

U.S. PROCESSORS' QUESTIONNAIRE

ORGANIC SOYBEAN MEAL FROM INDIA

This questionnaire must be received by the Commission by **January 14, 2022.**
See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning organic soybean meal from India (Inv. No. 701-TA-667 and 731-TA-1559 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____
Address _____
City _____ State _____ Zip Code _____
Website _____

Has your firm processed organic soybean meal (as defined on next page) at any time since January 1, 2018?

- ☐ **NO** (Sign the certification below and promptly return **only** this page of the questionnaire to the Commission)
☐ **YES** (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)

Return questionnaire via the U.S. International Trade Commission **Drop Box** by clicking on the following link: <https://dropbox.usitc.gov/oinv/>. (PIN: **BEAN**)

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.

Name of Authorized Official

Title of Authorized Official

Date

Signature

Phone

Email address

PART I.—GENERAL INFORMATION

Background.-- This proceeding was instituted in response to a petition filed on March 31, 2021, by the Organic Soybean Processors of America, Washington, DC, American Natural Processors, LLC, Dakota Dunes, South Dakota, Organic Production Services, LLC, Weldon, North Carolina, Professional Proteins Ltd., Washington, Iowa, Sheppard Grain Enterprises, LLC, Phelps, New York, Simmons Grain Co., Salem, Ohio, Super Soy, LLC, Brodhead, Wisconsin, and Tri-State Crush, Syracuse, Indiana.¹ Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at https://www.usitc.gov/investigations/701731/2021/organic_soybean_meal_india/final.htm.

Organic soybean meal ("OSBM") covered by these investigations are certified organic soybean meal. Certified organic soybean meal results from the mechanical pressing of certified organic soybeans into ground products known as soybean cake, soybean chips, or soybean flakes, with or without oil residues. Soybean cake is the product after the extraction of part of the oil from soybeans. Soybean chips and soybean flakes are produced by cracking, heating, and flaking soybeans and reducing the oil content of the conditioned product. "Certified organic soybean meal" is certified by the U.S. Department of Agriculture (USDA) National Organic Program (NOP) or equivalently certified to NOP standards or NOP-equivalent standards under an existing organic equivalency or recognition agreement.

Certified organic soybean meal subject to this investigation has a protein content of 34 percent or higher.

Organic soybean meal that is otherwise subject to this investigation is included when incorporated in admixtures, including but not limited to prepared animal feeds. Only the organic soybean meal component of such admixture is covered by the scope of this investigation.

The products covered by this investigation are currently classified under the following Harmonized Tariff Schedule of the United States (HTSUS) subheadings: 1208.10.0010 and 2304.00.0000. Certified organic soybean meal may also enter under HTSUS 2309.90.1005, 2309.90.1015, 2309.90.1020, 2309.90.1030, 2309.90.1032, 2309.90.1035, 2309.90.1045, 2309.90.1050, and 2308.00.9890.²

The HTSUS subheadings and specifications are provided for convenience and customs purposes; the written description of the scope is dispositive.

Reporting of information.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Protein Content "As-is"/As-fed".—For the purposes of this questionnaire, any question requesting information regarding information regarding protein content is on an "as-is" or "as-fed" basis. All participants should ensure that they are utilizing the proper protein content basis and convert "protein, dry basis", "crude protein", or similar standards as required. Participants also should ensure that, unless otherwise requested, all responses address the protein content of the organic soybean meal and not the protein content on the raw organic soybean and/or any co-product or by-product.

¹ On April 6, 2021, Lester Feed & Grain Co. voluntarily withdrew its status as a petitioner.

² On May 26, 2021, Commerce revised its scope, indicating that HTSUS subheading 2309.90.1020 is the proper replacement for the expired HTSUS subheading 2309.90.1010.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

D-GRIDS tool.--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macro-enabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDS tool." Use of this tool to help your firm complete this questionnaire is optional. Firms opting to use the D-GRIDS tool to populate their data into this questionnaire will need the D-GRIDS specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDS tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDS tool are available within the D-GRIDS tool itself.

- I-1a. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

- I-1b. **TAA information release.**--In the event that the U.S. International Trade Commission (USITC) makes an affirmative final determination in this proceeding, do you consent to the USITC's release of your contact information (company name, address, contact person, contact person's title, telephone number, email address) appearing on the front page of this questionnaire to the Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made eligible for benefits under the Trade Adjustment Assistance program?

☐ Yes ☐ No

- I-2a. **Establishments covered.**--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. **Firms operating more than one establishment should combine the data for all establishments into a single report.**

"Establishment"--Each facility of a firm involved in the production of organic soybean meal, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

Establishments covered ¹	City, State	Zip (5 digit)	Description
1			
2			
3			
4			
5			
6			
¹ Additional discussion on establishments consolidated in this questionnaire: _____.			

- I-2b. **Stock symbol information.**-- If your firm or parent firm is publicly traded, please specify the stock exchange and trading symbol: _____.

I-2c. **External counsel.**-- If your firm or parent firm is represented by external counsel in relation to this proceeding, please specify the name of the law firm and the lead attorney(s).

Law firm:	
Lead attorney(s):	

I-3. **Petitioner status.**--Is your firm a petitioner in this proceeding or a member firm of the petitioning entity?

No	Yes
<input type="checkbox"/>	<input type="checkbox"/>

I-4. **Petition support.**--Does your firm support or oppose the petition?

Country	Investigation type	Support	Oppose	Take no position
India	Antidumping duty	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
India	Countervailing duty	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I-5. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

☐ No ☐ Yes--List the following information, relating to the ultimate parent/owner.

Firm name	Country	Extent of ownership (percent)

I-6. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing organic soybean meal from India into the United States or that are engaged in exporting organic soybean meal from India to the United States?

☐ No ☐ Yes--List the following information.

