

U.S. PRODUCERS' QUESTIONNAIRE

ALUMINUM EXTRUSIONS FROM CHINA, COLOMBIA, ECUADOR, INDIA, INDONESIA, ITALY, MALAYSIA, MEXICO, SOUTH KOREA, TAIWAN, THAILAND, TURKEY, UNITED ARAB EMIRATES, AND VIETNAM

This questionnaire must be received by the Commission by **July 19, 2024**

See last page for instructions regarding how to file this questionnaire.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning aluminum extrusions from China, Colombia, Ecuador, India, Indonesia, Italy, Malaysia, Mexico, South Korea, Taiwan, Thailand, Turkey, United Arab Emirates, and Vietnam ("subject countries") (Inv. Nos. 701-TA-695-698 and 731-TA-1643-1644 and 1646-1657 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____
Address _____
City _____ State _____ Zip Code _____
Website _____

Has your firm **produced or fabricated** aluminum extrusions (see definitions on page 9) in the United States at any time since January 1, 2021?

- ☐ **NO** (Sign the certification below and promptly return **only** this page of the questionnaire to the Commission.)
☐ **YES** (Complete all parts of this questionnaire, and return the entire questionnaire to the Commission.)

Return questionnaire via the U.S. International Trade Commission **Drop Box** by clicking on the following link: <https://dropbox.usitc.gov/oinv/>. (PIN: **ALUM**). See last page for detailed instructions.

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.

Name of Authorized Official

Title of Authorized Official

Date

Signature

Phone

Email address

PART I.—GENERAL INFORMATION

Background.-- This proceeding was instituted in response to a petition filed on October 4, 2023, by the U.S. Aluminum Extruders Coalition (consisting of Alexandria Extrusion Company, Alexandria, Minnesota; APEL Extrusions Inc., Coburg, Oregon; Bonnell Aluminum, Newnan, Georgia; Brazeway, Adrian, Michigan; Custom Aluminum Products, South Elgin, Illinois; Extrudex Aluminum, North Jackson, Ohio; International Extrusions, Garden City, Michigan; Jordan Aluminum Company, Memphis, Tennessee; M-D Building Products, Oklahoma City, Oklahoma; Merit Aluminum, Corona, California; MI Metals, Oldsmar, Florida; Pennex Aluminum, Wellsville, Pennsylvania; Tower Extrusions, Olney, Texas; and Western Extrusions, Carrollton, Texas) and the United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union, Pittsburgh, Pennsylvania. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at https://usitc.gov/reports/active_import_injury_questionnaires and at <https://ids.usitc.gov/case/8145/investigation/8464>.

Aluminum extrusions covered by this proceeding are aluminum extrusions, regardless of form, finishing, or fabrication, whether assembled with other parts or unassembled, whether coated, painted, anodized, or thermally improved. Aluminum extrusions are shapes and forms, produced by an extrusion process, made from aluminum alloys having metallic elements corresponding to the alloy series designations published by the Aluminum Association commencing with the numbers 1, 3, and 6 (or proprietary equivalents or other certifying body equivalents). Specifically, subject aluminum extrusions made from an aluminum alloy with an Aluminum Association series designation commencing with the number 1 contain not less than 99 percent aluminum by weight. Subject aluminum extrusions made from an aluminum alloy with an Aluminum Association series designation commencing with the number 3 contain manganese as the major alloying element, with manganese accounting for not more than 3.0 percent of total materials by weight. Subject aluminum extrusions made from an aluminum alloy with an Aluminum Association series designation commencing with the number 6 contain magnesium and silicon as the major alloying elements, with magnesium accounting for at least 0.1 percent but not more than 2.0 percent of total materials by weight, and silicon accounting for at least 0.1 percent but not more than 3.0 percent of total materials by weight. The scope also includes merchandise made from an aluminum alloy with an Aluminum Association series designation commencing with the number 5 (or proprietary equivalents or other certifying body equivalents) that have a magnesium content accounting for up to but not more than 2.0 percent of total materials by weight.

The country of origin of the aluminum extrusion is determined by where the metal is extruded (i.e., pressed through a die).

Aluminum extrusions are produced and imported in a wide variety of shapes and forms, including, but not limited to, hollow profiles, other solid profiles, pipes, tubes, bars, and rods. Aluminum extrusions that are drawn subsequent to extrusion (drawn aluminum) are also included in the scope.

Subject aluminum extrusions are produced and imported with a variety of coatings and surface treatments, and types of fabrication. The types of coatings and treatments applied to aluminum extrusions include, but are not limited to, extrusions that are mill finished (i.e., without any coating or further finishing), brushed, buffed, polished, anodized (including bright dip), liquid painted, electroplated, chromate converted, powder coated, sublimated, wrapped, and/or bead blasted. Subject aluminum extrusions may also be fabricated, i.e., prepared for assembly, or thermally improved. Such operations would include, but are not limited to, extrusions that are cut-to-length, machined, drilled,

punched, notched, bent, stretched, stretch-formed, hydroformed, knurled, swedged, mitered, chamfered, threaded, spun, etched, and engraved. Performing such operations in third countries does not otherwise remove the merchandise from the scope of the investigations.

The types of products that meet the definition of subject merchandise include but are not limited to, the aluminum extrusion portions of vehicle roof rails and sun/moon roof framing, solar panel racking rails and framing, tradeshow display fixtures and framing, parts for tents or clear span structures, fence posts, drapery rails or rods, electrical conduits, door thresholds, flooring trim, electric vehicle battery trays, heat sinks, signage or advertising poles, telescoping poles, or cleaning system components.

Aluminum extrusions may be heat sinks, which are fabricated aluminum extrusions that dissipate heat away from a heat source and may serve other functions, such as structural functions. Heat sinks come in a variety of sizes and shapes, including but not limited to a flat electronic heat sink, which is a solid aluminum extrusion with at least one flat side used to mount electronic or mechanical devices; a heat sink that is a housing for electronic controls or motors; lighting heat sinks, which dissipate heat away from LED devices; and process and exchange heat sinks, which are tube extrusions with fins or plates used to hold radiator tubing. Heat sinks are included in the scope, regardless of whether the design and production of the heat sinks are organized around meeting specified thermal performance requirements and regardless of whether they have been tested to comply with such requirements. For purposes of the investigations on aluminum extrusions from the People's Republic of China, only heat sinks designed and produced around meeting specified thermal performance requirements and tested to comply with such requirements are included in the scope. Excluded from the scope of the investigations are large, multi-finned extruded aluminum heat sinks designed to dissipate heat, meeting the following criteria: (1) an aspect ratio (defined as the ratio of the area of a void in an extrusion to the size of the smallest gap opening at the entrance of that void and calculated by dividing the void area by the square of the gap opening) greater than 15 to 1; or (2) the circumscribing circle diameter (defined as the diameter of the smallest circle that will entirely enclose the extrusion's cross-sectional profile) rounded up to the next half inch, exceeds 10 inches, and the weight-per-foot (defined as the theoretical weight of the profile as extruded prior to any machining that may remove material and calculated by multiplying the area of the profile in square inches by 1.2) exceeds 3.50 pounds per foot.

Merchandise that is comprised solely of aluminum extrusions or aluminum extrusions and fasteners, whether assembled at the time of importation or unassembled, is covered by the scope in its entirety.

The scope also includes aluminum extrusions contained in merchandise that is a part or subassembly of a larger whole, whether or not the merchandise also contains a component other than aluminum extrusions that is beyond a fastener. Such merchandise may be either assembled or unassembled at the time of importation. A "part or subassembly" is defined as a unit designed to be attached to, or incorporated with, one or more other units or components into a larger completed product. Only the aluminum extrusion portion of the merchandise described in this paragraph, whether assembled or unassembled, is subject merchandise included in the scope and subject to duties. Examples of merchandise that is a part or subassembly of a larger whole include, but are not limited to, window parts or subassemblies; door unit parts or subassemblies; shower and bath parts or subassemblies; solar panel mounting systems; fenestration system parts or subassemblies, such as curtain wall and window wall units and parts or subassemblies of storefronts; furniture parts or subassemblies; appliance parts or subassemblies, such as fin evaporator coils and systems for refrigerators; railing or deck system parts or subassemblies; fence system parts or subassemblies; motor vehicle parts or subassemblies, such as bumpers for motor vehicles; trailer parts or subassemblies, such as side walls, flooring, and roofings; electric vehicle charging station parts or subassemblies; or signage or advertising system parts or subassemblies. Parts or subassemblies described by this paragraph that are subject to duties in their entirety pursuant to existing antidumping and countervailing duty orders (defined as those antidumping

and countervailing duty orders that are in effect as of the date of publication of the order resulting from this investigation) are excluded from the scope of the investigation. Any part or subassembly that otherwise meets the requirements of this scope and that is not covered by other antidumping and/or countervailing duty orders remains subject to the scope of the investigations.

The scope excludes aluminum extrusions contained in fully and permanently assembled merchandise, if the assembled merchandise is not a part or subassembly of a larger whole. To be excluded under this paragraph, the assembled merchandise must also contain a component other than aluminum extrusions, beyond fasteners. In addition, to be excluded under this paragraph, the assembled merchandise must be ready for use as imported, without undergoing after importation any processing, fabrication, finishing, or assembly or the addition of parts or material (with the exception of consumable parts or material or interchangeable media or tooling).

The scope also excludes aluminum extrusions contained in unassembled merchandise if the unassembled merchandise is not a part or subassembly of a larger whole. To be excluded under this paragraph, the unassembled merchandise must also contain a component other than aluminum extrusions, beyond fasteners. In addition, to be excluded under this paragraph, the unassembled merchandise must be a packaged combination of parts that is ready to be assembled as imported, without undergoing after importation any processing, fabrication, or finishing or the addition of parts or material (with the exception of consumable parts or material or interchangeable media or tooling). To be excluded under this paragraph, the unassembled merchandise must be sold and enter as a discrete kit on one Customs entry form.

Examples of such excluded assembled and unassembled merchandise include windows with glass, door units with door panel and glass, motor vehicles, trailers, furniture, appliances, and solar panels and solar modules.

The scope also excludes merchandise containing multiple subassemblies of a larger whole with non-extruded aluminum components beyond fasteners. A subassembly that meets the definition of subject merchandise, including any product expressly identified as subject merchandise in this scope, can only be excluded if it is fully and permanently assembled with at least one other different subassembly, and where (1) at least one of the subassemblies, if entered individually, would not itself be subject to the scope; (2) the aluminum extrusions within the merchandise collectively account for 50 percent or less of the actual weight of the combined multiple subassemblies (without including any non-extruded aluminum fasteners in the calculations); and (3) the aluminum extrusions within the merchandise collectively account for 50 percent or less of the number of pieces of the combined multiple subassemblies (without including any non-extruded aluminum fasteners in the calculations).

The scope also includes aluminum extrusions that have been further processed in a third country, including, but not limited to, the finishing and fabrication processes described above, assembly, whether with other aluminum extrusion components or with non-aluminum extrusion components, or any other processing that would not otherwise remove the merchandise from the scope if performed in the country of manufacture of the in-scope product. Third country processing; finishing; and/or fabrication, including those processes described in the scope, does not alter the country of origin of the subject aluminum extrusions.

The following aluminum extrusion products are excluded: aluminum extrusions made from an aluminum alloy with an Aluminum Association series designations commencing with the number 2 (or proprietary equivalents or other certifying body equivalents) and containing in excess of 1.5 percent copper by weight; aluminum extrusions made from an aluminum alloy with an Aluminum Association series designation commencing with the number 5 (or proprietary equivalents or other certifying body

equivalents) and containing in excess of 2.0 percent magnesium by weight; and aluminum extrusions made from an aluminum alloy with an Aluminum Association series designation commencing with the number 7 (or proprietary equivalents or other certifying body equivalents) and containing in excess of 2.0 percent zinc by weight.

The scope also excludes aluminum alloy sheet or plates produced by means other than the extrusion process, such as aluminum products produced by a method of continuous casting or rolling. Cast aluminum products are also excluded. The scope also excludes unwrought aluminum in any form.

The scope also excludes collapsible tubular containers composed of metallic elements corresponding to alloy code 1080A as designated by the Aluminum Association (not including proprietary equivalents or other certifying body equivalents) where the tubular container (excluding the nozzle) meets each of the following dimensional characteristics: (1) length of 37 millimeters (mm) or 62 mm; (2) outer diameter of 11.0 mm or 12.7 mm; and (3) wall thickness not exceeding 0.13 mm.

Also excluded from the scope are extruded drawn solid profiles made from an aluminum alloy with the Aluminum Association series designation commencing with the number 1, 3, or 6 (or proprietary equivalents or other certifying body equivalents), including variants on individual alloying elements not to circumvent the other Aluminum Association series designations, which meet each of the following characteristics: (1) solid cross sectional area greater than 62.4 mm² and less than 906 mm², (2) minimum electrical conductivity of 58% of the international annealed copper standard (IACS) or maximum resistivity of 2.97 µΩ/cm, (3) a uniformly applied nonelectrically conductive temperature-resistant coating co-extruded over characteristic (1) of either polyamide, cross-linked polyethylene, or silicone rubber material which meets the following standards: (a) Vicat A temperature threshold of >140 degrees Celsius, (b) flammability requirements of UL 94V-0, and (c) a minimum coating thickness of 0.10 mm and maximum coating thickness of 2.0 mm, with a maximum thickness tolerance of +/- 0.20 mm, (4) characteristic 3 may or may not be encapsulated with a "Precision Drawn Tubing," wall thicknesses less than 1.2 mm, which is mechanically fixed in place, and (5) packaged in straight lengths, bent or formed and/or attached to hardware.

