

## U.S. IMPORTERS' QUESTIONNAIRE

### FREIGHT RAIL COUPLER SYSTEMS AND COMPONENTS FROM CHINA

This questionnaire must be received by the Commission by **March 31, 2022**

*See last page for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning certain freight rail coupler systems and components thereof ("FRC") from China (Inv. Nos. 701-TA-670 and 731 TA-1570 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Website \_\_\_\_\_

Has your firm imported FRC (as defined on next page) from any country at any time since January 1, 2019?

☐ **NO** (Sign the certification below and promptly return **only** this page of the questionnaire to the Commission)

☐ **YES** (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)

Return questionnaire via the U.S. International Trade Commission **Drop Box** by clicking on the following link: <https://dropbox.usitc.gov/oinv/>. (PIN: **FRCS**)

#### CERTIFICATION

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.*

*I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.*

\_\_\_\_\_  
Name of Authorized Official

\_\_\_\_\_  
Title of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Phone

\_\_\_\_\_  
Email address

**PART I.—GENERAL INFORMATION**

**Background.**-- This proceeding was instituted in response to petitions filed on September 29, 2021, by the Coalition of Freight Coupler Producers. The Coalition is comprised of McConway & Torley LLC, Pittsburgh, PA, and the United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union, AFL-CIO, CLC. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and dumping. Questionnaires and other information pertinent to this proceeding are available at [https://www.usitc.gov/investigations/701731/2021/freight\\_rail\\_coupler\\_systems\\_and\\_components\\_chi\\_na/final.htm](https://www.usitc.gov/investigations/701731/2021/freight_rail_coupler_systems_and_components_chi_na/final.htm).

**FRC** covered by these investigations are certain freight rail car coupler systems and components thereof. Freight rail car coupler systems are composed of, at minimum, four main components (knuckles, coupler bodies, coupler yokes, and follower blocks, as specified below) but may also include other items (e.g., coupler locks, lock lift assemblies, knuckle pins, knuckle throwers, and rotors). The components covered by the investigation include: (1) E coupler bodies; (2) E/F coupler bodies; (3) F coupler bodies; (4) E yokes; (5) F yokes; (6) E knuckles; (7) F knuckles; (8) E type follower blocks; and (9) F type follower blocks, as set forth by the Association of American Railroads (AAR). The freight rail coupler components are included within the scope of the investigation when imported individually, or in some combination thereof, such as in the form of a coupler fit (a coupler body and knuckle assembled together), independent from a coupler system.

Subject freight rail car coupler systems and components are included within the scope whether finished or unfinished, whether imported individually or with other subject or non-subject components, whether assembled or unassembled, whether mounted or unmounted, or if joined with non-subject merchandise, such as other non-subject system parts or a completed rail car. Finishing includes, but is not limited to, arc washing, welding, grinding, shot blasting, heat treatment, machining, and assembly of various components. When a subject coupler system or subject components are mounted on or to other non-subject merchandise, such as a rail car, only the coupler system or subject components are covered by the scope.

The finished products covered by the scope of this investigation meet or exceed the AAR specifications of M-211, "Foundry and Product Approval Requirements for the Manufacture of Couplers, Coupler Yokes, Knuckles, Follower Blocks, and Coupler Parts" or AAR M-215 "Coupling Systems," or other equivalent domestic or international standards (including any revisions to the standard(s)).

For purposes of these investigations, the country of origin for subject coupler systems and components, whether fully assembled, unfinished or finished, or attached to a rail car, is the country where the subject coupler components were cast or forged. Subject merchandise includes coupler components as defined above that have been further processed or further assembled, including those coupler components attached to a rail car in third countries. Further processing includes, but is not limited to, arc washing, welding, grinding, shot blasting, heat treatment, painting, coating, priming, machining, and assembly of various components. The inclusion, attachment, joining, or assembly of non-subject components with subject components or coupler systems either in the country of manufacture of the in-scope product or in a third country does not remove the subject components or coupler systems from the scope.

The coupler systems that are the subject of this investigation are currently classifiable in the Harmonized Tariff Schedule of the United States (HTSUS) statistical reporting number 8607.30.1000. Unfinished (less than complete) subject merchandise may also enter under HTSUS statistical reporting number 7326.90.8688. Subject merchandise attached to finished rail cars may also be imported under HTSUS statistical reporting numbers 8606.10.0000, 8606.30.0000, 8606.91.0000, 8606.92.0000, 8606.99.0130, 8606.99.0160, or under subheading 9803.00.5000 if imported as an Instrument of International Traffic. These HTSUS subheadings are provided for convenience and customs purposes only; the written description of the scope of the investigation is dispositive.

**Importer.**--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing FRC (as defined above) into the United States from a foreign manufacturer or through its selling agent.

**Reporting of information.**--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

**Confidentiality.**--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

**Verification.**--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

**Release of information.**--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

**Valid number error messages.**--If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from Stamen Borisson (202-205-3125, [stamen.borisson@usitc.gov](mailto:stamen.borisson@usitc.gov)).

**D-GRIDS tool.**--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macro-enabled MS Excel file available for download from the Commission's generic questionnaires webpage ([https://www.usitc.gov/trade\\_remedy/question.htm](https://www.usitc.gov/trade_remedy/question.htm)) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is optional. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

- I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

- I-2a. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire.

**"Establishment"**--Each facility of a firm involved in the importation of FRC, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

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I-2b. **Stock symbol information.**-- If your firm or parent firm is publicly traded, please specify the stock exchange and trading symbol: \_\_\_\_\_.

I-2c. **External counsel.**-- If your firm or parent firm is represented by external counsel in relation to this proceeding, please specify the name of the law firm and the lead attorney(s).

Law firm:	
Lead attorney(s):	

I-3. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

☐ No ☐ Yes--List the following information, relating to the ultimate parent/owner.

Firm name	Country	Extent of ownership (percent)

I-4. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing FRC from China into the United States or that are engaged in exporting FRC from China to the United States?

☐ No ☐ Yes--List the following information.

Firm name	Country	Affiliation

- I-5. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of FRC?

☐ No                      ☐ Yes--List the following information.

<b>Firm name</b>	<b>Country</b>	<b>Affiliation</b>

- I-6. **Importing operations.**--Please indicate the nature of your firm's importing operations of FRC. More than one answer may be applicable.

