U.S. PRODUCERS' QUESTIONNAIRE

FERROSILICON FROM BRAZIL, KAZAKHSTAN, MALAYSIA, AND RUSSIA

This questionnaire must be received by the Commission by April 11, 2024

See last page for instructions regarding how to file this questionnaire.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing and antidumping investigations concerning ferrosilicon from Brazil, Kazakhstan, Malaysia, and Russia (Inv. Nos. 701-TA-712-715 and 731-TA-1679-1682 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

City			State		Zip C	ode				
Website _										
Has your fir 2021?	m produced fe	rrosilicon (as defin	ed on next pa	age) in the	e United	States at	any time	e since J	anuary	1,
☐ NO	(Sign the ce	rtification below and	promptly retur	ırn only thi	is page of	the quest	ionnaire t	o the Co	mmissio	n)
YES	(Complete	all parts of the questi	onnaire, and re	eturn the e	entire que	stionnaire	to the Co	mmissic	n)	
•		ia the U.S. Intern usitc.gov/qportal				•	-	_		
			CERTIFICA	.=						
ge and belief	and understa	in supplied in res nd that the inform grant consent for	sponse to thi	is question tted is sui	bject to	audit an	d verifica	ation by	the Co	mmissi
ge and belief f this certific ion provided nission on the dersigned, a ng or other p I (a) for deve and evaluat 3; or (ii) by	and understa ation I also g in this questic same or simi knowledge th roceedings m loping or ma ions relating U.S. governme	nd that the inform	sponse to this ation submit the Commission with this produced in read and used: (i) ds of this or personnel, a contract personnel, a contract personnel.	is questic tted is sur- sion, and oceeding response i) by the a related and oper rsonnel, so	bject to distance to this recommiss of this receivations of the thing	audit an oloyees ther imp equest j ion, its ding, or f the Co	d verifice and con ort-injur for infori employed (b) in into ommissic	ation by tract proces mation es and ternal in	the Coersonne edings and the Offices, avestiga	ommissi el, to u conduc rougho and co ations, o
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PART I.—GENERAL INFORMATION

<u>Background</u>.--This proceeding was instituted in response to a petition filed on March 28, 2024, by Ferroglobe USA, Inc., Beverly, Ohio and CC Metals and Alloys, LLC,, Calvert City, Kentucky. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and dumping. Pertinent information to this proceeding is available at:

Questionnaires: https://usitc.gov/reports/active import injury questionnaires. Other case information: https://ids.usitc.gov/case/8187/investigation/8547.

<u>Ferrosilicon</u> covered by this proceeding is all forms and sizes of ferrosilicon, regardless of grade, including ferrosilicon briquettes. Ferrosilicon is a ferroalloy containing by weight four percent or more iron, more than eight percent but not more than 96 percent silicon, three percent or less phosphorus, 30 percent or less manganese, less than three percent magnesium, and 10 percent or less any other element. The merchandise covered also includes product described as slag, if the product meets these specifications.

Subject merchandise includes material matching the above description that has been finished, packaged, or otherwise processed in a third country, including by performing any grinding or any other finishing, packaging, or processing that would not otherwise remove the merchandise from the scope of the investigations if performed in the country of manufacture of the ferrosilicon.

Ferrosilicon is currently imported under statistical reporting numbers 7202.21.1000, 7202.21.5000, 7202.21.7500, 7202.21.9000, 7202.29.0010, and 7202.29.0050 of the HTSUS. While the HTSUS numbers are provided for convenience and customs purposes, the written description of the scope remains dispositive.

<u>Reporting of information</u>.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals. In addition, if your firm is a U.S. producer, the information you provide on your production and imports of ferrosilicon and your responses to the guestions in Part I of the producer questionnaire will be provided to the U.S. Department of Commerce, upon its request, for use in connection with (and only in connection with) its requirement pursuant to section 702(c)(4)/732(c)(4) of the Act (19 U.S.C. § 1671a(c)(4)/1673a(c)(4)) to make a determination concerning the extent of industry support for the petition requesting this proceeding. Any information provided to Commerce will be transmitted under the confidentiality and release guidelines set forth above. Your response to these questions constitutes your consent that such information be provided to Commerce under the conditions described above.

<u>D-GRIDS tool.</u>--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macroenabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is <u>optional</u>. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

I-1a. Reporting requirements.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire for use by the Office of Management and Budget.

Hours	Dollars

Public reporting burden for this questionnaire is estimated to average 55 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please provide such comments to the Office of Investigations, import injury@usitc.gov.

I-1b.	TAA information releaseIn the event that the U.S. International Trade Commission (USITC)
	makes an affirmative final determination in this proceeding, do you consent to the USITC's
	release of your contact information (company name, address, contact person, contact person's
	title, telephone number, email address) appearing on the front page of this questionnaire to the
	Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its
	workers can be made eligible for benefits under the Trade Adjustment Assistance program?

Yes	\square N	١c
Yes		10

I-2a. <u>Establishments covered</u>.--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. Firms operating more than one establishment should combine the data for all establishments into a single report.

"<u>Establishment</u>"—Each facility of a firm involved in the <u>production</u> of ferrosilicon, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

Establishments covered ¹	City, State	Zip (5 digit)	Description
1			
2			
3			
4			
5			
6			
¹ Additional disc	ussion on establishments cons	solidated in this questic	onnaire:

I-2b.	Stock symbol information If your firm or parent firm is publicly traded, please specify the
	stock exchange and trading symbol:

I-2c.	External counsel If your firm or parent firm is represented by external counsel in relation to
	this proceeding, please specify the name of the law firm and the lead attorney(s).

Law firm:	
Lead attorney(s):	

I-3. <u>Petitioner status.</u>--Is your firm a petitioner in this proceeding or a member firm of the petitioning entity?

No	Yes

I-4. **Petition support**.--Does your firm support or oppose the petition?

Country	Investigation type	Support	Oppose	Take no position
Brazil	Antidumping duty			
Kazakhstan	Antidumping duty			
Malaysia	Antidumping duty			
Russia Antidumping dut				
Brazil	Countervailing duty			
Kazakhstan	Countervailing duty			
Malaysia	Countervailing duty			
Russia	Countervailing duty			
I-5. <u>Ownership</u>	oIs your firm owned, i		y any other firm? , relating to the ultima	ate parent/owner.
Firm nam	e (Country		Extent of ownership (percent)

"Related firm" —A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

No Yes	List the following information.	
Firm name	Country	Affiliation
		l l
engaged in the product	•	rms, either domestic or foreign,

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Lawrence Jones (202-205-3358, <u>Lawrence.jones@usitc.gov</u>) . Supply all data requested on a <u>calendar-year</u> basis.