Also excluded from the scope are extruded tubing and drawn over a ID plug and through a OD die made from an aluminum alloy with the Aluminum Association series designation commencing with the number 3, 5, or 6 (or proprietary equivalents or other certifying body equivalents), including variants on individual alloying elements not to circumvent the other Aluminum Association series designations, which meet each of the following characteristics: (1) an outside mean diameter no greater than 30 mm with a tolerance less than or equal to +/- 0.10 mm, (2) uniform wall thickness no greater than 2.7 mm with wall tolerances less than or equal to +/- 0.1 mm, (3) may be coated with materials, including zinc, such that the coating material weight is no less than 3 g/m² and no greater than 30 g/m², and (4) packaged in continuous coils, straight lengths, bent or formed.

The scope also excludes fully and permanently assembled glass refrigerator shelves with decorative aluminum trim meeting the following characteristics: (1) aluminum trim meeting Aluminum Association series 6063-T5 designation that is anodized; (2) aluminum trim length of not more than 800 mm, and (3) aluminum trim width of not more than 40 mm. Such fully and permanently assembled glass refrigerator shelves include other components in addition to the aluminum trim, including, but not limited to, glass, steel, and plastic. Only fully and permanently assembled glass refrigerator shelves that require no further processing, fabrication, finishing, assembly, or the addition of any parts or material are excluded. Imports of glass refrigerator shelves are classified under HTSUS 8418.99.8050, which is being included for convenience.

Also excluded from the scope of this investigation is certain rectangular wire, imported in bulk rolls or precut strips and produced from continuously cast rolled aluminum wire rod, which is subsequently extruded to dimension to form rectangular wire with or without rounded edges. The product is made from aluminum alloy grade 1070 or 1370 (not including proprietary equivalents or other certifying body equivalents), with no recycled metal content allowed. The dimensions of the wire are 2.95 mm to 6.05 mm in width, and 0.65 mm to 1.25 mm in thickness. Imports of rectangular wire are provided for under Harmonized Tariff Schedule of the United States (HTSUS) subheadings 7605.19.0000, 7604.10.5000, or 7616.99.5190.

Also excluded from the scope of the antidumping and countervailing duty investigations on aluminum extrusions from the People's Republic of China are all products covered by the scope of the antidumping and countervailing duty orders on Aluminum Extrusions from the People's Republic of China. See *Aluminum Extrusions from the People's Republic of China: Antidumping Duty Order*, 76 FR 30650 (May 26, 2011); and *Aluminum Extrusions from the People's Republic of China: Countervailing Duty Order*, 76 FR 30653 (May 26, 2011) (collectively, Aluminum Extrusions from the People's Republic of China). Solely for the investigations on aluminum extrusions from the People's Republic of China, the following is an exhaustive list of products where the aluminum extrusion portions thereof meet the definition of subject merchandise. The language contained in the rest of the scope applies to this exhaustive list of products. Merchandise that is not included in the following list that meets the definition of subject merchandise in the 2011 antidumping and countervailing duty orders on Aluminum Extrusions from the People's Republic of China remains subject to the earlier orders. No other section of this scope language that provides examples of subject merchandise is exhaustive.

The aluminum extrusion portions of the following products are included in the scope of the investigations on aluminum extrusions from the People's Republic of China, whether assembled or unassembled: heat sinks as described above; cleaning system components like mops and poles; banner stands/back walls; fabric wall systems; drapery rails; side mount valve controls; water heater anodes; solar panel mounting systems; automotive heating and cooling system components; assembled motor cases with stators; louver assemblies; event décor; window wall units and parts; trade booths; micro channel heat exchangers; telescoping poles, pole handles, and pole attachments; flagpoles; wind sign frames; foreline hose assembly; electronics enclosures; parts and subassemblies for storefronts, including portal sets; light poles; air duct registers; outdoor sporting goods parts and subassemblies; glass refrigerator shelves; aluminum ramps; handicap ramp system parts and subassemblies; frames and parts for tents and clear span structures; parts and subassemblies for screen enclosures, patios, and sunrooms; parts and subassemblies for walkways and walkway covers; aluminum extrusions for Light Emitting Diode (LED) lights; parts and subassemblies for screen, storm, and patio doors; pontoon boat parts and subassemblies, including rub rails, flooring, decking, transom structures, canopy systems, seating; boat hulls, framing, ladders, and transom structures; parts and subassemblies for docks, piers, boat lifts and mounting; recreational and boat trailer parts and subassemblies, including subframes, crossmembers, and gates; solar tracker assemblies with gears; garage door framing systems; door threshold and sill assemblies; highway and bridge signs; bridge, street, and highway rails; scaffolding, including planks and struts; railing and support systems; parts and subassemblies for exercise equipment; weatherstripping; door bottom and sweeps; door seals; floor transitions and trims; parts and subassemblies for modular walls and office furniture; truck trailer parts and subassemblies; boat cover poles, outrigger poles, and rod holders; bleachers and benches; parts and subassemblies for elevators, lifts, and dumbwaiters; parts and subassemblies for mirror and framing systems; window treatments; parts and subassemblies for air foils and fans; bus and Recreational Vehicle (RV) window frames; sliding door rails; dock ladders; parts and subassemblies for RV frames and trailers; awning, canopy, and sunshade structures and their parts and subassemblies; marine motor mounts; linear lighting housings; and cluster mailbox systems.

Imports of the subject merchandise are primarily provided for under the following categories of the HTSUS: 7604.10.1000; 7604.10.3000; 7604.10.5000; 7604.21.0010; 7604.21.0090; 7604.29.1010; 7604.29.1090; 7604.29.3060; 7604.29.3090; 7604.29.5050; 7604.29.5090; 7608.10.0030; 7608.10.0090; 7608.20.0030; 7608.20.0090; 7609.00.0000; 7610.10.0010; 7610.10.0020; 7610.10.0030; 7610.90.0040; and 7610.90.0080.

Imports of the subject merchandise, including subject merchandise entered as parts of other products, may also be classifiable under the following additional HTSUS categories, as well as other HTSUS categories: 6603.90.8100; 7606.12.3091; 7606.12.3096; 7615.10.2015; 7615.10.2025; 7615.10.3015; 7615.10.3025; 7615.10.5020; 7615.10.5040; 7615.10.7125; 7615.10.7130; 7615.10.7155; 7615.10.7180; 7615.10.9100; 7615.20.0000; 7616.10.9090; 7616.99.1000; 7616.99.5130; 7616.99.5140; 7616.99.5190; 8302.10.3000; 8302.10.6030; 8302.10.6060; 8302.10.6090; 8302.20.0000; 8302.30.3010; 8302.30.3060; 8302.41.3000; 8302.41.6015; 8302.41.6045; 8302.41.6050; 8302.41.6080; 8302.42.3010; 8302.42.3015; 8302.42.3065; 8302.49.6035; 8302.49.6045; 8302.49.6055; 8302.49.6085; 8302.50.0000; 8302.60.3000; 8302.60.9000; 8305.10.0050; 8306.30.0000; 8414.59.6590; 8415.90.8045; 8418.99.8005; 8418.99.8050; 8418.99.8060; 8419.50.5000; 8419.90.1000; 8422.90.0640; 8424.90.9080; 8473.30.2000; 8473.30.5100; 8479.89.9599; 8479.90.8500; 8479.90.9596; 8481.90.9060; 8481.90.9085; 8486.90.0000; 8487.90.0080; 8503.00.9520; 8508.70.0000; 8513.90.2000; 8515.90.2000; 8516.90.5000; 8516.90.8050; 8517.71.0000; 8517.79.0000; 8529.90.7300; 8529.90.9760; 8536.90.8585; 8538.10.0000; 8541.90.0000; 8543.90.8885; 8547.90.0020; 8547.90.0030; 8547.90.0040; 8708.10.3050; 8708.29.5160; 8708.80.6590; 8708.99.6890; 8807.30.0060; 9031.90.9195; 9401.99.9081; 9403.99.1040; 9403.99.9010; 9403.99.9015; 9403.99.9020; 9403.99.9040; 9403.99.9045; 9405.99.4020; 9506.11.4080; 9506.51.4000; 9506.51.6000; 9506.59.4040; 9506.70.2090; 9506.91.0010; 9506.91.0020; 9506.91.0030; 9506.99.0510; 9506.99.0520; 9506.99.0530; 9506.99.1500; 9506.99.2000; 9506.99.2580; 9506.99.2800; 9506.99.5500; 9506.99.6080; 9507.30.2000; 9507.30.4000; 9507.30.6000; 9507.30.8000; 9507.90.6000; and 9603.90.8050.

While HTSUS subheadings are provided for convenience and customs purposes, the written description of the scope is dispositive.

Reporting of information.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and

other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

D-GRIDS tool.--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macro-enabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

- I-1a. **Reporting requirements.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire for use by the Office of Management and Budget.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please provide such comments to the Office of Investigations, import_injury@usitc.gov.

- I-1b. **TAA information release.**--In the event that the U.S. International Trade Commission (USITC) makes an affirmative final determination in this proceeding, do you consent to the USITC's release of your contact information (company name, address, contact person, contact person's title, telephone number, email address) appearing on the front page of this questionnaire to the Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made eligible for benefits under the Trade Adjustment Assistance program?

☐ Yes ☐ No

I-2a. **Type of operations.**--Please identify which type of operations your firm conducts below.

Type of operations	Description (check all that apply)	
Production (Extrusion)	Your firm purchases or otherwise procures upstream out-of-scope inputs (such as billets, slabs, scrap) for production and uses an aluminum extrusion press to extrude an aluminum extrusion that matches an article within the scope of these investigations. Your firm may or may not also conduct further processing, including fabrication and assembly, on your firm's extruded aluminum products prior to sale.	☐
Fabrication (of purchased/imported extrusions)	Your firm purchases or otherwise procures in-scope aluminum extrusions from an unrelated entity, and your firm conducts further processing of those already in-scope aluminum extrusions into another form of in-scope product (including but not limited to fabricated extrusions, subassemblies containing fabricated aluminum extrusions, or other aluminum extrusion products).	☐

Note: If your firm both fabricates extrusions that it itself extruded as well as fabricates extrusions extruded by another firm, please separately report on those operations. If your firm only fabricates or further processes its own extrusions, please do not respond to the data questions in part VI; the data your firm reports on its production operations in parts II, III, and IV should include the value added of fabrication activities. Only firms that are not actually extruding their own aluminum extrusions, but conducting further manufacturing and fabrication operations on those purchased or imported extrusions should be filling out the data in part VI. The following schematic reflect how the Commission is asking firms to report their operations in this questionnaire.

I-2a. **Type of operations.**--*Continued*

Item	Production (Extrusion) operations with or without additional fabrication	Fabrication operations on purchased / imported extrusions
Part I: General information	☒	☒
Part II: Trade/ related information	☒	
Part III: Financial information	☒	
Part IV: Pricing/ Market Factors	☒	
Part V: Production related activities	☒	☒
Part VI: Fabrication operations		☒
Part VII: Alternative product information	☒	☒

I-2b. **Products covered**.--Please provide information on the products produced by your firm below.

Product	Description	<i>(Check as many as appropriate)</i>	Specific Description
Extruded aluminum	Extruded aluminum products not further processed for general use or sale	☐	
Building and Construction products	Products primarily for building and construction use	☐	
Transportation Products	Products primarily for transportation use, including automotive, rail, marine, or aerospace application	☐	
Renewable Energy Products	Products primarily for renewable energy use, e.g. solar module frames and structural fasteners	☐	
Engineered Products	Products primarily for engineered applications, e.g. air conditioners, appliances, robotics, etc.	☐	
Other products not described above	Any product not categorized in the descriptions above	☐	

I-2c. **Establishments covered**--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. **Firms operating more than one establishment should combine the data for all establishments into a single report.**

“Establishment”--Each facility of a firm involved in the production of aluminum extrusions, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

“Crash relevant extrusions (“CR extrusions”)--CR extrusions are extrusions that are engineered to protect vehicle occupants in the event of a crash. CR extrusions are produced with alloys in the 6000 series, rated for greater than 240 mpa in yield strength and greater than 260 mpa in tensile strength. CR extrusions are used to produce structural components such as side sills, bumpers, crush cans, cross members, and battery longitudinals.

Establishments covered ¹	Produces CR extrusions	Produces other than CR extrusions	City, State	Zip (5 digit)	Description
1	☐	☐			
2	☐	☐			
3	☐	☐			
4	☐	☐			
5	☐	☐			
6	☐	☐			
7	☐	☐			
8	☐	☐			
9	☐	☐			
10	☐	☐			
11	☐	☐			
12	☐	☐			
13	☐	☐			
14	☐	☐			
15	☐	☐			
16	☐	☐			
17	☐	☐			
18	☐	☐			
19	☐	☐			
20	☐	☐			
¹ Additional discussion on establishments consolidated in this questionnaire: _____.					

I-2d. **Stock symbol information.**-- If your firm or parent firm is publicly traded, please specify the stock exchange and trading symbol: _____.

I-2e. **External counsel.**-- If your firm or parent firm is represented by external counsel in relation to this proceeding, please specify the name of the law firm and the lead attorney(s).

Law firm:	
Lead attorney(s):	

I-3. **Petitioner status.**--Is your firm a petitioner in this proceeding or a member firm of the petitioning entity?

No	Yes
☐	☐

I-4a. **Petition support.**--Does your firm support or oppose the petition?