Firm name	Country	Affiliation

[illegible]

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from **Lawrence Jones** (202-205-3358, Lawrence.jones@usitc.gov). **Supply all data requested on a calendar-year basis.**

- II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part II.

Name	
Title	
Email	
Telephone	

- II-2a. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of organic soybean meal since January 1, 2018.

<i>(check as many as appropriate)</i>	<i>(If checked, please describe the nature, date(s), and significance of any such reported changes as well as the business reasons for them; leave completely blank if not applicable)</i>
<input type="checkbox"/> plant openings	
<input type="checkbox"/> plant closings	
<input type="checkbox"/> relocations	
<input type="checkbox"/> expansions	
<input type="checkbox"/> acquisitions	
<input type="checkbox"/> consolidations	
<input type="checkbox"/> prolonged shutdowns or production curtailments	
<input type="checkbox"/> revised labor agreements	
<input type="checkbox"/> weather/crop disruption	
<input type="checkbox"/> other (e.g., technology)	

- II-2b. **COVID-19 pandemic.**—Since January 1, 2020, has the COVID-19 pandemic or have any government actions taken to contain the spread of the COVID-19 virus resulted in changes in your firm's supply chain arrangements, production, employment, and shipments relating to organic soybean meal? In your response, please discuss the duration and timing of any such changes as they relate to your firm's operations.

No	Yes	If yes, describe these changes including a separate discussion of the (a) supply chain impact, (b) production and shipments impact, and (c) employment impact of the COVID-19 pandemic.
<input data-bbox="240 556 272 588" type="checkbox"/>	<input data-bbox="383 556 415 588" type="checkbox"/>	

II-3a. **Production using same machinery.**--Please report your firm's production of products using the same equipment, machinery, or employees as used to produce organic soybean meal, and the combined production capacity on this shared equipment, machinery, or employees in the periods indicated.

"Overall production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

Note.--If your firm does not produce any out-of-scope merchandise on the same machinery and equipment as scope merchandise then the "overall production capacity" numbers reported in this question should be exactly equal to the "average production capacity" numbers reported in question II-7. If, however, your firm does produce out-of-scope merchandise using the same machinery and equipment as scope merchandise, then the "average production capacity" reported in question II-7 should exclude the portion of "overall production capacity" that was used to produce this out-of-scope merchandise.

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

Quantity (in short tons)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Overall production capacity¹					
Production of:					
Organic soybean meal ²	0	0	0	0	0
Conventional, non-genetically engineered, but non-organic soybean meal					
Conventional, genetically engineered, and non-organic soybean meal					
Other products other than soybean meal ³					
Subtotal, out-of-scope	0	0	0	0	0
Total production using same machinery or workers	0	0	0	0	0
¹ Data reported for capacity (first line) should be greater than data reported for total production (last line). ² Data entered for production of organic soybean meal will populate here once reported in question II-7. ³ Please identify these products: _____.					

II-3b. **Operating parameters.**--The production capacity reported in II-3a is based on the following operating parameters:

Hours per week	Weeks per year

- II-3c. **Capacity calculation.**--Please describe the methodology used to calculate overall production capacity reported in II-3a, and explain any changes in reported capacity.

- II-3d. **Production constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's production capacity.

- II-4. **Product shifting.**—

- (a) Is your firm able to switch production (capacity) between organic soybean meal and other products using the same equipment and/or labor?

No	Yes	If yes—(i.e., have produced other products or are able to produce other products) Please identify other actual or potential products.
<input type="checkbox"/>	<input type="checkbox"/>	

- (b) Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

- (c) Please describe the factors that affect your firm's ability to shift production capacity from organic soybeans to conventional, genetically engineered soybeans?

- (d) Please describe the factors that affect your firm's ability to shift production capacity from organic soybeans to conventional, non-genetically engineered, but non-organic soybeans?

- II-5. **Tolling**--Since January 1, 2018, has your firm been involved in a toll agreement regarding the production of organic soybean meal?

“Toll agreement”--Agreement between two firms whereby the first firm (**“Tollee”**) furnishes the organic soybeans and the second firm (**“Toller”**) uses the organic soybeans to process into organic soybean meal a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

No	Yes	If yes-- Please describe the toll arrangement(s), name the firm(s) involved, estimate the percent of the tolled products quantity as a share of your firm's 2020 U.S. shipments.
<input type="checkbox"/>	<input type="checkbox"/>	

- II-6. **Foreign trade zones**--

- (a) **Firm's FTZ operations**--Does your firm produce organic soybean meal in and/or admit organic soybean meal into a foreign trade zone (FTZ)?

“Foreign trade zone” is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

No	Yes	If yes--Describe the nature of your firm's operations in FTZs and identify the specific FTZ site(s).
<input type="checkbox"/>	<input type="checkbox"/>	

- (b) **Other firms' FTZ operations**--To your knowledge, do any firms in the United States import organic soybean meal into a foreign trade zone (FTZ) for use in distribution of organic soybean meal and/or the production of downstream articles?

No	Yes	If yes--Identify the firms and the FTZs.
<input type="checkbox"/>	<input type="checkbox"/>	

- II-7. **Production, shipment, and inventory data**--Report your firm's production capacity, production, shipments, and inventories related to the production of organic soybean meal in its U.S. establishment(s) during the specified periods.

"Average production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

"Toll agreement"--Agreement between two firms whereby the first firm ("**Tollee**") furnishes the organic soybeans and the second firm ("**Toller**") uses the organic soybeans to process into organic soybean meal a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

"Commercial U.S. shipments" –Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" – Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" –Shipments made to related firms. Such transactions are valued at fair market value.

"Related firm" –A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

"Export shipments" –Shipments to destinations outside the United States, including shipments to related firms.

