

## FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE

### FROZEN WARMWATER SHRIMP FROM CHINA, INDIA, THAILAND, AND VIETNAM

This questionnaire must be received by the Commission by **February 6, 2023**  
*See last page for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its review of the antidumping duty orders concerning frozen warmwater shrimp from China, India, Thailand, and Vietnam (Inv. Nos. 731-TA-1064 and 1066-1068 (Third Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

Name of firm \_\_\_\_\_

Address \_\_\_\_\_

Website \_\_\_\_\_

Has your firm produced or exported frozen warmwater shrimp (as defined on next page) at any time since January 1, 2016?

☐ **NO** (Sign the certification below and promptly return **only** this page of the questionnaire to the Commission)

☐ **YES** (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)

Data reported in this questionnaire relate to (Check one):

☐ **CHINA**

☐ **INDIA**

☐ **THAILAND**

☐ **VIETNAM**

Return questionnaire via the Commission **Drop Box** by clicking on the following link:

<https://dropbox.usitc.gov/oinv/>. (PIN: **SHRIMP**)

#### CERTIFICATION

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings or reviews conducted by the Commission on the same or similar merchandise.*

*I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceeding may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.*

\_\_\_\_\_  
Name of Authorized Official

\_\_\_\_\_  
Title of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Phone:

\_\_\_\_\_  
Email address

**PART I.--GENERAL INFORMATION**

**Background.**--On February 1, 2005, the Department of Commerce ("Commerce") issued antidumping duty orders on imports of frozen warmwater shrimp from China, India, Thailand, and Vietnam. On May 2, 2022, the Commission instituted a review pursuant to section 751(c) of the Tariff Act of 1930 (19 U.S.C. § 1675(c)) (the Act) to determine whether revocation of the orders would be likely to lead to continuation or recurrence of material injury to the domestic industry within a reasonably foreseeable time. If both the Commission and Commerce make an affirmative determination, the orders will remain in place. If either the Commission or Commerce makes a negative determination, Commerce will revoke the orders. Questionnaires and other information pertinent to this proceeding are available at [https://usitc.gov/investigations/701731/2022/frozen\\_warmwater\\_shrimp\\_china\\_india\\_thailand\\_and/third\\_review\\_full.htm](https://usitc.gov/investigations/701731/2022/frozen_warmwater_shrimp_china_india_thailand_and/third_review_full.htm).

**Frozen warmwater shrimp** covered by these reviews include certain frozen warmwater shrimp and prawns, whether wild-caught (ocean harvested) or farm-raised (produced by aquaculture), head-on or head-off, shell-on or peeled, tail-on or tail-off,<sup>1</sup> deveined or not deveined, cooked or raw, or otherwise processed in frozen form.

The frozen warmwater shrimp and prawn products included in the *Orders*, regardless of definitions in the Harmonized Tariff Schedule of the United States (HTSUS), are products which are processed from warmwater shrimp and prawns through freezing and which are sold in any count size.

The products described above may be processed from any species of warmwater shrimp and prawns. Warmwater shrimp and prawns are generally classified in, but are not limited to, the Penaeidae family. Some examples of the farmed and wild-caught warmwater species include, but are not limited to, whiteleg shrimp (*Penaeus vannamei*), banana prawn (*Penaeus merguensis*), fleshy prawn (*Penaeus chinensis*), giant river prawn (*Macrobrachium rosenbergii*), giant tiger prawn (*Penaeus monodon*), redspotted shrimp (*Penaeus brasiliensis*), southern brown shrimp (*Penaeus subtilis*), southern pink shrimp (*Penaeus notialis*), southern rough shrimp (*Trachypenaeus curvirostris*), southern white shrimp (*Penaeus schmitti*), blue shrimp (*Penaeus stylirostris*), western white shrimp (*Penaeus occidentalis*), and Indian white prawn (*Penaeus indicus*).

Frozen shrimp and prawns that are packed with marinade, spices or sauce are included in the scope of the *Orders*. In addition, food preparations, which are not "prepared meals," that contain more than 20 percent by weight of shrimp or prawn are also included in the scope of the *Orders*.

