identify the individual that Commission staff may contact regarding the confidential information submitted in Part IV.

Name	
Title	
Email	
Telephone	

**PRICE DATA**

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2018 of the following products produced by your firm.

**Product 1.**-- Aluminum in the 8XXX or 1XXX series, standard tempers, 0.000235ga – 0.00025ga, all widths, mill finish

**Product 2.**-- Aluminum in the 8XXX series, standard tempers, 0.004-0.0078 inch thickness, width 6-40", mill finish.

**Product 3.**-- Aluminum in the 8XXX series, standard tempers, 0.00039-0.001 inch thickness, width 12-18", mill finish.

**Product 4.**-- Aluminum in the 3XXX series, standard tempers, 0.0016-0.0032 inch thickness, width 0.5-15", mill finish.

**Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).**

IV-2a. During January 2018-March 2021, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

<input type="checkbox"/>	<b>Yes.</b> --Please complete the following pricing data table as appropriate.
<input type="checkbox"/>	<b>No.</b> --Skip to question IV-3.

IV-2b. **Price data.**--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> produced and sold by your firm.

Report data in **pounds** and **actual dollars** (not 1,000s).

<b>(Quantity in pounds, value in dollars)</b>								
<b>Period of shipment</b>	<b>Product 1</b>		<b>Product 2</b>		<b>Product 3</b>		<b>Product 4</b>	
	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>
<b>2018:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2019:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2020:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2021:</b>								
January-March								

<sup>1</sup> Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. Please subtract any discounts, rebates, and returns from the quarter in which the sale occurred.

<sup>2</sup> Pricing product definitions are provided on the first page of Part IV.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

Product 4:





IV-2e. **Conversion prices.**--Report the average conversion price charged for all in-scope aluminum foil products since January 1, 2018 and provide an explanation for any trends.

Item	Calendar Years			January - March	
	2018	2019	2020	2020	2021
Ultra-thin conversion price (\$/pound)					
Thin conversion price (\$/pound)					
Standard conversion price (\$/pound)					
Heavy conversion price (\$/pound)					
Extra-heavy conversion price (\$/pound)					
<b>Explanation of trends:</b> _____					

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.*

- IV-3. **Price setting.**--How does your firm determine the prices that it charges for sales of aluminum foil (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- IV-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- IV-5. **Pricing terms.**--On what basis are your firm's prices of domestic aluminum foil usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

- IV-6. **Contract versus spot.**--Approximately what share of your firm's sales of its U.S.-produced aluminum foil in 2020 was on a (1) short-term contract basis, (2) annual contract basis, (3) long-term contract basis, and (4) spot sales basis?

Item	Type of sale				Total (should sum to 100.0%)
	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	Spot sales (for a single delivery)	
Share of 2020 sales	%	%	%	%	0.0 %

IV-7. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced aluminum foil (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation (during contract period)	Yes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	Quantity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Price	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Both	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Indexed to raw material costs <sup>1</sup>	Yes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<sup>1</sup> Please identify the indexes used: _____.				

IV-8. **Price negotiation elements.**—Which of the following price elements does your firm negotiate?

Element	No	Yes	If yes, please describe.
London Metal Exchange and Midwest Premium raw material costs	<input type="checkbox"/>	<input type="checkbox"/>	
Other raw material costs	<input type="checkbox"/>	<input type="checkbox"/>	
Conversion price	<input type="checkbox"/>	<input type="checkbox"/>	
Other	<input type="checkbox"/>	<input type="checkbox"/>	

- IV-9. **Lead times.**--What share of your firm's sales is from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced aluminum foil?

Source	Share of 2020 sales	Lead time (Average number of days)
From inventory	%	
Produced to order	%	
<b>Total</b> (should sum to 100.0%)	0.0 %	

- IV-10. **Shipping information.**--

- (a) Who generally arranges the transportation to your firm's customers' locations?  
☐ Your firm    ☐ Purchaser (check one)
- (b) Indicate the approximate percentage of your firm's sales of aluminum foil that are delivered the following distances from its production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
<b>Total</b> (should sum to 100.0%)	0.0 %

- IV-11. **Geographical shipments.**--In which U.S. geographic market area(s) has your firm sold its U.S.-produced aluminum foil since January 1, 2018 (check all that apply)?

