

U.S. PRODUCERS' QUESTIONNAIRE

ALUMINUM LITHOGRAPHIC PRINTING PLATES FROM CHINA AND JAPAN

This questionnaire must be received by the Commission by **July 15, 2024**
See last page for instructions regarding how to file this questionnaire.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing and antidumping duty investigations concerning aluminum lithographic printing plates ("ALPs") from China and Japan (Inv. Nos. 701-TA-694 and 731-TA-1641-1642 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____

Address _____

City _____ State _____ Zip Code _____

Website _____

Has your firm produced ALPs (as defined on next page) in the United States at any time since January 1, 2021?

☐ **NO** (Sign the certification below and promptly return **only** this page of the questionnaire to the Commission)

☐ **YES** (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)

Return questionnaire via the Commission's secure submission portal by clicking on the following link: <https://usitc.gov/qportal>. (PIN: **PLATES**). See last page for detailed instructions.

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.

Name of Authorized Official

Title of Authorized Official

Date

Signature

Phone

Email address

PART I.—GENERAL INFORMATION

Background.-- This proceeding was instituted in response to petitions filed on September 28, 2023, by Eastman Kodak Company, Rochester, New York. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at https://usitc.gov/reports/active_import_injury_questionnaires and <https://ids.usitc.gov/case/8144/investigation/8498>.

ALPs covered by this proceeding are aluminum lithographic printing plates. Aluminum lithographic printing plates consist of a flat substrate containing at least 90 percent aluminum by weight. The aluminum-containing substrate is generally treated using a mechanical, electrochemical, or chemical graining process, which is followed by one or more anodizing treatments that form a hydrophilic layer on the aluminum-containing substrate. An image-recording, oleophilic layer that is sensitive to light, including but not limited to ultra-violet, visible, or infrared, is dispersed in a polymeric binder material that is applied on top of the hydrophilic layer, generally on one side of the aluminum lithographic printing plate. The oleophilic light-sensitive layer is capable of capturing an image that is transferred onto the plate by either light or heat. The image applied to an aluminum lithographic printing plate facilitates the production of newspapers, magazines, books, yearbooks, coupons, packaging, and other printed materials through an offset printing process, where an aluminum lithographic printing plate facilitates the transfer of an image onto the printed media.

Aluminum lithographic printing plates within the scope of these investigations include all aluminum lithographic printing plates, irrespective of the dimensions or thickness of the underlying aluminum substrate, whether the plate requires processing after an image is applied to the plate, whether the plate is ready to be mounted to a press and used in printing operations immediately after an image is applied to the plate, or whether the plate has been exposed to light or heat to create an image on the plate or remains unexposed and is free of any image.

Subject merchandise also includes aluminum lithographic printing plates produced from an aluminum sheet coil that has been coated with a light-sensitive image-recording layer in a subject country and that is subsequently unwound and cut to the final dimensions to produce a finished plate in a third country (including the United States), or exposed to light or heat to create an image on the plate in a third country (including in a foreign trade zone within the United States).

Excluded from the scope of this investigation are lithographic printing plates manufactured using a substrate produced from a material other than aluminum, such as rubber or plastics.

ALPs are currently imported under statistical reporting number 3701.30.0000 of the Harmonized Tariff Schedule of the United States (HTSUS). They may also be imported under HTSUS statistical reporting numbers 3701.99.3000, 3701.99.6060, and 8442.50.1000. The HTSUS provisions are for convenience and customs purposes; the written description of the scope is dispositive.

Reporting of information.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

D-GRIDS tool.--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macro-enabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDS tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDS tool to populate their data into this questionnaire will need the D-GRIDS specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDS tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDS tool are available within the D-GRIDS tool itself.

- I-1a. **Reporting requirements.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire for use by the Office of Management and Budget.

| Hours | Dollars |
|-------|---------|
| | |

Public reporting burden for this questionnaire is estimated to average 55 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please provide such comments to the Office of Investigations, import_injury@usitc.gov.

- I-1b. **TAA information release.**--In the event that the U.S. International Trade Commission (USITC) makes an affirmative final determination in this proceeding, do you consent to the USITC's release of your contact information (company name, address, contact person, contact person's title, telephone number, email address) appearing on the front page of this questionnaire to the Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made eligible for benefits under the Trade Adjustment Assistance program?

☐ Yes ☐ No

- I-2a. **Establishments covered.**--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. **Firms operating more than one establishment should combine the data for all establishments into a single report.**

"Establishment"--Each facility of a firm involved in the production of ALPs, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

| Establishments covered ¹ | City, State | Zip (5 digit) | Description |
|--|-------------|---------------|-------------|
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |
| ¹ Additional discussion on establishments consolidated in this questionnaire: ____. | | | |

I-2b. **Stock symbol information.**-- If your firm or parent firm is publicly traded, please specify the stock exchange and trading symbol: _____.

I-2c. **External counsel.**-- If your firm or parent firm is represented by external counsel in relation to this proceeding, please specify the name of the law firm and the lead attorney(s).

| | |
|-------------------|--|
| Law firm: | |
| Lead attorney(s): | |

I-3. **Petitioner status.**--Is your firm a petitioner in this proceeding or a member firm of the petitioning entity?

| | |
|--------------------------|--------------------------|
| No | Yes |
| <input type="checkbox"/> | <input type="checkbox"/> |

I-4. **Petition support.**--Does your firm support or oppose the petition?

| Country | Investigation type | Support | Oppose | Take no position |
|---------|---------------------|--------------------------|--------------------------|--------------------------|
| China | Antidumping duty | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| China | Countervailing duty | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Japan | Antidumping duty | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

I-5. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

☐ No ☐ Yes--List the following information, relating to the ultimate parent/owner.

| Firm name | Country | Extent of ownership (percent) |
|-----------|---------|----------------------------------|
| | | |
| | | |
| | | |

“Related firm” –A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

- I-6. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing ALPs from China and/or Japan into the United States or that are engaged in exporting ALPs from China and/or Japan to the United States?

☐ No ☐ Yes--List the following information.

| Firm name | Country | Affiliation |
|-----------|---------|-------------|
| | | |
| | | |
| | | |

- I-7. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of ALPs?

☐ No ☐ Yes--List the following information.

[illegible]

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from **Celia Feldpausch** (202-205-2387, celia.feldpausch@usitc.gov). **Supply all data requested on a calendar-year basis.**

- II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part II.

| | |
|-----------|--|
| Name | |
| Title | |
| Email | |
| Telephone | |

- II-2a. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of ALPs since January 1, 2021.

| Check as many as appropriate. | | If checked, please describe the nature, timing / duration, and impact on operations of any such reported changes as well as the business reasons for them; leave completely blank if not applicable |
|-------------------------------|-----------------------------|---|
| <input type="checkbox"/> | Plant openings | |
| <input type="checkbox"/> | Plant closings ¹ | |
| <input type="checkbox"/> | Prolonged shutdowns | |
| <input type="checkbox"/> | Production curtailments | |
| <input type="checkbox"/> | Relocations | |
| <input type="checkbox"/> | Expansions | |

¹ If your firm reported a plant closure at any time since January 1, 2021, please indicate in the narrative form field in this question, the following additional details: (1) the month and year of cessation of ALPs production, (2) whether and to what extent production operations had been scaled back preceding the closure month, (3) how your firm managed its customer base during its closure, (4) the month and year the decision was made to close the plant and by whom (e.g., domestic management or corporate owners), and (5) provide the reasons cited (internal or otherwise) at the time of closure. Please also make available upon request by Commission staff any supporting contemporaneous documentation.