Country	Investigation type	Support	Oppose	Take no position
China	Countervailing duty	☐	☐	☐
China	Antidumping duty	☐	☐	☐
Colombia	Antidumping duty	☐	☐	☐
Ecuador	Antidumping duty	☐	☐	☐
India	Antidumping duty	☐	☐	☐
Indonesia	Countervailing duty	☐	☐	☐
Indonesia	Antidumping duty	☐	☐	☐
Italy	Antidumping duty	☐	☐	☐
Malaysia	Antidumping duty	☐	☐	☐
Mexico	Countervailing duty	☐	☐	☐
Mexico	Antidumping duty	☐	☐	☐
South Korea	Antidumping duty	☐	☐	☐
Taiwan	Antidumping duty	☐	☐	☐
Thailand	Antidumping duty	☐	☐	☐
Turkey	Countervailing duty	☐	☐	☐
Turkey	Antidumping duty	☐	☐	☐
United Arab Emirates	Antidumping duty	☐	☐	☐
Vietnam	Antidumping duty	☐	☐	☐

I-4b. **Petition support: CR Extrusions.**--Does your position on the petition differ for CR extrusions versus all other aluminum extrusions?

“Crash relevant extrusions (“CR extrusions”)”--CR extrusions are extrusions that are engineered to protect vehicle occupants in the event of a crash. CR extrusions are produced with alloys in the 6000 series, rated for greater than 240 mpa in yield strength and greater than 260 mpa in tensile strength. CR extrusions are used to produce structural components such as side sills, bumpers, crush cans, cross members, and battery longitudinals.

No	Yes	If yes, please describe.
☐	☐	

I-5. **Ownership**--Is your firm owned, in whole or in part, by any other firm?

☐ No

☐ Yes--List the following information, relating to the ultimate parent/owner.

Firm name	Country	Extent of ownership (percent)

“Related firm”—A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

- 1-6. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing aluminum extrusions from the subject countries into the United States or that are engaged in exporting aluminum extrusions from the subject countries to the United States?

☐ No ☐ Yes--List the following information.

Firm name	Country	Affiliation

- I-7. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of aluminum extrusions?

☐ No ☐ Yes--List the following information.

[illegible]

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Jordan Harriman (202-205-2610, Jordan.harriman@usitc.gov). **Supply all data requested on a calendar-year basis.**

- II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part II.

Name	
Title	
Email	
Telephone	

- II-2a. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of aluminum extrusions since January 1, 2021.

Check as many as appropriate.		If checked, please describe the nature, timing / duration, and impact on operations of any such reported changes as well as the business reasons for them; leave completely blank if not applicable
☐	Plant openings	
☐	Plant closings	
☐	Prolonged shutdowns	
☐	Production curtailments	
☐	Relocations	
☐	Expansions	
☐	Acquisitions	
☐	Consolidations	
☐	Weather-related or force majeure events	
☐	Other (e.g., revised labor agreements, technology)	

- II-2b. **COVID-19 pandemic.**—Has the COVID-19 pandemic or have any government actions taken to contain the spread of the COVID-19 virus resulted in changes in your firm's supply chain arrangements, production, employment, and shipments relating to aluminum extrusions? In your response, please discuss the duration and timing of any such changes as they relate to your firm's operations.

No	Yes	If yes, describe these changes including the impact over time on the (a) supply chain, (b) production and shipments, and (c) employment with respect to aluminum extrusions.
☐	☐	

- II-2c. **Activities covered.**--Provide information on the activities performed by your company.

"Finishing"-- Finishing activities applied to in-scope aluminum extrusions (including brushing, buffing, polishing, anodizing (including bright dip), liquid painting, electroplating, chromate converting, powder coating, sublimating, wrapping, and/or bead blasting) without further fabrication or assembly.

"Fabrication"-- Fabrication refers to any activity that prepares an extrusion for assembly. Fabricated extrusions include extrusions that are cut-to-length, machined, drilled, punched, notched, bent, stretched, stretch-formed, hydroformed, knurled, swaged, mitered, chamfered, threaded, and spun. Such fabrication could also include activities such as CNC machining.

"Assembly"-- Activities which no longer process the aluminum extrusion directly, but which further assemble, attach, or combine it into a downstream finished good including both aluminum extrusions and/or inputs other than aluminum extrusions.

Production activity	Description <i>(check as many as appropriate)</i>	Description
Finishing	☐	
Fabrication	☐	
Assembly	☐	

- II-3a. **Production using same machinery.**--Please report your firm's production of products using the same equipment, machinery, or employees as used to produce aluminum extrusions, and the combined capacity (both installed and practical capacity) on this shared equipment, machinery, or employees in the periods indicated.

"Installed overall capacity" – The level of production that your establishment(s) could have attained, assuming your firm's *optimal* product mix, and based solely on *existing capital investments*, i.e., machinery and equipment that is in place and ready to operate. This capacity measure does *not* take into account other constraints to production such as existing workforce constraints, availability of raw materials, or downtime for maintenance, repair, and clean-up. This capacity measure is sometimes referred to as "nameplate" or "theoretical" capacity.

"Practical overall capacity" – The level of production that your establishment(s) could reasonably have expected to attain, taking into account your firm's *actual* product mix over the period. This capacity measure is based on not only existing capital investments, i.e., machinery and equipment that is in place and ready to operate; but also non-capital investment constraints, such as (1) normal operating conditions, including normal downtime for maintenance, repair, and cleanup; (2) your firm's existing in place and readily available labor force; (3) availability of material inputs; and (4) any other constraints that may have limited your firm's ability to produce the reported products. Importantly, this capacity measure is the maximum "practical" production your firm could have achieved without hiring new personnel or expanding the number of shifts operated in the period.

"Practical aluminum extrusions capacity" – The level of production of aluminum extrusions that your establishment(s) could reasonably have expected to attain. The same assumptions apply to this capacity measure as for practical overall capacity, but only includes the portion of practical overall capacity allocated to the production of aluminum extrusions based on the actual product mix experienced over the period.

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

Takes into account	Installed overall capacity	Practical overall capacity	Practical aluminum extrusions capacity
Existing capital investments	Yes	Yes	Yes
Product mix	Yes	Yes	Yes
Normal downtime, maintenance, repair and clean-up	No	Yes	Yes
Existing labor force	No	Yes	Yes
Availability of material inputs	No	Yes	Yes
Actual number of shifts and hours operated	No	Yes	Yes
Limited to aluminum extrusions	No	No	Yes

II-3a. **Production using same machinery.**—*Continued*

Quantity (in short tons)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
Capacity measures:					
Installed overall capacity ¹					
Practical overall capacity ^{1 2}					
Practical aluminum extrusions capacity ^{3 4}	0	0	0	0	0
Production of:					
Aluminum extrusions ^{3 4}	0	0	0	0	0
Other products ⁵					
Total production using same machinery or workers	0	0	0	0	0

¹ Data reported for both "installed overall" and "practical overall" capacity should each individually be greater than data reported for total production (last line). Additionally, data reported for "installed overall" capacity should be greater than "practical overall" capacity in every period.

² Please provide details in your response to the question on capacity constraints in question II-3d below that explain the differences reported between "installed" overall capacity and "practical" overall capacity.

³ Data for this indicator will populate here once reported below in question II-8.

⁴ Data reported for practical aluminum extrusions capacity should be greater than the data reported for production of aluminum extrusions in each period, if not revise prior to submission to the Commission. Additionally, if your firm reports the production of no other products on the same machinery and using the same workers as aluminum extrusions then "practical overall" and "practical aluminum extrusions" capacity measures should be equal to each other.

⁵ Please identify these products: _____.

II-3b. **Operating parameters.**—The *practical* overall capacity reported in II-3a is based on the following operating parameters:

Hours per week	Weeks per year

II-3c. **Capacity calculations.**—Please describe the methodology used to calculate *installed* and *practical* overall production capacities reported in II-3a, and explain any changes in reported capacities.

--

II-3d. **Practical overall capacity constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's practical overall capacity over the period reported in question II-3a. If different constraints were binding over different periods reported, please specify when each constraint was limiting your reported practical overall capacity. If a constraint was not actually binding over the period reported, but was still a constraint to achieving the installed capacity level, indicate at what level it would have been binding.

Constraint <i>(check as many as appropriate)</i>		Description <i>(If checked, please describe the details, timing, and duration of the constraint; leave completely blank if not applicable)</i>
☐	Production bottlenecks	
☐	Existing labor force	
☐	Supply of material inputs	
☐	Fuel or energy	
☐	Storage capacity	
☐	Logistics/transportation	
☐	Other constraints (list the specific constraints in the description field)	

- II-3e. **Reaching installed overall capacity.**--Please describe and quantify the amount of time it would take and the additional actions that would be needed (e.g., hiring new workers, expanding shifts, procuring larger sources of raw material supply, etc.) for your firm to be able to fully utilize the reported installed overall capacity reported in II-3a.

--

- II-3f. **Excess installed overall capacity.**--To the extent that your company is reporting excess installed overall capacity, please report, with specificity: (1) which machines or equipment (or other elements of production) would need to be brought back into production for your plant to operate at full capacity, and (2) the specific dates on which such machines or equipment were last used by your plant to produce aluminum extrusions.

--

- II-4. **Product shifting.**—

- (a) Is your firm able to switch production (capacity) between aluminum extrusions and other products using the same equipment and/or labor?

No	Yes	If yes—(i.e., have produced other products or are able to produce other products) Please identify other actual or potential products.
☐	☐	

- (b) Please describe the factors that affect your firm's ability to shift capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

--

- (c) Is your firm able to switch capacity between CR extrusions and all other aluminum extrusions?

No	Yes	If yes, please describe.
☐	☐	

- II-5. **Capacity checklist.**--Please check that the capacity numbers reported in question II-3a follow the Commission's relevant definitions for capacity.

Item	✓ if Yes
Are all three capacity measures reported based on <u>currently installed machinery and equipment</u> (i.e., the reported capacity level would not require additional capital investments in order to achieve)?	☐
Are practical overall capacity and practical aluminum extrusions capacity measures reported based on <u>existing labor force</u> (i.e., the reported capacity level would not require hiring additional production related workers or adding shifts)?	☐
Are practical overall capacity and practical aluminum extrusions capacity measures based on <u>the actual availability of material inputs</u> ?	☐
Do both practical overall capacity and practical aluminum extrusions capacity measures account for <u>normal downtime, maintenance, repair and clean-up</u> activities?	☐
Does the difference between practical overall capacity and practical aluminum extrusions capacity equal the portion of practical overall capacity that is dedicated to the production of out-of-scope products?	☐

Note: If your firm is not able to answer "yes" to any of the above criteria as it relates to your firm's reported capacity levels, please revise your capacity numbers to be in conformance with the appropriate definition prior to submission to the Commission.

- II-6. **Tolling.**--Since January 1, 2021, has your firm been involved in a toll agreement regarding the production of aluminum extrusions?

"Toll agreement"-- Agreement between two firms whereby the first firm ("tollee") furnishes the raw materials and the second firm ("toller") uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

No	Yes	
☐	☐	If yes—Please complete the table below.

Does your firm act as the toller or tollee in this arrangement?	Toller: ☐	Tollee: ☐
Report the share of your firm's production of aluminum extrusions that was included in this toll arrangement in 2023.	%	
Please describe the activities performed in this tolling arrangement:		
Please indicate the name(s) of the firm(s) involved:		

II-7. Foreign trade zones.--

- (a) **Firm's FTZ operations.**--Does your firm produce aluminum extrusions in and/or admit aluminum extrusions into a foreign trade zone (FTZ)?

“Foreign trade zone” is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

No	Yes	If yes--Describe the nature of your firm's operations in FTZs and identify the specific FTZ site(s).
☐	☐	

- (b) **Other firms' FTZ operations.**--To your knowledge, do any firms in the United States import aluminum extrusions into a foreign trade zone (FTZ) for use in distribution of aluminum extrusions and/or the production of downstream articles?

No	Yes	If yes--Identify the firms and the FTZs.
☐	☐	

- II-8. **Production, shipments, and inventory data.**--Report you firm's practical capacity, production, shipments, and inventories of domestically produced aluminum extrusions during the specified periods.

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

"Commercial U.S. shipments" –Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" – Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" –Shipments made to related firms. Such transactions are valued at fair market value.

"Related firm" –A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

"Export shipments" –Shipments to destinations outside the United States, including shipments to related firms.

"Inventories"— Finished goods inventory, not raw materials or work-in-progress.

"Crash relevant extrusions ("CR extrusions")"--CR extrusions are extrusions that are engineered to protect vehicle occupants in the event of a crash. CR extrusions are produced with alloys in the 6000 series, rated for greater than 240 mpa in yield strength and greater than 260 mpa in tensile strength. CR extrusions are used to produce structural components such as side sills, bumpers, crush cans, cross members, and battery longitudinals.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-8. **Production, shipment, and inventory data.--Continued**

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
Practical aluminum extrusions capacity¹ (quantity) (A)					
Beginning-of-period inventories (quantity) (B)					
Production of:¹ (quantity) CR extrusions (C)					
Aluminum extrusions other than CR extrusions (D)					
<i>Total production quantity</i>	0	0	0	0	0
U.S. shipments: Commercial shipments: <i>Quantity (E)</i>					
<i>Value (F)</i>					
Internal consumption:² <i>Quantity (G)</i>					
<i>Value² (H)</i>					
Transfers to related firms:² <i>Quantity (I)</i>					
<i>Value² (J)</i>					
Export shipments:³ <i>Quantity (K)</i>					
<i>Value (L)</i>					
End-of-period inventories: (quantity): CR extrusions (M)					
Other than CR extrusions (N)					

¹ Report your firm's practical aluminum extrusions capacity consistent with the definitions and instructions included in question II-3a. Data for this indicator will populate in question II-3a once entered here.

² Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

³ Identify your firm's principal export markets: _____.