<b>Importer of record</b>	<b>Takes title to the imported product(s)</b>	<b>Consignee of the imported products(s)</b>	<b>Customs broker or freight forwarder</b>	<b>Other<sup>1</sup></b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<sup>1</sup> If other, please explain your firm's importing operations in greater detail:.				

- I-7. **Consignee.**--If your firm is an importer of record of FRC but is not the consignee, please list the consignees below (firm name, address, telephone number, and individual to contact).

<b>Firm name</b>	<b>Address</b>	<b>Contact person and phone number</b>

I-8a. **FTZ, bonded warehouses, or TIB.**--Please indicate whether your firm enters FRC into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also indicate whether your firm imports FRC under the TIB (temporary importation under bond) program.

**"Foreign trade zone"** is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

**"Bonded warehouse"** is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

**"Temporary Importation under Bond ("TIB") program"** is a procedure whereby imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the Harmonized Tariff Schedule of the United States (HTS).

Item	No	Yes <sup>1</sup>	Please explain your firm's FTZ, bonded warehouse, or TIB operations in greater detail.
Foreign trade zones	<input type="checkbox"/>	<input type="checkbox"/>	
Bonded warehouses	<input type="checkbox"/>	<input type="checkbox"/>	
Temporary importation under bond	<input type="checkbox"/>	<input type="checkbox"/>	
<sup>1</sup> If yes, please provide data on your firms' FTZ, TIB, or bonded warehouse operations on the next page.			

- I-8b. **FTZ data.**--Please provide information on your firm's FTZ operations relating to FRCs that are classified as foreign status merchandise by the specified periods.

Quantity (in 1,000 pounds)			
Item	Calendar year		
	2019	2020	2021
Admissions into the FTZ(s)			
Withdrawals into U.S. consumption from FTZs <sup>1</sup>			
Export shipments <sup>2</sup>			
End-of-period inventories in FTZ(s)			
<sup>1</sup> Please confirm that your firm's withdrawals into U.S. consumption are included as U.S. imports in part II of this questionnaire. <input type="checkbox"/> Yes <input type="checkbox"/> No. If your firm checked no, please revise your data in part II of this questionnaire to include these withdrawals into consumption. <sup>2</sup> Only include here exports that were exported without clearing them first through U.S. Customs.			

- I-8c. **Bonded warehouse data.**--Please provide information on your firm's bonded warehouse operations relating to FRCs by the specified periods.

Quantity (in 1,000 pounds)			
Item	Calendar year		
	2019	2020	2021
Admissions into the bonded warehouse(s)			
Withdrawals into U.S. consumption from the bonded warehouse(s) <sup>1</sup>			
Export shipments from the bonded warehouses <sup>2</sup>			
End-of-period inventories in the bonded warehouse(s)			
<sup>1</sup> Please confirm that your firm's withdrawals into U.S. consumption are included as U.S. imports in part II of this questionnaire. <input type="checkbox"/> Yes <input type="checkbox"/> No. If your firm checked no, please revise your data in part II of this questionnaire to include these withdrawals into consumption. <sup>2</sup> Only include here exports that were exported without clearing them first through U.S. Customs.			



I-8d. **TIB data.**--Please provide information on your firm's TIB shipments relating to FRCs by the specified periods.

<b>Quantity (in 1,000 pounds)</b>			
<b>Item</b>	<b>Calendar year</b>		
	<b>2019</b>	<b>2020</b>	<b>2021</b>
Entry into the United States under TIB			
Export shipments <sup>2</sup>			
In-transit merchandise at the end of the period (on or near December 31)			
<sup>1</sup> Please confirm that as required by law none of the entries under the TIB program were diverted for sale or use in the United States. <input type="checkbox"/> Yes <input type="checkbox"/> No. If your firm checked no, please provide the details of the merchandise that was removed from the TIB program: _____. Also please confirm (if applicable) that any merchandise that was removed from the TIB program was reported in part II as a U.S. import when it was removed from the TIB program <input type="checkbox"/> Yes <input type="checkbox"/> No. If your firm checked no, please revise your data in part II of this questionnaire to include this merchandise as U.S. imports at the time it was removed from the TIB program.			

- I-9a. **Details on the usage of in-service freight railcars in the United States.**--Please indicate whether your firm owns, leases, or operates in the United States in-service freight railcars that includes in-scope FRCs.

Item	No	Yes	If yes, please indicate the total fleet size of your firm's freight railcars in 2021 by type of freight railcar.
Owens, leases, or operates in the United States in-service freight railcars that includes in-scope FRCs	<input type="checkbox"/>	<input type="checkbox"/>	

- I-9b. **Border transits for in-service freight railcars.**--Please provide the following data points relating to your firm's in-service fleet of freight railcars.

Item	In service fleet of freight railcars (total number of times)
Share of in-service freight railcar fleet reported above involved in international transportation (percent)	
Total number of times your in-service freight railcars crossed the U.S.-Canada or U.S.-Mexican border in 2021 (total number of times)	

- I-9c. **Formal entries or not for in-service freight railcars.**— Please provide the following data points relating to your firm's in-service fleet of foreign produced freight railcars.

Item	Share of fleet in 2021		
	Formally entered at least once into U.S. customs territory, with duties paid if applicable (percent)	Not formally entered at least once into U.S. customs territory, with duties paid if applicable <sup>1 2</sup> (percent)	Total (should sum to 100.0 across)
Foreign produced freight railcar with a U.S. produced FRC			0.0
Foreign produced freight railcar with a Chinese produced FRC			0.0
Foreign produced freight railcar with a non-U.S. and non-Chinese produced FRC			0.0
<p><sup>1</sup> Description under what authority or exemption your firm was able to own, lease, or operating these freight railcars in the United States without formal entry at least once prior such service (e.g., exempted from entry requirements as attached to locomotives or freight cars pursuant to 19 C.F.R. § 141.4(b)(4), instruments of international traffic, et cetera):</p> <p><sup>2</sup> Are there restrictions on your firm's use of such freight railcars in the United States? (e.g., limited to international trips) <input type="checkbox"/> No, <input type="checkbox"/> Yes, please describe these restrictions and indicate what share the year on average are these freight railcars in-service within the United States versus outside of the United States.</p>			

- I-10. **Other trade actions.**--To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No	Yes	If yes, Yes--Please specify.
<input type="checkbox"/>	<input type="checkbox"/>	

**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained Stamen Borisson (202-205-3125, [stamen.borisson@usitc.gov](mailto:stamen.borisson@usitc.gov)). **Supply all data requested on a calendar-year basis.**

- II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	

- II-2a. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the importation of FRC since January 1, 2019.

