### FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE

# UNCOATED PAPER FROM AUSTRALIA, BRAZIL, CHINA, INDONESIA, AND PORTUGAL

This questionnaire must be received by the Commission by <u>September 17, 2021</u>

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its reviews of the countervailing and antidumping duty orders concerning uncoated paper from Australia, Brazil, China, Indonesia, and Portugal (Inv. Nos. 701-TA-528-529 and 731-TA-1264-1268 (Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

Name of firm

| Address   |   |  |  |   | <u> </u>   |
|---|---|--|--|---|--|
| Website   |   |  |  |   | _  |
| Has your firm p<br>2015?  | produced or expo  | orted uncoated   | paper (as define   | d on next page) at a  | any time since January 1,  |
| □ NO  | (Sign the certifica   | tion below and բ   | promptly return <b>on</b>  | ly this page of the que   | estionnaire to the Commission)   |
| YES   | (Complete all par   | ts of the questio  | nnaire, and return   | the entire questionna   | ire to the Commission)   |
| Data reported   | d in this questic   | onnaire relate   | to (Check one)   | :   |  |
|   | Australia   | Brazil   | China  | Indonesia   | Portugal   |
|   |   |  | CERTIFICATIO   | N   |  |
| of this certification   | on I also grant<br>this questionna<br>ssion on the sam                                      | consent for t<br>ire and throug<br>e or similar me                                       | the Commission,<br>ghout this proce  | and its employee  | and verification by the Commiss<br>s and contract personnel, to a<br>import-injury proceedings or  |
| ling or other proc<br>nel (a) for develop<br>, and evaluations                                | reeding may be<br>oing or maintain<br>or relating to th<br>or government en                 | disclosed to a<br>ning the record<br>ne programs, p<br>nployees and c                    | and used: (i) by the<br>ls of this or a relipersonnel, and contract personn              | he Commission, its<br>ated proceeding, o<br>operations of the                           | t for information and throughes employees and Offices, and or (b) in internal investigations, Commission including under 5 security purposes. I understand |
| ling or other proc<br>nel (a) for develop<br>, and evaluations<br>ix 3; or (ii) by U.S.       | eeding may be<br>ping or maintain<br>s relating to th<br>government en<br>gn appropriate n  | disclosed to a<br>ning the record<br>ne programs, p<br>nployees and c                    | and used: (i) by the last of this or a relipersonnel, and contract personnel agreements. | the Commission, its<br>ated proceeding, o<br>operations of the<br>el, solely for cybers | s employees and Offices, and c<br>or (b) in internal investigations,<br>Commission including under 5   |
| ing or other procel (a) for develop and evaluations ix 3; or (ii) by U.S. personnel will sign | eeding may be<br>ping or maintain<br>s relating to the<br>government en<br>gn appropriate n | disclosed to a<br>ning the record<br>ne programs, p<br>nployees and a<br>nondisclosure a | and used: (i) by the last of this or a relipersonnel, and contract personnel agreements. | the Commission, its<br>ated proceeding, o<br>operations of the<br>el, solely for cybers | s employees and Offices, and c<br>or (b) in internal investigations,<br>Commission including under 5<br>security purposes. I understand                    |

#### PART I.--GENERAL INFORMATION

**Background.**-- On March 3, 2016, the Department of Commerce ("Commerce") issued antidumping duty orders on imports of uncoated paper from Australia, Brazil, China, Indonesia, and Portugal and countervailing duty orders on imports of uncoated paper from China and Indonesia. On February 1, 2021, the Commission instituted reviews pursuant to section 751(c) of the Tariff Act of 1930 (19 U.S.C. § 1675(c)) (the Act) to determine whether revocation of the orders would be likely to lead to continuation or recurrence of material injury to the domestic industry within a reasonably foreseeable time. If both the Commission and Commerce make affirmative determinations, the orders will remain in place. If either the Commission or Commerce makes a negative determination, Commerce will revoke the orders. Questionnaires and other information pertinent to this proceeding are available at <a href="https://usitc.gov/investigations/701731/2021/certain uncoated paper australia brazil china/first review full.htm">https://usitc.gov/investigations/701731/2021/certain uncoated paper australia brazil china/first review full.htm</a>.

<u>Uncoated paper</u> covered by these reviews is uncoated paper in sheet form; weighing at least 40 grams per square meter but not more than 150 grams per square meter; that either is a white paper with a GE brightness level of 85 or higher or is a colored paper; whether or not surface-decorated, printed (except as described below), embossed, perforated, or punched; irrespective of the smoothness of the surface; and irrespective of dimensions (Certain Uncoated Paper).