II-8. **Production, shipment, and inventory data.**—Continued

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., lines M and N) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., lines C and D), less total shipments (i.e., lines E, G, I, and K). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar year			January-March	
	2021	2022	2023	2023	2024
B + C + D – E – G – I – K – M – N = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:_____.					

- II-9. **Channels of distribution.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S.-produced aluminum extrusions by channel of distribution during the specified periods.

Quantity (<i>in short tons</i>)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
U.S. shipments:					
CR extrusions					
to Wholesalers/distributors (O)					
to Automotive end users (P)					
to Other end users (Q)					
Other than CR extrusions					
to Wholesalers/distributors (R)					
to Automotive end users (S)					
to Other end users (T)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines O through T) in each time period equal the quantity reported for U.S. shipments (i.e., lines E, G, and I) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year			January-March	
	2021	2022	2023	2023	2024
$O + P + Q + R + S + T - E - G - I = \text{zero}$ ("0"), if not revise.	0	0	0	0	0

- II-10. **U.S. shipments by finish and alloy designation.**—Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S.-produced aluminum extrusions in 2023 by finish (across) and alloy designation (down).

Quantity (<i>short tons</i>) and Value (\$1,000)			
Item	Calendar year 2023		
	CR extrusions ¹	Other than CR extrusions	
		Anodized or other special finishes ²	Mill finish (no special finishes)
U.S. shipments: 1000s, 3000s, or in-scope 5000s³ series alloys or their equivalents:⁴ <i>Quantity (U)</i>			
<i>Value (V)</i>			
6061 alloy, 6063 alloy, or their equivalents:⁴ <i>Quantity (W)</i>			
<i>Value (X)</i>			
All other 6000s series alloys or their equivalents:⁴ <i>Quantity (Y)</i>			
<i>Value (Z)</i>			
¹ Indicate which whether your firm's CR extrusions are mill finished versus other than mill finished (e.g., anodized or other special finishes), if applicable: ____. ² Describe the finishes in order of importance (highest volume) included in data reported in this column: ____. ³ In-scope 5000s series alloy are those that have a 2.0 percent or lower magnesium content or its equivalent. ⁴ If your firm's U.S. shipments did not contain Aluminum Association designations, please describe the proprietary equivalents or other certifying body equivalents used and note how your firm ascertained the closest Aluminum Association equivalent (e.g., 1, 3, or 6 thousand series): ____.			

RECONCILIATION OF U.S. SHIPMENTS BY PRODUCT TYPE.—Please ensure that the quantities reported in this question (i.e. lines U through Z across all columns) equal the quantity and value reported for U.S. shipments (i.e. lines E through J) for 2023 in question II-8. If calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year 2023
Quantity: U + W + Y – E – G – I = zero ("0"), if not revise.	0
Value: V + X + Z – F – H – J = zero ("0"), if not revise.	0

II-11a. **U.S. shipments by product type.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S.-produced aluminum extrusions by product type in the specified periods.

"Crash relevant extrusions ("CR extrusions")" – CR extrusions are extrusions that are engineered to protect vehicle occupants in the event of a crash. CR extrusions are produced with alloys in the 6000 series, rated for greater than 240 mpa in yield strength and greater than 260 mpa in tensile strength. CR extrusions are used to produce structural components such as side sills, bumpers, crush cans, cross members, and battery longitudinals.

"Extrusions embodied in window wall units"— Window wall units are non-load bearing fenestration system provided in combination assemblies and composite units, including transparent vision panels and/or opaque glass, which span from the top of a floor slab to the underside of the next higher floor slab. The glass infill in the window wall system is mounted to the front face of the surrounding four-sided metal frame. The window wall systems have a dual use as both the facade of a building and the window. **Report only the quantity and value of the aluminum extrusion components of any window wall unit shipments.**

"Extrusions embodied in certain heat exchangers"— Certain heat exchangers are devices designed to efficiently transfer heat from one medium to another. They may contain in-scope aluminum extrusions in addition to other parts. Heat exchangers may be classified under HTS 8481.90.9060. Each unique aluminum extrusion that is used as an input to produce certain heat exchangers retains the country of origin designation determined by where the metal for the aluminum extrusion piece(s) was extruded (i.e., pressed through a die), regardless of the location of further processing or manufacturing of the downstream product. **Report only the quantity and value of the aluminum extrusion components of any certain heat exchanger shipments.**

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
U.S. shipments:					
CR extrusions:					
Quantity (AA)					
Value (AB)					
Extrusions embodied in window wall units:					
Quantity (AC)					
Value (AD)					
Extrusions embodied in certain heat exchangers:					
Quantity (AE)					
Value (AF)					
All other aluminum extrusions:					
Quantity (AG)					
Value (AH)					

II-11a. **U.S. shipments by product type.**--Continued

RECONCILIATION OF SHIPMENTS BY PRODUCT TYPE.--Please ensure that the quantities and values reported for U.S. shipments by product type (i.e., lines AA through AH) equal the quantities and values reported for U.S. shipments (i.e., lines E through J) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year			January-March	
	2021	2022	2023	2023	2024
Quantity: AA + AC + AE + AG – E – G – I = zero ("0"), if not revise.	0	0	0	0	0
Value: AB + AD + AF + AH – F – H – J = zero ("0"), if not revise.	0	0	0	0	0

II-11b. **Competitive or input products.**-- If your firm did not ship the specific product(s) listed above, please indicate whether your firm produced and sold any aluminum extrusion products that were either competitive products to the specified products or input products to the specified products, and please describe the products, quantify the volumes shipped by your firm, and explain your reasoning for reporting those data.

Product	No	Yes— Competitive product(s)	Yes— Input product(s)	If yes, please quantify the volume (in short tons) of such products your firm did produce and ship in calendar year 2023 and indicate how/or why you believe these products are competitive with or used as inputs to the specified product in the first column.
CR extrusions	☐	☐	☐	
Window wall units	☐	☐	☐	
Certain heat exchangers	☐	☐	☐	

- II-12. **Employment data.**--Report your firm's employment-related data related to the production of aluminum extrusions in your U.S. establishments and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to March periods, calculate similarly and divide by 3.

If your firm had the same number of PRWs in all calendar year and had not experienced any changes in PRWs in the most recent interim period, you would have the same number of PRWs for the interim periods, regardless of whether the interim periods are Jan-Mar (Q1), Jan-June (Q1+Q2), or Jan-Sept (Q1+Q2+Q3).

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" --Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
Average number of PRWs (<i>number</i>)					
Hours worked by PRWs (<i>1,000 hours</i>)					
Wages paid to PRWs (<i>\$1,000</i>)					

Explanation of trends:

- II-13. **Related firms.**--If your firm reported transfers to related firms in question II-7, please identify the firm(s) and indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

- II-14. **Purchases.**--Has your firm purchased aluminum extrusions produced in the United States or in other countries since January 1, 2021? (Do not include imports for which your firm was the importer of record. These should be reported in an importer questionnaire.) Please respond separately for aluminum extrusions subject to these investigations ("China, subject") and aluminum extrusions currently under the existing antidumping and countervailing duty orders from China ("China, nonsubject").

"Purchase" – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

"Import" –A transaction to buy from a foreign supplier where your firm is the importer of record.

No	Yes	If yes--Report such purchases in the table below and explain the reasons for your firms' purchases.
☐	☐	

Note: If your firm served as the importer of record for any purchases from foreign suppliers, either for your own account or as a service for another entity, those purchases are to be considered "imports" not "purchases" and **should not** be included in the table below.

Additional definitions

"Italy: Eural Gnutti" -- Merchandise from Italian producer Eural Gnutti S.p.A. ("Eural Gnutti")

"Italy: All other suppliers" -- Merchandise from all Italian producers or exporters other than Eural Gnutti S.p.A. ("Eural Gnutti")

"Malaysia: Genesis/P.A. Extrusion" -- Merchandise from Malaysian producers Genesis Aluminium Industries Sdn. Bhd./Zenshin Industries Sdn. Bhd. ("Genesis") **OR** P.A. Extrusion (M) Sdn. Bhd. ("P.A. Extrusion")

"Malaysia: All other suppliers" --Merchandise from all Malaysian producers or exporters other than Genesis Aluminium Industries Sdn. Bhd./Zenshin Industries Sdn. Bhd. ("Genesis") and P.A. Extrusion (M) Sdn. Bhd. ("P.A. Extrusion")

"South Korea: Almac" --Merchandise from South Korean producer ALMAC Co., Ltd / ALMAC Korea Co., Ltd. / AR Aluminum Co., Ltd. ("Almac")

"South Korea: All other suppliers" --Merchandise from all South Korean producers or exporters other than ALMAC Co., Ltd / ALMAC Korea Co., Ltd. / AR Aluminum Co., Ltd. ("Almac")

"Taiwan: Sow Shin" --Merchandise from Taiwanese producer Sow Shin Aluminum Co., Ltd.; Xiashin International Co., Ltd. ("Sow Shin")

"Taiwan: All other suppliers" --Merchandise from all Taiwanese producers or exporters other than Sow Shin Aluminum Co., Ltd.; Xiashin International Co., Ltd. ("Sow Shin")

II-14. Purchases.—*Continued*

(Quantity in short tons)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
Purchases from U.S. importers of aluminum extrusions from—					
China, subject					
Colombia					
Ecuador					
India					
Indonesia					
Italy: Eural Gnutti					
Italy: All other suppliers					
Malaysia: Genesis/P.A. Extrusion					
Malaysia: All other suppliers					
Mexico					
South Korea: Almac					
South Korea: All other suppliers					
Taiwan: Sow Shin					
Taiwan: All other suppliers					
Thailand					
Turkey					
United Arab Emirates					
Vietnam					
<i>Investigated sources</i>	0	0	0	0	0
China, nonsubject					
All other sources ¹					
Purchases from domestic producers²					
Purchases from other sources³					
Purchases from all sources	0	0	0	0	0
Of which, CR extrusions⁴					
¹ Please list the name of the nonsubject importer(s) from which your firm purchased this product: _____. ² Please list the name of the U.S. producer(s) from which your firm purchased this product: _____. ³ Please list the name of the firm(s) from which your firm purchased this product: _____. ⁴ This is a subset of your purchases from all sources. Please indicate the sources above from which these products are purchased: _____.					

- II-15. **Purchases of imports from investigated sources.**--If your firm reported purchases from U.S. importers of aluminum extrusions from the investigated countries at any time since January 1, 2021, report those purchases by the individual importer of record and investigated source.

Purchases of imports from investigated sources

Quantity (<i>in short tons</i>)						
Importer of record	Investigated source	Calendar year			January-March	
		2021	2022	2023	2023	2024
Grand total:		0	0	0	0	0

II-15. **Purchases of imports from investigated sources.**—*Continued.*

RECONCILIATION OF PURCHASES FROM INVESTIGATED SOURCES.--Please ensure that the quantities reported for your firm's purchases of imports from investigated sources reported in this question (i.e., "total purchases of imports from investigated sources") in each time period equal the quantity reported for your firm's purchases from investigated sources in each time period in the previous question. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year			January-March	
	2021	2022	2023	2023	2024
Purchases from investigated sources in this table – purchases from investigated sources in previous table = zero ("0"), if not revise.	0	0	0	0	0

II-16. **Imports.**--Since January 1, 2021, has your firm imported aluminum extrusions?

No	Yes	
☐	☐	If yes-- <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u>

II-17. **Other explanations.**--If your firm would like to further explain a response to a question in Part II for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

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PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to David Boyland (202-708-4725, David.Boyland@usitc.gov).

- III-1. **Contact information.**—Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part III.

Name	
Title	
Email	
Telephone	

- III-2. **Accounting system.**—Briefly describe your firm's financial accounting system.

- A1. When does your firm's fiscal year end (month and day)? _____
If your firm's fiscal year changed since January 1, 2021, explain below:

- A.2. Note: Calendar-year data are required for the annual-year financial data in this section (i.e., in questions III-9a, III-9d, III-12a, and III-13a). However, if providing this data on a calendar-year basis is unduly burdensome or provides results that are not reliable, fiscal-year based data are acceptable. Please indicate whether the results in this section are provided on a calendar-year basis (including firms with a calendar-year based fiscal year) or on a fiscal-year basis that does not align with the calendar year.

☐ Calendar-year basis ☐ Fiscal-year basis (does not align with the calendar year)

- B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include aluminum extrusions:

- B.2. Does your firm prepare profit/loss statements for aluminum extrusions:

☐ Yes ☐ No

- B.3. Please indicate the type and frequency (if applicable) of financial statements prepared by your firm. Please check relevant items below.

Financial statements	Check all that apply	Frequency			
		Monthly	Quarterly	Semi-annually	Annually
Audited	☐	☐	☐	☐	☐
Unaudited	☐	☐	☐	☐	☐
Annual reports	☐				
SEC Forms 10-K / 10-Q	☐				
SEC Form 20-F	☐				
Other (specify): _____	☐				

B.4. Please indicate the primary accounting basis used by your firm.

Accounting basis	Check one
U.S. GAAP	☐
IFRS	☐
Tax – cash	☐
Tax – accrual	☐
Other (specify): _____	☐

III-3. **Cost accounting system.**—Briefly describe your firm's cost accounting system (e.g., standard cost, job order cost, etc.).

--

III-4. **Product listing.**—Please list the products your firm produces in the facilities in which it produces aluminum extrusions and provide the share of net sales accounted for by these products in 2023.

Products	Share of sales in 2023
Aluminum extrusions	%
	%
	%
	%
	%

- III-5. **Inputs from related suppliers.**—Does your firm purchase **inputs** (raw materials, labor, energy, or any services) used in the production of aluminum extrusions from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?

