

CUI//SP-PERS
when filled in

DOE F 472.11 (05/2024)
REPLACES DOE F 5631.18,
WHICH IS OBSOLETE

U.S. DEPARTMENT OF ENERGY
SECURITY ACKNOWLEDGMENT

OMB Control No. 1910-1800
Expires: 06/30/2025

I, _____, make the following statements with the understanding and intent that my statements will be used by the U.S. Department of Energy (DOE) in meeting its obligation to determine my eligibility for access to Restricted Data (RD), other classified information, Special Nuclear Material (SNM), eligibility to hold a sensitive position.

1. I understand it is DOE policy to control access to dissemination of RD, other classified information, SNM, or physical access to facilities and logical access to information systems.
2. I understand that in carrying the aforesaid policy, DOE has issued, will issue, and revise, as circumstances require, certain instructions and regulations pertaining to access to, and control and dissemination of RD, other classified information, SNM, or physical access to facilities and logical access to information systems.
3. I shall not reveal to any person any RD, other classified information, or SNM, of which I gain knowledge as a result of my employment, assignment, or duties, except in accordance with an official instruction or regulation of DOE or except as may be hereafter authorized by official empowered to grant such authority.
4. I understand the provisions of the Atomic Energy Act, as amended; prescribed penalties for the disclosure of RD to unauthorized persons, and the provisions of United States Code, Title 18, Crimes and Criminal Procedures; prescribed penalties for the disclosure to unauthorized persons of information respective to the national defense; and for a loss, destruction, or compromise of such information through gross negligence.
5. I understand willful or gross carelessness in revealing or disclosing to any unauthorized person, RD, other classified information, or special nuclear material pertaining to DOE or any other Government agency may constitute sufficient cause for termination of my association with classified programs, or may result in denial, revocation, or suspension of my physical access to facilities or logical access to information systems.
6. I understand in addition to the reporting requirements in Security Executive Agent Directive (SEAD) 3, *Reporting Requirements for Personnel with Access to Classified Information or Who Hold a Sensitive Position*, and as outlined in DOE Order (O) 472.2A, *Personnel Security*, Attachment 5, Reporting Requirements, I am to provide DOE, within three working days, information concerning any legal action to effect change to my name, and within 45 days, a DOE Form 272.2 Personnel Security Information Reporting, in accordance with provisions in DOE O 472.2A (as applicable).
7. I understand my illegal use of controlled substances, to include the misuse of prescription and non-prescription drugs, and my use of other substances to include excessive or habitual use of alcohol that cause physical or mental impairment or are used in a manner inconsistent with their intended purpose can raise questions about my reliability and trustworthiness to maintain my eligibility for access to RD, other classified information, or SNM.

By signing below, I acknowledge I have read the above statements and understand them as written and/or explained to me.

Employer:

Location where DOE F 472.11 was signed:

Signature:

Date:

ALL PAGES OF THIS FORM MUST BE READ AND SIGNED

18 U.S.C. Section 1001; Act of June 25, 1948; 62 STAT. 749; MAKES IT A CRIMINAL OFFENSE TO MAKE A WILLFULLY FALSE STATEMENT OR PRESENTATION TO ANY DEPARTMENT OR AGENCY OF THE UNITED STATES AS TO ANY MATTERS WITHIN ITS JURISDICTION.

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I understand an initial investigation (or reinvestigation) was/will be conducted on me at the request of DOE, the results of which have been used by DOE to determine my initial or continued eligibility for a DOE access authorization/security clearance or eligibility to hold a sensitive position using the guidelines below as defined in Appendix A of SEAD 4, National Security Adjudicative Guidelines (or any successor guidance). I also understand that during my tenure in access with DOE, I will be subject to continuous vetting and will remain subject to the below guidelines and investigation into matters that may/will cause security concern(s) affecting my eligibility for a DOE access authorization/security clearance or holding a sensitive position, or logical access to information systems or physical access to DOE facilities.

GUIDELINE A: Allegiance to the United States: The willingness to safeguard classified or sensitive information is in doubt if there is any reason to suspect an individual's allegiance to the U.S. Indicators include the participation in or support for acts against the U.S. or placing the welfare or interests of another country above those of the U.S.