Uncoated Paper includes (a) uncoated free sheet paper that meets this scope definition; (b) uncoated ground wood paper produced from bleached chemi-thermo-mechanical pulp (BCTMP) that meets this scope definition; and (c) any other uncoated paper that meets this scope definition regardless of the type of pulp used to produce the paper.

In reporting data for uncoated paper in this questionnaire, include any merchandise subject to an affirmative U.S. Department of Commerce determination of anti-circumvention. This would include uncoated paper that is 83+/-1 percent bright from Australia, Brazil, the People's Republic of China, Indonesia, and Portugal per Certain Uncoated Paper From Australia, Brazil, the People's Republic of China, Indonesia, and Portugal: Affirmative Final Determination of Circumvention of the Antidumping and Countervailing Duty Orders, 82 Fed. Reg. 41610 (Sept. 1, 2017).

Specifically excluded from the scope of these orders are: (1) paper printed with final content of printed text or graphics and (2) lined paper products, typically school supplies, composed of paper that incorporates straight horizontal and/or vertical lines that would make the paper unsuitable for copying or printing purposes. For purposes of this scope definition, paper shall be considered "printed with final content" where at least one side of the sheet has printed text and/or graphics that cover at least five percent of the surface area of the entire sheet.

Uncoated paper is currently imported under statistical reporting numbers 4802.56.1000, 4802.56.2000, 4802.56.3000, 4802.56.4000, 4802.56.6000, 4802.56.7020, 4802.56.7050 (since 1/1/2019), 4802.56.7090 (since 1/1/2019), 4802.57.1000, 4802.57.2000, 4802.57.3000, and 4802.57.4000. Prior to 1/1/2019, uncoated paper was also imported under HTS statistical reporting numbers 4802.56.7040. Some imports of subject merchandise may also be classified under 4802.62.1000, 4802.62.2000, 4802.62.3000, 4802.62.5000, 4802.62.6120 (since 1/1/2017), 4802.62.6140 (since 1/1/2017), 4802.69.1000, 4802.69.2000, 4802.69.3000, 4811.90.8050 and 4811.90.9080. Prior to 1/1/2017, uncoated paper was also imported under HTS statistical reporting numbers 4802.62.6020 and 4802.62.6040. While HTSUS subheadings are provided for convenience and customs purposes, the written description of the scope of the orders is dispositive.

**Reporting of information**.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions. **Confidentiality**.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

<u>Valid number error messages.</u>—If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from Caitlyn Hendricks (202-205-2058, Caitlyn.Hendricks@usitc.gov).

I-1. <u>OMB statistics</u>.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

| Hours | Dollars |
|-------|---------|
|       |         |

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 30 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

| questionnaire.  | coveredProvide the name and address of establishment(s) covered by this  |
|---|--|
| involved in the p<br>conjunction with<br>than one establi | ' Each facility of a firm in Australia, Brazil, China, Indonesia, or Portugal production or export of uncoated paper, including auxiliary facilities operated in whether or not physically separate from) such facilities. Firms operating more shment in Australia, Brazil, China, Indonesia, or Portugal should combine the olishments into a single report. |
|   |  |
|   |  |
| Stock symbol inf  | formation If your firm or any of the entities reported in question I-2 are   |
|   | formation If your firm or any of the entities reported in question I-2 are not the United States, please specify the stock exchange and trading symbol   |
| publicly traded in  |  |
| publicly traded in (including Ameri External counse)      | n the United States, please specify the stock exchange and trading symbol  |
| publicly traded in (including Ameri External counse)      | n the United States, please specify the stock exchange and trading symbol can Depository Receipts, if applicable):  L If your firm or parent firm is represented by external counsel in relation to  |

I-5. <u>U.S. importers.</u>--Please provide the names, contacts, email addresses, and telephone numbers of the <u>FIVE</u> largest U.S. importers of your firm's uncoated paper in 2020.

| No. | Importer's name | Contact person | Email address | Area code<br>and<br>telephone<br>number | Share of<br>your firm's<br>2020 U.S.<br>exports<br>(%) |
|-----|-----------------|----------------|---------------|---|--|
| 1   |                 |                |               |   |  |
| 2   |                 |                |               |   |  |
| 3   |                 |                |               |   |  |
| 4   |                 |                |               |   |  |
| 5   |                 |                |               |   |  |

| No                  | Yes        | If yesPlease name the firm(s) and country(ies) below and, if U.S. producer(s), ensure that they complete the Commission's producer questionnaire.   |
|---------------------|------------|---|
|                     |            |   |
|                     |            | Does your firm or any related firm import or have any plans to import or the United States?   |
| No                  | Yes        | If yesPlease name the firm(s) below and ensure that they complete to Commission's importer questionnaire.   |
|                     |            |   |
|                     |            |   |
| business            | plan. Does | your company or any related firm have a business plan or any internal   |
| business<br>documen | plan. Does | arts II and III of this questionnaire we request a copy of your company's your company or any related firm have a business plan or any internal scribe, discuss, or analyze expected future market conditions for uncoated.  If yesPlease provide the requested documents. If you are not provid the requested documents, please explain why not. |

#### PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Caitlyn Hendricks (202-205-2058, Caitlyn.Hendricks@usitc.gov). **Supply all data requested on a <u>calendar-year</u> basis**.