1.	·		•	•	individual and the manner by which ng the confidential information submitted
	in Part II.	, , , , , , , , , , , , , , , , , , , ,		-0-	
					1
	Name				
	Title				
	Email				
	Telephone				

II-2a. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the production of ferrosilicon since January 1, 2021.

Chec	k as many as appropriate.	If checked, please describe the nature, timing / duration, and impact on operations of any such reported changes as well as the business reasons for them; leave completely blank if not applicable
	Plant openings	
	Plant closings	
	Prolonged shutdowns	
	Production curtailments	
	Relocations	
	Expansions	
	Acquisitions	
	Consolidations	
	Weather-related or force majeure events	
	Other (e.g., revised labor agreements, technology)	

II-2b. **COVID-19 pandemic.**—Has the COVID-19 pandemic or have any government actions taken to contain the spread of the COVID-19 virus resulted in changes in your firm's supply chain arrangements, production, employment, and shipments relating to ferrosilicon? In your response, please discuss the duration and timing of any such changes as they relate to your firm's operations.

No	Yes	If yes, describe these changes including the impact over time on the (a) supply chain, (b) production and shipments, and (c) employment with respect to ferrosilicon.

II-3a. Production using same machinery.--Please report your firm's production of products using the same equipment, machinery, or employees as used to produce ferrosilicon, and the combined capacity (both installed and practical capacity) on this shared equipment, machinery, or employees in the periods indicated.

"Installed overall capacity" – The level of production that your establishment(s) could have attained, assuming your firm's optimal product mix, and based solely on existing capital investments, i.e., machinery and equipment that is in place and ready to operate. This capacity measure does <u>not</u> take into account other constraints to production such as existing workforce constraints, availability of raw materials, or downtime for maintenance, repair, and clean-up. This capacity measure is sometimes referred to as "nameplate" or "theoretical" capacity.

"Practical overall capacity" – The level of production that your establishment(s) could reasonably have expected to attain, taking into account your firm's actual product mix over the period. This capacity measure is based on not only existing capital investments, i.e., machinery and equipment that is in place and ready to operate; but also non-capital investment constraints, such as (1) normal operating conditions, including normal downtime for maintenance, repair, and cleanup; (2) your firm's existing in place and readily available labor force; (3) availability of material inputs; and (4) any other constraints that may have limited your firm's ability to produce the reported products. Importantly, this capacity measure is the maximum "practical" production your firm could have achieved without hiring new personnel or expanding the number of shifts operated in the period.

"Practical ferrosilicon capacity" – The level of production of ferrosilicon that your establishment(s) could reasonably have expected to attain. The same assumptions apply to this capacity measure as for practical overall capacity, but only includes the portion of practical overall capacity allocated to the production of ferrosilicon based on the actual product mix experienced over the period.

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

Takes into account	Installed overall capacity	Practical overall capacity	Practical ferrosilicon capacity
Existing capital investments	Yes	Yes	Yes
Product mix	Yes	Yes	Yes
Normal downtime, maintenance, repair, and clean-up	No	Yes	Yes
Existing labor force	No	Yes	Yes
Availability of material inputs	No	Yes	Yes
Actual number of shifts and hours operated	No	Yes	Yes
Limited to ferrosilicon	No	No	Yes

II-3a. **Production using same machinery.**—**Continued**

Quanti	ty (in short tons)		
		Calendar year	
Item	2021	2022	2023
Capacity measures:			
Installed overall capacity ¹			
Practical overall capacity ¹²			
Practical ferrosilicon capacity ^{3 4}	0	0	0
Production of:			
Ferrosilicon, contained weight basis ^{3 4}	0	0	0
Weight of non-silicon alloy materials contained in the ferrosilicon			
Ferrosilicon, total weight basis	0	0	0
Other out-of-scope products: Silicon metal			
Magnesium ferrosilicon			
Other products ⁵			
Subtotal, all out-of-scope products	0	0	0
Total production using same machinery or workers	0	0	0

¹ Data reported for both "installed overall" and "practical overall" capacity should each individually be greater than data reported for total production (last line). Additionally, data reported for "installed overall" capacity should be greater than "practical overall" capacity in every period.

² Please provide details in your response to the question on capacity constraints in question II-3d below that explain the differences reported between "installed" overall capacity and "practical" overall capacity.

³ Data for this indicator will populate here once reported below in question II-8.

⁴ Data reported for practical ferrosilicon capacity should be greater than the data reported for production of ferrosilicon in each period, if not revise prior to submission to the Commission. Additionally, if your firm reports the production of no other products on the same machinery and using the same workers as ferrosilicon then "practical overall" and "practical ferrosilicon" capacity measures should be equal to each other.

⁵ Please identify these products: _____.

U.S. Producers' Questionnaire – Ferrosilicon (Preliminary	U.S.	Producers'	Questionnaire -	Ferrosilicon	(Preliminary
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	Hours per week	Weeks per year	
3c.	<u>Capacity calculations.</u> Please d overall production capacities re		 to calculate <i>installed</i> and <i>practical</i> changes in reported capacities.

II-3d. Practical overall capacity constraints.--Please describe the constraint(s) that set the limit(s) on your firm's practical overall capacity over the period reported in question II-3a. If different constraints were binding over different periods reported, please specify when each constraint was limiting your reported practical overall capacity. If a constraint was not actually binding over the period reported, but was still a constraint to achieving the installed capacity level, indicate at what level it would have been binding.

Constraint (check as many as appropriate)		Description (If checked, please describe the details, timing, and duration of the constraint; leave completely blank if not applicable)
	Production bottlenecks	
	Existing labor force	
	Supply of material inputs	
	Fuel or energy	
	Storage capacity	
	Logistics/transportation	
	Other constraints (list the specific constraints in the description field)	

Excess in	stalled ov	verall capacityTo the extent that your company is reporting excess installed
overall ca	apacity, pl	ease report, with specificity: (1) which machines or equipment (or other elemuld need to be brought back into production for your plant to operate at full
•	-	ne specific dates on which such machines or equipment were last used by you
plant to p	oroduce fe	errosilicon.
	1.6.	
Product s	shifting.—	-
(a) Is	s your firm	n able to switch production (capacity) between ferrosilicon and other productame equipment and/or labor?
(a) Is	s your firm	n able to switch production (capacity) between ferrosilicon and other produc
(a) Is	s your firm	n able to switch production (capacity) between ferrosilicon and other production equipment and/or labor? If yes—(i.e., have produced other products or are able to produce other
(a) Is	s your firm	n able to switch production (capacity) between ferrosilicon and other production equipment and/or labor? If yes—(i.e., have produced other products or are able to produce other

U.S. Producers' Questionnaire - F	Ferrosilicon ((Preliminary)
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II-5.	Capacity checklistPlease check that the capacity numbers reported in question II-3a follow the
	Commission's relevant definitions for capacity.

ltem	√ if Yes
Are all three capacity measures reported based on <u>currently installed</u> <u>machinery and equipment</u> (i.e., the reported capacity level would not	
require additional capital investments in order to achieve)?	
Are practical overall capacity and practical ferrosilicon capacity measures reported based on <i>existing labor force</i> (<i>i.e.</i> , the reported capacity level would not require hiring additional production related	
workers or adding shifts)?	
Are practical overall capacity and practical ferrosilicon capacity measures based on the actual availability of material inputs?	
Do both practical overall capacity and practical ferrosilicon capacity measures account for <u>normal downtime</u> , <u>maintenance</u> , <u>repair</u> , <u>and cleanup</u> activities?	
Does the difference between practical overall capacity and practical ferrosilicon capacity equal the portion of practical overall capacity that is dedicated to the production of out-of-scope products?	