"Inventories"— Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-7. **Production, shipment, and inventory data.--Continued**

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Average production capacity¹ (quantity) (A)					
Beginning-of-period inventories (quantity) (B)					
Production of organic soybean meal (quantity)					
Using own farms' or related farms domestically grown organic soybeans					
Using purchased or consigned domestically grown organic soybeans					
Using imported organic soybeans from India					
Using imported organic soybeans from all other sources ²					
Using organic soybeans from mixed sources or unknown sources					
Total production (C)	0	0	0	0	0
U.S. shipments:					
Commercial shipments:					
Quantity (D)					
Value (E)					
Internal consumption:³					
Quantity (F)					
Value ² (G)					
Transfers to related firms:³					
Quantity (H)					
Value ² (I)					
Toll production returned to tollee:					
Quantity (J)					
Value of fee(s) received from tollee ³ (K)					
Export shipments:⁴					
Quantity (L)					
Value (M)					
End-of-period inventories (quantity) (N)					
¹ The production capacity reported is based on operating ____ hours per week, ____ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity _____. ² Report the sources of the imported soybeans used: _____. ³ Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value. ⁴ Identify your firm's principal export markets: _____.					

II-7. **Production, shipment, and inventory data.--Continued**

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., line N) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, J, L, and N). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar year			January-September	
	2018	2019	2020	2020	2021
B + C – D – F – H – J – L – N = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

II-8. **Channels of distribution.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by channel of distribution.

*Firms should not report toll production returned to tollee.

Quantity (in short tons)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Channels of distribution:					
U.S. shipments:					
To distributors/brokers that have no organic soybean processing assets (O)					
To poultry, dairy, eggs, pork end users that formulate, blend, and consume their own feed (P)					
To feed mills/other end users that formulate and blend feed for sale to livestock operators or other end users (Q)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines O, P, and Q) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year			January-September	
	2018	2019	2020	2020	2021
O + P + Q – D – F – H = zero ("0"), if not revise.	0	0	0	0	0

- II-9. **U.S. shipments by type-change in product mix.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by type (product mix based on protein content sold). *Firms should not report toll production returned to tollee.

Quantity (in short tons); value (\$1,000)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Protein content of U.S. shipments.-- Less than 44% protein content ("full fat"): Quantity (R)					
Value (S)					
Greater than or equal to 44% and less than or equal to 46% protein content: Quantity (T)					
Value (U)					
Greater than 46% protein content: Quantity (V)					
Value (W)					

RECONCILIATION OF U.S. SHIPMENTS BY CHANGE IN PRODUCT MIX.— Please ensure that the data reported for U.S. shipments by change in product mix (i.e., lines R through W) in each time period equal the data reported for U.S. shipments (i.e., lines D through I) in table II-7 in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year			January-September	
	2018	2019	2020	2020	2021
Quantity: $R + T + V - D - F - H =$ should equal zero ("0")	0	0	0	0	0
Value: $S + U + W - E - G - I =$ should equal zero ("0")	0	0	0	0	0

- II-10. **Employment data.**--Report your firm's employment-related data related to the production of organic soybean meal and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to September periods, calculate similarly and divide by 9.

If your firm had the same number of PRWs in all calendar year and had not experienced any changes in PRWs in the most recent interim period, you would have the same number of PRWs for the interim periods, regardless of whether the interim periods are Jan-Mar (Q1), Jan-June (Q1+Q2), or Jan-Sept (Q1+Q2+Q3)."

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" --Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Average number of PRWs (<i>number</i>)					
Hours worked by PRWs (<i>1,000 hours</i>)					
Wages paid to PRWs (<i>\$1,000</i>)					

Explanation of trends:

- II-11. **Related firms.**--If your firm reported transfers to related firms in question II-7, please identify the firm(s) and indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

- II-12. **Purchases.**--Has your firm purchased organic soybean meal produced in the United States or in other countries since January 1, 2018? (Do not include imports for which your firm was the importer of record. These should be reported in an importer questionnaire.)

"Purchase" – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

"Import" – A transaction to buy from a foreign supplier where your firm is the importer of record.

No	Yes	If yes--Report such purchases in the table below and explain the reasons for your firms' purchases.
<input type="checkbox"/>	<input type="checkbox"/>	

Note: If your firm served as the importer of record for any purchases from foreign suppliers, either for your own account or as a service for another entity, those purchases are to be considered "imports" not "purchases" and **should not** be included in the table below

(Quantity in short tons)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Purchases from U.S. importers ¹ of organic soybean meal from— India					
All other sources					
Purchases from domestic producers ²					
Purchases from other sources ³					
¹ Please list the name of the importer(s) from which your firm purchased this product. If your firm's import suppliers differ by source, please identify the source for each listed supplier: _____. ² Please list the name of the U.S. producer(s) from which your firm purchased this product: _____. ³ Please list the name of the firm(s) from which your firm purchased this product: _____.					

- II-13. **Imports.**--Since January 1, 2018, has your firm imported organic soybean meal?

No	Yes	If yes-- <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u>
<input type="checkbox"/>	<input type="checkbox"/>	

- II-14. **Other explanations.**--If your firm would like to further explain a response to a question in Part II for which a narrative box was not provided, please note the question number and the explanation in the space provided below.

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PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to Joanna Lo (202-205-1888, joanna.lo@usitc.gov).

- III-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part III.

Name	
Title	
Email	
Telephone	

- III-2. **Accounting system.**—Please provide the following information on your firm's financial accounting system.

- A. When does your firm's fiscal year end (month and day)? _____
If your firm's fiscal year changed during the data-collection period, explain below:

Note.--Please note that we are requesting that firms report their financial data on a calendar year basis.

- B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include organic soybean meal:

2. Does your firm prepare profit/loss statements for organic soybean meal:

☐ Yes ☐ No

3. How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.

☐ audited, ☐ unaudited, ☐ annual reports, ☐ 10Ks, ☐ 10 Qs,
☐ monthly, ☐ quarterly, ☐ semi-annually, ☐ annually

4. Accounting basis: ☐ U.S. GAAP, ☐ IFRS, ☐ cash, ☐ tax, or ☐ other comprehensive basis of accounting (specify) _____

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes organic soybean meal, as well as specific statements and worksheets) used to compile these data.