GUIDELINE B: Foreign Influence: Foreign contacts and interests, including, but not limited to, business, financial and property interests, are a national security concern if they result in divided allegiance.

GUIDELINE C: Foreign Preference: When an individual acts in such a way as to indicate a preference for a foreign country over the U.S., then he/she may provide information or make decisions that are harmful to the interests of the U.S. Foreign involvement raises concerns about an individual's judgment, reliability, and trustworthiness when it is in conflict with U.S. national interests or when the individual acts to conceal it.

GUIDELINE D: Sexual Behavior: Sexual behavior that involves a criminal offense; reflects a lack of judgment or discretion; or may subject an individual to undue influence of coercion, exploitation, or duress. Sexual behavior includes conduct occurring in person or via audio, visual, electronic, or written transmission.

GUIDELINE E: Personal Conduct: Conduct involving questionable judgment, lack of candor, dishonesty, or unwillingness to comply with rules and regulations can raise questions about an individual's reliability, trustworthiness, and ability to protect classified information.

GUIDELINE F: Financial Considerations: Failure to live within one's means, satisfy debts, and meet financial obligations may indicate poor self-control, lack of judgment, or unwillingness to abide by rules and regulations, all of which raise questions about an individual's reliability, trustworthiness, and ability to protect classified or sensitive information.

GUIDELINE G: Alcohol Consumption: Excessive alcohol consumption often leads to the exercise of questionable judgment or the failure to control impulses and can raise questions about an individual's reliability and trustworthiness.

GUIDELINE H: Drug Involvement and Substance Misuse: The illegal use of controlled substances, to include the misuse of prescription and nonprescription drugs, and the use of other substances that cause physical or mental impairment or are used in a manner inconsistent with their intended purpose can raise questions about an individual's reliability and trustworthiness.

GUIDELINE I: Psychological Conditions: Certain emotional, mental, and personality conditions can impair judgment, reliability, or trustworthiness. A formal diagnosis of a disorder is not required for there to be a concern under this guideline.

GUIDELINE J: Criminal Conduct: Criminal activity creates doubt about a person's judgment, reliability, and trustworthiness. By its very nature, it calls into question a person's ability or willingness to comply with laws, rules, and regulations.

GUIDELINE K: Handling Protected Information: Deliberate or negligent failure to comply with rules and regulations for handling protected information raises doubt about an individual's trustworthiness, judgment, reliability, or willingness to safeguard such information.

GUIDELINE L: Outside Activities: Involvement in certain types of outside employment or activities is of security concern if it poses a conflict of interest with an individual's security responsibilities and could create an increased risk of unauthorized disclosure of classified or sensitive information.

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GUIDELINE M: Use of Information Technology (IT): Failure to comply with rules, procedures, guidelines, or regulations pertaining to IT systems may raise security concerns about an individual's reliability and trustworthiness, calling into question the willingness or ability to properly protect sensitive systems, networks, and information.

Signature:

Date:

PRIVACY ACT STATEMENT

Collection of the information requested is authorized by the Atomic Energy Act of 1954, as amended, and by Executive Orders 13764, 10865, 13526, and U.S. Department of Energy (DOE) O 472.2A, Personnel Security. Disclosure of the information on this form is voluntary; however, your decision not to complete this form could result in a delay in (or denial of) processing this request (or any future request for reinstatement) of your DOE access authorization/security clearance. Your DOE access authorization/security clearance can be terminated regardless of whether this form is completed. Your name and Social Security Number are used as identifying factors to establish and maintain records of DOE access authorization actions in the DOE Systems of Records Notice (SORN), DOE-43, Personnel Security Files, and this form will be completed and maintained in your DOE Personnel Security File. Access to this record within DOE and by other individuals is permitted in accordance with the Privacy Act of 1974, as amended, 10 Code of Federal Regulations Part 1008, and for routine uses in SORN DOE-43.

OMB BURDEN DISCLOSURE STATEMENT

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management, MA-90, GTN, Paperwork Reduction Project (1910-1800), U.S. Department of Energy, 1000 Independence Ave., SW, Washington, DC 20585-1290; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1910-1800), Washington, DC 20503.

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