Note: If your firm is not able to answer "yes" to any of the above criteria as it relates to your firm's reported capacity levels, please revise your capacity numbers to be in conformance with the appropriate definition prior to submission to the Commission.

II-6. **Tolling**.--Since January 1, 2021, has your firm been involved in a toll agreement regarding the production of ferrosilicon?

"Toll agreement"-- Agreement between two firms whereby the first firm ("tollee") furnishes the raw materials and the second firm ("toller") uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

No	Yes	
		If yes—Please complete the table below.

Does your firm act as the toller or tollee in this arrangement?	Toller:	Tollee:	
Report the share of your firm's production of ferrosilicon that was it this toll arrangement in 2023.	%		
Please describe the activities performed in this tolling arrangement:			
Please indicate the name(s) of the firm(s) involved:			

II-7.	Foreign	trade	zones

(a) <u>Firm's FTZ operations</u>.--Does your firm produce ferrosilicon in and/or admit ferrosilicon into a foreign trade zone (FTZ)?

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

No	Yes	If yesDescribe the nature of your firm's operations in FTZs and identify the specific FTZ site(s).

(b) Other firms' FTZ operations.--To your knowledge, do any firms in the United States import ferrosilicon into a foreign trade zone (FTZ) for use in distribution of ferrosilicon and/or the production of downstream articles?

No	Yes	If yesIdentify the firms and the FTZs.

II-8. **Shipments and inventory data.--**Report your firm's uses (shipment or storage) of domestically produced ferrosilicon during the specified periods.

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

"75 percent Ferrosilicon": ferrosilicon containing 74-79 percent silicon.

"50 percent Ferrosilicon": ferrosilicon containing 47-51 percent silicon.

"Commercial U.S. shipments" – Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report <u>net values</u> (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" – Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" – Shipments made to related firms. Such transactions are valued at fair market value.

"Export shipments" – Shipments to destinations outside the United States, including shipments to related firms.

"Inventories"— Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-8. Production, shipment, and inventory data.--Continued

Quantity (in short tons contained silicon) and value (in \$1,000)				
	Calendar year			
Item	2021	2022	2023	
Practical ferrosilicon capacity¹ (quantity) (A)				
Beginning-of-period inventories (quantity) (B)				
Production of :				
75 percent ferrosilicon (quantity) (C)				
50 percent ferrosilicon (quantity) (D)				
Other ferrosilicon ² (E)				
Total production of ferrosilicon	0	0	0	
U.S. shipments:				
Commercial shipments:				
Quantity (F)				
Value (G)				
Internal consumption:3				
Quantity (H)				
Value³ (I)				
Transfers to related firms: ³				
Quantity (J)				
Value³ (K)				
Export shipments:4				
Quantity (L)				
Value (M)				
End-of-period inventories (quantity) (N)				
¹ Report your firm's practical ferrosilicon capacity c	onsistent with the de	efinitions and instruc	tions included in	
question II-3a.				
² Please indicate the types of ferrosilicon based on				
³ Internal consumption and transfers to related firm				
different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, <i>etc.</i>):				
However, the data provided above in this table definition of the latest and the latest	However, the data provided above in this table should be based on fair market value.			
identity your fiffit 5 principal export markets:				

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., line N) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., lines C, D, and E), less total shipments (i.e., lines F, H, J, and L). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar year		
Reconciliation	2021	2022	2023
B + C + D + E - F - H - J - L - N = should equal			
zero ("0") or provide an explanation.1	0	0	0

¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:_____.

II-9. <u>Channels of distribution</u>.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S.-produced ferrosilicon by channel of distribution during the specified periods.

Quantity (in short tons contained silicon)					
	Calendar year		Calendar ye		
Item	2021	2022	2023		
U.S. shipments:					
to Distributors (O)					
to Iron foundries (P)					
to Steel producers (Q)					
to All other end users ¹ (R)					
¹ Please describe other end users:					

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines O through R) in each time period equal the quantity reported for U.S. shipments (i.e., line F, H, J) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar year		
Reconciliation	2021	2022	2023
O + P + Q + R - F - H - J = zero ("0"), if			
not revise.	0	0	0

II-10a. <u>U.S. shipments by product type</u>.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S.-produced ferrosilicon by silicon content (percent of contained silicon) and by product size (lump, bulk, granular, or other forms) during the specified period.

Quantity (in short tons contained silicon)			
Item	Calendar years		
	2021	2022	2023
J.S. shipments: 75 percent ferrosilicon in lump or bulk sized forms (S)			
75 percent ferrosilicon in granular and all other forms (T)			
50 percent ferrosilicon in lump or bulk sized forms (U)			
50 percent ferrosilicon in granular and all other forms (V)			
All other percent(s) of ferrosilicon in lump or bulk sized forms ¹ (W)			
All other percent(s) of ferrosilicon in granular and all other forms ¹ (X)			
¹ Please describe		'	

<u>RECONCILATION OF U.S. SHIPMENTS BY PRODUCT TYPE.</u>—Please ensure that the quantities reported in this question (i.e., lines S through X across all columns) equal the quantity and value reported for U.S. shipments (i.e., lines F through J) for the specified periods in question II-8. If calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar year		
Reconciliation	2021	2022	2023
S + T + U + V + W + X - F - H - J = zero			
("0"), if not revise.	0	0	0

II-10b. <u>U.S. shipments by grade</u>.—Please provide the quantity and value of your firm's U.S. shipments (inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S. produced regular grade and all other grades in calendar year 2023 by grade type.