- III-3. **Cost accounting system.**--Briefly describe your firm's cost accounting system (e.g., standard cost, job order cost, etc.). If your firm uses standard cost, how often does your firm review variances from standard cost (e.g. monthly, yearly)?

--

- III-4. **Allocation basis.**--Briefly describe your firm's allocation basis, if any, for COGS, SG&A, and interest expense and other income and expenses. Please also describe the method and types of documents/records used to compile your financial data.

--

- III-5. **Product listing.**--Please list the products your firm produced in the facilities in which your firm produced organic soybean meal and provide the share of net sales accounted for by these products in 2020.

"Organic soybean oil/lecithin" --An additional product produced as result of your firm's processing of organic soybean meal for which your firm may separately report and track revenues and costs, including by allocation when costs are shared with organic soybean meal ("OSBM") production, in the ordinary course of business.

Products	Share of sales in 2020
OSBM (protein content of 34 percent and higher)	%
Organic soybean oil/lecithin	%
Non-GE soybean meal	%
	%
	%

- III-6a. **Inputs from related suppliers.**--Does your firm purchase **inputs** (raw materials, labor, energy, or any services) used in the production of organic soybean meal from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?

Yes--Continue to question III-6b	No—Skip to question III-7.
<input type="checkbox"/>	<input type="checkbox"/>

- III-6b. **Inputs from related suppliers detailed.**--Please identify the inputs used in the production of OSBM that your firm purchases from related suppliers and that are reflected in question III-9d. For "Share of total COGS" please report this information by relevant input. For "Input valuation" please describe the basis, as recorded in your company's own accounting system, of the purchase cost from the related supplier; e.g., the related supplier's actual cost, cost plus, negotiated transfer price to approximate fair market value.

Input	Related supplier	Share of total 2020 COGS
Input valuation as recorded in the firm's accounting books and records		

- III-6c. **Inputs purchased from related suppliers.**--Please confirm that the inputs purchased from related suppliers, as identified in III-6b, are reported in III-9b (financial results for non-tolled organic soybean meal) in a manner consistent with your firm's accounting books and records.

Yes	No	If no--In the space below, please report the valuation basis of inputs purchased from related suppliers as reported in question III-9b.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-7. **Tolling operations.**--During January 2018 to September 2021, did your firm process OSBM:

<input type="checkbox"/>	For your own customers only.—Continue to question III-8a.
<input type="checkbox"/>	Both under a toll agreement and for your own customers. You must allocate revenue and costs separately for toll-processed OSBM. —Continue to question III-8a.
<input type="checkbox"/>	Under a toll agreement only (see question II-5 for definitions).—Proceed to question III-10a.

Questions III-8a through III-9e pertain to your firm's operations of non-tolled OSBM only.

- III-8a. **Organic soybean oil/lecithin produced and sold as part of your firm's production of organic soybean meal.**—Does your firm's production of OSBM result in the production of organic soybean oil/lecithin that are commercially sold?

<input type="checkbox"/>	Yes. --Continue to question III-8b.
<input type="checkbox"/>	No. --Explain how your firm treat the oil that is produced as part of OSBM processing: _____ Proceed to question III-9a.

- III-8b. **Organic soybean oil/lecithin revenue.**--Report your firm's total organic soybean oil/lecithin sales revenue associated with the OSBM operations of your U.S. establishment(s).

Value (in \$1,000)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Organic soybean oil/lecithin sales revenue					
¹ Please describe how your firm classifies revenue from sales of organic soybean oil/lecithin in the normal course of business (e.g., with its own income statement separate from OSBM): _____.					

- III-8c. **Organic soybean oil/lecithin cost removed from OSBM.**—Describe the methodology used to remove costs related to organic soybean oil/lecithin from costs for OSBM operations in question III-9b.

--

- III-9a. **Hulls and/or waste revenue.**--Report your firm's sales revenue from hulls and/or waste items in your U.S. establishment(s). **Note: For the purposes of this proceeding, revenue from hulls and/or waste products provided below will appear in question III-9b as a reduction to COGS.**

Value (in \$1,000)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Hulls/waste sales revenue ¹					
¹ Please describe how your firm classifies revenue from sales of hulls and waste items in the normal course of business (e.g., included in net sales values of OSBM, as a reduction to COGS of OSBM, included in "all other income" of OSBM): _____.					

III-9b. **Operations of OSBM (non-toll).**--Report the revenue and related cost information requested below on the OSBM operations of your firm's U.S. establishment(s).¹ **Do not report resales or tolled products (costs related to tolling should be removed and reported separately starting in question III-10a, if relevant).** Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records.

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Net sales quantities: ²					
Commercial sales ("CS")					
Internal consumption ("IC")					
Transfers to related firms ("Transfers")					
Total net sales quantities	0	0	0	0	0
Net sales values: ²					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	0
Cost of goods sold ("COGS"): ^{3 4 5}					
Organic soybeans grown from your own farms located in the U.S.					
Organic soybeans purchased or consigned from unrelated U.S. growers					
Organic soybeans from India					
Organic soybeans from other foreign growers					
Organic soybeans from mixed sources or unknown origins					
Additional/other raw materials					
Raw material costs	0	0	0	0	0
Energy costs					
Direct labor					
Other factory costs					
Less: Hulls and/or waste revenue (from question III-9a)	0	0	0	0	0
Total COGS	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0
Selling, general, and administrative ("SG&A") expenses					
Operating income (loss)	0	0	0	0	0
Other expenses and income:					
Interest expense					
All other expense and income items, net ⁶					
Net income or (loss) before income taxes	0	0	0	0	0
Depreciation/amortization included above					

Footnotes continued on next page.

