

U.S. IMPORTERS' QUESTIONNAIRE

ACTIVE ANODE MATERIAL FROM CHINA

This questionnaire must be received by the Commission by **January 2, 2025**
See last page for instructions regarding how to file this questionnaire.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing and antidumping duty investigations concerning active anode material from China (Inv. Nos. 701-TA-752 and 731-TA-1730 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____
Address _____
City _____ State _____ Zip Code _____
Website _____

Has your firm imported active anode material (as defined on next page) from any country at any time since January 1, 2021?

- ☐ **NO** (Sign the certification below and promptly return **only** this page of the questionnaire to the Commission)
☐ **YES** (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)

Return questionnaire via the Commission's secure portal by clicking on the following link:
<https://usitc.gov/qportal>. (PIN: **ANODE**, Phase: **Preliminary**). See last page for detailed instructions.

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.

Name of Authorized Official

Title of Authorized Official

Date

Signature

Phone

Email address

PART I.—GENERAL INFORMATION

Background.-- This proceeding was instituted in response to petitions filed on December 18, 2024, by the American Active Anode Material Producers, the members of which are Anovion Technologies, Sanborn, New York; Syrah Technologies LLC, Vidalia, Louisiana; NOVONIX Anode Materials LLC, Chattanooga, Tennessee; Epsilon Advanced Materials, Leland, North Carolina; and SKI US, Inc., Marietta, Georgia. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Pertinent information to this proceeding is available at:

Questionnaires: https://usitc.gov/reports/active_import_injury_questionnaires.

Other case information: <https://ids.usitc.gov/case/8249/investigation/8680>.

Active anode material covered by this proceeding is active anode material, whether synthetic, natural, or a blend of synthetic or natural; with or without coating; regardless of whether in powder, dry, liquid, or any other form. Subject merchandise typically has a maximum size of 80 microns. Subject merchandise has an energy density of 330 milliamp hours per gram or greater and a degree of graphitization of 80% or greater. Subject merchandise can be referred to as "active anode material."

Subject merchandise is covered regardless of whether it is mixed with silicon based active materials, e.g., silicon-oxide (SiOx), silicon-carbon (SiC), or silicon, or additives such as carbon black or carbon nanotubes. Subject merchandise is covered regardless of the combination of compounds that comprise the graphite material; the graphite contained in the subject merchandise has a minimum purity content of 90% carbon. Subject merchandise is covered regardless of whether it is imported independently, as part of a compound, in a battery, as a component of an anode slurry, or in a subassembly of a battery such as an electrode. Only the anode grade graphite material is covered when entered as part of a mixture with silicon based active materials, as part of a compound, in a battery, as a component of an anode slurry, or in a subassembly of a battery such as an electrode.

Active anode material subject to the investigations may be classified under the Harmonized Tariff Schedule of the United States (HTSUS) subheadings 2504.10.5000 and 3801.10.5000. Subject merchandise may also be imported under HTSUS subheading 3801.90.0000. The HTSUS subheadings are provided for convenience and customs purposes only. The written description of the scope of the investigations is dispositive.

Importer.--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing active anode material (as defined above) into the United States from a foreign manufacturer or through its selling agent.

Reporting of information.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

Valid number error messages.--If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue are provided at the end of this questionnaire and are available upon request from Calvin Chang (202-205-3062, calvin.chang@usitc.gov).

D-GRIDS tool.--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macro-enabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is optional. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

- I-1. **Reporting requirements.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire for use by the Office of Management and Budget.

Hours	Dollars

Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please provide such comments to the Office of Investigations, import_injury@usitc.gov.

- I-2a. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire.

"Establishment"--Each facility of a firm involved in the importation of active anode material, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

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- I-2b. **Stock symbol information.**-- If your firm or parent firm is publicly traded, please specify the stock exchange and trading symbol: _____.

- I-2c. **External counsel.**-- If your firm or parent firm is represented by external counsel in relation to this proceeding, please specify the name of the law firm and the lead attorney(s).

Law firm:	
Lead attorney(s):	

- I-3. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

☐ No ☐ Yes--List the following information, relating to the ultimate parent/owner.

Firm name	Country	Extent of ownership (percent)

“Related firm” –A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

- I-4. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing active anode material from China into the United States or that are engaged in exporting active anode material from China to the United States?

☐ No ☐ Yes--List the following information.

Firm name	Country	Affiliation

- I-5. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of active anode material?

☐ No ☐ Yes--List the following information.

Firm name	Country	Affiliation

- I-6. **Importing operations.**--Please indicate the nature of your firm's importing operations on active anode material. The importer of record is expected to complete this questionnaire; however, more than one answer may be applicable.