Ferrosilicon Grade Definitions:

- (1) <u>Regular ferrosilicon</u>: a ferrosilicon product that contains over 0.50 but not over 1.50 percent aluminum
- (2) <u>Low-aluminum</u>: a ferrosilicon product that contains over 0.10 but not over 0.50 percent aluminum
- (3) <u>High-purity</u>: a ferrosilicon product that contains not over 0.10 percent aluminum and over 0.04 percent titanium
- (4) <u>Low Titanium</u>: a ferrosilicon product that contains not over 0.10 percent aluminum and 0.04 percent or less titanium
- (5) **Foundry**: a ferrosilicon product containing a minimum of 0.50 percent calcium and 0.75 percent or more but not more than 1.50 percent of aluminum.
- (6) <u>Inoculant/Supplemental Element</u>: a ferrosilicon product containing a controlled amount of one or more minor elements for the purpose of adding them to steel or foundry iron using ferrosilicon as the carrier.
- (7) <u>Other</u>: an in-scope ferrosilicon product that does not conform to definitions (1) through (6) above

Quantity (in short tons contained silicon) and value (in \$1,000)		
Item	Calendar year 2023	
U.S. shipments of ferrosilicon by grade:		
Regular grade:		
Quantity (Y)		
Value (Z)		
All other grades:1		
Quantity (AA)		
Value (AB)		
¹ Please specify the other grades (e.g., low aluminum grade, high purity grade, low titanium grade,		

<u>RECONCILIATION OF U.S. SHIPMENTS BY GRADE</u>.--Please ensure that the quantities and values reported for U.S. shipments by grade in this question equal the quantities and values reported for U.S. shipments (i.e., lines F through K) in calendar year 2023 in question II-8 above. If the calculated field below returns a value other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

foundry grade, inoculant/supplemental grade, and any other unspecified grades) of ferrosilicon: _

Item	Calendar year 2023
Quantity: $Y + AA - F - H - J = zero ("0"), if not revise.$	0
Value: $Z + AB - G - I - K = zero ("0")$, if not revise.	0

Explanation of trends:

II-11. <u>Employment data</u>.--Report your firm's employment-related data related to the production of ferrosilicon in your U.S. establishments and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12.

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" – Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

	Calendar year						
Item	2021	2022	2023				
Average number of PRWs (number)							
Hours worked by PRWs (1,000 hours)							
Wages paid to PRWs (\$1,000)							

-12.	<u>Transfers to related firms</u> If your firm reported transfers to related firms in question II-8, please identify the firm(s) and indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.
------	---

II-13.	<u>Purchases</u> Has your firm purchased ferrosilicon produced in the United States or in other
	countries since January 1, 2021? (Do not include imports for which your firm was the importer
	of record. These should be reported in an importer questionnaire.)

"Purchase" – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

"Import" —A transaction to buy from a foreign supplier where your firm is the importer of record.

No	If yesReport such purchases in the table below and explain the reasons for your firms' purchases.			

Note: If your firm served as the importer of record for any purchases from foreign suppliers, either for your own account or as a service for another entity, those purchases are to be considered "imports" not "purchases" and **should not** be included in the table below

(Quantity	in short tons conta	ined silicon)			
		Calendar year			
Item	2021	2022	2023		
Purchases from U.S. importers of					
ferrosilicon from—					
Brazil					
Kazakhstan					
Malaysia					
Russia					
Subject sources	0	0	0		
All other sources ¹					
Purchases from domestic producers ²					
Purchases from subject sources ³					
Purchases from all sources ³	0	0	0		
¹ Please list the name of the nonsubject in ² Please list the name of the U.S. producer ³ Please list the name of the firm(s) from v	r(s) from which your fi	irm purchased this produ	· ——		

II-14. <u>Purchases of imports from subject sources</u>.--If your firm reported purchases from U.S. importers of ferrosilicon from Brazil, Kazakhstan, Malaysia, Russia at any time since January 1, 2021, report those purchases by the individual importer of record and subject source.

Purchases of subject imports

Quantity (in short tons contained silicon)									
			Calendar year						
Importer of record	Subject source	2021	2022	2023					
Grand total:		0	0	0					

NRECONCILIATION OF PURCHASES FROM SUBJECT SOURCES.--Please ensure that the quantities reported for your firms purchases of imports from subject sources reported in this question (i.e., "total purchases of imports from subject sources") in each time period equal the quantity reported for your firm's purchases from subject sources in each time period in the previous question. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar year							
Reconciliation	2021	2022	2023					
Purchases from subject sources in this table –								
purchases from subject sources in previous								
table = zero ("0"), if not revise.	0	0	0					

II-15. ImportsSince January 1, 2021, has your firm imported ferros	ilicon?
--	---------

"Importer" – The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf.

No	Yes	
		If yes <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u>

II-16.	Other explanations.—If your firm would like to further explain a response to a question in Part II for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to Jennifer Brinckhaus (202-205-3188, jennifer.brinckhaus@usitc.gov).

II-1.	Contac	t informat	tion — Plaasa idantii	fy tha	raci	none	ihla inc	lividu	al and	the m	annar	hy whi	ich	
11-1.		act information. —Please identify the responsible individual and the manner by which nission staff may contact that individual regarding the confidential information submitted											d	
	in Part	III.	·				_							
	Name													
	Title													
	Email													
	Teleph	one												
II-2.	Accour	nting syste	.—Briefly describe	e your	r firr	n's f	inancia	l acco	untin	g syste	m.			
	A.1.	When does your firm's fiscal year end (month and day)?												<u> </u>
If your firm's fiscal year changed since January						uary 1,	2021,	expla	in belo	w:				
	A.2. B.1. B.2.	(i.e., in q calendar fiscal-yea are provi year) or compart Calendar Describe financial Does you Yes	lendar-year data are uestions III-9a, III-9d,	burdeceptalear base that personned tricks Notice frequenced.	2a, a ensor ble. assis doe cal-y ttion that	and ome Plea (incl s no year s (e. t inc	or proves indicated by the second of the sec	How vides cate wirms with t does r t, divi	ever, results whether with a he cal not alignot sion, con:	f provi that a er the r calend endar v gn with compan	ding to re no results ar-year. In the cony-widen	his dat t reliab is in this ar base calenda de) for	a on a le, section d fisca r year which	on al
		by your f	irm. Please check re	levan	t ite	ms l	pelow.							
				Ch	eck	-11				Frequ	ency	mi-	<u> </u>	
		Finar	ncial statements	that			Mont	thlv	Oua	rterly		ually	Ann	ually
		Audited			Πİ	. ,		1		<u> </u>		<u> </u>	ſ	7
		Unaudit	ted											
		Annual	•											
			ms 10-K / 10-Q											
		SEC For			<u>Ц</u>									
		Other (s	specify):		Ш									

B.4. Please indicate the primary accounting basis used by your firm.

Accounting basis	Check one
U.S. GAAP	
IFRS	
Tax – cash	
Tax – accrual	
Other (specify):	

111-3.	cost, job order cost, etc.).