Excluded from the *Orders* are: (1) breaded shrimp and prawns (HTSUS subheading 1605.20.10.20); (2) shrimp and prawns generally classified in the *Pandalidae* family and commonly referred to as coldwater shrimp, in any state of processing; (3) fresh shrimp and prawns whether shell-on or peeled (HTSUS subheadings 0306.23.00.20 and 0306.23.00.40); (4) shrimp and prawns in prepared meals (HTSUS subheading 1605.20.05.10); (5) dried shrimp and prawns; (6) Lee Kum Kee's shrimp sauce;<sup>2</sup> (7) canned warmwater shrimp and prawns (HTSUS subheading 1605.20.10.40); and (8) certain battered shrimp. Battered shrimp is a shrimp-based product: (1) that is produced from fresh (or thawed-from-frozen) and peeled shrimp; (2) to which a "dusting" layer of rice or wheat flour of at least 95 percent purity has been applied; (3) with the entire surface of the shrimp flesh thoroughly and evenly coated with the flour; (4) with the non-shrimp content of the end product constituting between four and ten percent of the product's total weight after being dusted, but prior to being frozen; and (5) that is subjected to IQF

<sup>1</sup> "Tails" in this context means the tail fan, which includes the telson and the uropods.

<sup>2</sup> The specific exclusion for Lee Kum Kee's shrimp sauce applies only to the scope of the *China Order*.

freezing immediately after application of the dusting layer. When dusted in accordance with the definition of dusting above, the battered shrimp product is also coated with a wet viscous layer containing egg and/or milk, and par-fried.

Frozen warmwater shrimp are currently imported under any of the statistical reporting numbers that fall under subheading 0306.17.00, or under statistical reporting numbers 1605.21.1030 or 1605.29.1010 of the Harmonized Tariff Schedule of the United States (HTSUS). The HTSUS provisions are for convenience and customs purposes; the written description of the scope is dispositive.

**Processor.**—Any firm or entity engaging in shrimp processing activities such as deheading, grading, machine peeling, deveining, and cooking, but not repackaging, marinating, or skewering.

**Reporting of information.**—If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions.

**Confidentiality.**—The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

**Verification.**—The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

**Release of information.**—The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

**Valid number error messages.**--If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from Keysha Martinez (202-205-2136, [keysha.martinez@usitc.gov](mailto:keysha.martinez@usitc.gov)).

- I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 30 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

- I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire.

**"Establishment"**-- Each facility of a firm in China, India, Thailand, or Vietnam involved in the production or export of frozen warmwater shrimp, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities. Firms operating more than one establishment in China, India, Thailand, or Vietnam should combine the data for all establishments into a single report.

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I-3. **Stock symbol information.**-- If your firm or any of the entities reported in question I-2 are publicly traded in the United States, please specify the stock exchange and trading symbol (including American Depositary Receipts, if applicable): \_\_\_\_\_.

I-4. **External counsel.**-- If your firm or parent firm is represented by external counsel in relation to this proceeding, please specify the name of the law firm and the lead attorney(s).

Law firm:	
Lead attorney(s):	

I-5. **U.S. importers.**--Please provide the names, contacts, email addresses, and telephone numbers of the **FIVE** largest U.S. importers of your firm's frozen warmwater shrimp in 2021.

No.	Importer's name	Contact person	Email address	Area code and telephone number	Share of your firm's 2021 U.S. exports (%)
1					
2					
3					
4					
5					

***“Related firm”*** –A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

- I-6. **Related producers.**--Does your firm or any related firm produce, have the capability to produce, or have any plans to produce frozen warmwater shrimp in the United States or other countries?

<b>No</b>	<b>Yes</b>	<b>If yes--Please name the firm(s) and country(ies) below and, if U.S. producer(s), ensure that they complete the Commission's producer questionnaire.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

- I-7. **Related U.S. importers.**--Does your firm or any related firm import or have any plans to import frozen warmwater shrimp into the United States?

<b>No</b>	<b>Yes</b>	<b>If yes--Please name the firm(s) below and ensure that they complete the Commission's importer questionnaire.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from Keysha Martinez (202-205-2136, [keysha.martinez@usitc.gov](mailto:keysha.martinez@usitc.gov)). **Supply all data requested on a calendar-year basis.**

- II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part II.

Name	
Title	
Email	
Telephone	

- II-2a. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of frozen warmwater shrimp since January 1, 2016.