III-9b. **Operations of OSBM (non-toll).**—Continued

¹ Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.

⁴ Has your firm excluded costs associated with soybean oil/lecithin operations from COGS for OSBM? ☐ Yes ☐ No--If no, revise the COGS data to exclude costs related to soybean oil/lecithin (reasonable estimates are acceptable). Additional explanation: _____.

⁵ As the Commission is using revenue from sales hulls/waste as a offset to COGS, has your firm included the costs associated with hulls/waste operations in the COGS for OSBM? ☐ Yes ☐ No--If no, revise the COGS data to include the costs related to hulls/waste (reasonable estimates are acceptable). Additional explanation: _____.

⁶ If the total reported amount is net other expenses, report as a positive number. If the total reported amount is net other income, report as a negative number. Please check the calculated "Net income or (loss) before income taxes" to ensure proper reporting of these items.

III-9c. **Organic soybean procurement method.**--Approximate the share of your firm's procurement of organic soybeans for the production of OSBM in 2020 based on procurement method: (1) using contract, whether annual or long term, (2) one off spot sales, even if a contract was drawn up for the one sale, or (3) internal production and consumption basis?

Method of organic soybean procurement	Share of organic soybean procurement (by calendar year)		
	2018	2019	2020
(1) Contracts	%	%	%
(2) Spot sales	%	%	%
(3) Internally produced (grown by your own firm)	%	%	%
Total (should sum to 100.0%)	0.0 %	0.0 %	0.0 %

III-9d. **Financial data checklist.**--Please check that the financial data in question III-9b have been correctly reported.

Item	✓ if Yes
Confirm the following regarding your financial data in question III-9a	
Commercial sales are net of (i.e., less) any discounts, returns, or allowances to customers?	<input type="checkbox"/>
Commercial sales excludes prepaid freight costs and any other freight costs to customers from your U.S. point of shipment?	<input type="checkbox"/>
Internal consumption and transfers to related firms are reported at fair market value?	<input type="checkbox"/>
Internal consumption and transfers to related firms are net of (i.e., less any discounts, returns, or allowances to customers?	<input type="checkbox"/>
Internal consumption and transfers to related firms excludes prepaid freight costs and any other freight costs to customers from your U.S. point of shipment?	<input type="checkbox"/>
All costs reported include only the in-scope OSBM and the hulls/waste items?	<input type="checkbox"/>
COGS excludes finished goods freight to customers?	<input type="checkbox"/>
COGS excludes organic soybean oil/lecithin revenues?	<input type="checkbox"/>
SG&A excludes finished goods freight to customers?	<input type="checkbox"/>
SG&A excludes organic soybean oil/lecithin and other nonsubject products (e.g., non-GMO soybean meal)?	<input type="checkbox"/>
All costs exclude finished goods freight to customers?	<input type="checkbox"/>
If you did not ✓ Yes in any of the boxes above, go back to the relevant rows in III-9b and revise your response. You may use estimates to remove the revenue and costs of out-of-scope products such as organic soybean oil/lecithin and non-GMO soybean meal.	

III-9e. **Financial data reconciliation.**--The calculable line items from question III-9b (i.e., total net sales quantities and values, total COGS, gross profit (or loss), operating income, and net income (or loss)) have been calculated from the data submitted in the other line items. Do the calculated fields return the correct data according to your firm's financial records ignoring non-material differences that may arise due to rounding?

Yes	No	If no--Please double check the feeder data for data entry errors and revise. If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.
<input type="checkbox"/>	<input type="checkbox"/>	

Only U.S. firms that produce OSBM from organic beans provided by a tollee firm on a toll basis should respond to questions III-10a through III-11d.

III-10a. **TOLLING: Organic soybean oil/lecithin produced as part of your firm's toll production of OSBM.**—Does your firm's toll production of OSBM result in organic soybean oil/lecithin?

<input type="checkbox"/>	Yes. --Continue to question III-10b.
<input type="checkbox"/>	No. --Explain how your firm does not produce oil as part the toll-processed OSBM: _____. Proceed to question III-10c.

III-10b. **TOLLING: Organic soybean oil/lecithin revenue.**—Does your firm return the organic soybean oil/lecithin to the tollee?

Yes—Proceed to question III-11a.	No—Continue to question III-10c.
<input type="checkbox"/>	<input type="checkbox"/>

III-10c. **TOLLING: Organic soybean oil/lecithin revenue.**—Report your firm's total organic soybean oil/lecithin sales revenue associated with toll-processed OSBM in your U.S. establishment(s).

Value (in \$1,000)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Toll-processed organic soybean oil/lecithin sales revenue					

III-10d. **TOLLING: Organic soybean oil/lecithin cost allocations.**-- Describe the methodology used to remove costs related to toll-processed organic soybean oil/lecithin from costs for toll-processed OSBM operations in question III-11c.

--

III-11a. **TOLLING: Hulls and/or waste revenue.**—Does your firm return the hulls and/or waste from processing organic soybeans to the tollee?

Yes—Proceed to question III-11c.	No—Continue to question III-11b.
<input type="checkbox"/>	<input type="checkbox"/>

III-11b. **TOLLING: Hulls and/or waste revenue.**--Report your firm's sales revenue from toll-processed hulls and/or waste items in your U.S. establishment(s).

Note: For the purposes of this proceeding, revenue from toll-processed hulls and/or waste products provided below will appear in question III-11c as a reduction to total tolling production costs.

Value (in \$1,000)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Toll-processed hulls/waste sales revenue ¹					
¹ Please describe how your firm classifies revenue from sales of toll-processed hulls and waste items in the normal course of business (e.g., included in net tolling values of OSBM, as a reduction to total tolling production costs, included in "all other income" from tolling): _____.					