<i>(check as many as appropriate)</i>		<i>(If checked, please describe the nature, date(s), and significance of any such reported changes as well as the business reasons for them; leave completely blank if not applicable)</i>
<input type="checkbox"/>	Office/warehouse openings	
<input type="checkbox"/>	Office/warehouse closings	
<input type="checkbox"/>	Relocations	
<input type="checkbox"/>	Expansions	
<input type="checkbox"/>	Acquisitions	
<input type="checkbox"/>	Consolidations	
<input type="checkbox"/>	Prolonged shutdowns or importation curtailments	
<input type="checkbox"/>	Revised labor agreements	
<input type="checkbox"/>	Other (e.g., technology)	

- II-2b. **COVID-19 pandemic.**—Since January 1, 2020, has the COVID-19 pandemic or have any government actions taken to contain the spread of the COVID-19 virus resulted in changes in your firm's supply chain arrangements, importation, employment, and shipments relating to FRC? In your response, please discuss the duration and timing of any such changes as they relate to your firm's operations.

<b>No</b>	<b>Yes</b>	<b>If yes, describe these changes including a separate discussion of the (a) supply chain impact, (b) importation and shipment impact, and (c) employment impact of the COVID-19 pandemic.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

- II-3a. **Arranged imports.**--Has your firm imported or arranged for the importation of FRC for delivery after **Dec. 31, 2021**?

**"Arranged imports"** are imports for which your firm has placed an order with a foreign supplier for subject merchandise, but delivery of those imports is not scheduled to occur until after the date listed above. Please report the data based on the country of origin of the FRC. For example, if a Chinese FRC is mounted onto a railcar manufactured in Mexico and the finished railcar is imported from Mexico into the United States, while the whole railcar is properly reported for Customs purposes as country of origin Mexico, the data reported in this questionnaire should classify the FRC that is imported when the railcar is put into service in the United States as an import of an FRC in this data grid.

<b>No</b>	<b>Yes</b>	<b>If yes, fill out the table below.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

Source	Period			
	Jan-Mar 2022	Apr-Jun 2022	Jul-Sept 2022	Oct-Dec 2022
	<b>Quantity (in 1,000 pounds)</b>			
China				
Mexico				
All other sources				

- II-3b. **Imports in the 12-month period preceding the petitions.**--Has your firm imported FRC from any source between September 2020 through August 2021? (i.e., the last four months in 2020 and first eight months in 2021 combined) Please report the data based on the country of origin of the FRC. For example, if a Chinese FRC is mounted onto a railcar manufactured in Mexico and the finished railcar is imported from Mexico into the United States, while the whole railcar is properly reported for Customs purposes as country of origin Mexico, the data reported in this questionnaire should classify the FRC that is imported when the railcar is put into service in the United States as an import of an FRC in this data grid.

<b>No</b>	<b>Yes</b>	<b>If yes, report the quantity of such import below by source.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

Quantity (in 1,000 pounds)	
Source	September 2020 through August 2021
<b>China:</b>	
Standalone complete units or components	
Attached to new railcars or other out-of-scope system parts	
<b>Mexico:</b>	
Standalone complete units or components	
Attached to new railcars or other out-of-scope system parts	
<b>All other sources:</b>	
Standalone complete units or components	
Attached to new railcars or other out-of-scope system parts	

- II-4. **Reasons for importing if producer.**--If your firm also produces FRC in the United States, please indicate the reasons for importing this product. If your firm's reasons differ by source, please elaborate.

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**Definitions**

**"Imports"** –Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty).

**"Import quantities"** –Quantities reported should be net of returns.

**"Import values"** –Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

**"Commercial U.S. shipments"** – Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

**"Internal consumption"** –Product consumed internally by your firm. Such transactions are valued at fair market value.

**"Transfers to related firms"** –Shipments made to related firms. Such transactions are valued at fair market value.

**"Related firm"** –A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

**"Export shipments"** – Shipments to destinations outside the United States, including shipments to related firms.

**"Inventories"** --Finished goods inventory, not raw materials or work in progress.

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.*

- II-5a. **U.S. imports from China.**—Report your firm's imports and your firm's shipments and inventories of Chinese-origin FRC imported by your firm during the specified periods. Please report the data based on the country of origin of the FRC for any merchandise reported in lines D and E relating to imports of finished railcars, i.e., ignoring the location of the manufacture of the railcar that it is mounted on. For example, if a Chinese FRC is mounted onto a railcar manufactured in Mexico and the finished railcar is imported from Mexico into the United States, while the whole railcar is properly reported for Customs purposes as country of origin Mexico, the data reported in this questionnaire should classify the FRC that is imported when the railcar is put into service in the United States as an import of an FRC in this data grid.

## China

Quantity (in 1,000 pounds), value (in \$1,000)			
Item	Calendar year		
	2019	2020	2021
<b>Beginning-of-period inventories (quantity) (A)</b>			
<b>Imports:<sup>1</sup></b>			
<b>Standalone complete units or components:</b>			
Quantity (B)			
Value (C)			
<b>Attached to new railcars or other out-of-scope system parts:<sup>2</sup></b>			
Quantity (D)			
Value (E)			
<b>U.S. shipments:</b>			
<b>Commercial shipments:</b>			
Quantity (F)			
Value (G)			
<b>Internal consumption:<sup>3</sup></b>			
Quantity (H)			
Value <sup>2</sup> (I)			
<b>Transfers to related firms:<sup>3</sup></b>			
Quantity (J)			
Value <sup>2</sup> (K)			
<b>Export shipments:<sup>4</sup></b>			
Quantity (L)			
Value (M)			
<b>End-of-period inventories (quantity) (N)</b>			

<sup>1</sup> Please identify the foreign producers, if known: \_\_\_\_\_.