II-2a. **Changes in operations.**--Continued.

| | | |
|--------------------------------------|--|--|
| <i>Check as many as appropriate.</i> | | <i>If checked, please describe the nature, timing / duration, and impact on operations of any such reported changes as well as the business reasons for them; leave completely blank if not applicable</i> |
| <input type="checkbox"/> | Acquisitions | |
| <input type="checkbox"/> | Consolidations | |
| <input type="checkbox"/> | Weather-related or force majeure events | |
| <input type="checkbox"/> | Other (e.g., revised labor agreements, technology) | |

II-2b. **COVID-19 pandemic.**—Has the COVID-19 pandemic or have any government actions taken to contain the spread of the COVID-19 virus resulted in changes in your firm's supply chain arrangements, production, employment, and shipments relating to ALPs? In your response, please discuss the duration and timing of any such changes as they relate to your firm's operations.

| | | |
|--------------------------|--------------------------|--|
| No | Yes | If yes, describe these changes including the impact over time on the (a) supply chain, (b) production and shipments, and (c) employment with respect to ALPs. |
| <input type="checkbox"/> | <input type="checkbox"/> | |

- II-3a. **Production using same machinery.**--Please report your firm's production of products using the same equipment, machinery, or employees as used to produce ALPs, and the combined capacity (both installed and practical capacity) on this shared equipment, machinery, or employees in the periods indicated.

"Installed overall capacity" – The level of production that your establishment(s) could have attained, assuming your firm's *optimal* product mix, and based solely on *existing capital investments*, i.e., machinery and equipment that is in place and ready to operate. This capacity measure does not take into account other constraints to production such as existing workforce constraints, availability of raw materials, or downtime for maintenance, repair, and clean-up. This capacity measure is sometimes referred to as "nameplate" or "theoretical" capacity.

"Practical overall capacity" – The level of production that your establishment(s) could reasonably have expected to attain, taking into account your firm's *actual* product mix over the period. This capacity measure is based on not only existing capital investments, i.e., machinery and equipment that is in place and ready to operate; but also non-capital investment constraints, such as (1) normal operating conditions, including normal downtime for maintenance, repair, and cleanup; (2) your firm's existing in place and readily available labor force; (3) availability of material inputs; and (4) any other constraints that may have limited your firm's ability to produce the reported products. Importantly, this capacity measure is the maximum "practical" production your firm could have achieved without hiring new personnel or expanding the number of shifts operated in the period.

"Practical ALPs capacity" – The level of production of ALPs that your establishment(s) could reasonably have expected to attain. The same assumptions apply to this capacity measure as for practical overall capacity, but only includes the portion of practical overall capacity allocated to the production of ALPs based on the actual product mix experienced over the period.

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

| Takes into account | Installed overall capacity | Practical overall capacity | Practical ALPs capacity |
|---|----------------------------|----------------------------|-------------------------|
| Existing capital investments | Yes | Yes | Yes |
| Product mix | Yes | Yes | Yes |
| Normal downtime, maintenance, repair and clean-up | No | Yes | Yes |
| Existing labor force | No | Yes | Yes |
| Availability of material inputs | No | Yes | Yes |
| Actual number of shifts and hours operated | No | Yes | Yes |
| Limited to ALPs | No | No | Yes |

II-3a. **Production using same machinery.—Continued**

| Quantity (in square meters) | | | | | |
|---|---------------|------|------|---------------|------|
| Item | Calendar year | | | January-March | |
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Capacity measures: | | | | | |
| Installed overall capacity ¹ | | | | | |
| Practical overall capacity ^{1 2} | | | | | |
| Practical ALPs capacity ^{3 4} | 0 | 0 | 0 | 0 | 0 |
| Production of: | | | | | |
| ALPs ^{3 4} | 0 | 0 | 0 | 0 | 0 |
| Other products ⁵ | | | | | |
| Total production using same machinery or workers | 0 | 0 | 0 | 0 | 0 |
| <p>¹ Data reported for both "installed overall" and "practical overall" capacity should each individually be greater than data reported for total production (last line). Additionally, data reported for "installed overall" capacity should be greater than "practical overall" capacity in every period.</p> <p>² Please provide details in your response to the question on capacity constraints in question II-3d below that explain the differences reported between "installed" overall capacity and "practical" overall capacity.</p> <p>³ Data for this indicator will populate here once reported below in question II-7.</p> <p>⁴ Data reported for practical ALPs capacity should be greater than the data reported for production of ALPs in each period, if not revise prior to submission to the Commission. Additionally, if your firm reports the production of no other products on the same machinery and using the same workers as ALPs then "practical overall" and "practical ALPs" capacity measures should be equal to each other.</p> <p>⁵ Please identify these products: _____.</p> | | | | | |

- II-3b. **Operating parameters.**--The ***practical*** overall capacity reported in II-3a is based on the following operating parameters:

| Hours per week | Weeks per year |
|----------------|----------------|
| | |

- II-3c. **Capacity calculations.**--Please describe the methodology used to calculate ***installed*** and ***practical*** overall production capacities reported in II-3a, and explain any changes in reported capacities.

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- II-3d. **Practical overall capacity constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's practical overall capacity over the period reported in question II-3a. If different constraints were binding over different periods reported, please specify when each constraint was limiting your reported practical overall capacity. If a constraint was not actually binding over the period reported, but was still a constraint to achieving the installed capacity level, indicate at what level it would have been binding.

| Constraint <i>(check as many as appropriate)</i> | | Description <i>(If checked, please describe the details, timing, and duration of the constraint; leave completely blank if not applicable)</i> |
|--|--|--|
| <input type="checkbox"/> | Production bottlenecks | |
| <input type="checkbox"/> | Existing labor force | |
| <input type="checkbox"/> | Supply of material inputs | |
| <input type="checkbox"/> | Fuel or energy | |
| <input type="checkbox"/> | Storage capacity | |
| <input type="checkbox"/> | Logistics/transportation | |
| <input type="checkbox"/> | Other constraints (list the specific constraints in the description field) | |

- II-3e. **Reaching installed overall capacity.**--Please describe and quantify the amount of time it would take and the additional actions that would be needed (e.g., hiring new workers, expanding shifts, procuring larger sources of raw material supply, etc.) for your firm to be able to fully utilize the reported installed overall capacity reported in II-3a.

| |
|--|
| |
|--|

- II-3f. **Excess installed overall capacity.**--To the extent that your company is reporting excess installed overall capacity, please report, with specificity: (1) which machines or equipment (or other elements of production) would need to be brought back into production for your plant to operate at full capacity, and (2) the specific dates on which such machines or equipment were last used by your plant to produce ALPs.

| |
|--|
| |
|--|

- II-4. **Product shifting.**—

- (a) Is your firm able to switch production (capacity) between ALPs and other products using the same equipment and/or labor?

| No | Yes | If yes—(i.e., have produced other products or are able to produce other products) Please identify other actual or potential products. |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- (b) Please describe the factors that affect your firm's ability to shift capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

| |
|--|
| |
|--|

II-5. **Capacity checklist.**--Please check that the capacity numbers reported in question II-3a follow the Commission's relevant definitions for capacity.

| Item | √ if Yes |
|--|--------------------------|
| Are all three capacity measures reported based on <u>currently installed machinery and equipment</u> (i.e., the reported capacity level would not require additional capital investments in order to achieve)? | <input type="checkbox"/> |
| Are practical overall capacity and practical ALPs capacity measures reported based on <u>existing labor force</u> (i.e., the reported capacity level would not require hiring additional production related workers or adding shifts)? | <input type="checkbox"/> |
| Are practical overall capacity and practical ALPs capacity measures based on <u>the actual availability of material inputs</u> ? | <input type="checkbox"/> |
| Do both practical overall capacity and practical ALPs capacity measures account for <u>normal downtime, maintenance, repair and clean-up</u> activities? | <input type="checkbox"/> |
| Does the difference between practical overall capacity and practical ALPs capacity equal the portion of practical overall capacity that is dedicated to the production of out-of-scope products? | <input type="checkbox"/> |

Note: If your firm is not able to answer "yes" to any of the above criteria as it relates to your firm's reported capacity levels, please revise your capacity numbers to be in conformance with the appropriate definition prior to submission to the Commission.