III-11c. **TOLLING: Operations on toll-processed OSBM.**--The reported net sales values should be representative of the tolling fees received. **Do not include the value or the cost of the transferred inputs (e.g., organic soybeans) received from the tollee in your firm's reported financial performance data.** You may allocate the costs of tolled products based on the volume of toll processed OSBM for each time period requested.

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Net tolling quantities¹					
Net tolling values (tolling fees)¹					
Tolling and production costs:					
Additional raw materials (not supplied by tollee) ²					
Energy costs					
Direct labor					
Other factory costs					
Less: Hulls and/or waste revenue (from III-11b)	0	0	0	0	0
Total tolling and production costs	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0
Selling, general, and administrative (SG&A) expenses					
Operating income (loss)	0	0	0	0	0
Other expenses and income:					
Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	0
Depreciation/amortization included above					
¹ Less discounts, returns, allowances, and prepaid freight. The quantities and values (when combined with non-tolled operations, if relevant) should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire. ² Please describe these additional raw materials: _____.					

III-11d. **TOLLING: Financial data reconciliation.**--The calculable line items from question III-11c (i.e., total net tolling quantities and values, total tolling production costs, gross profit (or loss), and net income (or loss)) have been calculated from the data submitted in the other line items. Do the calculated fields return the correct data according to your firm's financial records ignoring non-material differences that may arise due to rounding?

		If no-- If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise. If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.
Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	

III-12a. **Nonrecurring items (charges and gains) included in the subject product financial results.**--For each annual and interim period for which financial results are reported in questions III-9b and/or III-11c, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific questions III-9b and/or III-11c line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (*in \$1,000*), as reflected in questions III-9b and/or III-11c ; i.e., if an aggregate nonrecurring item has been allocated to question III-9d, only the allocated value amount included in questions III-9b and/or III-11c should be reported in the schedule below.

Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in questions III-9b (non-tolled) and/or III-11c (tolling).

Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
	Value (\$1,000)				
Nonrecurring item 1					
Nonrecurring item 2					
Nonrecurring item 3					
Nonrecurring item 4					
Nonrecurring item 5					
Nonrecurring item 6					
Nonrecurring item 7					

Nonrecurring item: In this table please provide a brief description of each nonrecurring item reported above and indicate the specific line item in questions III-9b and/or III-11c where the nonrecurring item is classified.

	Description of the nonrecurring item	Income statement classification of the nonrecurring item in questions III-9b and/or III-11c
Nonrecurring item 1		
Nonrecurring item 2		
Nonrecurring item 3		
Nonrecurring item 4		
Nonrecurring item 5		
Nonrecurring item 6		
Nonrecurring item 7		

III-12b. **Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the company.**--If non-recurring items were reported in question III-12a above, please identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., just as responses to question III-10 identify where these items are reported in questions III-9b and/or III-11b .

--

III-13a. **Asset values.**--Report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of OSBM (both non-toll and tolled). If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for OSBM in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in questions III-9b and/or III-11c.

Note: Total assets should reflect net assets after any accumulated depreciation and allowances deducted.

Total assets should be allocated to the subject products if these assets are also related to other products. Please provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Value (in \$1,000)			
Item	Calendar year		
	2018	2019	2020
Total assets (net)			

III-13b. **Description of reported assets.**--Please describe the main asset categories (both current and long-term assets) in the above response. Provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

--

- III-13c. **Capital expenditures and research and development expenses.**--Report your firm's capital expenditures and research and development ("R&D") expenses for OSBM (both non-tolling and tolling operations).

Value (in \$1,000)					
Item	Calendar year			January-September	
	2018	2019	2020	2020	2021
Capital expenditures					
R&D expenses					

- III-13d. **Description of reported capital expenditures.**--Please describe the nature, focus, and significance of your firm's reported capital expenditures as it relates to OSBM (both non-tolling and tolling operations). If no capital expenditure data are reported, please explain the reason.

- III-13e. **Description of reported R&D expenses.**--Please describe the nature, focus, and significance of your firm's reported R&D expenses as it relates to OSBM (both non-tolling and tolling operations). If no capital expenditure data are reported, please explain the reason.

- III-13f. **Assets, capital expenditures, and R&D checklist.**--Please check that the information provided on assets, capital expenditures, and R&D information are complete and accurate.

Confirm the following regarding your responses in questions III-13a, III-13b, III-13c, III-13d, and III-13e:	✓ if Yes
Net assets, capital expenditures, and R&D exclude the value of out-of-scope products (e.g., non-GMO soybean meal or complete chicken feed)?	<input type="checkbox"/>
Net assets (current and long-term) are completed for 2017, 2018, and 2019 in question III-13a?	<input type="checkbox"/>
Net assets (current and long-term) are completed for 2017, 2018, and 2019 in question III-13a?	<input type="checkbox"/>
Top assets are listed in question III-13b?	<input type="checkbox"/>
Substantial changes in assets are described in question III-13b, if applicable?	<input type="checkbox"/>
Net assets exclude out-of-scope products (e.g., non-GMO soybean meal or complete chicken feed)?	<input type="checkbox"/>
Capital expenses are described in question III-13d?	<input type="checkbox"/>
R&D are described in question III-13e?	<input type="checkbox"/>
Provided explanations in questions III-13d or III-13e if your firm did not have capital expenditures or R&D?	<input type="checkbox"/>
If you did not ✓ Yes in any of the boxes above, go back and update your responses.	

- III-14. **Data consistency and reconciliation.**--Please note that we are requesting your firm's financial data for questions III-9b, III-11c, III-13a, III-13c, and/or III-13d are based on a calendar year basis. Please confirm that your firm reported these data on a calendar-year basis:

Yes	No	If no, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	

Please note the quantities and values reported in question III-9b and/or III-11c should reconcile with the data reported in question II-7 (including export shipments) as long as they are reported on the same calendar year basis.