☐ Yes--Continue to question III-6. ☐ No--Continue to question III-8a.

- III-6a. **Inputs from related suppliers.**—Please identify the inputs used in the production of aluminum extrusions that your firm purchases from related suppliers and that are reflected in question III-9a (aluminum extrusions other than CR extrusions. For “Share of total COGS” please report this information by relevant input for 2023.

Input	Related supplier	Share of total COGS in 2023
		%
		%
		%
		%

- III-6a. **Inputs from related suppliers.**—Please identify the inputs used in the production of aluminum extrusions that your firm purchases from related suppliers and that are reflected in question III-9b (CR extrusions). For “Share of total COGS” please report this information by relevant input for 2023.

Input	Related supplier	Share of total COGS in 2023
		%
		%
		%
		%

- III-7a. **Inputs from related suppliers valuation method.**—Please indicate the purchase cost valuation method used for the inputs from related suppliers, as recorded in the company's own accounting system. If the basis differs by input, please check all that apply and explain further in the narrative box.

Purchase cost valuation method	Check all that apply
Related supplier's cost	☐
Cost plus	☐
Negotiated transfer price to approximate fair market value	☐
Other (specify): _____	☐
If the methods used differ by input, please describe:	

- III-7b. **Inputs from related suppliers valuation method.**—Please confirm that the inputs purchased from related suppliers, as identified in III-6a and III-6b, were reported in III-9a and III-9b in a manner consistent with the firm's accounting books and records.

Yes	No	If no—Provide an explanation and the valuation basis used for these inputs in question III-9a and III-9b.
☐	☐	

- III-8. **Cost assignment/allocation basis.**—Briefly describe the assignment/allocation bases used by your firm to assign the costs and expenses listed below for aluminum extrusions in the normal course of business and in the financial results reported in question III-9a and question III-9b (e.g., actual costs, standard costs, percentage of COGS, percentage of sales, etc.).

Cost/expense	Assignment/allocation bases used for aluminum extrusions—	
	In the normal course of business	In the financial results at III-9a
Raw materials		
Direct labor		
Other factory costs		
SG&A expenses		
Interest expense		
Other income/expenses		

- III-9a. **Operations on aluminum extrusions other than CR extrusions and CR extrusions.**—Report the revenue and related cost information requested below on the aluminum extrusions other than CR extrusions operations and the CR extrusions operations of your firm's U.S. establishment(s). Include only sales (whether domestic or exports) and costs related to your U.S. manufacturing operations. Do not report any revenue or cost data related to the resale of purchased product.

Net sales—Report all commercial sales, internal consumption, and transfers to related firms, whether these are domestic sales or exports. Report net sales values less discounts, returns, allowances, and prepaid freight, in U.S. dollars, f.o.b. your point of shipment. The freight costs associated with delivering the product to your customer should not be included.

Note: If the financial data are reported on a calendar-year basis, the sum of total net sales quantities and values in III-9a and III-9b should match the total shipment quantities and values reported in Part II of this questionnaire (see question III-14a for a reconciliation grid).

Internal consumption—Product consumed internally by your firm. Report internal consumption at fair market value even if this is not how these transactions are valued in your own books and records. This would commonly be estimated based on the company's commercial sales of similar product or market knowledge.

Transfers to related firms—Sales made to related firms. Report transfers to related firms at fair market value even if this is not how these transactions are valued in your own books and records. This would commonly be estimated based on the company's commercial sales of similar product or market knowledge.

Costs and expenses—Include costs and expenses associated with all reported net sales (i.e., for both domestic and export commercial sales, internal consumption, and transfers to related firms). If any freight costs were removed from net sales values, ensure the associated costs are removed from the applicable cost/expense line.

Inputs from related suppliers—Any inputs purchased from related suppliers should be reported in a manner consistent with your firm's accounting books and records.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes aluminum extrusions, as well as specific statements and worksheets) used to compile these data.

III-9a. **Operations on aluminum extrusions other than CR extrusions.**—*Continued*

Quantity (<i>in short tons</i>) and value (in \$1,000)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
Net sales quantities:					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales quantities	0	0	0	0	0
Net sales values:					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	0
Cost of goods sold (COGS):					
Raw materials					
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0
SG&A expenses					
Operating income (loss)	0	0	0	0	0
Other expenses and income:					
Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	0

III-9b. Operations on CR extrusions.

Quantity (<i>in short tons</i>) and value (in \$1,000)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
Net sales quantities:					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales quantities	0	0	0	0	0
Net sales values:					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	0
Cost of goods sold (COGS):					
Raw materials					
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0
SG&A expenses					
Operating income (loss)	0	0	0	0	0
Other expenses and income:					
Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	0

- III-9c. **Financial data reconciliation.**-- Certain line items from questions III-9a and III-9b, including total net sales quantities and values, total COGS, gross profit (or loss), operating profit (or loss), and net income (or loss), have been calculated based on the data submitted for other line items. Are the data in these calculated line items correct according to your firm's financial records ignoring non-material differences that may arise due to rounding?

		If no--If the calculated line items do not show the correct data, please double check the feeder data for data entry errors and revise. Also, check signs accorded to the post operating income line items. The two expense line items should report positive numbers (i.e., expenses are positive, and incomes or reversals are negative in these lines – instances of the latter should be rare in these lines). The income line item should also, in most instances, be a positive number (i.e., income is positive, and expenses or reversals are negative in this line). If, after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated line items persist, please identify and discuss the differences in the space below.
Yes ☐	No ☐	

III-9d. **Raw materials.**—Please report the share of total raw material costs in 2023 (reported in III-9a) for the following raw material inputs:

Input	Share of total raw material costs (<i>percent</i>)
Purchased unalloyed aluminum ingot ¹	
Purchased aluminum scrap and metallics ²	
Purchased alloy aluminum billets	
Purchased other raw material inputs ³	
Total (should sum to 100 percent)	0.0
¹ This line item refers to inputs used in your firm's vertically integrated cast house(s). ² This line item can refer to inputs used in your firm's vertically integrated cast house(s) or inputs that are toll processed by a third party into aluminum billets. Internally generated scrap that is consumed directly can also be included in this line item. Please generally describe the firm's cost accounting treatment of internally generated scrap: ³ If there are notable or significant raw material inputs included within the "other material inputs" category, please list those here and provide the share of the total raw material costs for which they account:	

III-9e. **Raw materials.**—Please report the share of total raw material costs in 2023 (reported in III-9b) for the following raw material inputs:

Input	Share of total raw material costs (<i>percent</i>)
Purchased unalloyed aluminum ingot ¹	
Purchased aluminum scrap and metallics ²	
Purchased alloy aluminum billets	
Purchased other raw material inputs ³	
Total (should sum to 100 percent)	0.0
¹ This line item refers to inputs used in your firm's vertically integrated cast house(s). ² This line item can refer to inputs used in your firm's vertically integrated cast house(s) or inputs that are toll processed by a third party into aluminum billets. Internally generated scrap that is consumed directly can also be included in this line item. Please generally describe the firm's cost accounting treatment of internally generated scrap: ³ If there are notable or significant raw material inputs included within the "other material inputs" category, please list those here and provide the share of the total raw material costs for which they account:	

III-9f. **Depreciation expense.**—Please report the amount of depreciation expense that is included within the reported financial results at question III-9a and question III-9b.

Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
Depreciation expense (in \$1,000) (question III-9a)					
Depreciation expense (in \$1,000) (question III-9b)					

III-9g. **Depreciation expense classification.**—Please indicate the line item(s) within question III-9a and III-9b (e.g., other factory costs, SG&A expenses, etc.) that include the depreciation expense amounts reported above.

Classification description for question III-9a	
Classification description for question III-9b	

III-10a. **Nonrecurring items (charges and gains) included in III-9a (aluminum extrusions other than CR extrusions) financial results.**—Please report all material (significant) nonrecurring items (charges and gains) that are included in the reported results at question III-9a. If a nonrecurring item that is not product-specific was allocated to the results at question III-9a, please report the allocated value, below, rather than the aggregate amount.

Note: The Commission's objective here is to gather information on material (significant) nonrecurring items which impacted the reported financial results for aluminum extrusions in question III-9a.

Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
	Value (\$1,000)				
Nonrecurring item 1					
Nonrecurring item 2					
Nonrecurring item 3					
Nonrecurring item 4					
Nonrecurring item 5					
Nonrecurring item 6					
Nonrecurring item 7					

III-10b. **Nonrecurring items (charges and gains) included in the aluminum extrusions other than CR extrusions financial results.**—In this table, please provide a brief description of each nonrecurring item reported above and indicate the specific line item within question III-9a in which the nonrecurring item is classified.

	Description of the nonrecurring item	Location (i.e., line item) within question III-9a
Nonrecurring item 1		
Nonrecurring item 2		
Nonrecurring item 3		
Nonrecurring item 4		
Nonrecurring item 5		
Nonrecurring item 6		
Nonrecurring item 7		

III-10c. **Nonrecurring items (charges and gains) included in III-9b (CR extrusions) financial results.**—

Please report all material (significant) nonrecurring items (charges and gains) that are included in the reported results at question III-9b. If a nonrecurring item that is not product-specific was allocated to the results at question III-9b, please report the allocated value, below, rather than the aggregate amount.

Note: The Commission's objective here is to gather information on material (significant) nonrecurring items which impacted the reported financial results for CR extrusions in question III-9b.

Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
	Value (\$1,000)				
Nonrecurring item 1					
Nonrecurring item 2					
Nonrecurring item 3					
Nonrecurring item 4					
Nonrecurring item 5					
Nonrecurring item 6					
Nonrecurring item 7					

III-10d. **Nonrecurring items (charges and gains) included in the CR extrusions financial results.**—In this table, please provide a brief description of each nonrecurring item reported above and indicate the specific line item within question III-9b in which the nonrecurring item is classified.

	Description of the nonrecurring item	Location (i.e., line item) within question III-9b
Nonrecurring item 1		
Nonrecurring item 2		
Nonrecurring item 3		
Nonrecurring item 4		
Nonrecurring item 5		
Nonrecurring item 6		
Nonrecurring item 7		

III-11. **Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the company.**—If non-recurring items were reported in question III-10a-d above, please identify where your company recorded these items in your accounting books and records in the normal course of business, just as responses to question III-10a-d identify the specific line items in question III-9a and III-9b where these items are reported.

--

III-12a. **Asset values.**—Report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of aluminum extrusions other than CR extrusions and CR extrusions. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for aluminum extrusions other than CR extrusions and CR extrusions in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations used in question III-9a and III-9b.

Note: Total assets should reflect the net amount of assets (i.e., after any accumulated depreciation and allowances deducted) and should be separately allocated to aluminum extrusions other than CR extrusions and CR extrusions if these assets are also related to other products.

Value (in \$1,000)			
Item	Calendar year ended		
	2021	2022	2023
Aluminum extrusions other than CR extrusions Total assets (net)			
CR extrusions Total assets (net)			

III-12b. **Description of asset values.**—Please provide explanations for any substantial changes in total asset value during the period related to aluminum extrusions other than CR extrusions and CR extrusions; e.g., due to write-offs, major purchases, and revaluations. Also describe the main asset categories (both current and long-term) included in the above response.

Aluminum extrusions other than CR extrusions Total assets (net)	
CR extrusions Total assets (net)	

III-13a. **Capital expenditures and research and development (“R&D”) expenses.**—Report your firm’s capital expenditures and research and development expenses related to its aluminum extrusions other than CR extrusions and CR extrusions.

Value (in \$1,000)					
Item	Calendar year			January-March	
	2021	2022	2023	2022	2023
<u>Aluminum extrusions other than CR extrusions</u> Capital expenditures					
<u>CR extrusions</u> Capital expenditures					
<u>Aluminum extrusions other than CR extrusions</u> R&D expenses					
<u>CR extrusions</u> R&D expenses					

III-13b. **Description of reported capital expenditures.**—Please describe the nature, focus, and significance of your firm’s reported capital expenditures. If no capital expenditure data were reported, please explain the reason.

<u>Aluminum extrusions other than CR extrusions</u> Capital expenditures	
<u>CR extrusions</u> Capital expenditures	

III-13c. **Description of reported R&D expenses.**—Please describe the nature, focus, and significance of your firm’s reported R&D expenses.

<u>Aluminum extrusions other than CR extrusions</u> R&D expenses	
<u>CR extrusions</u> R&D expenses	

III-14a. **Data consistency and reconciliation.**—The sum of quantities and values of total net sales reported in question III-9a and III-9b should reconcile with the total shipments reported in question II-8 (including export shipments) for the annual-year periods as long as they are reported on the same calendar-year basis. The interim-period data should reconcile whether the financial data are on a calendar- or fiscal-year basis.

If the calculated fields below return values other than zero (i.e., "0") this indicates the total net sales quantities and values do not match the total shipments quantities and values.

Reconciliation	Calendar year			January-March	
	2021	2022	2023	2023	2024
Quantity: Trade data from question II-8 (lines D, F, H, and J) less the sum of financial total net sales quantity data from question III-9a and III-9b, = zero ("0").	0	0	0	0	0
Value: Trade data from question II-8 (lines E, G, I, and K) less the sum of financial total net sales value data from question III-9a and III-9b, = zero ("0").	0	0	0	0	0

Are the financial data in question III-9a and III-9b reported on a calendar-year basis?

☐ Yes— Complete question III-14b.

☐ No— Continue to question III-15.

III-14b. **Data consistency and reconciliation (calendar-year based financial data).**—Does the sum of data in question III-9a and III-9b reconcile with the data in question II-8 (i.e., the calculated fields are returning zeros in the table above) for all periods?