<i>Check as many as appropriate.</i>		<i>If checked, please describe the nature, date(s), and significance of any such reported changes as well as the business reasons for them; leave completely blank if not applicable.</i>
<input type="checkbox"/>	Plant openings	
<input type="checkbox"/>	Plant closings	
<input type="checkbox"/>	Relocations	
<input type="checkbox"/>	Expansions	
<input type="checkbox"/>	Acquisitions	
<input type="checkbox"/>	Consolidations	
<input type="checkbox"/>	Prolonged shutdowns or production curtailments	
<input type="checkbox"/>	Revised labor agreements	
<input type="checkbox"/>	Weather-related events	
<input type="checkbox"/>	Other (e.g., technology)	

- II-2b. **COVID-19 pandemic.**—Since January 1, 2020, has the COVID-19 pandemic or have any government actions taken to contain the spread of the COVID-19 virus resulted in changes in relation to your firm's supply chain arrangements, production, and sales (including exports to the United States) relating to frozen warmwater shrimp? In your response, please discuss the duration and timing of any such changes as they relate to your firm's operations.

<b>No</b>	<b>Yes</b>	<b>If yes, describe these changes including a separate discussion of the (a) supply chain impact, and (b) production and sales impact of the COVID-19 pandemic.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

- II -2c **Anticipated changes in operations.**—Does your firm anticipate any changes in in the character of its operations or organization relating to the production of frozen warmwater shrimp in the future?

<b>No</b>	<b>Yes</b>	<b>If yes, supply details as to the time, nature, and significance of such anticipated changes and describe the underlying business conditions and underlying assumptions used for these projections.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

- II-3a. **Production using same machinery.**--Please report your firm's production of products using the same equipment, machinery, or employees as used to produce frozen warmwater shrimp, and the combined production capacity (both installed and practical capacity) on this shared equipment, machinery, or employees in the periods indicated.

**"Production"** --All production in your establishment(s) in China, India, Thailand, or Vietnam, including production consumed internally within your firm.

**"Installed overall production capacity"** – The level of production that your establishment(s) could have attained, assuming your firm's *optimal* product mix, and based solely on *existing capital investments*, i.e., machinery and equipment that is in place and ready to operate. This capacity measure does not take into account other constraints to production such as existing workforce constraints, availability of raw materials, or downtime for maintenance, repair, and clean-up. This capacity measure is sometimes referred to as "nameplate" or "theoretical" capacity.

**"Practical overall production capacity"** – The level of production that your establishment(s) could reasonably have expected to attain, taking into account your firm's *actual* product mix over the period. This capacity measure is based on not only existing capital investments, i.e., machinery and equipment that is in place and ready to operate; but also non-capital investment constraints, such as (1) normal operating conditions, including normal downtime for maintenance, repair, and cleanup; (2) your firm's existing in place and readily available labor force; (3) availability of material inputs; and (4) any other constraints that may have limited your firm's ability to produce the reported products. Importantly, this capacity measure is the maximum "practical" production your firm could have achieved without hiring new personnel or expanding the number of shifts operated in the period.

**"Practical frozen warmwater shrimp production capacity"** – The level of production of frozen warmwater shrimp that your establishment(s) could reasonably have expected to attain. The same assumptions apply to this capacity measure as for practical overall production capacity, but only includes the portion of practical overall production capacity allocated to the production of frozen warmwater shrimp based on the actual product mix experienced over the period.

Takes into account	Installed overall production capacity	Practical overall production capacity	Practical frozen warmwater shrimp production capacity
Existing capital investments	Yes	Yes	Yes
Product mix	Yes	Yes	Yes
Normal downtime, maintenance, repair and clean-up	No	Yes	Yes
Existing labor force	No	Yes	Yes
Availability of material inputs	No	Yes	Yes
Actual number of shifts and hours operated	No	Yes	Yes
Limited to frozen warmwater shrimp	No	No	Yes