RECONCILIATION OF TRADE VS FINANCIAL DATA.--Please ensure that the quantities and values reported for total shipments in Part II equal the quantities and values reported for total net sales in Part III of this questionnaire in each time period unless the financial data from Part III are reported on a fiscal year basis, in which case only the interim periods must reconcile. If the calculated fields below return values other than zero (i.e., "0") and both are being reported on a calendar basis, please explain the discrepancy below.

Reconciliation of non-tolled (questions II-7 and III-9b)	Calendar year			January-September	
	2018	2019	2020	2020	2021
Quantity: Trade data from question II-7 (lines D, F, H, and J) less financial total net sales quantity data from question III-9d, = zero ("0").	0	0	0	0	0
Value: Trade data from question II-7 (lines E, G, I, and K) less financial total net sales value data from question III-9d, = zero ("0").	0	0	0	0	0

Reconciliation of tolling (questions II-7 and III-11c)	Calendar year			January-September	
	2018	2019	2020	2020	2021
Quantity: Trade data from question II-7 (line J) less financial total tolling sales quantity data from question III-10c, = zero ("0").	0	0	0	0	0
Value: Trade data from question II-7 (line K) less financial total net sales value data from question III-10c = zero ("0").	0	0	0	0	0

Do these data in questions III-9b and/or III-11c reconcile with data in question II-7?

Yes	No	If no, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Effects of imports on investment.**--Since January 1, 2018, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of OSBM from India?

No	Yes	If yes, my firm has experienced actual negative effects as follows.
<input type="checkbox"/>	<input type="checkbox"/>	

<i>(check as many as appropriate)</i>		<i>(please describe)</i>
<input type="checkbox"/>	Cancellation, postponement, or rejection of expansion projects	
<input type="checkbox"/>	Denial or rejection of investment proposal	
<input type="checkbox"/>	Reduction in the size of capital investments	
<input type="checkbox"/>	Return on specific investments negatively impacted	
<input type="checkbox"/>	Other	

- III-16. **Effects of imports on growth and development.**--Since January 1, 2018, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of OSBM from India?

No	Yes	
<input type="checkbox"/>	<input type="checkbox"/>	If yes, my firm has experienced actual negative effects as follows.

<i>(check as many as appropriate)</i>		<i>(please describe)</i>
<input type="checkbox"/>	Rejection of bank loans	
<input type="checkbox"/>	Lowering of credit rating	
<input type="checkbox"/>	Problem related to the issue of stocks or bonds	
<input type="checkbox"/>	Ability to service debt	
<input type="checkbox"/>	Other	

III-17. **Anticipated effects of imports.**--Does your firm anticipate any negative effects due to imports of OSBM from India?

No	Yes	If yes, my firm anticipates negative effects as follows.
<input type="checkbox"/>	<input type="checkbox"/>	

III-18. **Effects on financial performance of COVID-19.**—Since January 1, 2020, has the COVID-19 pandemic or have any government actions taken to contain the spread of the COVID-19 virus affected the financial performance of your firm's operations of OSBM as reported in III-9b (non-tolled) and/or III-11c (tolling)? In your response, please discuss the duration and timing of any such changes as they relate to your firm's financial performance.

No	Yes	If yes, please describe these effects.
<input type="checkbox"/>	<input type="checkbox"/>	

III-19. **Other explanations.**--If your firm would like to further explain a response to a question in Part III for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

--

PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Kyle Westmoreland (202-205-2184, Kyle.Westmoreland@usitc.gov).

- IV-1. **Contact information.**--Please identify the individual that Commission staff may contact regarding the confidential information submitted in Part IV.

Name	
Title	
Email	
Telephone	

PRICE DATA

- IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2018 of the following product produced by your firm.

Product 1.--Certified organic soybean meal having at least a protein content of 44%, feed grade.

Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

- IV-2a. During January 2018-September 2021, did your firm produce and sell to unrelated U.S. customers the above listed product (or any products that were competitive with this product)?

<input type="checkbox"/>	Yes. --Please complete the following pricing data table as appropriate.
<input type="checkbox"/>	No. --Skip to question IV-3.

IV-2b. **Price data.**--Report below the quarterly price data¹ for the pricing product² produced and sold by your firm.

Report data in **short tons** and **actual dollars** (not 1,000s).

Period of shipment	(Quantity <i>in short tons</i> , value <i>in dollars</i>)	
	Product 1	
	Quantity	Value
2018:		
January-March		
April-June		
July-September		
October-December		
2019:		
January-March		
April-June		
July-September		
October-December		
2020:		
January-March		
April-June		
July-September		
October-December		
2021:		
January-March		
April-June		
July-September		
¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. Please subtract any discounts, rebates, and returns from the quarter in which the sale occurred. ² Pricing product definition is provided on the first page of Part IV. Note. --If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data. Product 1:		

IV-2c. **Price data checklist.**--Please check that the pricing data in question IV-2(b) has been correctly reported.

Are the price data reported above:	✓ if Yes
In actual dollars (not \$1,000) and short tons?	<input type="checkbox"/>
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	<input type="checkbox"/>
Net of all discounts and rebates?	<input type="checkbox"/>
Have discounts, rebates, and returns been credited to the quarter in which the sale occurred?	<input type="checkbox"/>
Quantities do not exceed commercial shipments in question II-7 in each year?	<input type="checkbox"/>
Explanation(s) for any boxes not checked:	

IV-2d. **Pricing data methodology.**--Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

- IV-3. **Price setting.**--How does your firm determine the prices that it charges for sales of organic soybean meal (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- IV-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- IV-5. **Pricing terms.**--On what basis are your firm's prices of domestic organic soybean meal usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

- IV-6. **Contract versus spot.**--Approximately what share of your firm's sales of its U.S.-produced organic soybean meal in 2020 was on a (1) short-term contract basis, (2) annual contract basis, (3) long-term contract basis, and (4) spot sales basis?