Importer of record	Takes title to the imported product(s)	Consignee of the imported products(s)	Customs broker or freight forwarder
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- I-7. **Consignee.**--If your firm is an importer of record of active anode material but is not the consignee, please list the consignees below (firm name, address, telephone number, and individual to contact).

Firm name	Address	Contact person and phone number

- I-8. **FTZ or bonded warehouses.**--Please indicate whether your firm admits active anode material into, or withdraws such merchandise from, foreign trade zones or bonded warehouses.

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

"Bonded warehouse" is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designated as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

Item	No	Yes	Quantity (in 1,000 pounds) admitted in 2023
Foreign trade zones	<input type="checkbox"/>	<input type="checkbox"/>	
Bonded warehouses	<input type="checkbox"/>	<input type="checkbox"/>	

- I-9. **Other trade actions.**--To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No	Yes	If yes, Yes--Please specify.
<input type="checkbox"/>	<input type="checkbox"/>	

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Calvin Chang (202-205-3062, calvin.chang@usitc.gov). Supply all data requested on a calendar-year basis.

- II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part II.

Name	
Title	
Email	
Telephone	

- II-2a. **Changes in operations.**--Has your firm experienced any changes in ownership or in the nature of its importing operations for active anode material since January 1, 2021?

No	Yes	If yes, supply details as to the time, nature, and significance of such changes and describe reasons for the changes, including any underlying assumptions used.
<input type="checkbox"/>	<input type="checkbox"/>	

- II-2b. **COVID-19 pandemic.**--Has the COVID-19 pandemic or have any government actions taken to contain the spread of the COVID-19 virus resulted in changes in your firm's supply chain arrangements, importation, employment, and shipments relating to active anode material since January 1, 2021? In your response, please discuss the duration and timing of any such changes as they relate to your firm's operations.

No	Yes	If yes, describe these changes including the impact over time on the (a) supply chain, (b) importation and shipments, and (c) employment with respect to active anode material.
<input type="checkbox"/>	<input type="checkbox"/>	

- II-3a. **Arranged imports.**--Has your firm imported or arranged for the importation of active anode material for delivery after **September 30, 2024**?

"Arranged imports" are imports for which your firm has placed an order with a foreign supplier for subject merchandise, but delivery of those imports is not scheduled to occur until after the date listed above.

No	Yes	If yes, fill out the table below.
<input type="checkbox"/>	<input type="checkbox"/>	

Source	Period			
	Oct-Dec 2024	Jan-Mar 2025	Apr-Jun 2025	Jul-Sept 2025
	Quantity (in 1,000 pounds)			
China				
All other sources				

- II-3b. **Imports in the 12-month period preceding the petitions.**--Has your firm imported active anode material from any source between December 1, 2023 and November 30, 2024? (i.e., the last month in 2023 and first 11 months in 2024 combined)

No	Yes	If yes, report the quantity of such import below by source.
<input type="checkbox"/>	<input type="checkbox"/>	

December 2023 to November 2024		
Source	U.S. imports classified for customs purposes under:	
	Primary HTS numbers 2504.10.5000 and 3801.10.5000	All other HTS numbers
	Quantity (in 1,000 pounds)	
China		
All other sources		

- II-4. **Reasons for importing if producer.**--If your firm also produces active anode material in the United States, please indicate the reasons for importing this product. If your firm's reasons differ by source, please elaborate.

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Definitions

"Imports" –Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty).

"Import quantities" –Quantities reported should be net of returns.

"Import values" –Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

"Commercial U.S. shipments"— Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" –Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" –Shipments made to related firms. Such transactions are valued at fair market value.

"Export shipments"— Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" --Finished goods inventory, not raw materials or work in progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-5a. **U.S. imports from China.**—Report your firm's imports and your firm's shipments and inventories of active anode material imported from China by your firm during the specified periods.

China

Quantity (in 1,000 pounds); Value (in \$1,000)					
Item	Calendar year			January-September	
	2021	2022	2023	2023	2024
Beginning-of-period inventories (quantity) (A)					
Imports classified under:¹					
Primary HTS numbers²					
Quantity (B)					
Value (C)					
Other HTS numbers:³					
Quantity (D)					
Value (E)					
U.S. shipments:					
Commercial shipments:					
Quantity (F)					
Value (G)					
Internal consumption:⁴					
Quantity (H)					
Value ³ (I)					
Transfers to related firms:⁴					
Quantity (J)					
Value ³ (K)					
Export shipments:⁵					
Quantity (L)					
Value (M)					
End-of-period inventories: (quantity) (N)					

¹ Please identify the foreign producers, if known: ____.