<sup>2</sup> Describe the out-of-scope products to which the FRC is attached at the time of importation: \_\_\_\_\_. Please confirm that your firm was able to separate out (estimates are acceptable) the weight and the value specific to the FRC attached to the out-of-scope products in the data reported above: ☐ Yes. Please describe how these quantity and values were arrived at: \_\_\_\_\_. Please also confirm your firm is reporting the data based on the country of origin of the FRC, which may not necessarily be the country of origin of the overall imported products: ☐ Yes, the data my firm has reported are based on the country of origin of the FRC not the downstream product containing the FRC.

<sup>3</sup> Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): \_\_\_\_\_. However, the data provided above in this table should be based on fair market value.

<sup>4</sup> Identify your firm's principal export markets: \_\_\_\_\_.



II-5a. **U.S. imports from China.**—Continued

**RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.**—Generally, the data reported for the end-of-period inventories (i.e., line N) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., lines B and D), less total shipments (i.e., lines F, H, J and L). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar year		
	2019	2020	2021
A + B + D – F – H – J – L – N = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0
<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.			

II-5b. **Channels of distribution: China.**—Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from China by channel of distribution during the specified periods.

## China

Item	Calendar year		
	2019	2020	2021
	Quantity (in 1,000 pounds)		
U.S. shipments: to OEM market (O)			
to replacement market (P)			

**RECONCILIATION OF CHANNELS.**—Please ensure that the quantities reported for channels of distribution (i.e., lines O and P) in each time period equal the quantity reported for U.S. shipments (i.e., lines F, H, J) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar year		
	2019	2020	2021
O + P – F – H – J = zero ("0"), if not revise.	0	0	0

- II-5c. **U.S. shipments by product type: China.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from China by product type during the specified periods.

## China

**"Complete FRC"** – A complete FRC is an FRC that is shipped as a complete system, regardless of whether any of the parts require on-site assembly by the customers or was shipped fully assembled. A complete FRC includes FRC that have been mounted onto a railcar.

**"FRC Component"** – An in-scope component of an FRC shipped as an individual component or series of components comprising less than a complete FRC.

Item	Calendar year		
	2019	2020	2021
<b>U.S. shipments:</b>			
<b><u>Complete FRC:</u></b>			
Quantity in 1,000 pounds (Q)			
Quantity in units (R)			
Value in \$1,000 (S)			
<b><u>FRC components:</u></b>			
<b>Knuckles</b>			
Quantity in 1,000 pounds (U)			
Quantity in units (V)			
Value in \$1,000 (W)			
<b>Coupler bodies:</b>			
Quantity in units (X)			
Quantity in 1,000 pounds (Y)			
Value in \$1,000 (Z)			
<b>Yokes:</b>			
Quantity in units (AA)			
Quantity in 1,000 pounds (AB)			
Value in \$1,000 (AC)			
<b>Follower Blocks:</b>			
Quantity in 1,000 pounds (AD)			
Quantity in units (AE)			
Value in \$1,000 (AF)			

II-5c. **U.S. shipments by product type: China.—Continued**

**RECONCILIATION OF US SHIPMENTS.**—Please ensure that the quantities (in 1,000 pounds) and values reported for U.S. shipments in this question (i.e., lines Q through AF) in each time period equal the quantity and values reported for U.S. shipments in part "a" of this question (i.e., lines F through K) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year		
	2019	2020	2021
<b>Quantity in 1,000 pounds:</b> $Q + U + X + AA + AD - D - F - H = \text{zero ("0")}$ , if not revise	0	0	0
<b>Value in 1,000 dollars:</b> $S + W + Z + AC + AF - E - G - I = \text{zero ("0")}$ , if not revise.	0	0	0

- II-6a. **U.S. imports from Mexico.**—Report your firm's imports and your firm's shipments and inventories of Mexican-origin FRC imported by your firm during the specified periods. Please report the data based on the country of origin of the FRC for any merchandise reported in lines D and E relating to imports of finished railcars, i.e., ignoring the location of the manufacture of the railcar that it is mounted on. For example, if a Mexican FRC is mounted onto a railcar manufactured in Mexico and the finished railcar is imported from Mexico into the United States, while the whole railcar is properly reported for Customs purposes as country of origin Mexico, the data reported in this questionnaire should classify the FRC that is imported when the railcar is put into service in the United States as an import of an FRC in this data grid.

## Mexico

Quantity (in 1,000 pounds), value (in \$1,000)			
Item	Calendar year		
	2019	2020	2021
<b>Beginning-of-period inventories (quantity) (A)</b>			
<b>Imports:<sup>1</sup></b>			
<b>Standalone complete units or components:</b>			
Quantity (B)			
Value (C)			
<b>Attached to new railcars or other out-of-scope system parts:<sup>2</sup></b>			
Quantity (D)			
Value (E)			
<b>U.S. shipments:</b>			
<b>Commercial shipments:</b>			
Quantity (F)			
Value (G)			
<b>Internal consumption:<sup>3</sup></b>			
Quantity (H)			
Value <sup>2</sup> (I)			
<b>Transfers to related firms:<sup>3</sup></b>			
Quantity (J)			
Value <sup>2</sup> (K)			
<b>Export shipments:<sup>4</sup></b>			
Quantity (L)			
Value (M)			
<b>End-of-period inventories (quantity) (N)</b>			

<sup>1</sup> Please identify the foreign producers, if known: \_\_\_\_\_.

<sup>2</sup> Describe the out-of-scope products to which the FRC is attached at the time of importation: \_\_\_\_\_. Please confirm that your firm was able to separate out (estimates are acceptable) the weight and the value specific to the FRC attached to the out-of-scope products in the data reported above: ☐ Yes. Please describe how these quantity and values were arrived at: \_\_\_\_\_. Please also confirm your firm is reporting the data based on the country of origin of the FRC, which may not necessarily be the country of origin of the overall imported products: ☐ Yes, the data my firm has reported are based on the country of origin of the FRC not the downstream product containing the FRC.

<sup>3</sup> Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): \_\_\_\_\_. However, the data provided above in this table should be based on fair market value.

<sup>4</sup> Identify your firm's principal export markets: \_\_\_\_\_.