II-1. <u>Contact information.</u>--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part II.

| Name      |  |
|-----------|--|
| Title     |  |
| Email     |  |
| Telephone |  |

II-2a. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the production of uncoated paper since January 1, 2015.

| Chec | k as many as appropriate.                      | If checked, please describe the nature, date(s), and significance of any such reported changes as well as the business reasons for them; leave completely blank if not applicable. |
|------|--|--|
|      | Plant openings                                 |  |
|      | Plant closings                                 |  |
|      | Relocations                                    |  |
|      | Expansions                                     |  |
|      | Acquisitions                                   |  |
|      | Consolidations                                 |  |
|      | Contractions or re-purposing                   |  |
|      | Prolonged shutdowns or production curtailments |  |
|      | Revised labor agreements                       |  |
|      | Other (e.g., technology)                       |  |

#### II-2b. **COVID-19 pandemic.**—

(i) Since January 1, 2020, has the COVID-19 pandemic or have any government actions taken to contain the spread of the COVID-19 virus resulted in changes in relation to your firm's supply chain arrangements, production, and sales (including exports to the United States) relating to uncoated paper?

| No | If yes, describe these changes including a separate discussion of the (a) supply chain impact, (b) production and sales impact, and (c) employment impact of the COVID-19 pandemic. |
|----|---|
|    |   |

(ii) Has your firm experienced a reversal (partial or full) of the adverse impact of the COVID-19 pandemic to your firm's supply chain arrangements, production, and shipments relating to uncoated paper?

| No | If yes, when did the reversal begin? Are operations back to pre-pandemic normal? What are your expectations of how these market developments might evolve in the foreseeable future as the United States recovers from COVID-19 pandemic? |
|----|---|
|    |   |

II-3a. **Production using same sheeting machinery**.--Please report your firm's production of products made on the same equipment, machinery, or employees as used to produce uncoated paper, and the combined production capacity on this shared equipment, machinery, or employees in the periods indicated.

"Overall sheeting capacity" or "capacity" –The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

Note.--If your firm does not produce any out-of-scope merchandise on the same machinery and equipment as scope merchandise then the "overall sheeting capacity" numbers reported in this question should be exactly equal to the "average sheeting capacity" numbers reported in question II-11. If, however, your firm does produce out-of-scope merchandise using the same machinery and equipment as scope merchandise, then the "average production capacity" reported in question II-11 should exclude the portion of "overall production capacity" that was used to produce this out-of-scope merchandise.

"Production" -- All production in your establishment(s) in Australia, Brazil, China, Indonesia, or Portugal, including production consumed internally within your firm.

II-3a. Production using same sheeting machinery.--Continued.

| Quantity (in short tons)   |      |                            |      |      |      |        |      |      |
|--|------|----------------------------|------|------|------|--------|------|------|
|  |      | Calendar year January-June |      |      |      | y-June |      |      |
| Item   | 2015 | 2016                       | 2017 | 2018 | 2019 | 2020   | 2020 | 2021 |
| Overall sheeting capacity <sup>1</sup>   |      |                            |      |      |      |        |      |      |
| Production of:<br>Uncoated paper <sup>2</sup>  | 0    | 0                          | 0    | 0    | 0    | 0      | 0    | 0    |
| Sheets over 150 gsm<br>but otherwise matching<br>the definition of<br>uncoated paper |      |                            |      |      |      |        |      |      |
| Coated paper   |      |                            |      |      |      |        |      |      |
| Other products <sup>3</sup>  |      |                            |      |      |      |        |      |      |
| Total  | 0    | 0                          | 0    | 0    | 0    | 0      | 0    | 0    |

<sup>&</sup>lt;sup>1</sup> Data reported for capacity (first line) should be greater than data reported for total production (last line).

II-3b. **Operating parameters.--**The production capacity reported in II-3a is based on the following operating parameters:

| Hours per week | Weeks per year |
|----------------|----------------|
|                |                |

| II-3c. | <u>Capacity calculation</u> Please describe the methodology used to calculate overall production capacity reported in II-3a, and explain any changes in reported capacity. |
|--------|--|
|        |  |
| II-3d. | <u>Production constraints</u> Please describe the constraint(s) that set the limit(s) on your firm's production capacity.  |
|        |  |

 $<sup>^{2}</sup>$  Data entered for production of uncoated paper will populate here once reported in question II-11.

