



Note: *The draft you are looking for begins on the next page.*

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If you have comments on reducing paperwork and respondent (filer) burden, with respect to draft or final forms, please respond to the relevant information collection through the Federal Register process; for more info, click [here](#).

If you are filing paper Forms 8966, enter the three-digit identifier number used to identify this Form 8966 filing (see instructions) ▶

Check if report is being corrected, amended, voided, or there are no accounts to report:

Corrected report Amended report Voided report Check if no accounts to report (see instructions)

Part I Identification of Filer

1a Name of filer **1b** Enter the filer category (see instructions) ▶

2 Number, street, and room or suite no. (if P.O. box, see instructions)

3a City or town **3b** State, province, or region **3c** Country, including postal code

4 Global intermediary identification number (GIIN) **5** TIN

6 Name of sponsored entity or intermediary, if applicable

7 Number, street, and room or suite no. (if P.O. box, see instructions)

8a City or town **8b** State, province, or region **8c** Country, including postal code

9 GIIN **10** TIN

Part II Account Holder or Payee Information

1a Name of account holder or payee **1b** Indicate whether account holder or payee is an individual or entity
 Individual Entity

2 Number, street, and room or suite no. (if P.O. box, see instructions)

3a City or town **3b** State, province, or region **3c** Country, including postal code

4 TIN

5 If account holder or payee is an entity, check applicable box to specify the entity type:
 Owner-Documented FFI with specified U.S. Owner(s) Passive NFFE with substantial U.S. Owner(s)
 Non-Participating FFI Specified U.S. Person

Part III Owner Information (File a separate report for each U.S. Owner that is a specified U.S. Person.)

1 Name of owner

2 Number, street, and room or suite no. (if P.O. box, see instructions)

3a City or town **3b** State, province, or region **3c** Country, including postal code

4 TIN of owner

Part IV Financial Information

1 Account number **2** Currency code (see instructions)

3a Account balance

4a Interest **3b** Check if account closed during the year (see instructions)

4b Dividends **4c** Gross proceeds/Redemptions

4d Other

Part V Pooled Reporting Type

1 Check applicable pooled reporting type (check only one):

Recalcitrant account holders with U.S. Indicia

Dormant accounts

Recalcitrant account holders that are U.S. Persons

Recalcitrant account holders without U.S. Indicia

Non-Participating FFI

Recalcitrant account holders that are passive NFFEs

2 Number of accounts

3 Aggregate payment amount

4 Aggregate account balance

5 Currency code

Form **8966** (2021)

**DRAFT AS OF
July 27, 2021
DO NOT FILE**