Yes	No	If no, please explain.
☐	☐	

If your responses to any of the items in questions III-15, III-16, and III-17 differ by country, please describe these differences and, as applicable, indicate which country or countries your response refers to in the relevant form fields.

- III-15. **Effects of imports on investment.**—Since January 1, 2021, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of aluminum extrusions from China, Colombia, Ecuador, India, Indonesia, Italy, Malaysia, Mexico, South Korea, Taiwan, Thailand, Turkey, United Arab Emirates, and/or Vietnam?

No	Yes	
☐	☐	If yes, my firm has experienced actual negative effects as follows.

	<i>(check as many as appropriate)</i>	<i>(please describe)</i>
☐	Cancellation, postponement, or rejection of expansion projects	
☐	Denial or rejection of investment proposal	
☐	Reduction in the size of capital investments	
☐	Return on specific investments negatively impacted	
☐	Other	

- III-16. **Effects of imports on growth and development.**—Since January 1, 2021, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of aluminum extrusions from China, Colombia, Ecuador, India, Indonesia, Italy, Malaysia, Mexico, South Korea, Taiwan, Thailand, Turkey, United Arab Emirates, and/or Vietnam?

No	Yes	
☐	☐	If yes, my firm has experienced actual negative effects as follows.

<i>(check as many as appropriate)</i>		<i>(please describe)</i>
☐	Rejection of bank loans	
☐	Lowering of credit rating	
☐	Problem related to the issue of stocks or bonds	
☐	Ability to service debt	
☐	Other	

- III-17. **Anticipated effects of imports.**—Does your firm anticipate any negative effects due to imports of aluminum extrusions from China, Colombia, Ecuador, India, Indonesia, Italy, Malaysia, Mexico, South Korea, Taiwan, Thailand, Turkey, United Arab Emirates, and/or Vietnam?

No	Yes	If yes, my firm anticipates negative effects as follows.
☐	☐	

- III-18. **Effects on financial performance of COVID-19.**—Has the COVID-19 pandemic, or any government actions taken to contain the spread of the COVID-19 virus, affected the financial performance of your firm's operations as reported in question III-9a and III-9b? In your response, please include the duration and timing of any impacts as they relate to your firm's financial performance.

No	Yes	If yes, please describe these effects.
☐	☐	

- III-19. **Other explanations.**—If your firm would like to further explain a response to a question in Part III for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

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PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Hau Nguyen (202-708-1441, hau.nguyen@usitc.gov).

IV-1. **Contact information.**--Please identify the individual that Commission staff may contact regarding the confidential information submitted in Part IV.

Name	
Title	
Email	
Telephone	

PRICE DATA

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2021 of the following products produced by your firm.

Product 1.-- Mullions and Split-Mullions, Anodized Finish, Unworked, Alloy in the 6000 series – Size: 1.75" x 3" to 3" x 8", Weight: 0.6lb/ft to 7lb/ft;

Product 2.-- Tub and shower components, Anodized and Bright Dip Finishes, Unworked, Alloys in the 6000 series – Size: CCD: 0.6" to 3", Weight: 0.1 lb/ft to 1lb/ft;

Product 3.-- Rails for Solar Panel Mounting Racks, Anodized Finish, Alloy in the 6000 series – Size: 1.40" to 5.60" CCD, Weight: .40 lb/ft to 2.5 lb/ft.

Product 4.-- Window Treatments, including Vertical Blinds and Shades, Painted Finish, Alloy in the 6000 series – Size: CCD: 1.0" to 6.0", Weight: 0.20 lb/ft to 2.0 lb/ft;

Product 5.-- Automotive Crash Relevant grade Crush Cans (or crash cans / crash box), Alloy in 6000 Series, extruded with additional fabrication, assembly, and quality testing/verification steps, capable of meeting OEM specific requirements and tolerances for wall thickness, surface profile, yield & tensile strength, elongation, and energy absorption. Size: CCD 1.5" - 5"; Weight: 1.5lb/ft - 4 lb/ft *

Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

IV-2a. During January 2021-March 2024, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

☐	Yes. --Please complete the following pricing data table(s) as appropriate.
☐	No. --Skip to question IV-3.

IV-2b. **Price data.**--Report below the quarterly price data¹ for pricing products² produced and sold by your firm.

Report data in **pounds** (not short tons) and **actual dollars** (not 1,000s).

(Quantity in pounds, value in dollars)										
Period of shipment	Product 1		Product 2		Product 3		Product 4		Product 5	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2021:										
Jan-Mar										
Apr-Jun										
Jul-Sep										
Oct-Dec										
2022:										
Jan-Mar										
Apr-Jun										
Jul-Sep										
Oct-Dec										
2023:										
Jan-Mar										
Apr-Jun										
Jul-Sep										
Oct-Dec										
2024:										
Jan-Mar										

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. Please subtract any discounts, rebates, and returns from the quarter in which the sale occurred.

² Pricing product definitions are provided on the first page of Part IV.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

Product 4:

Product 5:

IV-2c. **Price data checklist.**--Please check that the pricing data in question IV-2(b) have been correctly reported.

Are the price data reported above:	✓ if Yes
In actual dollars (not \$1,000)?	☐
In pounds (not short tons)?	☐
Valued f.o.b. U.S. point of shipment (i.e., exclude U.S. inland transportation costs)?	☐
Reported net of all discounts, rebates, and returns (deducted from the quarter in which the original sale occurred)?	☐
Reported for commercial U.S. shipments only (i.e., exclude internal consumption, transfers, and exports)?	☐
Less than or equal to the quantities and values reported in part II for commercial U.S. shipments in each period?	☐
Explanation(s) for any boxes not checked:	

IV-2d. **Pricing data methodology.**--Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-3. **Price setting.**--How does your firm determine the prices that it charges for sales of aluminum extrusions (*check all that apply*)?

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
☐	☐	☐	☐	

IV-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
☐	☐	☐	☐	

IV-5. **Pricing terms.**--On what basis are your firm's prices of domestic aluminum extrusions usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
☐	☐	

IV-6. **Contract versus spot.**--Approximately what shares of your firm's sales of its U.S.-produced aluminum extrusions in 2023 were on the basis of (1) short-term contracts, (2) annual contracts, (3) long-term contracts, and (4) spot sales?

Item	Type of sale				Total (should sum to 100.0%)
	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	Spot sales (for a single delivery)	
Share of 2023 sales	%	%	%	%	0.0 %

IV-7. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced aluminum extrusions (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	<i>No. of days</i>		365	
Price renegotiation (during contract period)	<i>Yes</i>	☐	☐	☐
	<i>No</i>	☐	☐	☐
Fixed quantity and/or price	<i>Quantity</i>	☐	☐	☐
	<i>Price</i>	☐	☐	☐
	<i>Both</i>	☐	☐	☐
Indexed to raw material costs ¹	<i>Yes</i>	☐	☐	☐
	<i>No</i>	☐	☐	☐
Not applicable		☐	☐	☐
¹ Please identify the indexes used: _____.				

IV-8. Lead times.—

- (a) What is your firm's share of sales of its U.S.-produced aluminum extrusions from inventory and produced to order, and the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced aluminum extrusions?

Source	Share of 2023 sales	Lead time (Average number of days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

- (b) Was there any change in lead times for your sales of aluminum extrusions since January 1, 2021?

Period	No	Yes	If yes, please describe, including the reason and the change in lead time.
2021	☐	☐	
2022	☐	☐	
2023	☐	☐	
2024 YTD	☐	☐	

IV-9. **Shipping information.**--

- (a) Who generally arranges the transportation to your firm's customers' locations?

☐ Your firm ☐ Purchaser (*check one*)

- (b) Indicate the approximate percentage of your firm's sales of aluminum extrusions that are delivered the following distances from its production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

- IV-10.
- Geographical shipments.**
- In which U.S. geographic market area(s) has your firm sold its U.S.-produced aluminum extrusions since January 1, 2021 (check all that apply)?

Geographic area	✓ if applicable
Northeast. —CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	☐
Midwest. —IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	☐
Southeast. —AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	☐
Central Southwest. —AR, LA, OK, and TX.	☐
Mountains. —AZ, CO, ID, MT, NV, NM, UT, and WY.	☐
Pacific Coast. —CA, OR, and WA.	☐
Other. —All other markets in the United States not previously listed, including AK, HI, PR, and VI.	☐

- IV-11.
- Inland transportation costs.**
- What is the approximate percentage of the cost of U.S.-produced aluminum extrusions that is accounted for by U.S. inland transportation costs? _____ percent

- IV-12.
- End uses.**
- List the end uses of the aluminum extrusions that your firm manufactures. For each end-use product, what percentage of the
- total cost
- is accounted for by aluminum extrusions and other inputs?

End-use product	Share of total cost of end use product accounted for by		Total (should sum to 100.0% across)
	Aluminum extrusions	Other inputs	
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

IV-13. **Substitutes.**--Can other products be substituted for aluminum extrusions?

☐ No ☐ Yes--Please fill out the table.

Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for aluminum extrusions?		
		No	Yes	Explanation
1.		☐	☐	
2.		☐	☐	
3.		☐	☐	

IV-14. **Demand trends.**-- Has demand within the United States and outside of the United States (if known) for aluminum extrusions steadily increased, fluctuated but ended higher, not changed, fluctuated but ended lower, or steadily decreased since January 1, 2021? Explain any trends and describe the principal factors that have affected these changes in demand.

Select one box per row.

Market	Steadily increase	Fluctuate up	No change	Fluctuate down	Steadily decrease	Explanation and factors
Within the United States	☐	☐	☐	☐	☐	
Outside the United States	☐	☐	☐	☐	☐	

IV-15. **Product changes.**--Have there been any significant changes in the product range, product mix, or marketing of aluminum extrusions since January 1, 2021?

No	Yes	If yes, please describe and quantify if possible.
☐	☐	

IV-16. **Business cycles.**--Is the aluminum extrusions market subject to business cycles, either during the year or across years? If yes, describe.

No	Yes	If yes, please describe, including any changes since January 1, 2021.
☐	☐	

- IV-17. **Conditions of competition.**--Is the aluminum extrusions market subject to conditions of competition distinctive to aluminum extrusions other than the business cycles described in the previous question? If yes, describe.

No	Yes	If yes, please describe, including any changes since January 1, 2021.
☐	☐	

- IV-18. **Supply constraints.**--Has your firm refused, declined, or been unable to supply aluminum extrusions at any time between January 1, 2021 and October 4, 2023 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, impact from changes in operations listed in II-2a, etc.)?

Period	No	Yes	If yes, please describe, including the reason, timing, and duration of the constraint.
2021	☐	☐	
2022	☐	☐	
2023	☐	☐	
2024 Jan 1- October 4	☐	☐	

- (b) Has your firm experienced any supply constraints since the petition was filed on October 4, 2023?

No	Yes	If yes, please describe, including the reason, timing, and duration of the constraint.
☐	☐	

- IV-19. **Raw materials.**-- Have aluminum extrusions raw material prices steadily increased, fluctuated but ended higher, not changed, fluctuated but ended lower, or steadily decreased since January 1, 2021?

Select one box per row.

Steadily increase	Fluctuate up	No change	Fluctuate down	Steadily decrease	Explain, noting how raw material price changes have affected your firm's selling prices for aluminum extrusions.
☐	☐	☐	☐	☐	

IV-20. Interchangeability.—

(a) How often is aluminum extrusions produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	United States
China, subject	
Colombia	
Ecuador	
India	
Indonesia	
Italy	
Malaysia	
Mexico	
South Korea	
Taiwan	
Thailand	
Turkey	
United Arab Emirates	
Vietnam	
Other countries	
For any country-pair producing aluminum extrusions that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude the interchangeable use of aluminum extrusions produced in the countries:	

(b) Were there any specific suppliers in any of the individual sources reported in the above table for which the profile of their aluminum extrusions deviates significantly from subject sources?

No	Yes	If yes, please identify the specific suppliers with a different profile in the source, the source, and describe how your response would differ from rating provided overall for the identified specified source.
☐	☐	

IV-21. Factors other than price.—

(a) How often are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between aluminum extrusions produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	United States
China, subject	
Colombia	
Ecuador	
India	
Indonesia	
Italy	
Malaysia	
Mexico	
South Korea	
Taiwan	
Thailand	
Turkey	
United Arab Emirates	
Vietnam	
Other countries	
For any country-pair for which factors other than price are <i>always</i> or <i>frequently</i> a significant factor in your firm's sales of aluminum extrusions, identify the country-pair and the relevant factors other than price, and report the advantages or disadvantages imparted by such factors:	

(b) Were there any specific suppliers in any of the individual sources reported in the above table for which the profile of their aluminum extrusions deviates significantly from subject sources?

No	Yes	If yes, please identify the specific suppliers with a different profile in the source, the source, and describe how your response would differ from rating provided overall for the identified specified source.
☐	☐	

IV-22. **Role of section 301 tariffs.**-- Did the tariffs on Chinese-origin products under section 301, or changes in these tariffs, have an impact on the aluminum extrusions market in the United States, including any effects on aluminum extrusions cost, price, supply, and/or demand, since January 1, 2021?

Yes	No	Don't know
☐	☐	☐

If yes, please describe the impact on cost, price, supply, and/or demand, and include the timing of such impacts.

--

IV-23. **Role of section 232 measures.**—Did the measures (e.g., tariffs, quotas, etc.) on imported steel/aluminum products under section 232, or changes in the measures (such as the level, coverage, or nature of the measures), have an impact on the aluminum extrusions market in the United States, including any effects on aluminum extrusions cost, price, supply, and/or demand, since January 1, 2021?