Geographic area	✓ if applicable
<b>Northeast.</b> --CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
<b>Midwest.</b> --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
<b>Southeast.</b> --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
<b>Central Southwest.</b> --AR, LA, OK, and TX.	<input type="checkbox"/>
<b>Mountains.</b> --AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
<b>Pacific Coast.</b> --CA, OR, and WA.	<input type="checkbox"/>
<b>Other.</b> --All other markets in the United States not previously listed, including AK, HI, PR, and VI.	<input type="checkbox"/>

- IV-12. **Inland transportation costs.**--What is the approximate percentage of the cost of U.S.-produced aluminum foil that is accounted for by U.S. inland transportation costs? \_\_\_\_\_ percent

IV-13. **End uses.**--List the end uses of the aluminum foil that your firm manufactures. For each end-use product, what percentage of the total cost is accounted for by aluminum foil and other inputs?

End-use product	Share of total cost of end use product accounted for by		Total (should sum to 100.0% across)
	Aluminum foil	Other inputs	
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

IV-14. **Substitutes.**--Can other products be substituted for aluminum foil?

☐ No

☐ Yes--Please fill out the table.

Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for aluminum foil?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

IV-15. **Demand trends.**--Indicate how demand within the United States and outside of the United States (if known) for aluminum foil has changed since January 1, 2018. Explain any trends and describe the principal factors (such as foil thickness, COVID-19, etc.) that have affected these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

IV-16. **Product changes.**--Have there been any significant changes in the product range, product mix, or marketing of aluminum foil since January 1, 2018?

No	Yes	If yes, please describe and quantify if possible.
<input type="checkbox"/>	<input type="checkbox"/>	

**IV-17. Conditions of competition.--**

(a) Is the aluminum foil market subject to business cycles and/or other conditions of competition distinctive to aluminum foil? If yes, describe.

<b>Check all that apply.</b>	<b>Please describe.</b>
<input type="checkbox"/> <b>No</b>	Skip to question IV-18.
<input type="checkbox"/> <b>Yes-Business cycles (e.g. seasonal business)</b>	
<input type="checkbox"/> <b>Yes-Other distinctive conditions of competition</b>	

(b) If yes, have there been any changes in the business cycles or conditions of competition for aluminum foil since January 1, 2018?

<b>No</b>	<b>Yes</b>	<b>If yes, describe.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

**IV-18. Supply constraints.--**Has your firm refused, declined, or been unable to supply aluminum foil at any time between January 1, 2018 and September 29, 2020 (examples include placing customers on allocation or “controlled order entry,” declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, impact from changes in operations listed in II-2a, COVID-19, etc.)?

<b>No</b>	<b>Yes</b>	<b>If yes, please describe, including the reason, timing, and duration of the constraint.</b>

(b) Has your firm experienced any supply constraints since the petition was filed on September 29, 2020?

<b>No</b>	<b>Yes</b>	<b>If yes, please describe, including the reason, timing, and duration of the constraint.</b>



- IV-21. **Factors other than price.**--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between aluminum foil produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	Armenia	Brazil	Oman	Russia	Turkey	Other countries
United States						
Armenia						
Brazil						
Oman						
Russia						
Turkey						

For any country-pair for which factors other than price are *always* or *frequently* a significant factor in your firm's sales of aluminum foil, identify the country-pair and relevant factors and report the advantages or disadvantages imparted by such factors:



IV-22. **Customer identification.**--List the names and contact information for your firm's 10 largest U.S. customers for aluminum foil since January 1, 2018. Indicate the share of the quantity of your firm's total shipments of aluminum foil that each of these customers accounted for in 2020.

Customer's name		City	State	Share of 2020 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

**IV-23. Competition from imports.--**

- (a) **Lost revenue.**--Since January 1, 2018: To avoid losing sales to competitors selling aluminum foil from Armenia, Brazil, Oman, Russia, or Turkey did your firm:

Item	No	Yes
Reduce prices	<input type="checkbox"/>	<input type="checkbox"/>
Roll back announced price increases	<input type="checkbox"/>	<input type="checkbox"/>

- (b) **Lost sales.**--Since January 1, 2018: Did your firm lose sales of aluminum foil to imports of this product from Armenia, Brazil, Oman, Russia, or Turkey?

No	Yes
<input type="checkbox"/>	<input type="checkbox"/>

- IV-24. **Other explanations.**--If your firm would like to further explain a response to a question in Part IV for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

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## HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at: [LINK](#)

***Please do not attempt to modify the format or permissions of the questionnaire document.*** Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

**Web address:** <https://dropbox.usitc.gov/oinv/>      **Pin:** **FOIL**

- **E-mail.**—E-mail the MS Word questionnaire to [celia.feldpausch@usitc.gov](mailto:celia.feldpausch@usitc.gov); include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

**If your firm does not produce this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

***Parties to this proceeding.***—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7).