II-6a. **U.S. imports from Mexico.—Continued**

**RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.**--Generally, the data reported for the end-of-period inventories (i.e., line N) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., lines B and D), less total shipments (i.e., lines F, H, J and L). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar year		
	2019	2020	2021
A + B + D – F – H – J – L – N = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0
<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.			

II-6b. **Channels of distribution: Mexico.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from Mexico by channel of distribution during the specified periods.

## Mexico

Item	Calendar year		
	2019	2020	2021
	<b>Quantity (in 1,000 pounds)</b>		
<b>U.S. shipments:</b>			
to OEM market (O)			
to replacement market (P)			

**RECONCILIATION OF CHANNELS.**--Please ensure that the quantities reported for channels of distribution (i.e., lines O and P) in each time period equal the quantity reported for U.S. shipments (i.e., lines F, H, J) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar year		
	2019	2020	2021
O + P – F – H – J = zero ("0"), if not revise.	0	0	0

- II-6c. **U.S. shipments by product type: Mexico.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from Mexico by product type during the specified periods.

## Mexico

**"Complete FRC"** – A complete FRC is an FRC that is shipped as a complete system, regardless of whether any of the parts require on-site assembly by the customers or was shipped fully assembled. A complete FRC includes FRC that have been mounted onto a railcar.

**"FRC Component"** – An in-scope component of an FRC shipped as an individual component or series of components comprising less than a complete FRC.

Item	Calendar year		
	2019	2020	2021
<b>U.S. shipments:</b>			
<b><u>Complete FRC:</u></b>			
Quantity in 1,000 pounds (Q)			
Quantity in units (R)			
Value in \$1,000 (S)			
<b><u>FRC components:</u></b>			
<b>Knuckles</b>			
Quantity in 1,000 pounds (U)			
Quantity in units (V)			
Value in \$1,000 (W)			
<b>Coupler bodies:</b>			
Quantity in units (X)			
Quantity in 1,000 pounds (Y)			
Value in \$1,000 (Z)			
<b>Yokes:</b>			
Quantity in units (AA)			
Quantity in 1,000 pounds (AB)			
Value in \$1,000 (AC)			
<b>Follower Blocks:</b>			
Quantity in 1,000 pounds (AD)			
Quantity in units (AE)			
Value in \$1,000 (AF)			

II-6c. **U.S. shipments by product type: Mexico.—Continued**

**RECONCILIATION OF US SHIPMENTS.**—Please ensure that the quantities (in 1,000 pounds) and values reported for U.S. shipments in this question (i.e., lines Q through AF) in each time period equal the quantity and values reported for U.S. shipments in part "a" of this question (i.e., lines F through K) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year		
	2019	2020	2021
<b>Quantity in 1,000 pounds:</b> $Q + U + X + AA + AD - D - F - H = \text{zero ("0")}$ , if not revise	0	0	0
<b>Value in 1,000 dollars:</b> $S + W + Z + AC + AF - E - G - I = \text{zero ("0")}$ , if not revise.	0	0	0

- II-6a. **U.S. imports from all other sources.**—Report your firm's imports and your firm's shipments and inventories of all other sources-origin FRC imported by your firm during the specified periods. Please report the data based on the country of origin of the FRC for any merchandise reported in lines D and E relating to imports of finished railcars, i.e., ignoring the location of the manufacture of the railcar that it is mounted on. For example, if a FRC from all other sources is mounted onto a railcar manufactured in Mexico and the finished railcar is imported from Mexico into the United States, while the whole railcar is properly reported for Customs purposes as country of origin Mexico, the data reported in this questionnaire should classify the FRC that is imported when the railcar is put into service in the United States as an import of an FRC in this data grid.

## All other sources

(list sources: \_\_\_\_\_)

Quantity (in 1,000 pounds), value (in \$1,000)			
Item	Calendar year		
	2019	2020	2021
<b>Beginning-of-period inventories (quantity) (A)</b>			
<b>Imports:<sup>1</sup></b>			
<b>Standalone complete units or components:</b>			
Quantity (B)			
Value (C)			
<b>Attached to new railcars or other out-of-scope system parts:<sup>2</sup></b>			
Quantity (D)			
Value (E)			
<b>U.S. shipments:</b>			
<b>Commercial shipments:</b>			
Quantity (F)			
Value (G)			
<b>Internal consumption:<sup>3</sup></b>			
Quantity (H)			
Value <sup>2</sup> (I)			
<b>Transfers to related firms:<sup>3</sup></b>			
Quantity (J)			
Value <sup>2</sup> (K)			
<b>Export shipments:<sup>4</sup></b>			
Quantity (L)			
Value (M)			
<b>End-of-period inventories (quantity) (N)</b>			

<sup>1</sup> Please identify the foreign producers, if known: \_\_\_\_\_.

<sup>2</sup> Describe the out-of-scope products to which the FRC is attached at the time of importation: \_\_\_\_\_. Please confirm that your firm was able to separate out (estimates are acceptable) the weight and the value specific to the FRC attached to the out-of-scope products in the data reported above: ☐ Yes. Please describe how these quantity and values were arrived at: \_\_\_\_\_. Please also confirm your firm is reporting the data based on the country of origin of the FRC, which may not necessarily be the country of origin of the overall imported products: ☐ Yes, the data my firm has reported are based on the country of origin of the FRC not the downstream product containing the FRC.

<sup>3</sup> Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): \_\_\_\_\_. However, the data provided above in this table should be based on fair market value.

<sup>4</sup> Identify your firm's principal export markets: \_\_\_\_\_.



II-7a. **U.S. imports from all other sources.**—Continued

**RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.**—Generally, the data reported for the end-of-period inventories (i.e., line N) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., lines B and D), less total shipments (i.e., lines F, H, J and L). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar year		
	2019	2020	2021
A + B + D – F – H – J – L – N = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0
<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.			

II-7b. **Channels of distribution: All other sources.**—Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from all other sources by channel of distribution during the specified periods.