II-3a. **Production using same machinery.**—*Continued*

Quantity (in 1,000 pounds)					
Item	Calendar year			January-September	
	2019	2020	2021	2021	2022
<b>Capacity measures:</b>					
<b>Installed overall</b> production capacity <sup>1</sup>					
<b>Practical overall</b> production capacity <sup>1 2</sup>					
<b>Practical frozen warmwater shrimp</b> production capacity <sup>3</sup>					
<b>Production of:</b>					
Frozen warmwater shrimp <sup>4</sup>	0	0	0	0	0
Other products <sup>5</sup>					
Total production using same machinery or workers	0	0	0	0	0
<p><sup>1</sup> Data reported for both "installed overall" and "practical overall" capacity should each individually be greater than data reported for total production (last line). Additionally, data reported for "installed overall" capacity should be greater than "practical overall" capacity in every period.</p> <p><sup>2</sup> Please provide details in your response to the question on capacity constraints in question II-3d below that explain the differences reported between "installed" overall production capacity and "practical" overall production capacity.</p> <p><sup>3</sup> Data reported for practical frozen warmwater shrimp production capacity should be greater than the data reported for production of frozen warmwater shrimp in each period, if not revise prior to submission to the Commission. Additionally, if your firm reports the production of no other products on the same machinery and using the same workers as frozen warmwater shrimp then "practical overall" and "practical frozen warmwater shrimp" capacity measures should be equal to each other.</p> <p><sup>4</sup> Data entered for production of frozen warmwater shrimp will populate here once reported in II-14.</p> <p><sup>5</sup> Please identify these products: _____.</p>					

II-3b. **Operating parameters.**--The *practical* overall production capacity reported in II-3a is based on the following operating parameters:

Hours per week	Weeks per year

II-3c. **Capacity calculations.**--Please describe the methodology used to calculate *installed* and *practical* overall production capacities reported in II-3a, and explain any changes in reported capacities.

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- II-3d. **Practical overall production constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's practical overall production capacity over the period reported in question II-3a. If different constraints were binding over different periods reported, please specify when each constraint was limiting your reported practical overall production capacity. If a constraint was not actually binding over the period reported, but was still a constraint to achieving the installed capacity level, indicate at what level it would have been binding.

Constraint (check as many as appropriate)	Description (If checked, please describe the details, timing, and duration of the constraint; leave completely blank if not applicable)
<input type="checkbox"/> Production bottlenecks	
<input type="checkbox"/> Existing labor force	
<input type="checkbox"/> Supply of material inputs	
<input type="checkbox"/> Fuel or energy	
<input type="checkbox"/> Storage capacity	
<input type="checkbox"/> Logistics/transportation	
<input type="checkbox"/> Other constraints (list the specific constraints in the description field)	

- II-3e. **Reaching installed overall production capacity.**--Please describe and quantify the amount of time it would take and the additional actions that would be needed (e.g., hiring new workers, expanding shifts, procuring larger sources of raw material supply, etc.) for your firm to be able to fully utilize the reported installed overall production capacity reported in II-3a.

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II-4. **Product shifting.**—

- (a) Is your firm able to switch production (capacity) between frozen warmwater shrimp and other products using the same equipment and/or labor?

No	Yes	If yes—(i.e., have produced other products or are able to produce other products) Please identify other actual or potential products.
<input type="checkbox"/>	<input type="checkbox"/>	

- (b) Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

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- II-5. **Capacity checklist.**--Please check that the capacity numbers reported in question II-3a follow the Commission's relevant definitions for capacity.

Item	✓ if Yes
Are all three capacity measures reported based on <u>currently installed machinery and equipment</u> (i.e., the reported capacity level would not require additional capital investments in order to achieve)?	<input type="checkbox"/>
Are practical overall production capacity and practical frozen warmwater shrimp production capacity measures reported based on <u>existing labor force</u> (i.e., the reported capacity level would not require hiring additional production related workers or adding shifts)?	<input type="checkbox"/>
Are practical overall production capacity and practical frozen warmwater shrimp production capacity measures based on <u>the actual availability of material inputs</u> ?	<input type="checkbox"/>
Do both practical overall production capacity and practical frozen warmwater shrimp production capacity measures account for <u>normal downtime, maintenance, repair and clean-up</u> activities?	<input type="checkbox"/>
Does the difference between practical overall production capacity and practical frozen warmwater shrimp production capacity equal the portion of practical overall production capacity that is dedicated to the production of out-of-scope products?	<input type="checkbox"/>

Note: If your firm is not able to answer "yes" to any of the above criteria as it relates to your firm's reported capacity levels, please revise your capacity numbers to be in conformance with the appropriate definition prior to submission to the Commission.

- II-6. **Types of freezing capacity.**—What type of freezing capacity does your firm have? (*Check all that apply*)

Block frozen	IQF	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- II-7. **Share of sales.**--What percentage of your firm's total sales in its most recent fiscal year was represented by sales of frozen warmwater shrimp? \_\_\_\_\_ percent.

- II-8. **Firm's estimated share of production in country.**--Please estimate the percentage of total production of frozen warmwater shrimp in the country specified on the certification page accounted for by your firm's production in 2021. \_\_\_\_\_ percent.