II-6. **Foreign trade zones.**--

- (a) **Firm's FTZ operations.**--Does your firm produce ALPs in and/or admit ALPs into a foreign trade zone (FTZ)?

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

| No | Yes | If yes--Describe the nature of your firm's operations in FTZs and identify the specific FTZ site(s). |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- (b) **Other firms' FTZ operations.**--To your knowledge, do any firms in the United States import ALPs into a foreign trade zone (FTZ) for use in distribution of ALPs and/or the production of downstream articles?

| No | Yes | If yes--Identify the firms and the FTZs. |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | |

II-6. Foreign trade zones.—Continued

- (c) **Withdrawals from FTZs into consumption of imported parts embodied in U.S. manufactured ALPs.**— Report the quantity and value of your firm's withdrawals from your FTZs that were for Customs purposes reported as an import of an ALP (i.e., benefit from tariff inversion), but actually reflect the use of imported parts used in the production of domestically produced ALPs, as well that the withdrawals from your FTZs that were for Customs purposes reported as the product originally admitted into the FTZ, but where nonetheless part of a finished ALP manufactured in your FTZ (i.e., PF status inputs that retained the original import classification and rates of duty) when withdrawn from the zone.

Quantity (as noted in table) and value (in \$1,000)

| Item | Calendar year | | | January-March | |
|---|---------------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Withdrawals into consumption of parts (embodied in the finished good) used in domestically manufactured ALPs in your firms U.S. FTZ, reported as an ALP (i.e., benefitted from tariff inversion):¹ <i>Quantity (square meters)</i> | | | | | |
| <i>Value</i> | | | | | |
| Withdrawals into consumption data for parts (embodied in the finished good) used in domestically manufactured ALPs in your firms' U.S. FTZ, reported as the imported input (i.e., did not benefit from tariff inversion due to PF status):² <i>Quantity (as reported to CBP)³</i> | | | | | |
| <i>Value</i> | | | | | |

¹ Please list the following:

- The specific parts imported (admitted into your FTZ): _____ ;
- The HTS statistical reporting number(s) for your firm's admissions of parts: _____ ;
- The actual country(ies) of origin for your firm's admissions of such parts: _____ ;
- And the country(ies) of origin for your firm's withdrawals into consumption of the finished good, indicating what if any countries of origin were dropped because of the CBP rules for reporting withdrawals of the finished good based on the single large country of origin of the parts used in the production operations in your firm's FTZs: _____ .

² Please list the following:

- The specific parts imported (admitted into your FTZ): _____ ;
- The HTS statistical reporting number(s) for your firm's admissions of parts: _____ ;
- The actual country(ies) of origin for your firm's admissions of such parts: _____ ;

³ Please indicated the quantity originally reported (if all same first unit of quantity): _____. If there were multiple products with different first units of quantity, please break out the data by first unit of quantity in this narrative field and leave the data line for quantity empty: _____ .

- II-7. **Shipments and inventory data.**--Report you firm's uses (shipment or storage) of domestically produced ALPs during the specified periods.

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

"Commercial U.S. shipments" –Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" – Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" –Shipments made to related firms. Such transactions are valued at fair market value.

"Related firm" –A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

"Export shipments" –Shipments to destinations outside the United States, including shipments to related firms.

"Inventories"— Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-7. **Production, shipment, and inventory data.**--Continued

| Quantity (in square meters) and value (in \$1,000) | | | | | |
|--|---------------|------|------|---------------|------|
| Item | Calendar year | | | January-March | |
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Practical ALPs capacity ¹ (quantity) (A) | | | | | |
| Beginning-of-period inventories (quantity) (B) | | | | | |
| Production ¹² (quantity) (C) | | | | | |
| U.S. shipments: | | | | | |
| Commercial shipments: | | | | | |
| Quantity (D) | | | | | |
| Value (E) | | | | | |
| Internal consumption: ³ | | | | | |
| Quantity (F) | | | | | |
| Value ³ (G) | | | | | |
| Transfers to related firms: ⁴ | | | | | |
| Quantity (H) | | | | | |
| Value ³ (I) | | | | | |
| Export shipments: ⁴ | | | | | |
| Quantity (J) | | | | | |
| Value (K) | | | | | |
| End-of-period inventories (quantity) (L) | | | | | |

¹ Report your firm's practical ALPs capacity consistent with the definitions and instructions provided in question II-3a above.

² Specify the share of the firm's U.S. production that occurred in a U.S. foreign trade zone ____.

³ Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

⁴ Identify your firm's principal export markets: _____.

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

| Reconciliation | Calendar year | | | January-March | |
|---|---------------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| B + C – D – F – H – J – L = should equal zero ("0") or provide an explanation. ¹ | 0 | 0 | 0 | 0 | 0 |

¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:_____.

- II-8. **Channels of distribution.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S.-produced ALPs by channel of distribution during the specified periods.

| Quantity (<i>in square meters</i>) | | | | | |
|--------------------------------------|---------------|------|------|---------------|------|
| Item | Calendar year | | | January-March | |
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Channels of distribution: | | | | | |
| U.S. shipments: | | | | | |
| To distributors (M) | | | | | |
| To end users (N) | | | | | |

***RECONCILIATION OF CHANNELS.**--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.*

| Reconciliation | Calendar year | | | January-March | |
|---|---------------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| M + N – D - F- H = zero ("0"), if not revise. | 0 | 0 | 0 | 0 | 0 |

- II-9 **U.S. shipments by chemical treatment type.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) U.S.-produced ALPs by chemical treatment type for the specified periods.

Wet Plates – ALPs that are first imaged, then undergo a three-step process, a chemical bath development, a water rinse, and a gum treatment, in order to remove coating from the non-printing areas and prepare these areas for mounting on the press ready to print.

Chem-Free Plates – ALPs that are first imaged and then undergo a one-step process using a modified gum solution to remove coating from the non-printing areas and prepare these areas for mounting on the press ready to print.

Process Free Plates – ALPs that are first imaged and then directly mounted on a printing press without any intermediate processing steps, the coating being removed from the non-printing areas during the normal start-up process of the printing press.

| Quantity (in square meters) | | | | | |
|-----------------------------------|---------------|------|------|---------------|------|
| Item | Calendar year | | | January-March | |
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| U.S. shipments.-- Wet ALPs (O) | | | | | |
| Process free ALPs (P) | | | | | |
| Chem-free ALPs (Q) | | | | | |

RECONCILIATION OF U.S. SHIPMENTS BY TYPE.— Please ensure that the data reported for U.S. shipments by type (i.e., lines O through Q) equal the data reported for U.S. shipments (i.e., lines D, F, H) in table II-7 for the specified periods. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

| Reconciliation | Calendar year | | | January-March | |
|--|---------------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Quantity: $O + P + Q - D - F - H =$ should equal zero ("0") | 0 | 0 | 0 | 0 | 0 |

- II-10. **U.S. shipments by thickness.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of your firm's U.S.-produced ALPs for the specified periods.

15 gauge or its equivalents. – ALPs of a plate with an actual thickness of 0.135 mm or greater and less than 0.16 mm.

20 gauge or its equivalents. – ALPs of a plate with an actual thickness of 0.16 mm or greater and less than 0.24 mm.