## All other sources

Item	Calendar year		
	2019	2020	2021
	Quantity (in 1,000 pounds)		
U.S. shipments: to OEM market (O)			
to replacement market (P)			

**RECONCILIATION OF CHANNELS.**—Please ensure that the quantities reported for channels of distribution (i.e., lines O and P) in each time period equal the quantity reported for U.S. shipments (i.e., lines F, H, J) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar year		
	2019	2020	2021
O + P – F – H – J = zero ("0"), if not revise.	0	0	0

- II-7c. **U.S. shipments by product type: All other sources.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from all other sources by product type during the specified periods.

## All other sources

**"Complete FRC"** – A complete FRC is an FRC that is shipped as a complete system, regardless of whether any of the parts require on-site assembly by the customers or was shipped fully assembled. A complete FRC includes FRC that have been mounted onto a railcar.

**"FRC Component"** – An in-scope component of an FRC shipped as an individual component or series of components comprising less than a complete FRC.

Item	Calendar year		
	2019	2020	2021
<b>U.S. shipments:</b>			
<b><u>Complete FRC:</u></b>			
Quantity in 1,000 pounds (Q)			
Quantity in units (R)			
Value in \$1,000 (S)			
<b><u>FRC components:</u></b>			
<b>Knuckles</b>			
Quantity in 1,000 pounds (U)			
Quantity in units (V)			
Value in \$1,000 (W)			
<b>Coupler bodies:</b>			
Quantity in units (X)			
Quantity in 1,000 pounds (Y)			
Value in \$1,000 (Z)			
<b>Yokes:</b>			
Quantity in units (AA)			
Quantity in 1,000 pounds (AB)			
Value in \$1,000 (AC)			
<b>Follower Blocks:</b>			
Quantity in 1,000 pounds (AD)			
Quantity in units (AE)			
Value in \$1,000 (AF)			

II-7c. **U.S. shipments by product type: All other sources.—Continued**

**RECONCILIATION OF US SHIPMENTS.**—Please ensure that the quantities (in 1,000 pounds) and values reported for U.S. shipments in this question (i.e., lines Q through AF) in each time period equal the quantity and values reported for U.S. shipments in part "a" of this question (i.e., lines F through K) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year		
	2019	2020	2021
<b>Quantity in 1,000 pounds:</b> $Q + U + X + AA + AD - D - F - H = \text{zero ("0")}$ , if not revise	0	0	0
<b>Value in 1,000 dollars:</b> $S + W + Z + AC + AF - E - G - I = \text{zero ("0")}$ , if not revise.	0	0	0

- II-8. **Transfers to related firms.**--If your firm reported transfers to related firms in any of the data tables in part II, please identify the firm(s) and indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary) and whether the transfers were priced at market value or by a non-market formula.

--

- II-9. **Other explanations.**--If your firm would like to further explain a response to a question in Part II for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

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**PART III.--PRICING AND MARKET FACTORS**

Further information on this part of the questionnaire can be obtained from Kyle Westmoreland (202-205-2184, [Kyle.Westmoreland@usitc.gov](mailto:Kyle.Westmoreland@usitc.gov)).

- III-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	

**PRICE DATA**

- III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2019 of the following products your firm imported from China:

**Product 1.**-- SE60, Grade E steel complete coupler assembly, double shelves, 21.5" shank length, produced to AAR M-211 and/or AAR M-215 specifications.<sup>1</sup>

**Product 2.**-- SBE60, grade E steel complete coupler assembly, bottom shelf, 21.5" shank length, produced to AAR M-211 and/or AAR M-215 specifications.<sup>2</sup>

**Product 3.**-- E50 coupler knuckle, grade E steel, produced to AAR M-211 and/or AAR M-215 specifications.<sup>3</sup>

**Product 4.**--SY40 coupler yoke, grade E steel, produced to AAR M-211 and/or AAR M- 215 specifications.<sup>4</sup>

**Product 5.**--SBE60, grade E steel coupler body, bottom shelf, 21.5" shank length, produced to AAR M-211 and/or AAR M-215 specifications.<sup>5</sup>

**Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).**

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<sup>1</sup> Pricing product 1 should be limited to merchandise sold as a complete and standalone FRC (i.e., excluding sales of FRC made as part of a downstream freight railcar sale or sold combined with other out-of-scope merchandise).

<sup>2</sup> Pricing product 2 should be limited to merchandise sold as a complete and standalone FRC (i.e., excluding sales of FRC made as part of a downstream freight railcar sale or sold combined with other out-of-scope merchandise).

<sup>3</sup> Pricing product 3 is an individual knuckle sold separately from a complete coupler system. Do not report or include knuckles sold as part of a complete coupler system.

<sup>4</sup> Pricing product 4 is an individual yoke sold separately from a complete coupler system. Do not report or include yokes sold as part of a complete coupler system.

<sup>5</sup> Pricing product 5 is an individual coupler body sold separately from a complete coupler system. Do not report or include coupler bodies sold as part of a complete coupler system.

During January 2019-December 2021, did your firm import from China and/or Mexico and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

<input type="checkbox"/>	<b>Yes.</b> --Please complete the following pricing data table as appropriate.
<input type="checkbox"/>	<b>No.</b> --Skip to question III-3.

III-2a. **Price data.**--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from China and sold by your firm.

## China

Report data in units and actual dollars (not 1,000s).

(Quantity in units, value in dollars)				
Period of shipment	Product 1		Product 2	
	Quantity	Value	Quantity	Value
<b>2019:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2020:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2021:</b>				
January-March				
April-June				
July-September				
October-December				

<sup>1</sup> Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. Please subtract any discounts, rebates, and returns from the quarter in which the sale occurred.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

III-2a. **Price data.--Continued.** Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from China and sold by your firm.

## China

Report data in units and actual dollars (not 1,000s).

(Quantity in units, value in dollars)						
Period of shipment	Product 3		Product 4		Product 5	
	Quantity	Value	Quantity	Value	Quantity	Value
<b>2019:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2020:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2021:</b>						
January-March						
April-June						
July-September						
October-December						

<sup>1</sup> Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. Please subtract any discounts, rebates, and returns from the quarter in which the sale occurred.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 3:

Product 4:

Product 5:

III-2b. **Price data.**--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Mexico and sold by your firm.

## Mexico

Report data in units and actual dollars (not 1,000s).