- II-9. **Firm's estimated share of country's exports.**--Please estimate the percentage of total exports to the United States of frozen warmwater shrimp from the country specified on the certification page accounted for by your firm's exports in 2021. \_\_\_\_\_ percent.

- II-10. **Third country trade actions.**--Is the frozen warmwater shrimp exported by your firm subject to antidumping/countervailing duty/safeguard findings, remedies, or proceedings?

No	Yes	If yes— List the products(s), countries affected, and the date of such findings/remedies/proceedings
<input type="checkbox"/>	<input type="checkbox"/>	

- II-11. **Other export markets.**—

- (a) Identify export markets (other than the United States) to which your firm has increased its export shipments of frozen warmwater shrimp since 2016. Please identify and discuss below.

- (b) Identify export markets (other than the United States) to which your firm has decreased its export shipments of frozen warmwater shrimp since 2016. Please identify and discuss below.

- II-12. **Significance of antidumping duty orders.**--Describe the significance of the existing antidumping duty orders covering imports of frozen warmwater shrimp from China, India, Thailand, and/or Vietnam in terms of their effect on your firm's production capacity, production, home market shipments, exports to the United States and other markets, and inventories. You may wish to compare your firm's operations before and after the imposition of the order(s).

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- II-13. **Likely impact of revocation.**--Would your firm anticipate any changes in in the character of its operations or organization, including its production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, or asset values relating to the production of frozen warmwater shrimp in the future if the antidumping duty orders on frozen warmwater shrimp from China, India, Thailand, and/or Vietnam were to be revoked?

		<p><b>If yes, supply details as to the time, nature, and significance of such anticipated changes and describe the underlying business conditions and underlying assumptions used for these projections.</b></p> <p><b>Include in your response a specific projection of your firm's capacity to produce frozen warmwater shrimp (in 1,000 pounds) for 2022 and 2023.</b></p>
<b>No</b>	<b>Yes</b>	
<input type="checkbox"/>	<input type="checkbox"/>	

- II-14. **Trade data.**--Report your firm's production capacity, production, shipments, and inventories related to the production of frozen warmwater shrimp in your establishment(s) in China, India, Thailand, or Vietnam during the specified periods. Do not include resales of frozen warmwater shrimp that your firm did not produce in this question; data for such resales to the degree they are exported to the United States should only be reported in question II-15.

Do not submit data by individual manufacturing facilities if they are in the same country. If your firm has multiple manufacturing establishments within one country, you are required to combine data for those establishments within one foreign producer questionnaire response.

Do not submit data on multiple countries combined. The establishments reported here should all be located in the country of the firm's address reported on the certification page. Multinational companies with production in multiple subject countries should submit separate foreign producer questionnaire responses for each subject country.

**"Shipments"**--Shipments of products produced in your establishment(s) in China, India, Thailand, or Vietnam. Quantities reported should be net of returns. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment in China, India, Thailand, or Vietnam.

**"Home market commercial shipments"**--Shipments, other than internal consumption and transfers to related firms, within China, India, Thailand, or Vietnam.

**"Home market internal consumption/transfers to related firms"**--Shipments made to related firms in China, India, Thailand, or Vietnam, including product consumed internally by your firm.

**"Export shipments"**--Shipments to destinations outside China, India, Thailand, or Vietnam, including shipments to related firms.

**"Inventories"**--Finished goods inventory, not raw materials or work-in-progress.

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.*

II-14. Trade data.--Continued.

Quantity (in 1,000 pounds) and Value (in 1,000 dollars)					
Item	Calendar year			January-September	
	2019	2020	2021	2021	2022
<b>Practical frozen warmwater shrimp production capacity<sup>1</sup> (A)</b>	0	0	0	0	0
<b>Beginning-of-period inventories (B)</b>					
<b>Production<sup>1</sup> (C)</b>					
<b>Home market shipments:</b>					
Internal consumption/ transfers:					
Quantity (D)					
Value (E)					
Commercial shipments:					
Quantity (F)					
Value (G)					
<b>Export shipments:</b>					
to the United States:					
Quantity (H)					
Value (I)					
to the European Union: <sup>2</sup>					
Quantity (J)					
Value (K)					
to Asia: <sup>3</sup>					
Quantity (L)					
Value (M)					
to all other markets: <sup>4</sup>					
Quantity (N)					
Value (O)					
<b>Total exports (Quantity) (P)</b>	0	0	0	0	0
<b>Total shipments (Quantity) (Q)</b>	0	0	0	0	0
<b>End-of-period inventories (R)</b>					

<sup>1</sup> Data entered in question II-3a for this indicator will populate here. Explain any changes in reported capacity: \_\_\_\_.