<sup>&</sup>lt;sup>3</sup> Please identify these products:\_\_\_\_\_.

| II-3e. | Product snifting.  |   |   |  |  |  |  |  |
|--------|--|---|---|--|--|--|--|--|
|        |  | •   | able to switch production (capacity) between uncoated paper and other ing the same equipment and/or labor?                            |  |  |  |  |  |
|        | No   | Yes   | If yes—(i.e., have produced other products or are able to produce other products) Please identify other actual or potential products: |  |  |  |  |  |
|        |  |   |   |  |  |  |  |  |
|        | (ii). Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to whithese factors enhance or constrain such shifts.                  |   |   |  |  |  |  |  |
|        |  |   |   |  |  |  |  |  |
| II-4.  |  |   | at percentage of your firm's total sales in its most recent fiscal year was sof uncoated paper? percent.                              |  |  |  |  |  |
| II-5.  | production   | Firm's estimated share of production in countryPlease estimate the percentage of total production of uncoated paper in the country specified on the certification page accounted for by your firm's production in 2020 percent. |   |  |  |  |  |  |
| II-6.  | <u>Firm's estimated share of country's exports.</u> —Please estimate the percentage of total exports to the United States of uncoated paper from the country specified on the certification page accounted for by your firm's exports in 2020 percent. |   |   |  |  |  |  |  |
| II-7.  | . <u>Third country trade actions</u> Is the uncoated paper exported by your firm subject to antidumping/countervailing duty/safeguard findings, remedies, or proceedings?  |   |   |  |  |  |  |  |
|        | No   | Yes   | If yes— List the products(s), countries affected, and the date of such findings/remedies/proceedings                                  |  |  |  |  |  |
|        |  |   |   |  |  |  |  |  |
| II-8a. | Ba. <u>Increasing export markets.</u> Identify export markets (other than the United States) that your firm has developed or where it has increased its sales of uncoated paper since 2015. Please identify and discuss below.                         |   |   |  |  |  |  |  |

| -  | -   | <u>kets</u> Identify export markets (other than the United States) where you<br>ed its sales of uncoated paper since 2015. Please identify and discuss   |
|--|---|--|
|  |   |  |
| existing cour<br>Australia, Br<br>capacity, pro      | ntervailing a<br>azil, China, loduction, ho<br>ries. You ma | vailing and antidumping duty ordersDescribe the significance of the and antidumping duty orders covering imports of uncoated paper from Indonesia, or Portugal in terms of their effect on your firm's production ome market shipments, exports to the United States and other markets, by wish to compare your firm's operations before and after the s.  |
| operations of inventories, research and paper in the | or organizati<br>purchases,<br>d developme<br>future if the | tionWould your firm anticipate any changes in in the character of its ion, including its production capacity, production, U.S. shipments, employment, revenues, costs, profits, cash flow, capital expenditures, ent expenditures, or asset values relating to the production of uncoated e countervailing and antidumping duty orders on uncoated paper from Indonesia, or Portugal were to be revoked? |
| No   | Yes   | If yes, supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentations that address this issue.   |
|  |   |  |

II-11. <u>Trade data</u>.--Report your firm's production capacity, production, shipments, and inventories related to the production of uncoated paper in your establishment(s) in Australia, Brazil, China, Indonesia, or Portugal during the specified periods. Do not include resales of uncoated paper that your firm did not produce in this question; those resales of uncoated paper the degree they are exported to the United States should only be reported in question II-12.

<u>Do not submit data by manufacturing facility if they are in the same country.</u> If your firm has multiple manufacturing establishments within one country, you are required to combine data for those establishments within one foreign producer questionnaire response.

<u>Do not submit data on multiple countries combined</u>. The establishments reported here should all be located in the country of the firm's address reported on the certification page. Multinational companies with production in multiple subject countries should submit separate foreign producer questionnaire responses for each subject country.

"Average sheeting capacity" or "capacity" –The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

"Production" -- All production in your establishment(s) in Australia, Brazil, China, Indonesia, or Portugal, including production consumed internally within your firm.

"Shipments"--Shipments of products produced in your establishment(s) in Australia, Brazil, China, Indonesia, or Portugal. Quantities reported should be net of returns. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment in Australia, Brazil, China, Indonesia, or Portugal.

"Home market commercial shipments"--Shipments, other than internal consumption and transfers to related firms, within Australia, Brazil, China, Indonesia, or Portugal.