Yes	No	Don't know
☐	☐	☐

If yes, please describe the impact on cost, price, supply, and/or demand, and include the timing of such impacts.

--

IV-24. **Customer identification.**--List the names and contact information for your firm's 10 largest U.S. customers for aluminum extrusions since January 1, 2021. Indicate the share of the quantity of your firm's U.S. shipments of aluminum extrusions that each of these customers accounted for in 2023.

	Customer's name	City	State	Share of 2023 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

IV-25. Competition from imports.--

- (a) **Lost revenue.**--Since January 1, 2021: To avoid losing sales to competitors selling aluminum extrusions from China (subject), Colombia, Ecuador, India, Indonesia, Italy, Malaysia, Mexico, South Korea, Taiwan, Thailand, Turkey, United Arab Emirates, and/or Vietnam, did your firm:

Item	No	Yes
Reduce prices	☐	☐
Roll back announced price increases	☐	☐

- (b) **Lost sales.**--Since January 1, 2021: Did your firm lose sales of aluminum extrusions to imports of this product from China (subject), Colombia, Ecuador, India, Indonesia, Italy, Malaysia, Mexico, South Korea, Taiwan, Thailand, Turkey, United Arab Emirates, and/or Vietnam?

No	Yes
☐	☐

- IV-26. **Other explanations.**--If your firm would like to further explain a response to a question in Part IV for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

PART V.--SUFFICIENT PRODUCTION RELATED ACTIVITIES

Further information on this part of the questionnaire can be obtained from Jordan Harriman (202-205-2610, Jordan.harriman@usitc.gov).

- V-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part V.

Name	
Title	
Email	
Telephone	

- V-2. **Explanation of firm's domestic activities.**--Please describe in detail your firm's domestic production and/or fabrication operations relating to aluminum extrusions, including, if applicable, incorporation of imported or purchased aluminum extrusions, either domestic or imported, that is processed/converted into another in-scope form of aluminum extrusions in the United States prior to commercial sale.

--

- V-3. **Domestic activities by factor.**--Please describe the nature and extent of the following items in relation to your firm's U.S. production and/or fabrication of aluminum extrusions in the United States.

Capital investments	
Technical expertise	
Value added	
Employment	
Quantity, type, and source of parts	
Costs and activities	

- V-4. **Operations' complexity and importance.**--On a scale of 1 to 5, please provide your firm's subjective opinion as to the complexity, intensity, and importance of your firm's aluminum extrusions operations (production and/or fabrication only) conducted in the United States, with 1 being minimally complex, intense, or important and 5 being extremely complex, intense, or important.

1: Minimally complex, intense, or important	2	3	4	5: Extremely complex, intense, or important
☐	☐	☐	☐	☐
Please describe the reason for your rating.				

- V-5. **Greenfield capital investment costs.**--Indicate the estimated amount of capital investment that would be required today on a greenfield basis to replicate your firm's current aluminum extrusions operations.

Item	Value (in \$1,000)
Estimated greenfield capital investment cost of current U.S. aluminum extrusions operations	

- V-6. **Top three aluminum extrusions products using purchased/imported aluminum extrusions.**--If your firm purchased and/or imported an in-scope aluminum extrusion product, processed that product domestically in the United States, and sold that further processed product that still matches the definition of aluminum extrusions (see p. 2), please list the top three aluminum extrusion products your firm sold, describe their chemistry, grade, and other physical characteristics, and describe how those products are marketed in the United States.

No or Not applicable	Yes applicable	If yes, please list the top three aluminum extrusions products your firm sold, describe their chemistry, grade, and other physical characteristics, and describe how those products are marketed in the United States.
☐	☐	

PART VI.--FABRICATION OPERATIONS

Further information on this part of the questionnaire can be obtained from Jordan Harriman (202-205-2610, Jordan.harriman@usitc.gov). **Supply all data requested on a calendar-year basis.**

VI-1a. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part VI.

Name	
Title	
Email	
Telephone	

VI-1b. **Activities covered.**--Provide information on the activities performed by your company.

“Finishing”-- Finishing activities applied to in-scope aluminum extrusions (including brushing, buffing, polishing, anodizing (including bright dip), liquid painting, electroplating, chromate converting, powder coating, sublimating, wrapping, and/or bead blasting) without further fabrication or assembly.

“Fabrication”-- Fabrication refers to any activity that prepares an extrusion for assembly. Fabricated extrusions include extrusions that are cut-to-length, machined, drilled, punched, notched, bent, stretched, stretch-formed, hydroformed, knurled, swedged, mitered, chamfered, threaded, and spun. Such fabrication could also include activities such as CNC machining.

“Assembly”-- Activities which no longer process the aluminum extrusion directly, but which further assemble, attach, or combine it into a downstream finished good including both aluminum extrusions and/or inputs other than aluminum extrusions.

Production activity	Description <i>(check as many as appropriate)</i>	Description
Finishing	☐	
Fabrication	☐	
Assembly	☐	

- VI-2. **Production, shipment, and inventory data for fabrication activities.**--Report your firm's production capacity, production, shipments, and inventories related to the fabrication of aluminum extrusions in its U.S. establishment(s) using imported and/or domestically purchased aluminum extrusions during the specified periods.

"Average production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

"Commercial U.S. shipments" –Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption/ including product shipped to firm's own retail establishments"-- Product consumed internally by your firm, which includes merchandise that your firm transferred to your own firm's retail establishments (i.e., shipped to either a bricks-and-mortar store or to an online order fulfillment center). Such transactions are to be valued at fair market value and not the total value of final downstream processed merchandise in the case of internal consumption, nor the retail sale value in the case of your firm owning and operating its own retail establishments or using a third-party fulfillment center to place retail level sales.

"Transfers to related firms" –Shipments made to related firms. Such transactions are valued at fair market value.

"Related firm" –A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

"Export shipments" –Shipments to destinations outside the United States, including shipments to related firms.

"Inventories"— Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data

VI-2. **Production, shipment, and inventory data for fabrication activities.--Continued**

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
Average production capacity¹ (quantity) (A)					
Beginning-of-period inventories (quantity) (B)					
Production (fabrication) of: (Quantity) CR extrusions: Using purchased domestic aluminum extrusions (quantity) (C)					
Using purchased/imported aluminum extrusions from subject sources ² (quantity) (D)					
Using purchased/imported aluminum extrusions from nonsubject sources ³ (quantity) (E)					
Aluminum extrusions other than CR extrusions: Using purchased domestic aluminum extrusions (quantity) (F)					
Using purchased/imported aluminum extrusions from subject sources ² (quantity) (G)					
Using purchased/imported aluminum extrusions from nonsubject sources ³ (quantity) (H)					
Total production (fabrication) quantity	0	0	0	0	0

Table and footnotes continued on next page.

VI-2. **Production, shipment, and inventory data for fabrication activities.—Continued**

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
U.S. shipments:					
Commercial shipments:					
Quantity (I)					
Value (J)					
Internal consumption:⁴					
Quantity (K)					
Value ² (L)					
Transfers to related firms:⁴					
Quantity (M)					
Value ² (N)					
Export shipments:⁵					
Quantity (O)					
Value (P)					
End-of-period inventories: (quantity):					
CR extrusions (Q)					
Other than CR extrusions (R)					

¹ The production capacity reported is based on operating ____ hours per week, ____ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity ____.

² Please identify the subject sources: ____.

³ Please identify the nonsubject sources: ____.

⁴ Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

⁵ Identify your firm's principal export markets: _____.

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., lines Q and R) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., lines C through H), less total shipments (i.e., lines I, K, M, and O). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar year			January-March	
	2021	2022	2023	2023	2024
B + C + D + E + F + G + H – I – K – M – O – Q – R = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0

¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:_____.

- VI-3. **Channels of distribution for fabrication activities.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of fabricated aluminum extrusions made from imported and/or domestically purchased aluminum extrusions by channel of distribution in the specified periods.

Quantity (in short tons)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
U.S. shipments:					
CR extrusions					
to Wholesalers/distributors (S)					
to Automotive end users (T)					
to Other end users (U)					
Other than CR extrusions					
to Wholesalers/distributors (V)					
to Automotive end users (W)					
to Other end users (X)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines S through X) in each time period equal the quantity reported for U.S. shipments (i.e., lines I, K, and M) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year			January-March	
	2021	2022	2023	2023	2024
S + T + U + V + W + X = zero ("0"), if not revise.	0	0	0	0	0

- VI-4. **U.S. shipments by finish and alloy designation for fabrication activities.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of fabricated aluminum extrusions made from imported and/or domestically purchased aluminum extrusions in 2023 by finish (across) and alloy designation (down).

Quantity (<i>short tons</i>) and Value (\$1,000)			
Item	Calendar year 2023		
	CR extrusions ¹	Other than CR extrusions	
		Anodized or other special finishes ²	Mill finish (no special finishes)
U.S. shipments: 1000s, 3000s, or in-scope 5000s³ series alloys or their equivalents:⁴ Quantity (Y)			
Value (Z)			
6061 alloy, 6063 alloy, or their equivalents:⁴ Quantity (AA)			
Value (AB)			
All other 6000s series alloys or their equivalents:⁴ Quantity (AC)			
Value (AD)			
¹ Indicate which whether your firm's CR extrusions are mill finished versus other than mill finished (e.g., anodized or other special finishes), if applicable: ____. ² Describe the finishes in order of importance (highest volume) included in data reported in this column: ____. ³ In-scope 5000s series alloy are those that have a 2.0 percent or lower magnesium content or its equivalent. ⁴ If your firm's U.S. shipments did not contain Aluminum Association designations, please describe the proprietary equivalents or other certifying body equivalents used and note how your firm ascertained the closest Aluminum Association equivalent (e.g., 1, 3, or 6 thousand series): ____.			

RECONCILIATION OF U.S. SHIPMENTS BY PRODUCT TYPE.—Please ensure that the quantities reported in this question (i.e. lines Y through AD across all columns) equal the quantity and value reported for U.S. shipments (i.e. lines I through N) for 2023 in question II-8. If calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year 2023
Quantity: Y + AA + AC – I – K – M = zero ("0"), if not revise.	0
Value: Z + AB + AD – J – L – N = zero ("0"), if not revise.	0

VI-5a. **U.S. shipments of CR extrusions.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S.-produced aluminum extrusions fabricated by your firm by product type in the specified periods.

"Crash relevant extrusions ("CR extrusions")" – CR extrusions are extrusions that are engineered to protect vehicle occupants in the event of a crash. CR extrusions are produced with alloys in the 6000 series, rated for greater than 240 mpa in yield strength and greater than 260 mpa in tensile strength. CR extrusions are used to produce structural components such as side sills, bumpers, crush cans, cross members, and battery longitudinals.

"Extrusions embodied in window wall units"— Window wall units are non-load bearing fenestration system provided in combination assemblies and composite units, including transparent vision panels and/or opaque glass, which span from the top of a floor slab to the underside of the next higher floor slab. The glass infill in the window wall system is mounted to the front face of the surrounding four-sided metal frame. The window wall systems have a dual use as both the facade of a building and the window. **Report only the quantity and value of the aluminum extrusion components of any window wall unit shipments.**

"Extrusions embodied in certain heat exchangers"— Certain heat exchangers are devices designed to efficiently transfer heat from one medium to another. They may contain in-scope aluminum extrusions in addition to other parts. Heat exchangers may be classified under HTS 8481.90.9060. Each unique aluminum extrusion that is used as an input to produce certain heat exchangers retains the country of origin designation determined by where the metal for the aluminum extrusion piece(s) was extruded (i.e., pressed through a die), regardless of the location of further processing or manufacturing of the downstream product. **Report only the quantity and value of the aluminum extrusion components of any certain heat exchanger shipments.**

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
U.S. shipments:					
CR extrusions:					
Quantity (AE)					
Value (AF)					
Extrusions embodied in window wall units:					
Quantity (AG)					
Value (AH)					
Extrusions embodied in certain heat exchangers:					
Quantity (AI)					
Value (AJ)					
All other aluminum extrusions:					
Quantity (AK)					
Value (AL)					

VI-5a. **U.S. shipments of CR extrusions.**--Continued

RECONCILIATION OF SHIPMENTS BY PRODUCT TYPE.-- Please ensure that the quantities and values reported for U.S. shipments by product type (i.e., lines AE through AL) equal the quantities and values reported for U.S. shipments (i.e., lines I through N) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar year			January-March	
	2021	2022	2023	2023	2024
Quantity: AE + AG + AI + AK – I – K – M = zero ("0"), if not revise.	0	0	0	0	0
Value: AF + AH + AJ + AL – J – L – N = zero ("0"), if not revise.	0	0	0	0	0

VI-5b. **Competitive or input products.**--If your firm did not ship the specific product(s) listed above, please indicate whether your firm produced and sold any aluminum extrusion products that were either competitive products to the specified products or input products to the specified products, and please describe the products, quantify the volumes shipped by your firm, and explain your reasoning for reporting those data.

Product	No	Yes— Competitive product(s)	Yes— Input product(s)	If yes, please quantify the volume (in short tons) of such products your firm did produce and ship in calendar year 2023 and indicate how/or why you believe these products are competitive with or used as inputs to the specified product in the first column.
CR extrusions	☐	☐	☐	
Window wall units	☐	☐	☐	
Certain heat exchangers	☐	☐	☐	

- VI-6. **Employment data for fabrication activities.**--Report your firm's employment-related data related to the fabrication of aluminum extrusions in your U.S. establishments and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to March periods, calculate similarly and divide by 3.

If your firm had the same number of PRWs in all calendar year and had not experienced any changes in PRWs in the most recent interim period, you would have the same number of PRWs for the interim periods, regardless of whether the interim periods are Jan-Mar (Q1), Jan-June (Q1+Q2), or Jan-Sept (Q1+Q2+Q3).