<sup>2</sup> Identify your firm's principal *European Union* export markets: \_\_\_\_.

<sup>3</sup> Identify your firm's principal *Asian* export markets: \_\_\_\_.

<sup>4</sup> Identify your firm's principal *other* export markets: \_\_\_\_.

II-14. **Trade data.**—Continued.

**RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.**--Generally, the data reported for the end-of-period inventories (i.e., line R) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, J, L, and N). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Item	Calendar year			January-September	
	2019	2020	2021	2021	2022
B + C – D – F – H – J – L – N – R = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0	0	0
<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

II-15. **Exports not produced by your firm.**--Report your firm's exports of frozen warmwater shrimp that were produced in China, India, Thailand, or Vietnam but not by your firm during the specified periods. Note these data should **not** be included in question II-14.

Quantity (in 1,000 pounds) and Value (in 1,000 dollars)					
Item	Calendar year			January-September	
	2019	2020	2021	2021	2022
<b>Exports of frozen warmwater shrimp not produced by your firm<sup>1</sup>:</b>					
to the United States:					
Quantity (S)					
Value (T)					
to the European Union <sup>2</sup> :					
Quantity (U)					
Value (V)					
to Asia <sup>3</sup> :					
Quantity (W)					
Value (X)					
to all other markets: <sup>4</sup>					
Quantity (Y)					
Value (Z)					
<sup>1</sup> List the producer(s). _____. <sup>2</sup> Identify your firm's principal <i>European Union</i> export markets: _____. <sup>3</sup> Identify your firm's principal <i>Asian</i> export markets: _____. <sup>4</sup> Identify your firm's principal <i>other</i> export markets: _____.					

- II-16. **Other explanations.**--If your firm would like to further explain a response to a question in Part II for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

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**PART III.--MARKET FACTORS**

Further information on this part of the questionnaire can be obtained Amelia Preece, Economist (202-205 3250, [amelia.preece@usitc.gov](mailto:amelia.preece@usitc.gov)).

- III-1. **Contact information.**--Please identify the responsible individual and how Commission staff may contact the individual regarding the confidential information submitted in Part III.

Name	
Title	
Email	
Telephone	

- III-2. **Contract versus spot.**--Approximately what share of your firm's sales of frozen warmwater shrimp in 2021 was on the basis of (1) short-term contracts, (2) annual contracts, (3) long-term contracts, and (4) spot sales?

	Type of sale				Total (should sum to 100.0%)
	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	Spot sales (for a single delivery)	
Share of your 2021 sales	%	%	%	%	0.0 %

- III-3. **Changes in factors affecting supply.**-- Have changes in any factors affecting supply (e.g., changes in availability or prices of energy or labor; transportation conditions; production capacity and/or methods of production; technology; export markets; or alternative production opportunities) affected the availability of frozen warmwater shrimp produced in the country specified on the certification page for export to the U.S. market, other export markets, or the home market, since January 1, 2016?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-4. **Future import supply.**--Do you anticipate any changes in terms of the availability of frozen warmwater shrimp produced in the country specified on the certification page for export to the U.S. market in the future?

Increase	No change	Decrease	If you anticipate changes in supply, please identify the changes, including the timing and impact of such changes on shipment volumes and prices of exports to the United States.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- III-5. **Product shifting.**--Describe how easily your firm can shift its sales of frozen warmwater shrimp between the U.S. market and alternative third country markets. In your discussion, please describe any contracts, other sales arrangements, or other constraints (including any third-country trade barriers such as tariffs, quotas, or other non-tariff barriers) that would prevent or impede your firm from shifting exports of frozen warmwater shrimp between the U.S. and alternative country markets within a 12-month period.

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- III-6. **Product range.**-- Is the product range, product mix, or marketing of frozen warmwater shrimp in your home market different from that for your exports of frozen warmwater shrimp to the United States or to third-country markets?

No	Yes	If yes, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-7. **Product changes.**--Have there been any significant changes in the product range, product mix, or marketing of frozen warmwater shrimp in your firm's home market, for export to the United States, or for export to third-country markets since January 1, 2016 or do you anticipate any future changes?