"Home market internal consumption/transfers to related firms"--Shipments made to related firms in Australia, Brazil, China, Indonesia, or Portugal, including product consumed internally by your firm.

"Export shipments" -- Shipments to destinations outside Australia, Brazil, China, Indonesia, or Portugal, including shipments to related firms.

"Inventories" -- Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

## II-11. <u>Trade data</u>.--*Continued*.

| Quantity (in short tons) and Value (in 1,000 dollars)               |      |              |      |      |      |      |      |      |
|---|------|--------------|------|------|------|------|------|------|
|   |      | January-June |      |      |      |      |      |      |
| Item  | 2015 | 2016         | 2017 | 2018 | 2019 | 2020 | 2020 | 2021 |
| Average sheeting capacity <sup>1</sup> (A)                          |      |              |      |      |      |      |      |      |
| Beginning-of-period inventories (B)                                 |      |              |      |      |      |      |      |      |
| Production (C)  |      |              |      |      |      |      |      |      |
| Home market shipments: Internal consumption/ transfers Quantity (D) |      |              |      |      |      |      |      |      |
| Value (E)   |      |              |      |      |      |      |      |      |
| Commercial shipments<br><i>Quantity</i> (F)                         |      |              |      |      |      |      |      |      |
| Value (G)   |      |              |      |      |      |      |      |      |
| Export shipments: to the United States: Quantity (H)                |      |              |      |      |      |      |      |      |
| Value (I)   |      |              |      |      |      |      |      |      |
| to the European Union: <sup>2</sup> <i>Quantity</i> (J)             |      |              |      |      |      |      |      |      |
| Value (K)   |      |              |      |      |      |      |      |      |
| to Asia:³<br><i>Quantity</i> (L)                                    |      |              |      |      |      |      |      |      |
| Value (M)   |      |              |      |      |      |      |      |      |
| to the Middle East: <sup>4</sup><br><i>Quantity</i> (N)             |      |              |      |      |      |      |      |      |
| Value (O)   |      |              |      |      |      |      |      |      |
| to South/Central<br>America: <sup>5</sup><br><i>Quantity</i> (P)    |      |              |      |      |      |      |      |      |
| Value (Q)   |      |              |      |      |      |      |      |      |
| to all other markets: <sup>6</sup><br><i>Quantity</i> (R)           |      |              |      |      |      |      |      |      |
| Value (S)   |      |              |      |      |      |      |      |      |
| Total exports (Quantity) (T)  | 0    | 0            | 0    | 0    | 0    | 0    | 0    | C    |
| Total shipments (Quantity) (U)                                      | 0    | 0            | 0    | 0    | 0    | 0    | 0    | C    |
| End-of-period inventories (V)                                       |      |              |      |      |      |      |      |      |

#### II-11. <u>Trade data</u>.--Continued.

| <sup>1</sup> The production capacity reported is based on operating hours per week, weeks per year. Please    |
|---|
| describe the methodology used to calculate production capacity, and explain any changes in reported capacity: |
| <sup>2</sup> Identify your firm's principal <i>European Union</i> export markets:                             |
| <sup>3</sup> Identify your firm's principal <i>Asian</i> export markets:                                      |
| <sup>4</sup> Identify your firm's principal <i>Middle Eastern</i> export markets:                             |
| <sup>5</sup> Identify your firm's principal <i>South/Central American</i> export markets:                     |
| <sup>6</sup> Identify your firm's principal <i>other</i> export markets:                                      |

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., line V) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, J, L, N, P, and R). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

|                                | Calendar year |      |      |      |      | January-June |      |      |
|--------------------------------|---------------|------|------|------|------|--------------|------|------|
| Item                           | 2015          | 2016 | 2017 | 2018 | 2019 | 2020         | 2020 | 2021 |
| B + C - D - F - H -J - L - N - |               |      |      |      |      |              |      |      |
| P - R - V = should equal       |               |      |      |      |      |              |      |      |
| zero ("0") or provide an       |               |      |      |      |      |              |      |      |
| explanation. <sup>1</sup>      | 0             | 0    | 0    | 0    | 0    | 0            | 0    | 0    |

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.