III-4. **Product listing.**—Please list the products your firm produces in the facilities in which it produces ferrosilicon and provide the share of net sales accounted for by these products in 2023.

Products	Share of sales in 2023
Ferrosilicon	%
	%
	%
	%
	%

U.S. PI	oducers Qi	uestionna	ire – Ferros i	ilicon (Preliminary)			Page 27
III-5.	or any ser	<u>Inputs from related suppliers.</u> —Does your firm purchase <u>inputs</u> (raw materials, labor, energy, or any services) used in the production of ferrosilicon from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?					
	YesC	ontinue t	o question I	II-6. NoContinue	to question III-	·8a.	
III-6.	that your	firm purc	hases from ı	—Please identify the inputs related suppliers and that a eport this information by re	are reflected in	question III-9	
						Share of tot	
	Input			Related supplier		COGS in 202	%
							%
							%
							%
	the narrat	tive box.					
	51.1	11. /		ost valuation method		Check all th	at apply
	Cost plus	supplier's	cost				
			er price to a	pproximate fair market val	lue		
	Other (s		er prioc to a	pproximate rail market var			
	If the me	ethods us	ed differ by i	input, please describe:			
III-7b.	purchased	d from rel	ated supplie	outs from related suppliers	re reported in	III-9a (financia	
				nsistent with the firm's acc	.ounting books	and records.	
	Yes	No	1	ovide an explanation and th			ese inputs
			If no—Pro	ovide an explanation and th			ese inputs

III-8. Cost assignment/allocation basis.—Briefly describe the assignment/allocation bases used by your firm to assign the costs and expenses listed below for ferrosilicon in the normal course of business and in the financial results reported in question III-9a (e.g., actual costs, standard costs, percentage of COGS, percentage of sales, etc.).

	Assignment/allocation bases used for ferrosilicon—			
Cost/expense	In the normal course of business	In the financial results at III-9a		
Raw materials				
Direct labor				
Other factory costs				
SG&A expenses				
Interest expense				
Other income/expenses				

III-9a. <u>Operations on ferrosilicon</u>.—Report the revenue and related cost information requested below on the ferrosilicon operations of your firm's U.S. establishment(s). Include only sales (whether domestic or exports) and costs related to your U.S. manufacturing operations. <u>Do not</u> report any revenue or cost data related to the resale of purchased product.

Net sales—Report all commercial sales, internal consumption, and transfers to related firms, whether these are domestic sales or exports. Report net sales values less discounts, returns, allowances, and prepaid freight, in U.S. dollars, f.o.b. your point of shipment. The freight costs associated with delivering the product to your customer should not be included.

Note: If the financial data are reported on a calendar-year basis, the total net sales quantities and values should match the total shipment quantities and values reported in Part II of this questionnaire (see question III-14 for a reconciliation grid).

Internal consumption—Product consumed internally by your firm. Report internal consumption at fair market value even if this is not how these transactions are valued in your own books and records. This would commonly be estimated based on the company's commercial sales of similar product or market knowledge.

Transfers to related firms—Sales made to related firms. Report transfers to related firms at fair market value even if this is not how these transactions are valued in your own books and records. This would commonly be estimated based on the company's commercial sales of similar product or market knowledge.

Costs and expenses—Include costs and expenses associated with all reported net sales (i.e., for both domestic and export commercial sales, internal consumption, and transfers to related firms). If any freight costs were removed from net sales values, ensure the associated costs are removed from the applicable cost/expense line.

Inputs from related suppliers—Any inputs purchased from related suppliers should be reported in a manner consistent with your firm's accounting books and records.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes ferrosilicon, as well as specific statements and worksheets) used to compile these data.

III-9a. **Operations on ferrosilicon**.—*Continued*

Quantity (in short tons of	contained silicon) and	d value (in \$1,000	0)
	Years		
ltem	2021	2022	2023
Net sales quantities:			
Commercial sales			
Internal consumption			
Transfers to related firms			
Total net sales quantities	0	0	0
Net sales values: Commercial sales			
Internal consumption			
Transfers to related firms			
Total net sales values	0	0	0
Cost of goods sold (COGS): Raw materials			
Direct labor			
Other factory costs			
Total COGS	0	0	0
Gross profit or (loss)	0	0	0
SG&A expenses			
Operating income (loss)	0	0	0
Other expenses and income: Interest expense			
All other expense items			
All other income items			
Net income or (loss) before income taxes	0	0	C

III-9b.	<u>Financial data reconciliation</u> Certain line items from question III-9a, including total net sales
	quantities and values, total COGS, gross profit (or loss), operating profit (or loss), and net
	income (or loss), have been calculated based on the data submitted for other line items. Are the
	data in these calculated line items correct according to your firm's financial records ignoring
	non-material differences that may arise due to rounding?

		If noIf the calculated line items do not show the correct data, please double check the feeder data for data entry errors and revise.
		Also, check signs accorded to the post operating income line items. The two expense line items should report positive numbers (i.e., expenses are positive, and incomes or reversals are negative in these lines – instances of the latter should be rare in these lines). The income line item should also, in most instances, be a positive number (i.e., income is positive, and expenses or reversals are negative in this line).
Yes	No	If, after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated line items persist, please identify and discuss the differences in the space below.

III-9c.	Raw materials.—Please report the share of total raw material costs in 2023 (reported in III-9a)
	for the following raw material inputs:

		Procurement method		
Input	Share of total raw material costs (percent)	Primarily produced by your firm	Primarily purchased by your firm	
Iron or steel scrap				
Quartz gravel or sand				
Coal or petroleum coke				
Wood chips				
Other raw material inputs ¹				
Total (should sum to 100 percent)	0.0			
¹ If there are notable or significant raw ma category, please list those here and provide the account:			•	

III-9d. <u>Depreciation expense</u>.—Please report the amount of depreciation expense that is included within the reported financial results at question III-9a.

		Years	
Item	2021	2022	2023
Depreciation expense (in \$1,000)			

III-9e.	<u>Depreciation expense classification</u> .—Please indicate the line item(s) within question III-9a (e.g., other factory costs, SG&A expenses, etc.) that include the depreciation expense reported above.

III-10a. Nonrecurring items (charges and gains) included in the ferrosilicon financial results.—Please report all material (significant) nonrecurring items (charges and gains) that are included in the reported results at question III-9a. If a nonrecurring item that is not product-specific was allocated to the results at question III-9a, please report the allocated value, below, rather than the aggregate amount.

Note: The Commission's objective here is to gather information on <u>material (significant)</u> nonrecurring items which impacted the reported financial results for ferrosilicon in question III-9a.