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" --Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
Average number of PRWs (<i>number</i>)					
Hours worked by PRWs (<i>1,000 hours</i>)					
Wages paid to PRWs (<i>\$1,000</i>)					

Explanation of trends:

--

- VI-7. **Operations on fabrication activities other than CR extrusions and CR extrusions.**— Report the revenue and related cost information requested below on fabrication activities other than CR extrusions and CR extrusions of your firm's U.S. establishment(s). Include only sales (whether domestic or exports) and costs related to your U.S. manufacturing operations. Do not report any revenue or cost data related to the resale of purchased product.

Net sales—Report all commercial sales, internal consumption, and transfers to related firms, whether these are domestic sales or exports. Report net sales values less discounts, returns, allowances, and prepaid freight, in U.S. dollars, f.o.b. your point of shipment. The freight costs associated with delivering the product to your customer should not be included.

Note: If the financial data are reported on a calendar-year basis, the sum of total net sales quantities and values in tables VI-7 and VI-8 should match the total shipment quantities and values reported in table VI-2 (see question VI-16 for a reconciliation grid).

Internal consumption—Product consumed internally by your firm. Report internal consumption at fair market value even if this is not how these transactions are valued in your own books and records. This would commonly be estimated based on the company's commercial sales of similar product or market knowledge.

Transfers to related firms—Sales made to related firms. Report transfers to related firms at fair market value even if this is not how these transactions are valued in your own books and records. This would commonly be estimated based on the company's commercial sales of similar product or market knowledge.

Costs and expenses—Include costs and expenses associated with all reported net sales (i.e., for both domestic and export commercial sales, internal consumption, and transfers to related firms). If any freight costs were removed from net sales values, ensure the associated costs are removed from the applicable cost/expense line.

Inputs from related suppliers—Any inputs purchased from related suppliers should be reported in a manner consistent with your firm's accounting books and records.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes operations related to fabrication activities and/or CR extrusions, as well as specific statements and worksheets) used to compile these data.

VI-7. Operations on fabrication activities other than CR extrusions.

Item	Quantity (in short tons) and value (in \$1,000)				
	Calendar year			January-March	
	2021	2022	2023	2023	2024
Net sales quantities: ²					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales quantities	0	0	0	0	0
Net sales values:					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	0
Cost of goods sold (COGS):					
Aluminum extrusions.— Domestically manufactured					
Foreign investigated sources ¹					
Foreign non-investigated sources ¹					
All other raw materials ²					
Total raw material costs	0	0	0	0	0
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0
SG&A expenses					
Operating income (loss)	0	0	0	0	0
Other expenses and income:					
Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	0

¹ In the space provided please identify the relevant foreign source countries (investigated _____ and non-investigated: _____).

² If there are notable or significant raw material inputs included within the "All other materials" category, please list those here and provide the share of total raw material costs for which they account: _____.

VI-8. Operations on CR extrusions.

Item	Quantity (in short tons) and value (in \$1,000)				
	Calendar year			January-March	
	2021	2022	2023	2023	2024
Net sales quantities: ²					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales quantities	0	0	0	0	0
Net sales values:					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	0
Cost of goods sold (COGS):					
Aluminum extrusions.— Domestically manufactured					
Foreign investigated sources ¹					
Foreign non-investigated sources ¹					
All other raw materials ²					
Total raw material costs	0	0	0	0	0
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0
SG&A expenses					
Operating income (loss)	0	0	0	0	0
Other expenses and income:					
Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	0

¹ In the space provided please identify the relevant foreign source countries (investigated _____ and non-investigated: _____).

² If there are notable or significant raw material inputs included within the "All other materials" category, please list those here and provide the share of total raw material costs for which they account: _____.

- VI-9. **Depreciation expense.**—Please report the amount of depreciation expense that is included within the reported financial results at question VI-7 (fabrication activities other than CR extrusions) and question VI-8 (CR extrusions).

Item	Calendar year			January-March	
	2021	2022	2023	2023	2024
Depreciation expense (in \$1,000) <u>Fabrication activities other than CR extrusions</u> (question VI-2)					
Depreciation expense (in \$1,000) <u>CR extrusions</u> (question VI-3)					

- VI-10. **Depreciation expense classification.**—Please indicate the line item(s) within question VI-7 (fabrication activities other than CR extrusions) and VI-8 (CR extrusions) (e.g., other factory costs, SG&A expenses, etc.) that include the depreciation expense reported above.

<u>Fabrication activities other than CR extrusions</u> (question VI-7)	
<u>CR extrusions</u> (question VI-8)	

- VI-11. **Asset values.**—Report the total assets (i.e., both current and long-term assets) associated with the fabrication, warehousing, and sale of aluminum extrusions other than CR extrusions and CR extrusions. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for aluminum extrusions other than CR extrusions and CR extrusions in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations used in question VI-7 and question VI-8.

Note: Total assets should reflect the net amount of assets (i.e., after any accumulated depreciation and allowances deducted) and should be separately allocated to fabrication activities other than CR extrusions and CR extrusions if these assets are also related to other products.

Value (in \$1,000)			
Item	Calendar year ended		
	2021	2022	2023
<u>Fabrication activities other than CR extrusions</u> Total assets (net)			
<u>CR extrusions</u> Total assets (net)			

- VI-12. **Description of asset values.**—Please provide explanations for any substantial changes in total asset value during the period related to fabrication activities other than CR extrusions and CR extrusions; e.g., due to write-offs, major purchases, and revaluations. Also describe the main asset categories (both current and long-term) included in the above response.

<u>Fabrication activities other than CR extrusions</u> Total assets (net)	
<u>CR extrusions</u> Total assets (net)	

- VI-13. **Capital expenditures and research and development (“R&D”) expenses.**—Report your firm’s capital expenditures and research and development expenses related to fabrication activities other than CR extrusions and CR extrusions.

Value (in \$1,000)					
Item	Calendar year			January-March	
	2021	2022	2023	2022	2023
<u>Fabrication activities other than CR extrusions</u> Capital expenditures					
<u>CR extrusions</u> Capital expenditures					
<u>Fabrication activities other than CR extrusions</u> R&D expenses					
<u>CR extrusions</u> R&D expenses					

- VI-14. **Description of reported capital expenditures.**—Please describe the nature, focus, and significance of your firm’s reported capital expenditures. If no capital expenditure data were reported, please explain the reason.

<u>Fabrication activities other than CR extrusions</u> Capital expenditures	
<u>CR extrusions</u> Capital expenditures	

VI-15. **Description of reported R&D expenses.**—Please describe the nature, focus, and significance of your firm's reported R&D expenses.

<u>Fabrication activities</u> <u>other than CR extrusions</u> R&D expenses	
<u>CR extrusions</u> R&D expenses	

VI-16. **Data consistency and reconciliation.**— The sum of quantities and values of total net sales reported in question IV-7 and question VI-8 should reconcile with the total shipments reported in question VI-2 (including export shipments) for the annual-year periods as long as they are reported on the same calendar-year basis. The interim-period data should reconcile whether the financial data are on a calendar- or fiscal-year basis.

If the calculated fields below return values other than zero (i.e., "0") this indicates the total net sales quantities and values do not match the total shipments quantities and values.

Reconciliation	Calendar year			January-March	
	2021	2022	2023	2023	2024
Quantity: Trade data from question VI-2 (lines F, H, J, and L) less the sum of financial total net sales quantity data from question VI-7 and VI-8, = zero ("0").	0	0	0	0	0
Value: Trade data from question VI-2 (lines G, I, K, and M) less the sum of financial total net sales value data from question VI-7 and VI-8, = zero ("0").	0	0	0	0	0

Are the financial data in question VI-7 and VI-8 reported on a calendar-year basis?

☐ Yes— Complete question VI-17.

☐ No— Continue to question VI-18.

VI-17. **Data consistency and reconciliation (calendar-year based financial data).**—Does the sum of the data in question VI-7 and question VI-8 reconcile with the data in question VI-2 (i.e., the calculated fields are returning zeros in the table above) for all periods?

Yes	No	If no, please explain.
☐	☐	

If your responses to any of the items in questions VI-18, VI-19, and VI-20 differ by country, please describe these differences and, as applicable, indicate which country or countries your response refers to in the relevant form fields.

VI-18. **Effects of imports on investment.**—Since January 1, 2021, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of aluminum extrusions from China, Colombia, Ecuador, India, Indonesia, Italy, Malaysia, Mexico, South Korea, Taiwan, Thailand, Turkey, United Arab Emirates, and/or Vietnam?

No	Yes	If yes, my firm has experienced actual negative effects as follows.
☐	☐	

<i>(check as many as appropriate)</i>	<i>(please describe)</i>
☐	Cancellation, postponement, or rejection of expansion projects
☐	Denial or rejection of investment proposal
☐	Reduction in the size of capital investments
☐	Return on specific investments negatively impacted
☐	Other

- VI-19. **Effects of imports on growth and development.**—Since January 1, 2021, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of aluminum extrusions from China, Colombia, Ecuador, India, Indonesia, Italy, Malaysia, Mexico, South Korea, Taiwan, Thailand, Turkey, United Arab Emirates, and/or Vietnam?

No	Yes	If yes, my firm has experienced actual negative effects as follows.
☐	☐	

<i>(check as many as appropriate)</i>		<i>(please describe)</i>
☐	Rejection of bank loans	
☐	Lowering of credit rating	
☐	Problem related to the issue of stocks or bonds	
☐	Ability to service debt	
☐	Other	

- VI-20. **Anticipated effects of imports.**—Does your firm anticipate any negative effects due to imports of aluminum extrusions from China, Colombia, Ecuador, India, Indonesia, Italy, Malaysia, Mexico, South Korea, Taiwan, Thailand, Turkey, United Arab Emirates, and/or Vietnam?

No	Yes	If yes, my firm anticipates negative effects as follows.
☐	☐	

- VI-21. **Effects on financial performance of COVID-19.**—Has the COVID-19 pandemic, or any government actions taken to contain the spread of the COVID-19 virus, affected the financial performance of your firm's operations as reported in question VI-7 and question VI-8? In your response, please include the duration and timing of any impacts as they relate to your firm's financial performance.

No	Yes	If yes, please describe these effects.
☐	☐	

- VI-22. **Other explanations.**—If your firm would like to further explain a response to a question in the financial section of Part VI for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

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PART VII.--ALTERNATIVE PRODUCT INFORMATION

Further information on this part of the questionnaire can be obtained from Jordan Harriman (202-205-2610, jordan.harriman@usitc.gov). ****If your firm is completing multiple questionnaires, please only complete this section for one of your questionnaires.****

VII-1. **Comparability of aluminum extrusions and CR extrusions.**—For each of the following indicate whether listed extrusion products are: fully comparable or the same, *i.e.*, have no differentiation between them; mostly comparable or similar; somewhat comparable or similar; never or not-at-all comparable or similar; or no familiarity with products.

F: fully comparable or the same, *i.e.*, have no differentiation between them;

M: mostly comparable or similar;

S: somewhat comparable or similar;

N: never or not-at-all comparable or similar; or

O: no familiarity with products.

Aluminum extrusions—As defined on page 2.

Crash relevant extrusions (“CR extrusions”)—CR extrusions are extrusions that are engineered to protect vehicle occupants in the event of a crash. CR extrusions are produced with alloys in the 6000 series, rated for greater than 240 mpa in yield strength and greater than 260 mpa in tensile strength. CR extrusions are used to produce structural components such as side sills, bumpers, crush cans, cross members, and battery longitudinals.

(a) **Physical Characteristics and End Uses.**--The differences and similarities in the physical characteristics and end uses.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <i>physical characteristics and uses</i> :
Aluminum extrusions vs. CR extrusions		

(b) **Interchangeability.**--The ability to substitute the products in the same application.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <i>interchangeability</i> :
Aluminum extrusions vs. CR extrusions		

VII-1. **Comparability of aluminum extrusions and CR extrusions.—Continued**

- F: fully comparable or the same, *i.e.*, have no differentiation between them;
M: mostly comparable or similar;
S: somewhat comparable or similar;
N: never or not-at-all comparable or similar; or
O: no familiarity with products.

- (c) **Channels of distribution.**--Channels of distribution/market situation through which the products are sold (*i.e.*, sold direct to end users, through wholesaler/distributors, etc.).

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>channels of distribution</u> :
Aluminum extrusions vs. CR extrusions		

- (d) **Manufacturing facilities, production processes, and production employees.**--Whether manufactured in the same facilities, from the same inputs, on the same machinery and equipment, and using the same employees.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>manufacturing facilities, production processes, and production employees</u> :
Aluminum extrusions vs. CR extrusions		

VII-1. **Comparability of aluminum extrusions and CR extrusions.—Continued**

- F: fully comparable or the same, *i.e.*, have no differentiation between them;
M: mostly comparable or similar;
S: somewhat comparable or similar;
N: never or not-at-all comparable or similar; or
O: no familiarity with products.

(e) **Customer and producer perceptions.**--Perceptions as to the differences and/or similarities in the market (*e.g.*, sales/marketing practices).

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>customer and producer perceptions</u> :
Aluminum extrusions vs. CR extrusions		

(f) **Price.**--Whether prices are comparable or differ between the products.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>price</u> :
Aluminum extrusions vs. CR extrusions		

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

https://usitc.gov/reports/active_import_injury_questionnaires.

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

Web address: <https://dropbox.usitc.gov/oinv/>

Pin: **ALUM**

- **E-mail.**—E-mail the MS Word questionnaire to Jordan.harriman@usitc.gov; include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1802). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7).