II-12. <u>Exports not produced by your firm</u>.--Report your firm's exports of uncoated paper that was produced in Australia, Brazil, China, Indonesia, or Portugal but not by your firm during the specified periods. Note these data should <u>not</u> be included in question II-11.

|  | Quantity      | y (in short i | tons) and \ | /alue (in <i>1,</i> | 000 dollars | <b>:)</b> |      |              |  |
|--|---------------|---------------|-------------|---------------------|-------------|-----------|------|--------------|--|
|  | Calendar year |               |             |                     |             |           |      | January-June |  |
| Item   | 2015          | 2016          | 2017        | 2018                | 2019        | 2020      | 2020 | 2021         |  |
| Exports of uncoated paper not produced by your firm <sup>1</sup> . |               |               |             |                     |             |           |      |              |  |
| United States:  Quantity (W)                                       |               |               |             |                     |             |           |      |              |  |
| Value (X)  |               |               |             |                     |             |           |      |              |  |
| European Union:<br>Quantity (Y)                                    |               |               |             |                     |             |           |      |              |  |
| Value (Z)  |               |               |             |                     |             |           |      |              |  |
| <b>Asia</b> : <i>Quantity</i> (AA)                                 |               |               |             |                     |             |           |      |              |  |
| Value (AB)   |               |               |             |                     |             |           |      |              |  |
| Middle East:  Quantity (AC)  |               |               |             |                     |             |           |      |              |  |
| Value (AD)   |               |               |             |                     |             |           |      |              |  |
| South/Central America:  Quantity (AE)                              |               |               |             |                     |             |           |      |              |  |
| Value (AF)   |               |               |             |                     |             |           |      |              |  |
| All other markets:  Quantity (AG)                                  |               |               |             |                     |             |           |      |              |  |
| Value (AH)   |               |               |             |                     |             |           |      |              |  |
| <sup>1</sup> List the producer(s).                                 | <u>.</u>      |               | 1           | 1                   |             |           |      |              |  |

II-13. <u>Total shipments by product type</u>.--Report your firm's total shipments of uncoated paper by product type.

**Plain** -- Uncoated paper that is **NOT** surface-decorated, printed, embossed, perforated, hole-punched, or watermarked.

White -- Uncoated paper with a GE brightness greater than 90.

| Quantity (in short tons)                                   |                    |  |  |
|--|--------------------|--|--|
| Item   | Calendar year 2020 |  |  |
| <b>Total shipments:</b> Plain, white, 8.5 x 11 inches (AI) |                    |  |  |
| Plain, white, other dimensions (AJ)                        |                    |  |  |
| All other products (AK)                                    |                    |  |  |

<u>RECONCILIATION OF TOTAL SHIPMENTS BY PRODUCT TYPE</u>.--Please ensure that the quantities of total shipments by product type (i.e., lines AI through AK) in 2020 equal the sum of the quantities reported for total shipments in question II-11 (i.e., lines D, F, H, J, L, N, P and R) and for exports in question II-12 (i.e., lines W, Y, AA, AC, AE, and AG) in that year. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

| Reconciliation item                            | Calendar year 2020 |
|--|--------------------|
| <b>Quantity.</b> — D + F + H + J + L + N + P + |                    |
| R + W + Y + AA + AC + AE + AG – AI –           |                    |
| AJ – AK should equal zero ("0"),               |                    |
| revise if not.                                 | 0                  |

II-14. **Total shipments by brand**.-- Report your firm's total shipments of uncoated paper by type of branding.

**Manufacturer/Mill branded**.-- Uncoated paper sold with the manufacturer's (e.g., your firm's) own brand name(s).

**Retailer branded (private label)**.-- Uncoated paper sold under the brand name(s) of entities other than those of the manufacturer (e.g., the purchasers' brand name(s)).

Unbranded (white box).--Uncoated paper sold with no brand name.

| Quantity (ii                                     | n short tons)      |
|--|--------------------|
| Item   | Calendar year 2020 |
| Total shipments:  Manufacturer/mill branded (AL) |                    |
| Retailer branded (private label) (AM)            |                    |
| Unbranded (white box) (AN)                       |                    |

<u>RECONCILIATION OF TOTAL SHIPMENTS BY BRAND</u>.--Please ensure that the quantities of total shipments by brand (i.e., lines AL through AN) in 2020 equal the sum of the quantities reported for total shipments in question II-11 (i.e., lines D, F, H, J, L, N, P and R) and for exports in question II-12 (i.e., lines W, Y, AA, AC, AE, and AG) in that year. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

| Reconciliation item                     | Calendar year 2020 |
|---|--------------------|
| <b>Quantity</b> D + F + H + J + L + N + |                    |
| P + R + W + Y + AA + AC + AE + AG -     |                    |
| AL – AM – AN should equal zero          |                    |
| ("0"), revise if not.                   | 0                  |

| II-15. | Other explanationsIf your firm would like to further explain a response to a question in Part II for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire. |
|--------|---|
|        |   |

#### PART III.--MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Amelia Preece (202-205-3250, <a href="mailto:amelia.preece@usitc.gov">amelia.preece@usitc.gov</a>).