Item	Type of sale				Total (should sum to 100.0%)
	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	Spot sales (for a single delivery)	
Share of 2020 sales	%	%	%	%	0.0 %

IV-7. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced organic soybean meal (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation (during contract period)	Yes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	Quantity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Price	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Both	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Indexed to raw material costs ¹	Yes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
¹ Please identify the indexes used: _____.				

IV-8. **Lead times.**--What share of your firm's sales is from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced organic soybean meal?

Source	Share of 2020 sales	Lead time (Average number of days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

IV-9. Shipping information.--

- (a) Who generally arranges the transportation to your firm's customers' locations?
☐ Your firm ☐ Purchaser (*check one*)
- (b) Indicate the approximate percentage of your firm's sales of organic soybean meal that are delivered the following distances from its production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

- IV-10. **Geographical shipments.**--In which U.S. geographic market area(s) has your firm sold its U.S.-produced organic soybean meal since January 1, 2018 (check all that apply)?

Geographic area	✓ if applicable
Northeast. --CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
Midwest. --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
Southeast. --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
Central Southwest. --AR, LA, OK, and TX.	<input type="checkbox"/>
Mountains. --AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
Pacific Coast. --CA, OR, and WA.	<input type="checkbox"/>
Other. --All other markets in the United States not previously listed, including AK, HI, PR, and VI.	<input type="checkbox"/>

IV-11. Inland transportation costs.--

- (a) What is the approximate percentage of the cost of U.S.-produced organic soybean meal that is accounted for by U.S. inland transportation costs? Between _____ and _____ percent.
- (b) If U.S. inland transportation costs vary by region, please describe. _____

IV-12. **End uses.**--List the end uses of the organic soybean meal that your firm manufactures. For each end-use product, what percentage of the total cost is accounted for by organic soybean meal and other inputs?

End-use product	Share of total cost of end use product accounted for by		Total (should sum to 100.0% across)
	Organic soybean meal	Other inputs	
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

IV-13. **Substitutes.**--Can other products be substituted for organic soybean meal and achieve similar protein content?

☐ No

☐ Yes--Please fill out the table.

Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for organic soybean meal?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

IV-14. **Demand trends.**--Indicate how demand within the United States and outside of the United States (if known) for organic soybean meal has changed. Explain any trends and describe the principal factors that have affected these changes in demand.

(a) Indicate how demand changed between January 1, 2018 and December 31, 2019.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) Indicate how demand has changed since January 1, 2020.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

IV-15. **Product changes.**--Have there been any significant changes in the product range, product mix, or marketing of organic soybean meal since January 1, 2018?

No	Yes	If yes, please describe and quantify if possible.
<input type="checkbox"/>	<input type="checkbox"/>	

- IV-16. **Conditions of competition.**--Is the organic soybean meal market subject to business cycles and/or other conditions of competition distinctive to organic soybean meal? If yes, describe.

Check all that apply.	Please describe, including any changes since January 1, 2018.
<input type="checkbox"/> No	Skip to next question.
<input type="checkbox"/> Yes-Business cycles (e.g. seasonal business)	
<input type="checkbox"/> Yes-Other distinctive conditions of competition	

- IV-17. **Supply constraints.**--

- (a) Has your firm refused, declined, or been unable to supply organic soybean meal at any time between January 1, 2018 and March 31, 2021 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, impact from changes in operations listed in II-2a, etc.)?

No	Yes	If yes, please describe, including the reason, timing, and duration of the constraint.
<input type="checkbox"/>	<input type="checkbox"/>	

- (b) Has your firm experienced any supply constraints since the petition was filed on March 31, 2021?

No	Yes	If yes, please describe, including the reason, timing, and duration of the constraint.
<input type="checkbox"/>	<input type="checkbox"/>	

- IV-18. **Raw materials.**--How have raw organic soybean prices changed since January 1, 2018?

Source	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw organic soybean price changes have affected your firm's selling prices for organic soybean meal.
United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
India	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

IV-19. **Interchangeability.**--Is organic soybean meal produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

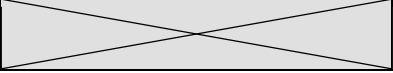
A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	India	Other countries
United States		
India		
<p>For any country-pair producing organic soybean meal that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:</p>		

- IV-20. **Factors other than price.**--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between organic soybean meal produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	India	Other countries
United States		
India		
For any country-pair for which factors other than price are <i>always</i> or <i>frequently</i> a significant factor in your firm's sales of organic soybean meal, identify the country-pair and relevant factors and report the advantages or disadvantages imparted by such factors:		

IV-21. **Customer identification.**--List the names and contact information for your firm's 10 largest U.S. customers for organic soybean meal since January 1, 2018. Indicate the share of the quantity of your firm's total shipments of organic soybean meal that each of these customers accounted for in 2020.

	Customer's name	City	State	Share of 2020 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

IV-22. **Competition from imports.--**

- (a) **Lost revenue.**--Since January 1, 2018: To avoid losing sales to competitors selling organic soybean meal from India, did your firm:

Item	No	Yes
Reduce prices	<input type="checkbox"/>	<input type="checkbox"/>
Roll back announced price increases	<input type="checkbox"/>	<input type="checkbox"/>

- (b) **Lost sales.**--Since January 1, 2018: Did your firm lose sales of organic soybean meal to imports of this product from India?

No	Yes
<input type="checkbox"/>	<input type="checkbox"/>

- IV-23. **Other explanations.**--If your firm would like to further explain a response to a question in Part IV for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

--

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

https://www.usitc.gov/investigations/701731/2021/organic_soybean_meal_india/final.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

Web address: <https://dropbox.usitc.gov/oinv/>

Pin: **BEAN**

- **E-mail.**—E-mail the MS Word questionnaire to Lawrence.jones@usitc.gov; include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7).