(Quantity in units, value in dollars)				
Period of shipment	Product 1		Product 2	
	Quantity	Value	Quantity	Value
<b>2019:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2020:</b>				
January-March				
April-June				
July-September				
October-December				
<b>2021:</b>				
January-March				
April-June				
July-September				
October-December				

<sup>1</sup> Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. Please subtract any discounts, rebates, and returns from the quarter in which the sale occurred.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:



III-2b. **Price data.--Continued.** Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Mexico and sold by your firm.

## Mexico

Report data in units and actual dollars (not 1,000s).

(Quantity in units, value in dollars)						
Period of shipment	Product 3		Product 4		Product 5	
	Quantity	Value	Quantity	Value	Quantity	Value
<b>2019:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2020:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2021:</b>						
January-March						
April-June						
July-September						
October-December						

<sup>1</sup> Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. Please subtract any discounts, rebates, and returns from the quarter in which the sale occurred.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 3:

Product 4:

Product 5:

III-2c. **Price data checklist.**--Please check that the pricing data in question III-2(a) has been correctly reported.

Are the price data reported above:	<b>✓ if Yes</b>
In actual dollars ( <b>not</b> \$1,000) and units?	<input type="checkbox"/>
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	<input type="checkbox"/>
Net of all discounts and rebates?	<input type="checkbox"/>
Have discounts, rebates, and returns been credited to the quarter in which the sale occurred?	<input type="checkbox"/>
Quantities do not exceed commercial shipments reported in part II in each year?	<input type="checkbox"/>
Explanation(s) for any boxes not checked:	

III-2d. **Pricing data methodology.**--Please describe the method and the kinds of documents/records that were used to compile your price data.

--

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.*

- III-3. **Price setting.**--How does your firm determine the prices that it charges for sales of FRC (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- III-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	Rail part package discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- III-5. **Pricing terms.**--On what basis are your firm's prices of imported FRC from China usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

- III-6. **Contract versus spot.**--Approximately what share of your firm's sales of FRC imported from China in 2021 was on a (1) short-term contract basis, (2) annual contract basis, (3) long-term contract basis, and (4) spot sales basis?

Item	Type of sale				Total (should sum to 100.0%)
	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	Spot sales (for a single delivery)	
Share of 2021 sales	%	%	%	%	0.0 %

- III-7. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for FRC imported from China (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation (during contract period)	Yes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	Quantity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Price	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Both	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Indexed to raw material costs <sup>1</sup>	Yes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<sup>1</sup> Please identify the indexes used: _____.				

- III-8. **Lead times.**--What is your firm's share of sales of FRC imported from China from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of FRC?

Source	Share of 2021 sales	Lead time (Average number of days)
From your firm's U.S. inventory	%	
From foreign manufacturers' inventory	%	
Produced to order	%	
<b>Total</b> (should sum to 100.0%)	0.0 %	

III-9. **Shipping information.**--

- (a) Who generally arranges the transportation to your firm's customers' locations?  
☐ Your firm    ☐ Purchaser (*check one*)
- (b) When your firm sells FRC imported from China, from where is it shipped?  
☐ Point of importation    ☐ Storage facility (*check one*)
- (c) Indicate the approximate percentage of your firm's sales of FRC imported from China that are delivered the following distances from your firm's U.S. point of shipment.

Distance from your firm's U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
<b>Total</b> (should sum to 100.0%)	<b>0.0 %</b>

- III-10. **Geographical shipments.**--In which U.S. geographic market area(s) has your firm sold FRC imported from China since January 1, 2019 (check all that apply)?

Geographic area	China
<b>Northeast.</b> --CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
<b>Midwest.</b> --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
<b>Southeast.</b> --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
<b>Central Southwest.</b> --AR, LA, OK, and TX.	<input type="checkbox"/>
<b>Mountains.</b> --AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
<b>Pacific Coast.</b> --CA, OR, and WA.	<input type="checkbox"/>
<b>Other.</b> --All other markets in the United States not previously listed, including AK, HI, PR, and VI.	<input type="checkbox"/>

- III-11. **Inland transportation costs.**--What is the approximate percentage of the cost of FRC imported from China that is accounted for by U.S. inland transportation costs? \_\_\_\_\_ percent.

III-12. **End uses.**--List the end uses of the FRC that your firm imports. For each end-use product, what percentage of the total cost is accounted for by FRC and other inputs?

End-use product	Share of total cost of end-use product accounted for by		Total (should sum to 100.0% across)
	FRC	Other inputs	
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

III-13. **Substitutes.**--Can other products be substituted for FRC?

☐ No

☐ Yes--Please fill out the table.

Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for FRC?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

III-14. **Demand trends.**--Indicate how demand within the United States and outside of the United States (if known) for FRC has changed. Explain any trends and describe the principal factors (e.g., the impact of the COVID-19 pandemic) that have affected these changes in demand.

(a) Indicate how demand changed between January 1, 2019 and December 31, 2019.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) Indicate how demand has changed since January 1, 2020.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Product changes.**--Have there been any significant changes in the product range, product mix or marketing of FRC since January 1, 2019?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-16. **Conditions of competition.**--

(a) Is the FRC market subject to business cycles and/or other conditions of competition distinctive to FRC?

Check all that apply.	Please describe.
<input type="checkbox"/> <b>No</b>	Skip to next question.
<input type="checkbox"/> <b>Yes-Business cycles (e.g. seasonal business)</b>	
<input type="checkbox"/> <b>Yes-Other distinctive conditions of competition</b>	

(b) If yes, have there been any changes in the business cycles or conditions of competition for FRC since January 1, 2019?

No	Yes	If yes, describe.
<input type="checkbox"/>	<input type="checkbox"/>	

**III-17. Supply constraints.--**

- (a) Has your firm refused, declined, or been unable to supply FRC at any time between January 1, 2019 and September 29, 2021 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, impact from changes in operations listed in II-2a, etc.)?

No	Yes	If yes, please describe, including the reason, timing, and duration of the constraint.
<input type="checkbox"/>	<input type="checkbox"/>	

- (b) Has your firm experienced any supply constraints since the petitions were filed on September 29, 2021?

No	Yes	If yes, please describe, including the reason, timing, and duration of the constraint.
<input type="checkbox"/>	<input type="checkbox"/>	



III-18. **Raw materials.--**

(a) How have FRC raw material costs changed since January 1, 2019?