30 gauge or its equivalents. – ALPs of a plate with an actual thickness of 0.24 mm or greater and less than 0.33 mm.

40 gauge or its equivalents. – ALPs of a plate with an actual thickness of 0.33 mm or greater and less than 0.43 mm.

All other products. – ALPs of a plate with gauges other than those listed above.

| Quantity (in square meters) | | | | | |
|--|---------------|------|------|---------------|------|
| Item | Calendar year | | | January-March | |
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| U.S. shipments.-- | | | | | |
| 15 gauge or its equivalents (R) | | | | | |
| 20 gauge or its equivalents (S) | | | | | |
| 30 gauge or its equivalents (T) | | | | | |
| 40 gauge or its equivalents (U) | | | | | |
| All other products ¹ (V) | | | | | |
| ¹ Please list all other products (in order of quantity) and their gauge sizes: _____. | | | | | |

RECONCILIATION OF U.S. SHIPMENTS BY THICKNESS.— Please ensure that the data reported for U.S. shipments by thickness (i.e., lines R through V) in all periods equal the data reported for U.S. shipments (i.e., lines D, F, H) in table II-7 in all periods. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

| Reconciliation | Calendar year | | | January-March | |
|--|---------------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Quantity: R + S + T + U + V – D – F – H = should equal zero ("0") | 0 | 0 | 0 | 0 | 0 |

- II-11. **Employment data.**--Report your firm's employment-related data related to the production of ALPs in your U.S. establishments and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to March periods, calculate similarly and divide by 3.

If your firm had the same number of PRWs in all calendar year and had not experienced any changes in PRWs in the most recent interim period, you would have the same number of PRWs for the interim periods, regardless of whether the interim periods are Jan-Mar (Q1), Jan-June (Q1+Q2), or Jan-Sept (Q1+Q2+Q3)."

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" --Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

| Item | Calendar year | | | January-March | |
|---|---------------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Average number of PRWs (<i>number</i>) | | | | | |
| Hours worked by PRWs (<i>1,000 hours</i>) | | | | | |
| Wages paid to PRWs (<i>\$1,000</i>) | | | | | |

Explanation of trends:

- II-12. **Transfers to related firms.**--If your firm reported transfers to related firms in question II-7, please identify the firm(s) and indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm. Please also indicate what the related firm does with the ALPs transferred to it (i.e., sell the ALPs as is into the market, or internally consume the ALPs in their own operations).

- II-13. **Purchases.**--Has your firm purchased ALPs produced in the United States or in other countries since January 1, 2021? (Do not include imports for which your firm was the importer of record. These should be reported in an importer questionnaire.)

“Purchase” – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

“Import” –A transaction to buy from a foreign supplier where your firm is the importer of record.

| | | |
|--------------------------|--------------------------|--|
| No | Yes | If yes--Report such purchases in the table below and explain the reasons for your firms' purchases. |
| <input type="checkbox"/> | <input type="checkbox"/> | |

Note: If your firm served as the importer of record for any purchases from foreign suppliers, either for your own account or as a service for another entity, those purchases are to be considered "imports" not "purchases" and **should not** be included in the table below

| (Quantity in square meters) | | | | | |
|--|----------------------|-------------|-------------|----------------------|-------------|
| Item | Calendar year | | | January-March | |
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Purchases from U.S. importers of ALPs from— | | | | | |
| China | | | | | |
| Japan | | | | | |
| Subject sources | 0 | 0 | 0 | 0 | 0 |
| All other sources ¹ | | | | | |
| Purchases from domestic producers² | | | | | |
| Purchases from other sources³ | | | | | |
| Purchases from all sources | 0 | 0 | 0 | 0 | 0 |
| ¹ Please list the name of the nonsubject importer(s) from which your firm purchased this product: _____. ² Please list the name of the U.S. producer(s) from which your firm purchased this product: _____. ³ Please list the name of the firm(s) from which your firm purchased this product: _____. | | | | | |

[illegible]

II-14. **Purchases of imports from subject sources.**—*Continued.*

RECONCILIATION OF PURCHASES FROM SUBJECT SOURCES.--Please ensure that the quantities reported for your firm's purchases of imports from subject sources reported in this question (i.e., "total purchases of imports from subject sources") in each time period equal the quantity reported for your firm's purchases from subject sources in each time period in the previous question. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

| Reconciliation | Calendar year | | | January-March | |
|--|---------------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Purchases from subject sources in this table – purchases from subject sources in previous table = zero ("0"), if not revise. | 0 | 0 | 0 | 0 | 0 |

II-15. **Imports.**--Since January 1, 2021, has your firm imported ALPs?

| | | |
|--------------------------|--------------------------|--|
| No | Yes | |
| <input type="checkbox"/> | <input type="checkbox"/> | If yes-- <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u> |

II-16. **Other explanations.**--If your firm would like to further explain a response to a question in Part II for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

| |
|--|
| |
|--|

PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to Jennifer Brinckhaus (202-204-3188, jennifer.brinckhaus@usitc.gov).

- III-1. **Contact information.**—Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part III.

| | |
|-----------|--|
| Name | |
| Title | |
| Email | |
| Telephone | |

- III-2. **Accounting system.**—Briefly describe your firm's financial accounting system.

- A1. When does your firm's fiscal year end (month and day)? _____
If your firm's fiscal year changed since January 1, 2021, explain below:

- A2. Note: Calendar-year data are preferred for the annual-year financial data in this section (i.e., in questions III-9a, III-9d, III-12a, and III-13a). However, if providing this data on a calendar-year basis is unduly burdensome or provides results that are not reliable, fiscal-year based data are acceptable (please ensure that the majority of the fiscal-year end data reported are in the year indicated). Please indicate whether the results in this section are provided on a calendar-year basis (including firms with a calendar-year based fiscal year) or on a fiscal-year basis that does not align with the calendar year.

☐ Calendar-year basis ☐ Fiscal-year basis (does not align with the calendar year)

- B1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include ALPs:

- B2. Does your firm prepare profit/loss statements for ALPs:

☐ Yes ☐ No

- B3. Please indicate the type and frequency (if applicable) of financial statements prepared by your firm. Please check relevant items below.

| Financial statements | Check all that apply | Frequency | | | |
|------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | | Monthly | Quarterly | Semi-annually | Annually |
| Audited | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Unaudited | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Annual reports | <input type="checkbox"/> | | | | |
| SEC Forms 10-K / 10-Q | <input type="checkbox"/> | | | | |
| SEC Form 20-F | <input type="checkbox"/> | | | | |
| Other (specify): _____ | <input type="checkbox"/> | | | | |

- B4. Please indicate the primary accounting basis used by your firm.

| Accounting basis | Check one |
|------------------------|--------------------------|
| U.S. GAAP | <input type="checkbox"/> |
| IFRS | <input type="checkbox"/> |
| Tax – cash | <input type="checkbox"/> |
| Tax – accrual | <input type="checkbox"/> |
| Other (specify): _____ | <input type="checkbox"/> |

- III-3. **Cost accounting system.**—Briefly describe your firm's cost accounting system (e.g., standard cost, job order cost, etc.).

| |
|--|
| |
|--|

- III-4. **Product listing.**—Please list the products your firm produces in the facilities in which it produces ALPs and provide the share of net sales accounted for by these products in 2023.

| Products | Share of sales in 2023 |
|----------|------------------------|
| ALPs | % |
| | % |
| | % |
| | % |
| | % |

- III-5. **Inputs from related suppliers.**—Does your firm purchase **inputs** (raw materials, labor, energy, or any services) used in the production of ALPs from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?

☐ Yes--Continue to question III-6. ☐ No--Continue to question III-8a.