No	Yes	If yes, please describe the changes, including the market(s) affected and when these changes occurred or are expected to occur.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-8. **Substitutes.** -- Have there been any changes in the number or types of products that can be substituted for frozen warmwater shrimp since January 1, 2016 or do you anticipate any future changes?

No	Yes	If yes, explain the changes, noting when these changes occurred or are expected to occur.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-9. **Interchangeability.**--Is the frozen warmwater shrimp produced by your firm and sold in its home market interchangeable (i.e., can be used in the same applications) with your firm's frozen warmwater shrimp exported to the United States and/or to third-country markets?

Yes	No	If no, identify the market(s) and any differences in the products.
<input type="checkbox"/>	<input type="checkbox"/>	

**III-10. End uses.—**

- (a) Do the end uses of the frozen warmwater shrimp that your firm manufactures and sells to your home market differ from those of the frozen warmwater shrimp your firm exports to the U.S. market or to third-country markets?

No	Yes	If yes, please explain the differences.
<input type="checkbox"/>	<input type="checkbox"/>	

- (b) Have there been any changes in the end uses of frozen warmwater shrimp since January 1, 2016 or do you anticipate any future changes?

No	Yes	If yes, explain the changes, noting when these changes occurred or are expected to occur.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-11. Demand trends.—**Indicate how demand in the following markets for frozen warmwater shrimp has changed since January 1, 2016, and how you anticipate demand will change in the future. Explain any trends and describe the principal factors that have affected, and that you anticipate will affect, these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
<b>Demand since January 1, 2016</b>					
Home market	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other markets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Anticipated future demand</b>					
Home market	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other markets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- III-12. **Price comparisons.**--Please compare market prices of frozen warmwater shrimp in your firm's home market, the United States, and third-country markets. Provide information as to time periods and regions for any price comparisons and note the sources for your market knowledge.

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- III-13. **Description of home market.**--Describe briefly your firm's home market for frozen warmwater shrimp, including the number of suppliers serving the market and the degree of competition between them.

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- III-14. **Import competition.**--Does your firm face competition from imports of frozen warmwater shrimp in your firm's home market?

No	Yes	If yes, please identify the country sources of these imports.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-15. **Role of section 301 tariffs.**-- Did the tariffs on Chinese-origin products under section 301, or changes in these tariffs, have an impact on your firm's exports of frozen warmwater shrimp to the United States?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-16. **Other explanations.**--If your firm would like to further explain a response to a question in Part III for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

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**Correcting Valid number error messages.**--If you are completing a Commission questionnaire in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). The U.S. International Trade Commission's questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g. "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty-five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the USITC foreign producer questionnaire form. When you finish reporting the data then you can close the questionnaire and switch back to Italy settings.

**Region and Language**

Formats Location Keyboards and Languages Administrative

Format:  
Italian (Italy)

Date and time formats

Short date: dd/MM/yyyy

Long date: dddd d MMMM yyyy

Short time: HH:mm

Long time: HH:mm:ss

First day of week: lunedì

[What does the notation mean?](#)

Examples

Short date:	03/11/2015
Long date:	martedì 3 novembre 2015
Short time:	10:35
Long time:	10:35:44

**Region and Language**

Formats Location Keyboards and Languages Administrative

Format:  
English (United States)

Date and time formats

Short date: M/d/yyyy

Long date: dddd, MMMM dd, yyyy

Short time: h:mm tt

Long time: h:mm:ss tt

First day of week: Sunday

[What does the notation mean?](#)

Examples

Short date:	8/6/2015
Long date:	Thursday, August 06, 2015
Short time:	2:47 PM
Long time:	2:47:25 PM

## HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

[https://usitc.gov/investigations/701731/2022/frozen\\_warmwater\\_shrimp\\_china\\_india\\_thailand\\_and/third\\_review\\_full.htm](https://usitc.gov/investigations/701731/2022/frozen_warmwater_shrimp_china_india_thailand_and/third_review_full.htm)

***Please do not attempt to modify the format or permissions of the questionnaire document.*** Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

**Web address:** <https://dropbox.usitc.gov/oinv/>

**Pin:** **SHRIMP**

- **E-mail.**—E-mail the MS Word questionnaire to [keysha.martinez@usitc.gov](mailto:keysha.martinez@usitc.gov); include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

**If your firm did not produce or export this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

***Parties to this proceeding.***—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1802). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.