Factor	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for FRC.
Cost of raw materials	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) How did the imposition of tariffs under section 232 on imported steel/aluminum products impact raw material costs for FRC?

Factor	Overall increase	No change	Overall decrease	Fluctuate with no clear trend
Cost of raw materials after section 232 tariffs imposed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(c) How did the imposition of tariffs under section 232 on imported steel/aluminum products impact your firm's sales price for FRC?

Factor	Overall Increase	No change	Overall Decrease	Fluctuate with no clear trend	Explanation
Prices for FRC	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-19. **Role of section 301 tariffs.--** Did the tariffs on Chinese-origin products under section 301, or changes in these tariffs, have an impact on the FRC market in the United States, including any effects on FRC cost, price, supply, and/or demand, since January 1, 2019?

Yes	No	Don't know
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If yes, please describe the impact on cost, price, supply, and/or demand, and include the timing of such impacts.

--

- III-20. **Interchangeability.**--Is FRC produced in the United States and in other countries interchangeable (i.e., can all FRC that meet AAR standards physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Mexico	Other countries
United States			
China			
Mexico			
For any country-pair producing FRC that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:			

- III-21. **Factors other than price.**--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between FRC produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Mexico	Other countries
United States			
China			
Mexico			
For any country-pair for which factors other than price are <i>always</i> or <i>frequently</i> a significant factor in your firm's sales of FRC, identify the country-pair and relevant factors and report the advantages or disadvantages imparted by such factors:			

- III-22. **Customer identification.**--List the names and contact information for your firm's 10 largest U.S. customers for FRC since January 1, 2019. Indicate the share of the quantity of your firm's U.S. shipments of FRC that each of these customers accounted for in 2021.

	Customer's name	City	State	Share of 2021 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

- III-23. **Other explanations.**--If your firm would like to further explain a response to a question in Part III for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

--

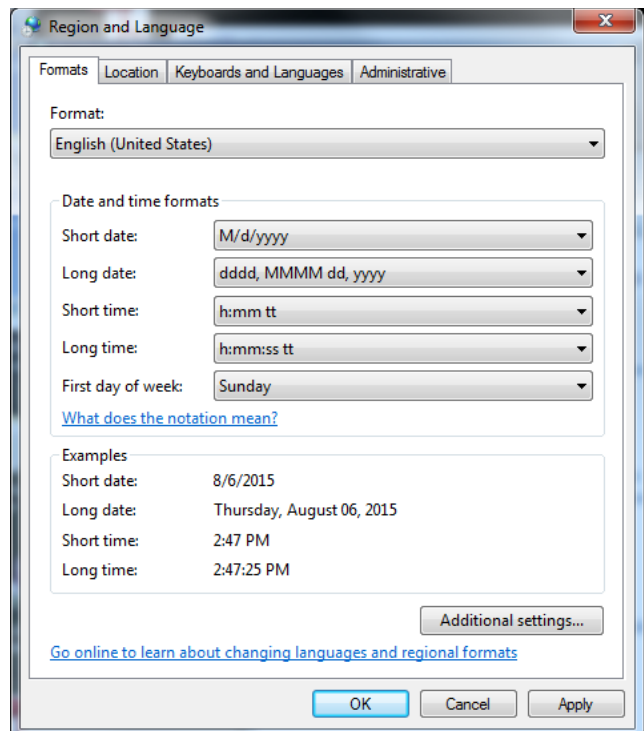
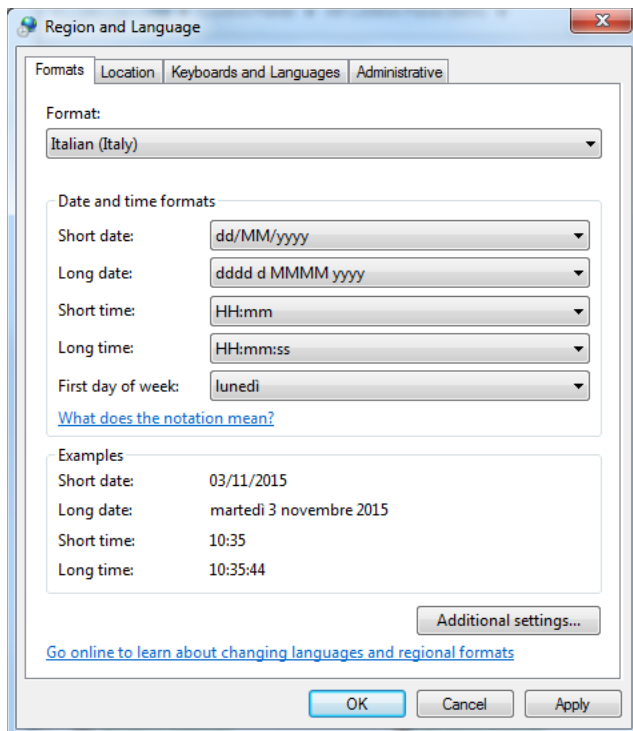
**Correcting valid number error messages.**--If you are completing this questionnaire in a country that uses periods (".") to delineate multiples of 1000 (i.e., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in the numeric form fields. This issue stems from your computer's number formatting setting (i.e., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (",") delineate multiples of 1000 and periods (".") delineate fractions less than one. Many EU and other countries use the reverse where multiples of 1000 are delineated with periods (".") and fractions less than one are delineated with commas (","). The U.S. International Trade Commission's questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU or other country number formatting, we believe this may cause this issue.

The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g., "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the USITC importer questionnaire form. When you finish reporting the data then you can close the questionnaire and switch back to Italy (or your country) settings.



## HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

[https://www.usitc.gov/investigations/701731/2021/freight\\_rail\\_coupler\\_systems\\_and\\_components\\_china/final.htm](https://www.usitc.gov/investigations/701731/2021/freight_rail_coupler_systems_and_components_china/final.htm)

***Please do not attempt to modify the format or permissions of the questionnaire document.*** Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

**Web address:** <https://dropbox.usitc.gov/oinv/>

**Pin:** **FRCS**

- **E-mail.**—E-mail the MS Word questionnaire to [stamen.borisson@usitc.gov](mailto:stamen.borisson@usitc.gov); include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

**If your firm did not import this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

***Parties to this proceeding.***—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7).