- III-6. **Inputs from related suppliers.**—Please identify the inputs used in the production of ALPs that your firm purchases from related suppliers and that are reflected in question III-9a. For “Share of total COGS” please report this information by relevant input for 2023.

| Input | Related supplier | Share of total COGS in 2023 |
|-------|------------------|-----------------------------|
| | | % |
| | | % |
| | | % |
| | | % |

- III-7a. **Valuation method for inputs from related suppliers.**—Please indicate the purchase cost valuation method used for the inputs from related suppliers, as recorded in the company’s own accounting system. If the basis differs by input, please check all that apply and explain further in the narrative box.

| Purchase cost valuation method | Check all that apply |
|--|--------------------------|
| Related supplier’s cost | <input type="checkbox"/> |
| Cost plus | <input type="checkbox"/> |
| Negotiated transfer price to approximate fair market value | <input type="checkbox"/> |
| Other (specify): _____ | <input type="checkbox"/> |
| If the methods used differ by input, please describe: | |

- III-7b. **Valuation method for inputs from related suppliers.**—Please confirm that the inputs purchased from related suppliers, as identified in III-6, were reported in III-9a (financial results on ALPs) in a manner consistent with the firm’s accounting books and records.

| Yes | No | If no—Provide an explanation and the valuation basis used for these inputs in question III-9a. |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- III-8a. **Cost assignment/allocation basis.**—Briefly describe the assignment/allocation bases used by your firm to assign the costs and expenses listed below for ALPs in the normal course of business and in the financial results reported in question III-9a (e.g., actual costs, standard costs, percentage of COGS, percentage of sales, etc.).

| Cost/expense | Assignment/allocation bases used for ALPs— | |
|-----------------------|---|---|
| | In the normal course of business | In the financial results at III-9a |
| Raw materials | | |
| Direct labor | | |
| Other factory costs | | |
| SG&A expenses | | |
| Interest expense | | |
| Other income/expenses | | |

III-8b. **By-products/scrap.**— Indicate how your firm classifies revenues from the sale of aluminum scrap and any other residual products produced during the course of producing ALPs:

- ☐ Co-product – For our purposes, this means revenues and costs are kept fully separate from those for ALPs.
- ☐ By-product – For our purposes, this means revenues and costs are not kept fully separate from those for ALPs (e.g., revenues may be recorded as an offset to COGS for ALPs, or as “other income” for ALPs, etc.).

If your answer is “by-product”, report the revenues associated with the sale or transfer of the scrap or by-products for your firm’s three specified full years, and for the specified interim periods.

Note: the data provided below will appear in question III-9a as a reduction to COGS

| Value (in \$1,000) | | | | | |
|---|-------|------|------|---------------|------|
| Item | Years | | | January-March | |
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| By-product sales revenue ^{1 2} | | | | | |
| ¹ Please identify the by-products in question: _____ ² Please describe how your firm classifies these by-products revenues in the normal course of business (e.g., included in net sales values, as a reduction to COGS, included in “all other income”)._____ | | | | | |

III-8c. **ALP-related revenue streams.**—Do you sell any products (i.e., chemicals, ovens, processors) or services (i.e., installation, service calls, inspections) associated with your U.S.-produced ALPs (do not include those provided for use with another firm’s ALPs) that your customers need to be able to effectively use the ALPs that they purchase from your firm in their own operations?

- ☐ Yes—Complete grid below. ☐ No—Continue to question III-9a.

| Value (in \$1,000) | | | | | |
|---|-------|------|------|---------------|------|
| Item | Years | | | January-March | |
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Associated product and service revenues ^{1 2} | | | | | |
| Associated product and service operating costs ² | | | | | |
| ¹ Please describe these associated products and services: _____ ² Do not include these peripheral product and service revenues and costs in the data in question III-9a. | | | | | |

III-9a. **Operations on ALPs.**—Report the revenue and related cost information requested below on the ALPs operations of your firm's U.S. establishment(s). Include only sales (whether domestic or exports) and costs related to your U.S. manufacturing operations. Do not report any revenue or cost data related to the resale of purchased product.

Net sales—Report all commercial sales, internal consumption, and transfers to related firms, whether these are domestic sales or exports. Report net sales values less discounts, returns, allowances, and prepaid freight, in U.S. dollars, f.o.b. your point of shipment. The freight costs associated with delivering the product to your customer should not be included.

Note: If the financial data are reported on a calendar-year basis, the total net sales quantities and values should match the total shipment quantities and values reported in Part II of this questionnaire (see question III-14 for a reconciliation grid).

Internal consumption—Product consumed internally by your firm. Report internal consumption at fair market value even if this is not how these transactions are valued in your own books and records. This would commonly be estimated based on the company's commercial sales of similar product or market knowledge.

Transfers to related firms—Sales made to related firms. Report transfers to related firms at fair market value even if this is not how these transactions are valued in your own books and records. This would commonly be estimated based on the company's commercial sales of similar product or market knowledge.

Costs and expenses—Include costs and expenses associated with all reported net sales (i.e., for both domestic and export commercial sales, internal consumption, and transfers to related firms). If any freight costs were removed from net sales values, ensure the associated costs are removed from the applicable cost/expense line.

Inputs from related suppliers—Any inputs purchased from related suppliers should be reported in a manner consistent with your firm's accounting books and records.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes ALPs, as well as specific statements and worksheets) used to compile these data.

III-9a. **Operations on ALPs.—Continued**

| Quantity (in square meters) and value (in \$1,000) | | | | | |
|--|-------|------|------|---------------|------|
| Item | Years | | | January-March | |
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Net sales quantities: | | | | | |
| Commercial sales | | | | | |
| Internal consumption | | | | | |
| Transfers to related firms | | | | | |
| Total net sales quantities | 0 | 0 | 0 | 0 | 0 |
| Net sales values: | | | | | |
| Commercial sales | | | | | |
| Internal consumption | | | | | |
| Transfers to related firms | | | | | |
| Total net sales values | 0 | 0 | 0 | 0 | 0 |
| Cost of goods sold (COGS): | | | | | |
| Lithographic-grade aluminum sheet | | | | | |
| Other raw material inputs ¹ | | | | | |
| Total raw materials | 0 | 0 | 0 | 0 | 0 |
| Direct labor | | | | | |
| Other factory costs | | | | | |
| Less: by-product revenue | 0 | 0 | 0 | 0 | 0 |
| Total COGS | 0 | 0 | 0 | 0 | 0 |
| Gross profit or (loss) | 0 | 0 | 0 | 0 | 0 |
| SG&A expenses | | | | | |
| Operating income (loss) | 0 | 0 | 0 | 0 | 0 |
| Other expenses and income: | | | | | |
| Interest expense | | | | | |
| All other expense items | | | | | |
| All other income items | | | | | |
| Net income or (loss) before income taxes | 0 | 0 | 0 | 0 | 0 |

¹ If there are notable or significant raw material inputs included within the “other material inputs” category, please list those here and provide the share of the “other raw material inputs” costs for which they account:

- III-9b. **Financial data reconciliation.**-- Certain line items from question III-9a, including total net sales quantities and values, total COGS, gross profit (or loss), operating profit (or loss), and net income (or loss), have been calculated based on the data submitted for other line items. Are the data in these calculated line items correct according to your firm's financial records ignoring non-material differences that may arise due to rounding?

| | | |
|--------------------------|--------------------------|--|
| | | <p>If no--If the calculated line items do not show the correct data, please double check the feeder data for data entry errors and revise.</p> <p>Also, check signs accorded to the post operating income line items. The two expense line items should report positive numbers (i.e., expenses are positive, and incomes or reversals are negative in these lines – instances of the latter should be rare in these lines). The income line item should also, in most instances, be a positive number (i.e., income is positive, and expenses or reversals are negative in this line).</p> <p>If, after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated line items persist, please identify and discuss the differences in the space below.</p> |
| Yes | No | |
| <input type="checkbox"/> | <input type="checkbox"/> | |