	Years		
Item	2021	2022	2023
	Value (<i>\$1,000</i>)		
Nonrecurring item 1			
Nonrecurring item 2			
Nonrecurring item 3			
Nonrecurring item 4			
Nonrecurring item 5			
Nonrecurring item 6			
Nonrecurring item 7			

III-10b. Nonrecurring items (charges and gains) included in the ferrosilicon financial results.—In this table, please provide a brief description of each nonrecurring item reported above and indicate the specific line item within question III-9a in which the nonrecurring item is classified.

	Description of the nonrecurring item	Location (i.e., line item) within question III-9a
Nonrecurring item 1		
Nonrecurring item 2		
Nonrecurring item 3		
Nonrecurring item 4		
Nonrecurring item 5		
Nonrecurring item 6		
Nonrecurring item 7		

<u>records of the company</u> .—If non-recurring items were reported in question III-10 above, please
identify where your company recorded these items in your accounting books and records in the
normal course of business, just as responses to question III-10 identify the specific line items in
question III-9a where these items are reported.
de contractor de

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III-12a. <u>Asset values</u>.—Report the total assets (i.e., <u>both current and long-term assets</u>) associated with the production, warehousing, and sale of ferrosilicon. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for ferrosilicon in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations used in question III-9a.

Note: Total assets should reflect the <u>net amount of assets</u> (i.e., after any accumulated depreciation and allowances deducted) and should be <u>allocated to ferrosilicon</u> if these assets are also related to other products.

Value (in \$1,000)				
	Years			
Item	2021	2022	2023	
Total assets (net)				

	Total assets (Het)			İ		
III-12b.	Description of asset values.—Ple asset value during the period; e.g describe the main asset categorie	., due to write-offs, m	najor purchases, and re	evaluations. Also		
III-13a.	<u>Capital expenditures and research and development ("R&D") expenses</u> .—Report your firm's capital expenditures and research and development expenses for ferrosilicon.					
		Value (<i>in \$1,0</i> 0	•			
		Years				
	Item	2021	2022	2023		
	Capital expenditures					
	R&D expenses					
III-13b.	b. <u>Description of reported capital expenditures</u> .—Please describe the nature, focus, and significance of your firm's reported capital expenditures. If no capital expenditure data were reported, please explain the reason.					
III-13c.	<u>Description of reported R&D exp</u> your firm's reported R&D expense		ibe the nature, focus,	and significance of		

III-14a. <u>Data consistency and reconciliation</u>.—The quantities and values of total net sales reported in question III-9a should reconcile with the total shipments reported in question II-8 (including export shipments) for the annual-year periods as long as they are reported on the same calendar-year basis.

If the calculated fields below return values other than zero (i.e., "0") this indicates the total net sales quantities and values do not match the total shipments quantities and values.

	Years		
Reconciliation	2021	2022	2023
Quantity: Trade data from question II-8 (lines F, H, J, and L) less financial total net sales quantity data from question III-9a, = zero ("0").	0	0	0
Value: Trade data from question II-8 (lines G, I, K, and M) less financial total net sales value data from question III-9a, = zero ("0").	0	0	0

	Yes No If no, please explain.						
III-14b. <u>Data consistency and reconciliation (calendar-year based financial data).</u> —Do the data in question III-9a reconcile with the data in question II-8 (i.e., the calculated fields are returning zeros in the table above) <u>for all periods</u> ?							
	Yes—Complete question III-14b. No— Continue to question III-15.						
	Is the financial data in question III-9a reported on a calendar-year basis?						
	•	III-9a, = zero ("0		0	0		0
. I. K. and	MI) less financ	ial total net sale:					

If your responses to any of the items in questions III-15, III-16, and III-17 differ by country, please describe these differences and, as applicable, indicate which country or countries your response refers to in the relevant form fields.

III-15. <u>Effects of imports on investment</u>.—Since January 1, 2021, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of ferrosilicon from Brazil, Kazakhstan, Malaysia, or Russia?

No	Yes			
		If yes, my firm has experienced actual negative effects as follows:		
	(checi	k as many as appropriate)	(please describe)	
		Cancellation, postponement, or rejection of expansion projects		
		Denial or rejection of investment proposal		
		Reduction in the size of capital investments		
		Return on specific investments negatively impacted		
		Other		

III-16.	Effects of imports on growth and development. — Since January 1, 2021, has your firm
	experienced any actual negative effects on its growth, ability to raise capital, or existing
	development and production efforts (including efforts to develop a derivative or more advanced
	version of the product) as a result of imports of ferrosilicon from Brazil, Kazakhstan, Malaysia, or
	Russia?

No	Yes		
		If yes, my firm has experien	ced actual negative effects as follows:
	(chec	ck as many as appropriate)	(please describe)
		Rejection of bank loans	
		Lowering of credit rating	
		Problem related to the issue of stocks or bonds	
		Ability to service debt	
		Other	

U.S.	Producers'	Questionnaire	 Ferrosilicon 	(Preliminary	1
U.S.	Producers	Questionnaire	– Ferrosilicon	(Preliminary	,

	No	Yes	If yes, my firm anticipates negative effects as follows:			
8.	governme performar response,	nt actions nce of your	berformance of COVID-19. —Has the COVID-19 pandemic, or any taken to contain the spread of the COVID-19 virus, affected the financial firm's operations on ferrosilicon as reported in question III-9a? In your lude the duration and timing of any impacts as they relate to your firm's see.			
	No	Yes	If yes, please describe these effects.			
19. Other explanations.—If your firm would like to further explain a response to a quest III for which a narrative box was not provided, please note the question number and explanation in the space provided below. Please also use this space to highlight any firm had in providing the data in this section.						

PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Amelia Preece (202-205-3250, amelia.preece@usitc.gov).

IV-1. <u>Contact information.</u>--Please identify the individual that Commission staff may contact regarding the confidential information submitted in Part IV.

Name	
Title	
Email	
Telephone	

PRICE DATA

- IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2021 of the following products produced by your firm.
 - Product 1.—Bulk Regular grade 75 percent ferrosilicon. Ferrosilicon containing by weight 74.0 to 79.0 percent silicon; 0.10 percent or less carbon; 0.025 percent or less sulfur; 0.035 percent or less phosphorus; more than 0.50 percent, but not more than 1.50 percent aluminum; and 0.40 percent or less manganese.

Regular grade 75 percent ferrosilicon does not include any form of high purity ferrosilicon (ferrosilicon containing substantially lower amounts of impurities than the maximum levels specified for regular grade ferrosilicon), magnesium ferrosilicon, or other ferrosilicon-based specialty/proprietary grades.

Product 2.—In Super Sacks Regular grade 75 percent ferrosilicon. – Ferrosilicon containing by weight 74.0 to 79.0 percent silicon; 0.10 percent or less carbon; 0.025 percent or less sulfur; 0.035 percent or less phosphorus; more than 0.50 percent, but not more than 1.50 percent aluminum; and 0.40 percent or less manganese.