² The primary HTS numbers are 2504.10.5000 and 3801.10.5000.

³ Please identify the other HTS statistical reporting numbers used to classify those imports ____.

⁴ Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

⁵ Identify your firm's principal export markets: _____.

II-5a. **U.S. imports from China.--Continued**

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line N) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., lines B and D), less total shipments (i.e., lines F, H, J, and L). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar year			January-September	
	2021	2022	2023	2023	2024
A + B + D – F – H – J – L – N = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

II-5b. **Channels of distribution: China.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from China by channel of distribution during the specified periods.

China

Quantity (in 1,000 pounds)					
Item	Calendar year			January-September	
	2021	2022	2023	2023	2024
Channels of distribution:					
U.S. shipments:					
To distributors (O)					
To automotive end users (including electric vehicle manufacturers) (P)					
To other battery manufacturers (Q)					
To other end users ¹ (R)					
¹ Please identify other end users _____.					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines O through R) in each time period equal the quantities reported for U.S. shipments (i.e., line F, H, J) in each time period in question II-5a. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar year			January-September	
	2021	2022	2023	2023	2024
O + P + Q + R – F – H – J = zero ("0"), if not revise.	0	0	0	0	0

- II-5c. **Imports by composition: China.**--Report your firm's imports of active anode material from China by the composition (e.g., independently, in a battery, in a subassembly of a battery such as an electrode, or as part of compound) during the specified periods. For those active anode material that are imported as part of a battery or attached to out-of-scope components (e.g., electrode, anode slurry, or silicon based active materials), please report only the quantity and value of the in-scope active anode material (reasonable estimates are acceptable), rather than the broader merchandise to which they were attached or mixed.

China

Quantity (in 1,000 pounds); Value (in \$1,000)					
Item	Calendar year			January-September	
	2021	2022	2023	2023	2024
U.S. imports of:					
Loose active anode material: Quantity (S)					
Value (T)					
Active anode material in batteries: Quantity (U)					
Value (V)					
Active anode material as parts of a subassembly or compound (e.g., electrode, anode slurry, mixture with silicon based active materials) Quantity (W)					
Value (X)					

RECONCILIATION OF IMPORTS BY COMPOSITION.--Please ensure that the quantities (i.e., lines S, U, and W) and values (i.e., lines T, V, and X) reported for imports by composition in each time period equal the quantities (i.e., lines B and D) and values (i.e., lines C and E) reported for imports in each time period in question II-5a. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar year			January-September	
	2021	2022	2023	2023	2024
Quantity: $S + U + W - B - D = \text{zero}$ ("0"), if not revise.	0	0	0	0	0
Value: $T + V + X - C - E = \text{zero}$ ("0"), if not revise.	0	0	0	0	0

- II-5d. **U.S. shipments by product form: China.**—Report your firm's U.S. shipments (i.e., commercial U.S. shipments, internal consumption, and transfers to related firms in the United States) of imports from China by product form during the specified periods.

China

Quantity (in 1,000 pounds); Value (in \$1,000)					
Item	Calendar year			January-September	
	2021	2022	2023	2023	2024
U.S. shipments:					
Natural					
Quantity (Y)					
Value (Z)					
Synthetic					
Quantity (AA)					
Value (AB)					
Blended ¹					
Quantity (AC)					
Value (AD)					
¹ For your U.S. shipments in January-September 2024, please report the average share of natural active anode material _____ and the average share of synthetic active anode material in your blend: _____.					

RECONCILIATION OF U.S. SHIPMENTS BY PRODUCT FORM.-- Please ensure that the quantities (i.e., lines Y, AA, and AC) and values (i.e., lines Z, AB, and AD) reported for U.S. shipments by product form in each time period equal the quantities (i.e., lines F, H, and J) and values (i.e., lines G, I, and K) reported for U.S. shipments in each time period in question II-5a. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar year			January-September	
	2021	2022	2023	2023	2024
Quantity: Y + AA + AC – F – H – J = zero ("0"), if not revise.	0	0	0	0	0
Value: Z + AB + AD – G – I – K = zero ("0"), if not revise.	0	0	0	0	0

- II-6a. **Imports from all other sources.**—Report your firm's imports and your firm's shipments and inventories of active anode material imported from **all other sources** by your firm during the specified periods.