- III-9c. **Raw material procurement.**—Please report the typical procurement method for your firm's raw materials used to produce ALPs.

| Input | Procurement method | |
|-----------------------------------|---------------------------------|----------------------------------|
| | Primarily produced by your firm | Primarily purchased by your firm |
| Lithographic-grade aluminum sheet | <input type="checkbox"/> | <input type="checkbox"/> |
| Other raw material inputs | <input type="checkbox"/> | <input type="checkbox"/> |

- III-9d. **Impact of common alloy aluminum sheet AD/CVD measures on raw material costs.**—Did the antidumping and countervailing duty investigations and subsequent orders (i.e., tariffs) on imported common alloy aluminum sheet from a number of countries have an impact your firm's raw materials costs relating to ALPs?

| No | Yes | If yes—Describe the impact. |
|--------------------------|--------------------------|-----------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- III-9e. **Surcharges.**—Did your firm successfully apply surcharges in whole or in part to cover increases in aluminum costs used in the production of ALPs during January 1, 2021 – March 31, 2024?

| No | Yes | If yes, please describe the timing of these surcharges, indicate how these data are reflected in your reported financial results in III-9a, and indicate whether the surcharges that were applied covered all or part of the increases in aluminum sheet and plate costs your firm incurred during that period. |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- III-9f. **Availability of Aluminum.**—Has the availability of aluminum sheet used in the production of ALPs changed from 2021 to 2023 and in the comparable interim periods?

| No | Yes | If yes, please specify the period and the source and indicate how that affected your operations. |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- III-9g. **Depreciation expense.**—Please report the amount of depreciation expense that is included within the reported financial results at question III-9a.

| Item | Years | | | January-March | |
|--|-------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Depreciation expense (<i>in \$1,000</i>) | | | | | |

- III-9h. **Depreciation expense classification.**—Please indicate the line item(s) within question III-9a (e.g., other factory costs, SG&A expenses, etc.) that include the depreciation expense reported above.

| |
|--|
| |
|--|

- III-9i. **ALP-closure related costs**—If your firm closed U.S.-production operations at any point since January 1, 2021, report your firm's closure related costs incurred over the period.

| Item | Years | | | January-March | |
|---|-------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Closure related costs (<i>in \$1,000</i>) | | | | | |

- III-9j. **ALP-closure related costs classification**—Please describe any closure-related costs that were reported in III-9i and indicate where they are classified in III-9a.

| |
|--|
| |
|--|

III-10a. **Nonrecurring items (charges and gains) included in the ALPs financial results.**—Please report all material (significant) nonrecurring items (charges and gains) that are included in the reported results at question III-9a. If a nonrecurring item that is not product-specific was allocated to the results at question III-9a, please report the allocated value, below, rather than the aggregate amount.

Note: The Commission's objective here is to gather information on material (significant) nonrecurring items which impacted the reported financial results for ALPs in question III-9a.

| Item | Years | | | January-March | |
|---------------------|-----------------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| | Value (\$1,000) | | | | |
| Nonrecurring item 1 | | | | | |
| Nonrecurring item 2 | | | | | |
| Nonrecurring item 3 | | | | | |
| Nonrecurring item 4 | | | | | |
| Nonrecurring item 5 | | | | | |
| Nonrecurring item 6 | | | | | |
| Nonrecurring item 7 | | | | | |

III-10b. **Nonrecurring items (charges and gains) included in the ALPs financial results.**—In this table, please provide a brief description of each nonrecurring item reported above and indicate the specific line item within question III-9a in which the nonrecurring item is classified.

| | Description of the nonrecurring item | Location (i.e., line item) within question III-9a |
|---------------------|--------------------------------------|---|
| Nonrecurring item 1 | | |
| Nonrecurring item 2 | | |
| Nonrecurring item 3 | | |
| Nonrecurring item 4 | | |
| Nonrecurring item 5 | | |
| Nonrecurring item 6 | | |
| Nonrecurring item 7 | | |

III-11. **Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the company.**—If non-recurring items were reported in question III-10 above, please identify where your company recorded these items in your accounting books and records in the normal course of business, just as responses to question III-10 identify the specific line items in question III-9a where these items are reported.

| |
|--|
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III-12a. **Asset values.**—Report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of ALPs. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for ALPs in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations used in question III-9a.

Note: Total assets should reflect the net amount of assets (i.e., after any accumulated depreciation and allowances deducted) and should be allocated to ALPs if these assets are also related to other products.

| Value (in \$1,000) | | | |
|--------------------|-------|------|------|
| Item | Years | | |
| | 2021 | 2022 | 2023 |
| Total assets (net) | | | |

III-12b. **Description of asset values.**—Please provide explanations for any substantial changes in total asset value during the period; e.g., due to write-offs, major purchases, and revaluations. Also describe the main asset categories (both current and long-term) included in the above response.

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III-13a. **Capital expenditures and research and development (“R&D”) expenses.**—Report your firm’s capital expenditures and research and development expenses for ALPs.

| Value (in \$1,000) | | | | | |
|----------------------|-------|------|------|---------------|------|
| Item | Years | | | January-March | |
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Capital expenditures | | | | | |
| R&D expenses | | | | | |

III-13b. **Description of reported capital expenditures.**—Please describe the nature, focus, and significance of your firm’s reported capital expenditures. If no capital expenditure data were reported, please explain the reason.

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III-13c. **Description of reported R&D expenses.**—Please describe the nature, focus, and significance of your firm’s reported R&D expenses.

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III-14a. **Data consistency and reconciliation.**—The quantities and values of total net sales reported in question III-9a should reconcile with the total shipments reported in question II-7 (including export shipments) for the annual-year periods as long as they are reported on the same calendar-year basis. The interim-period data should reconcile whether the financial data are on a calendar- or fiscal-year basis.

If the calculated fields below return values other than zero (i.e., "0") this indicates the total net sales quantities and values do not match the total shipments quantities and values.

| Reconciliation | Years | | | January-March | |
|--|-------|------|------|---------------|------|
| | 2021 | 2022 | 2023 | 2023 | 2024 |
| Quantity: Trade data from question II-7 (lines D, F, H, and J) less financial total net sales quantity data from question III-9a, = zero ("0"). | 0 | 0 | 0 | 0 | 0 |
| Value: Trade data from question II-7 (lines E, G, I, and K) less financial total net sales value data from question III-9a, = zero ("0"). | 0 | 0 | 0 | 0 | 0 |

Is the financial data in question III-9a reported on a calendar-year basis?

☐ Yes— Complete question III-14b.

☐ No— Complete question III-14c.

III-14b. **Data consistency and reconciliation (calendar-year based financial data).**—Do the data in question III-9a reconcile with the data in question II-7 (i.e., the calculated fields are returning zeros in the table above) for all periods?

| Yes | No | If no, please explain. |
|--------------------------|--------------------------|------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | |

III-14c. **Data consistency and reconciliation (non-calendar-year based financial data).**—Do the data in question III-9a reconcile with the data in question II-7 (i.e., the calculated fields are returning zeros) for the January-March periods?

| Yes | No | If no, please explain. |
|--------------------------|--------------------------|------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | |

If your responses to any of the items in questions III-15, III-16, and III-17 differ by country, please describe these differences and, as applicable, indicate which country or countries your response refers to in the relevant form fields.