III-1. <u>Contact information.</u>--Please identify the responsible individual and how Commission staff may contact the individual regarding the confidential information submitted in Part III.

| Name      |  |
|-----------|--|
| Title     |  |
| Email     |  |
| Telephone |  |

III-2. <u>Contract versus spot.</u>--Approximately what share of your firm's sales of uncoated paper in 2020 was on a (1) short-term contract basis, (2) annual contract basis, (3) long-term contract basis, and (4) spot sales basis?

|                          | Type of sale  |  |   |  |                                     |         |  |
|--------------------------|---|--|---|--|-------------------------------------|---------|--|
|                          | Short-term<br>contracts<br>(multiple<br>deliveries for<br>less than 12<br>months) | Annual<br>contracts<br>(multiple<br>deliveries for 12<br>months) | Long-term contracts (multiple deliveries for more than 12 months) | Spot sales<br>(for a single<br>delivery) | Total<br>(shoul<br>sum to<br>100.0% | ld<br>o |  |
| Share of your 2020 sales | %   | %  | %   | %  | 0.0                                 | %       |  |

III-3. Raw materials.--Indicate how uncoated paper raw materials prices have changed since January 1, 2015, and how you expect they will change in the future.

| Raw<br>materials<br>prices          | Overall increase | No<br>change | Overall decrease | Fluctuate<br>with no<br>clear<br>trend | Explain, noting how raw material price changes have affected your firm's selling prices for uncoated paper. |
|-------------------------------------|------------------|--------------|------------------|--|---|
| Changes since<br>January 1,<br>2015 |                  |              |                  |  |   |
| Anticipated changes                 |                  |              |                  |  |   |

| II-4. | Changes in factors affecting supplyHave any changes occurred in any other factors affecting supply (e.g., changes in availability or prices of energy or labor; transportation conditions; production capacity and/or methods of production; technology; export markets; COVID-19; or alternative production opportunities) that affected the availability of Australia, Brazil, China, Indonesia, or Portugal-produced uncoated paper in the U.S. market since January 1, 2015? |                                 |                              |   |  |
|-------|--|---------------------------------|------------------------------|---|--|
|       | No   | Yes                             | If yes, plea                 | se describe.  |  |
|       |  |                                 |                              |   |  |
| II-5. |  | y of Aust                       | ralia, Brazil                | er import supplyDo you anticipate any changes in terms of the , China, Indonesia, or Portugal-produced uncoated paper in the  |  |
|       | Increase   | No<br>change                    | Decrease                     | If you anticipate changes in supply, please identify the changes, including the time period and the impact of such changes on shipment volumes and prices.  |  |
|       |  |                                 |                              |   |  |
|       | contracts,<br>barriers s   | , other sauch as ta<br>shifting | ales arrange<br>riffs, quota | ve country markets. In your discussion, please describe any ements, or other constraints (including any third-country trade s, or other non-tariff barriers) that would prevent or retard your aper between the U.S. and alternative country markets within a |  |
| I-7.  | firm's hor<br>third-cou  | ne marke                        | et different<br>kets?        | ct range, product mix, or marketing of uncoated paper in your from that of uncoated paper for export to the United States or to   |  |
|       | No   | Yes                             | it yes, piea                 | se explain.   |  |
| II-8. |  |                                 |                              | e been any significant changes in the product range, product mix,   |  |
|       | or market changes?   | ing of ur                       | coated pap                   | per since January 1, 2015 and/or do you anticipate any future   |  |
|       | No Yes   |                                 |                              | Explain   |  |
|       |  |                                 |                              |   |  |

| III-9.           | <u>Substitutes</u> Have there been any changes in the number or types of products that can be substituted for uncoated paper since January 1, 2015 and/or do you anticipate any future changes?   |     |     |   |   |  |  |
|------------------|---|-----|-----|---|---|--|--|
|                  | No  | •   | Yes | If yes, please describe.  | _ |  |  |
|                  |   | ]   |     |   |   |  |  |
| III-10.          | InterchangeabilityIs the uncoated paper produced by your firm and sold in its home market interchangeable (i.e., can be used in the same applications) with your firm's uncoated paper sold to the United States and/or to third-country markets? |     |     |   |   |  |  |
|                  | Yes No If   |     |     | no, identify the market(s) and any differences in the products. |   |  |  |
|                  |   |     |     |   |   |  |  |
| III- <b>11</b> . | End usesHave there been any changes in the end uses of uncoated paper since January 1, 2015 and/or do you anticipate any future changes?  |     |     |   |   |  |  |
|                  | No  | Yes |     | Explain   |   |  |  |
|                  |   |     |     |   |   |  |  |
|                  |   |     |     |   | П |  |  |