Regular grade 75 percent ferrosilicon does not include any form of high purity ferrosilicon (ferrosilicon containing substantially lower amounts of impurities than the maximum levels specified for regular grade ferrosilicon), magnesium ferrosilicon, or other ferrosilicon-based specialty/proprietary grades.

Product 3.—BULK Low aluminum grade 75 percent ferrosilicon. – Ferrosilicon containing by weight 74.0 to 79.0 percent silicon; 0.10 percent or less carbon; 0.025 percent or less sulfur; 0.035 percent or less phosphorus; not more than 0.50 percent aluminum but more than 0.10 percent aluminum; and 0.40 percent or less manganese.

Low aluminum 75 percent ferrosilicon does not include any other form of high purity ferrosilicon, regular grade ferrosilicon, magnesium ferrosilicon, or other ferrosilicon-based specialty/proprietary grades.

Product 4.-- In Super Sacks Low aluminum grade 75 percent ferrosilicon. – Ferrosilicon containing by weight 74.0 to 79.0 percent silicon; 0.10 percent or less carbon; 0.025 percent or less sulfur; 0.035 percent or less phosphorus; not more than 0.50 percent

aluminum but more than 0.10 percent aluminum; and 0.40 percent or less manganese.

Low aluminum 75 percent ferrosilicon does not include any other form of high purity ferrosilicon, regular grade ferrosilicon, magnesium ferrosilicon, or other ferrosilicon-based specialty/proprietary grades.

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> <u>point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

IV-2a. Du	ring January 2021-Deceml	ber 2023, did yoι	ır firm produce a	and sell to unrelate	ed U.S. customers
а	ny of the above listed pro	ducts (or any pro	ducts that were	competitive with	these products)?

YesPlease complete the following pricing data table as appropriate.
NoSkip to question IV-3.

IV-2b. Price data.--Report below the quarterly price data¹ for pricing products² produced and sold by your firm.

> Report data in **pounds contained silicon** (not short tons contained silicon) and actual dollars (not 1,000s).

	Product 1		Product 2		Product 3		Product 4	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2021:								
January-March								
April-June								
July-September								
October-December								
2022:								
January-March								
April-June								
July-September								
October-December								
2023:								
January-March								
April-June								
July-September								
October-December								

NoteIf your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description	on of
your firm's product. Also, please explain any anomalies in your firm's reported pricing data.	

your firm's product. Also, please explain any anomalies in your firm's reported pricing data.	
Product 1:	
Product 2:	

Product 3: Product 4:

IV-2d.

IV-2c.	Price data checklist Please check that the pricing data in question IV-2(b) have been correctly
	reported.

Are the price data reported above:	√ if Yes				
In actual dollars (<i>not</i> \$1,000) and pounds contained silicon (not short tons contained silicon)?					
Valued f.o.b. U.S. point of shipment (i.e., exclude U.S. inland transportation costs)?					
Reported net of all discounts, rebates, and returns (deducted from the quarter in which the original sale occurred)?					
Reported for commercial U.S. shipments only (i.e., exclude internal consumption, transfers, and exports)?					
Less than or equal to the quantities and values reported in part II for commercial U.S. shipments in each period?					
Explanation(s) for any boxes not checked:					
Pricing data methodologyPlease describe the method and the kinds of documents/records that were used to compile your price data.					

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-3.	Price settingHow does your firm determine the prices that it charges for sales of ferrosilicon
	(check all that apply)?

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

IV-4. <u>Discount policy</u>.--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe

IV-5. <u>Pricing terms.</u>--On what basis are your firm's prices of domestic ferrosilicon usually quoted *(check one)*?

Delivered	F.o.b.	If f.o.b., specify point

IV-6. <u>Contract versus spot</u>.--Approximately what shares of your firm's sales of its U.S.-produced ferrosilicon in 2023 were on the basis of (1) short-term contracts, (2) annual contracts, (3) long-term contracts, and (4) spot sales?

	Type of sale					
ltem	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	Spot sales (for a single delivery)	Total (should sum to 100.0%)
Share of 2023 sales	%	%	%	%	0.0	%

IV-7. <u>Contract provisions.</u>--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced ferrosilicon (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation	Yes			
(during contract period)	No			
	Quantity			
Fixed quantity and/or price	Price			
3.1.5, 5.1 p.1.55	Both			
Indexed to raw	Yes			
material costs ¹	No			
Not applicab	Not applicable			
¹ Please identify the indexes used:				

IV-8. <u>Lead times.</u>—What share of your firm's sales of its U.S.-produced ferrosilicon were from inventory and produced to order, and what was the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced ferrosilicon?

Source	Share of 2023 sales	Lead time (Average number of days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

(a)	Who generally arranges the transportation to your firm's customers'				
	Your firm	Purchaser (check one)			

(b) Indicate the approximate percentage of your firm's sales of ferrosilicon that are delivered the following distances from its production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

IV-10. <u>Geographical shipments.</u>—In which U.S. geographic market area(s) has your firm sold its U.S.-produced ferrosilicon since January 1, 2021 (check all that apply)?

Geographic area	√ if applicable
Northeast.–CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
Southeast.—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central Southwest.—AR, LA, OK, and TX.	
Mountains.—AZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific Coast.—CA, OR, and WA.	
Other.—All other markets in the United States not previously listed, including AK, HI, PR, and VI.	

IV-11.	<u>Inland transportation costs.</u> —What is the approximate percentage of	the cost of U.Sproduced
	ferrosilicon that is accounted for by U.S. inland transportation costs?	percent

IV-12. **End uses.--**List the end uses of the ferrosilicon that your firm manufactures. For each end-use product, what percentage of the <u>total cost</u> is accounted for by ferrosilicon and other inputs?

	Share of total cost	Total	
			(should sum to
End-use product	ferrosilicon Other inputs		100.0% across)
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

V-13. <u>Substitutes</u> Can other products be substituted for ferrosilicon?					
No YesPlease fill out the table.					
	End use in which this	На		nanges in the price of this substitute ected the price for ferrosilicon?	
Substitute	substitute is used	No	Yes	Explanation	
	□ No	No YesPlease fill out End use in which this	No YesPlease fill out the take	No YesPlease fill out the table. Have cheated affer the cheateness of the cheaten	

IV-14. <u>Demand trends.</u>-- Has demand within the United States and outside of the United States (if known) for ferrosilicon steadily increased, fluctuated but ended higher, not changed, fluctuated but ended lower, or steadily decreased since January 1, 2021? Explain any trends and describe the principal factors that have affected these changes in demand.

Select one box per row.