III-15. **Effects of imports on investment.**—Since January 1, 2021, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of ALPs from China and/or Japan?

| | | |
|--------------------------|--------------------------|--|
| No | Yes | If yes, my firm has experienced actual negative effects as follows. |
| <input type="checkbox"/> | <input type="checkbox"/> | |

| | <i>(check as many as appropriate)</i> | <i>(please describe)</i> |
|--------------------------|--|--------------------------|
| <input type="checkbox"/> | Cancellation, postponement, or rejection of expansion projects | |
| <input type="checkbox"/> | Denial or rejection of investment proposal | |
| <input type="checkbox"/> | Reduction in the size of capital investments | |
| <input type="checkbox"/> | Return on specific investments negatively impacted | |
| <input type="checkbox"/> | Other | |

- III-16. **Effects of imports on growth and development.**—Since January 1, 2021, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of ALPs from China and/or Japan?

| | | |
|--------------------------|--------------------------|--|
| No | Yes | |
| <input type="checkbox"/> | <input type="checkbox"/> | If yes, my firm has experienced actual negative effects as follows. |

| <i>(check as many as appropriate)</i> | | <i>(please describe)</i> |
|---------------------------------------|---|--------------------------|
| <input type="checkbox"/> | Rejection of bank loans | |
| <input type="checkbox"/> | Lowering of credit rating | |
| <input type="checkbox"/> | Problem related to the issue of stocks or bonds | |
| <input type="checkbox"/> | Ability to service debt | |
| <input type="checkbox"/> | Other | |

- III-17. **Anticipated effects of imports.**—Does your firm anticipate any negative effects due to imports of ALPs from China and/or Japan?

| No | Yes | If yes, my firm anticipates negative effects as follows. |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- III-18. **Effects on financial performance of COVID-19.**—Has the COVID-19 pandemic, or any government actions taken to contain the spread of the COVID-19 virus, affected the financial performance of your firm's operations on ALPs as reported in question III-9a? In your response, please include the duration and timing of any impacts as they relate to your firm's financial performance.

| No | Yes | If yes, please describe these effects. |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- III-19. **Other explanations.**—If your firm would like to further explain a response to a question in Part III for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

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PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from James Horne (202-205-2722, James.Horne@usitc.gov).

IV-1. **Contact information.**--Please identify the individual that Commission staff may contact regarding the confidential information submitted in Part IV.

| | |
|-----------|--|
| Name | |
| Title | |
| Email | |
| Telephone | |

PRICE DATA

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2021 of the following products produced by your firm.

Product 1.-- 20 gauge, aluminum lithographic printing plates – any plate with an actual thickness of 0.16 mm or greater and less than 0.24 mm.

Product 2.-- 30 gauge, aluminum lithographic printing plates – any plate with an actual thickness of 0.24 mm or greater and less than 0.33 mm.

Product 3.-- 40 gauge, aluminum lithographic printing plates – any plate with an actual thickness of 0.33 mm or greater and less than 0.43 mm.

Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

IV-2a. During January 2021-March 2024, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

| | |
|--------------------------|--|
| <input type="checkbox"/> | Yes. --Please complete the following pricing data table as appropriate. |
| <input type="checkbox"/> | No. --Skip to question IV-3. |

IV-2b. **Price data.**--Report below the quarterly price data¹ for pricing products² produced and sold by your firm.

Report data in **square meters** and **actual dollars** (not 1,000s).

| (Quantity in square meters, value in dollars) | | | | | | |
|--|------------------|--------------|------------------|--------------|------------------|--------------|
| Period of shipment | Product 1 | | Product 2 | | Product 3 | |
| | Quantity | Value | Quantity | Value | Quantity | Value |
| 2021: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2022: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2023: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2024: | | | | | | |
| January-March | | | | | | |

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. Please subtract any discounts, rebates, and returns from the quarter in which the sale occurred.

² Pricing product definitions are provided on the first page of Part IV.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

IV-2c. **Price data checklist.**--Please check that the pricing data in question IV-2(b) have been correctly reported.

| | |
|--|--------------------------|
| Are the price data reported above: | ✓ if Yes |
| In actual dollars (not \$1,000) and actual square meters? | <input type="checkbox"/> |
| Valued f.o.b. U.S. point of shipment (i.e., exclude U.S. inland transportation costs)? | <input type="checkbox"/> |
| Reported net of all discounts, rebates, and returns (deducted from the quarter in which the original sale occurred)? | <input type="checkbox"/> |
| Reported for commercial U.S. shipments only (i.e., exclude internal consumption, transfers, and exports)? | <input type="checkbox"/> |
| Less than or equal to the quantities and values reported in part II for commercial U.S. shipments in each period? | <input type="checkbox"/> |
| Explanation(s) for any boxes not checked: | |

IV-2d. **Pricing data methodology.**--Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-3. **Price setting.**--How does your firm determine the prices that it charges for sales of ALPs (*check all that apply*)?

| Transaction by transaction | Contracts | Set price lists | Other | If other, describe |
|----------------------------|--------------------------|--------------------------|--------------------------|--------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

IV-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

| Quantity discounts | Annual total volume discounts | No discount policy | Other | Describe |
|--------------------------|-------------------------------|--------------------------|--------------------------|----------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

IV-5. **Pricing terms.**--On what basis are your firm's prices of domestic ALPs usually quoted (*check one*)?

| Delivered | F.o.b. | If f.o.b., specify point |
|--------------------------|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | |

IV-6. **Contract versus spot.**--Approximately what shares of your firm's sales of its U.S.-produced ALPs in 2023 were on the basis of (1) short-term contracts, (2) annual contracts, (3) long-term contracts, and (4) spot sales?

| Item | Type of sale | | | | Total (should sum to 100.0%) |
|---------------------|---|---|--|---------------------------------------|---------------------------------|
| | Short-term contracts (multiple deliveries for less than 12 months) | Annual contracts (multiple deliveries for 12 months) | Long-term contracts (multiple deliveries for more than 12 months) | Spot sales (for a single delivery) | |
| Share of 2023 sales | % | % | % | % | 0.0 % |

IV-7. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced ALPs (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

| Typical sales contract provisions | Item | Short-term contracts (multiple deliveries for less than 12 months) | Annual contracts (multiple deliveries for 12 months) | Long-term contracts (multiple deliveries for more than 12 months) |
|---|--------------------|--|--|---|
| Average contract duration | <i>No. of days</i> | | 365 | |
| Price renegotiation (during contract period) | <i>Yes</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <i>No</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Fixed quantity and/or price | <i>Quantity</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <i>Price</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <i>Both</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Indexed to raw material costs ¹ | <i>Yes</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <i>No</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Not applicable | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ¹ Please identify the indexes used: _____. | | | | |

IV-8. **Lead times.**--What is your firm's share of sales of its U.S.-produced ALPs from inventory and produced to order, and the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced ALPs?

| Source | Share of 2023 sales | Lead time (Average number of days) |
|-------------------------------------|---------------------|------------------------------------|
| From inventory | % | |
| Produced to order | % | |
| Total (should sum to 100.0%) | 0.0 % | |

IV-9. Shipping information.--

- (a) Who generally arranges the transportation to your firm's customers' locations?
☐ Your firm ☐ Purchaser (*check one*)
- (b) Indicate the approximate percentage of your firm's sales of ALPs that are delivered the following distances from its production facility.

| Distance from production facility | Share |
|-------------------------------------|-------|
| Within 100 miles | % |
| 101 to 1,000 miles | % |
| Over 1,000 miles | % |
| Total (should sum to 100.0%) | 0.0 % |

- IV-10. **Geographical shipments.**--In which U.S. geographic market area(s) has your firm sold its U.S.-produced ALPs since January 1, 2021 (check all that apply)?