III-12. Effect of electronic media on demand for uncoated paper.--Has the increased use of electronic media reduced U.S. demand and demand outside the United States for uncoated paper since January 1, 2015, and do you anticipate electronic media will decrease demand in the future? If so, estimate the percentage decline in demand for uncoated paper because of use of electronic media, and provide further explanation.

| Market                    | No | Yes  | Percent<br>reduction<br>per year | Explain      |  |
|---------------------------|----|------|----------------------------------|--------------|--|
|                           |    | Dema | and since Janu                   | uary 1, 2015 |  |
| Home market               |    |      | %                                |              |  |
| United States             |    |      | %                                |              |  |
| Other markets             |    |      | %                                |              |  |
| Anticipated future demand |    |      |                                  |              |  |
| Home market               |    |      | %                                |              |  |
| United States             |    |      | %                                |              |  |
| Other markets             |    |      | %                                |              |  |

III-13. Effect of factors other than electronic media on demand for uncoated paper.—Have factors other than electronic media (including the COVID-19 pandemic) influenced demand for uncoated paper since January 1, 2015, and do you expect these (or other) factors to influence demand in the future?

|         |  | 1           | l        |   |  |  |  |
|---------|--|-------------|----------|---|--|--|--|
| Υ       | our firm's market  | No          | Yes      | List factors and explain why/how demand has changed |  |  |  |
|         | Demand since January 1, 2015   |             |          |   |  |  |  |
|         | Home market  |             |          |   |  |  |  |
|         | United States  |             |          |   |  |  |  |
|         | Other markets  |             |          |   |  |  |  |
|         |  |             | Anti     | icipated future demand                              |  |  |  |
|         | Home market  |             |          |   |  |  |  |
|         | United States  |             |          |   |  |  |  |
|         | Other markets  |             |          |   |  |  |  |
| III-14. | -14. Price comparisonsPlease compare market prices of uncoated paper in your firm's home market, the United States, and third-country markets.                   |             |          |   |  |  |  |
|         |  |             |          |   |  |  |  |
| III-15. | -15. <u>Description of home market</u> Describe briefly your firm's home market for uncoated paper, including the number of, and competition between, producers. |             |          |   |  |  |  |
|         |  |             |          |   |  |  |  |
| III-16. | -16. <u>Import competition</u> Does your firm face competition from imports of uncoated paper in your firm's home market?  |             |          |   |  |  |  |
|         | No Yes   | If yes, ple | ase iden | tify the country sources of these imports.          |  |  |  |
|         |  |             |          |   |  |  |  |

| III-17. | Other explanationsIf your firm would like to further explain a response to a question in Part III  |
|---------|--|
|         | for which a narrative box was not provided, please note the question number and the                |
|         | explanation in the space provided below. Please also use this space to highlight any issues your   |
|         | firm had in providing the data in this section, including but not limited to technical issues with |
|         | the MS Word questionnaire.   |
|         |  |
|         |  |
|         |  |
|         |  |

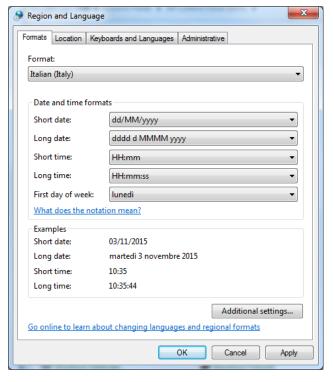
Correcting Valid number error messages. --If you are completing a Commission questionnaire in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). The U.S. International Trade Commission's questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

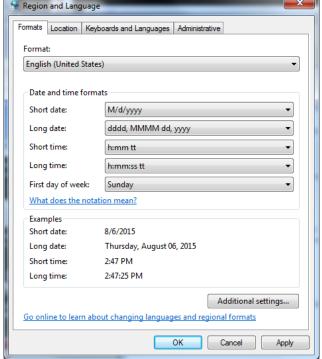
The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g. "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty-five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the USITC foreign producer questionnaire form. When you finish reporting the data then you can close the questionnaire and switch back to Italy settings.





# **HOW TO FILE YOUR QUESTIONNAIRE RESPONSE**

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at: <a href="https://usitc.gov/investigations/701731/2021/certain\_uncoated\_paper\_australia\_brazil\_china/first\_review full.htm">https://usitc.gov/investigations/701731/2021/certain\_uncoated\_paper\_australia\_brazil\_china/first\_review full.htm</a>

**Please do not attempt to modify the format or permissions of the questionnaire document**. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> Pin: PAPER

• E-mail.—E-mail the MS Word questionnaire to Caitlyn.Hendricks@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

**If your firm** <u>did not </u>**produce or export this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.