Market	Steadily increase	Fluctuate up	No change	Fluctuate down	Steadily decrease	Explanation and factors
Within the United States						
Outside the United States						

No	Yes	If yes, please describe	and quantif	y if possible.
	-	the ferrosilicon market describe.	subject to b	usiness cycles, either during the year or
No	Yes	If yes, please describe	, including a	ny changes since January 1, 2021.
No	Yes	If yes, please describe	, including a	ny changes since January 1, 2021.
No	Yes	If yes, please describe	, including a	ny changes since January 1, 2021.
Supply co any time s order ent than the o	enstraints. since Janu ry," declin	Has your firm refused, ary 1, 2021 (examples in ing to accept new custo romised, being unable to ns listed in II-2a, etc.)?	declined, or nclude placir mers or rend o meet time	been unable to supply ferrosilicon at g customers on allocation or "controlled ew existing customers, delivering less ly shipment commitments, impact from the reason, timing, and duration of the
Supply co any time s order ent than the c changes in	enstraints. since Janu ry," declin quantity p n operatio	Has your firm refused, ary 1, 2021 (examples in ing to accept new custo romised, being unable tons listed in II-2a, etc.)?	declined, or nclude placir mers or rend o meet time	been unable to supply ferrosilicon at g customers on allocation or "controlled ew existing customers, delivering less ly shipment commitments, impact from
Supply co any time sorder ent than the c changes in No Raw mate higher, no	enstraints. since Janu ry," declin quantity per operation Yes	ary 1, 2021 (examples in ing to accept new custor romised, being unable to ns listed in II-2a, etc.)? If yes, please describe, constraint.	declined, or nclude placir mers or rend o meet time , including t	been unable to supply ferrosilicon at g customers on allocation or "controlled ew existing customers, delivering less ly shipment commitments, impact from

IV-20. <u>Interchangeability.</u>—How often is ferrosilicon produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = *no familiarity* with products from a specified country-pair

Country-pair	Brazil	Kazakhstan	Malaysia	Russia	Other countries
United States					
Brazil					
Kazakhstan		\nearrow			
Malaysia		\nearrow			
Russia				\nearrow	

For any country-pair producing ferrosilicon that is *sometimes* or *never* interchangeable, identify the country-pair and explain the factors that limit or preclude the interchangeable use of ferrosilicon produced in the countries:

IV-21. <u>Factors other than price</u>.—How often are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between ferrosilicon produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

Country-pair	Brazil	Kazakhstan	Malaysia	Russia	Other countries
United States					
Brazil					
Kazakhstan		\nearrow			
Malaysia		\nearrow			
Russia					

For any country-pair for which factors other than price are *always* or *frequently* a significant factor in your firm's sales of ferrosilicon, identify the country-pair and the relevant factors other than price, and report the advantages or disadvantages imparted by such factors:

U.S. Producers' Questionnaire - F	Ferrosilicon ((Preliminary)
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III-22.	<u>Change in imports due to new duty status.</u> – U.S. imports of ferrosilicon from Russia (and
	Belarus) became subject to column 2 duty rates of the HTSUS after April 8, 2022. Certain forms
	of ferrosilicon from Russia subsequently became subject to higher special column 2 duty rates
	during 2022-23. Please describe the impact of these actions on your firm's imports of
	ferrosilicon, indicating the nature of any change, the timeframe, and the source of imports.

No or not applicable	Please describe the impact of these actions on your firm's imports of ferrosilicon, indicating the nature of any change, the timeframe, and the source of imports.

IV-23. <u>Interchangeability between 50% silicon ferrosilicon and 75% silicon ferrosilicon.</u> -- Is 50% silicon ferrosilicon interchangeable with 75% silicon ferrosilicon? Include information for your silicon content ranges that are normally associated with these silicon content categories. For example, '75% silicon ferrosilicon' may include product with silicon content ranging from 74.0% to 79.0%.

Please check the appropriate categories in the table below to indicate how interchangeable 50% silicon ferrosilicon and 75% silicon ferrosilicon are. It is possible to check more than one category. Also, you may provide an explanation for the category(ies) you check.

Always	Frequently	Sometimes	Never	No familiarity

If your firm reported that 50% silicon and 75% silicon ferrosilicon products are only sometimes or never interchangeable, please explain the factors that limit or preclude the interchangeability of these products (differentiating as necessary based on specific end uses):

IV-24. <u>Customer identification.</u>--List the names and contact information for your firm's 10 largest U.S. customers for ferrosilicon since January 1, 2021. Indicate the share of the quantity of your firm's U.S. shipments of ferrosilicon that each of these customers accounted for in 2023.

Cu	stomer's name	Contact person	Email	Telephone	City	State	Share of 2023 sales (%)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

U.S. Producers	' Questionnaire -	- Ferrosilicon	(Preliminary)
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	IV-25.	Com	petition	from	imports
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(a)	Lost revenue Since January 1, 2021: To avoid losing sales to competitors selling
	ferrosilicon from Brazil, Kazakhstan, Malaysia, and Russia, did your firm:

Item	No	Yes
Reduce prices		
Roll back announced price increases		

(b) <u>Lost sales.</u>—Since January 1, 2021: Did your firm lose sales of ferrosilicon to imports of this product from Brazil, Kazakhstan, Malaysia, and Russia?

No	Yes

(c) The submission of lost sales/lost revenue allegations is to be completed only by NON-PETITIONERS.

If your firm indicated "yes" to any of the above, your firm can provide the Commission with additional information by downloading and completing the lost sales/lost revenues worksheet at http://usitc.gov/trade_remedy/question.htm. Note that the Commission may contact the firms named to verify the allegations reported.

Is your firm submitting the lost sales/lost revenues worksheet?

No—Please explain.
Yes—Please complete the worksheet and submit via the Commission dropbox. https://dropbox.usitc.gov/oinv/ . (PIN: FESI)

IV-26.	Other explanationsIf your firm would like to further explain a response to a question in Part
	IV for which a narrative response box was not provided, please note the question number and
	the explanation in the space provided below. Please also use this space to highlight any issues
	your firm had in providing the data in this section.

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://usitc.gov/reports/active import injury questionnaires.

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission of further instructions.

• <u>Upload via Commission's secure submission portal</u>.— The questionnaire must be uploaded in two formats: (1) a Microsoft Word 97-2003 document; and (2) a PDF copy of the complete questionnaire with a signature on the first page. Please include any attachments at the end of the PDF (e.g., APO certification, additional comments, etc.).

Web address: https://usitc.gov/qportal Pin: FESI

• E-mail. — E-mail the MS Word questionnaire to <u>lawrence.jones@usitc.gov</u>; include a PDF copy of the complete questionnaire with a signature on the first page. Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure submission portal and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned PDF copy via the Commission's secure submission portal or email.

<u>Parties to this proceeding</u>.— If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1802). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.