| Geographic area | ✓ if applicable |
|---|--------------------------|
| Northeast. --CT, ME, MA, NH, NJ, NY, PA, RI, and VT. | <input type="checkbox"/> |
| Midwest. --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI. | <input type="checkbox"/> |
| Southeast. --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV. | <input type="checkbox"/> |
| Central Southwest. --AR, LA, OK, and TX. | <input type="checkbox"/> |
| Mountains. --AZ, CO, ID, MT, NV, NM, UT, and WY. | <input type="checkbox"/> |
| Pacific Coast. --CA, OR, and WA. | <input type="checkbox"/> |
| Other. --All other markets in the United States not previously listed, including AK, HI, PR, and VI. | <input type="checkbox"/> |

- IV-11. **Inland transportation costs.**—What is the approximate percentage of the cost of U.S.-produced ALPs that is accounted for by U.S. inland transportation costs? _____ percent

IV-12. **End uses.**--List the end uses of the ALPs that your firm manufactures. For each end-use product, what percentage of the total cost is accounted for by ALPs and other inputs?

| End-use product | Share of total cost of end use product accounted for by | | Total (should sum to 100.0% across) |
|-----------------|---|--------------|--|
| | ALPs | Other inputs | |
| | % | % | 0.0 % |
| | % | % | 0.0 % |
| | % | % | 0.0 % |

IV-13. **Substitutes.**--Can other products be substituted for ALPs?

☐ No

☐ Yes--Please fill out the table.

| Substitute | End use in which this substitute is used | Have changes in the price of this substitute affected the price for ALPs? | | |
|------------|--|---|--------------------------|-------------|
| | | No | Yes | Explanation |
| 1. | | <input type="checkbox"/> | <input type="checkbox"/> | |
| 2. | | <input type="checkbox"/> | <input type="checkbox"/> | |
| 3. | | <input type="checkbox"/> | <input type="checkbox"/> | |

IV-14. **Demand trends.**-- Has demand within the United States and outside of the United States (if known) for ALPs steadily increased, fluctuated but ended higher, not changed, fluctuated but ended lower, or steadily decreased since January 1, 2021? Explain any trends and describe the principal factors that have affected these changes in demand.

Select one box per row.

| Market | Steadily increase | Fluctuate up | No change | Fluctuate down | Steadily decrease | Explanation and factors |
|---------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|-------------------------|
| Within the United States | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| Outside the United States | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

- IV-15. **Product changes.**--Have there been any significant changes in the product range, product mix, or marketing of ALPs since January 1, 2021?

| No | Yes | If yes, please describe and quantify if possible. |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- IV-16. **Business cycles.**--Is the ALPs market subject to business cycles, either during the year or across years? If yes, describe.

| No | Yes | If yes, please describe, including any changes since January 1, 2021. |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- IV-17. **Conditions of competition.**--Is the ALPs market subject to conditions of competition distinctive to ALPs other than the business cycles described in the previous question? If yes, describe.

| No | Yes | If yes, please describe, including any changes since January 1, 2021. |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- IV-18. **Supply constraints.**--Has your firm refused, declined, or been unable to supply ALPs at any time between January 1, 2021 and September 28, 2023 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, impact from changes in operations listed in II-2a, etc.)?

| No | Yes | If yes, please describe, including the reason, timing, and duration of the constraint. |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- (b) Has your firm experienced any supply constraints since the petition was filed on September 28, 2023?

| No | Yes | If yes, please describe, including the reason, timing, and duration of the constraint. |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | |

IV-19. **Raw materials.**-- Have ALPs raw material prices steadily increased, fluctuated but ended higher, not changed, fluctuated but ended lower, or steadily decreased since January 1, 2021?

Select one box per row.

| Steadily increase | Fluctuate up | No change | Fluctuate down | Steadily decrease | Explain, noting how raw material price changes have affected your firm's selling prices for ALPs. |
|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

IV-20. **Interchangeability.**—How often are ALPs produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

| Country-pair | China | Japan | Other countries |
|--|-------|-------|-----------------|
| United States | | | |
| China | | | |
| Japan | | | |
| <p>For any country-pair producing ALPs that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude the interchangeable use of ALPs produced in the countries:</p> | | | |

- IV-21. **Factors other than price.**—How often are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between ALPs produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

| Country-pair | China | Japan | Other countries |
|---------------|-------|-------|-----------------|
| United States | | | |
| China | | | |
| Japan | | | |

For any country-pair for which factors other than price are *always* or *frequently* a significant factor in your firm's sales of ALPs, identify the country-pair and the relevant factors other than price, and report the advantages or disadvantages imparted by such factors:

- IV-22. **Compatibility.**-- Are ALPs from different producers compatible with all types of machinery without modification to the machinery?

| Yes | No | Don't know |
|--------------------------|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

If no, please describe the process, difficulty, and cost of modifying machinery to be compatible with an ALP produced by one specific producer

| |
|--|
| |
|--|

- IV-23. **Role of section 301 tariffs.**-- Did the tariffs on Chinese-origin products under section 301, or changes in these tariffs, have an impact on the ALPs market in the United States, including any effects on ALPs cost, price, supply, and/or demand, since January 1, 2021?

| Yes | No | Don't know |
|--------------------------|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

If yes, please describe the impact on cost, price, supply, and/or demand, and include the timing of such impacts.

| |
|--|
| |
|--|

- IV-24. **Role of section 232 measures.**—Did the measures (e.g. tariffs, quotas, etc.) on imported steel/aluminum products under section 232, or changes in the measures (such as the level, coverage, or nature of the measures), have an impact on the ALPs market in the United States, including any effects on ALPs cost, price, supply, and/or demand, since January 1, 2021?

| Yes | No | Don't know |
|--------------------------|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

If yes, please describe the impact on cost, price, supply, and/or demand, and include the timing of such impacts.

| |
|--|
| |
|--|

- IV-25. **Customer identification.**—List the names and contact information for your firm's 10 largest U.S. customers for ALPs since January 1, 2021. Indicate the share of the quantity of your firm's U.S. shipments of ALPs that each of these customers accounted for in 2023.

| | Customer's name | City | State | Share of 2023 sales (%) |
|----|-----------------|------|-------|-------------------------|
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | | | | |
| 8 | | | | |
| 9 | | | | |
| 10 | | | | |

IV-26. Competition from imports.--

- (a) **Lost revenue.**--Since January 1, 2021: To avoid losing sales to competitors selling ALPs from China and/or Japan, did your firm:

| Item | No | Yes |
|-------------------------------------|--------------------------|--------------------------|
| Reduce prices | <input type="checkbox"/> | <input type="checkbox"/> |
| Roll back announced price increases | <input type="checkbox"/> | <input type="checkbox"/> |

- (b) **Lost sales.**--Since January 1, 2021: Did your firm lose sales of ALPs to imports of this product from China and/or Japan?

| No | Yes |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

- IV-27. **Other explanations.**--If your firm would like to further explain a response to a question in Part IV for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

https://usitc.gov/reports/active_import_injury_questionnaires.

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Commission’s secure submission portal.**—The questionnaire must be uploaded in two formats: (1) a Microsoft Word 97-2003 document; and (2) a PDF copy of the complete questionnaire with a signature on the first page. Please include any attachments at the end of the PDF (e.g., APO certification, additional comments, etc.).

Web address: <https://usitc.gov/qportal>

Pin: PLATES

- **E-mail.**—E-mail the MS Word questionnaire to celia.feldpausch@usitc.gov; *include a PDF copy of the complete questionnaire with a signature on the first page. Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure submission portal and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned PDF copy via the Commission’s secure submission portal or email.

Parties to this proceeding.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1802). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7).