All other sources

(List sources: _____)

Quantity (in 1,000 pounds); Value (in \$1,000)					
Item	Calendar year			January-September	
	2021	2022	2023	2023	2024
Beginning-of-period inventories (quantity) (A)					
Imports classified under:¹					
Primary HTS numbers²					
Quantity (B)					
Value (C)					
Other HTS numbers:³					
Quantity (D)					
Value (E)					
U.S. shipments:					
Commercial shipments:					
Quantity (F)					
Value (G)					
Internal consumption:⁴					
Quantity (H)					
Value ³ (I)					
Transfers to related firms:⁴					
Quantity (J)					
Value ³ (K)					
Export shipments:⁵					
Quantity (L)					
Value (M)					
End-of-period inventories: (quantity) (N)					

¹ Please identify the foreign producers, if known: _____.

² The primary HTS numbers are 2504.10.5000 and 3801.10.5000.

³ Please identify the other HTS statistical reporting numbers used to classify those imports _____.

⁴ Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

⁵ Identify your firm's principal export markets: _____.

II-6a. **Imports from all other sources.**—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.—Generally, the data reported for the end-of-period inventories (i.e., line N) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., lines B and D), less total shipments (i.e., lines F, H, J, and L). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar year			January-September	
	2021	2022	2023	2023	2024
A + B + D – F – H – J – L – N = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

II-6b. **Channels of distribution: All other sources.**— Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from **all other sources** by channel of distribution during the specified periods.

All other sources

Quantity (in 1,000 pounds)					
Item	Calendar year			January-September	
	2021	2022	2023	2023	2024
Channels of distribution:					
U.S. shipments:					
To distributors (O)					
To automotive end users (including electric vehicle manufacturers) (P)					
To other battery manufacturers (Q)					
To other end users ¹ (R)					
¹ Please identify other end users _____.					

RECONCILIATION OF CHANNELS.—Please ensure that the quantities reported for channels of distribution (i.e., lines O through R) in each time period equal the quantities reported for U.S. shipments (i.e., line F, H, J) in each time period in question II-6a. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar year			January-September	
	2021	2022	2023	2023	2024
O + P + Q + R – F – H – J = zero ("0"), if not revise.	0	0	0	0	0

- II-6c. **Imports by composition: All other sources.**--Report your firm's imports of active anode material from all other sources by the composition (e.g., independently, in a battery, in a subassembly of a battery such as an electrode, or as part of compound) during the specified periods. For those active anode material that are imported as part of a battery or attached to out-of-scope components (e.g., electrode, anode slurry, or silicon based active materials), please report only the quantity and value of the in-scope active anode material (reasonable estimates are acceptable), rather than the broader merchandise to which they were attached or mixed.

All other sources

Quantity (in 1,000 pounds); Value (in \$1,000)					
Item	Calendar year			January-September	
	2021	2022	2023	2023	2024
U.S. imports of:					
Loose active anode material: Quantity (S)					
Value (T)					
Active anode material in batteries: Quantity (U)					
Value (V)					
Active anode material as parts of a subassembly or compound (e.g., electrode, anode slurry, mixture with silicon based active materials) Quantity (W)					
Value (X)					

RECONCILIATION OF IMPORTS BY COMPOSITION.--Please ensure that the quantities (i.e., lines S, U, and W) and values (i.e., lines T, V, and X) reported for imports by composition in each time period equal the quantities (i.e., lines B and D) and values (i.e., lines C and E) reported for imports in each time period in question II-6a. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar year			January-September	
	2021	2022	2023	2023	2024
Quantity: $S + U + W - B - D = \text{zero}$ ("0"), if not revise.	0	0	0	0	0
Value: $T + V + X - C - E = \text{zero}$ ("0"), if not revise.	0	0	0	0	0

- II-6d. **U.S. shipments by product form: all other sources.**—Report your firm's U.S. shipments (i.e., commercial U.S. shipments, internal consumption, and transfers to related firms in the United States) of imports from all other sources by product form during the specified periods.

All other sources

Quantity (in 1,000 pounds); Value (in \$1,000)					
Item	Calendar year			January-September	
	2021	2022	2023	2023	2024
U.S. shipments:					
Natural					
Quantity (Y)					
Value (Z)					
Synthetic					
Quantity (AA)					
Value (AB)					
Blended ¹					
Quantity (AC)					
Value (AD)					
¹ For your U.S. shipments in January-September 2024, please report the average share of natural active anode material _____ and the average share of synthetic active anode material in your blend: _____.					

RECONCILIATION OF U.S. SHIPMENTS BY PRODUCT FORM.-- Please ensure that the quantities (i.e., lines Y, AA, and AC) and values (i.e., lines Z, AB, and AD) reported for U.S. shipments by product form in each time period equal the quantities (i.e., lines F, H, and J) and values (i.e., lines G, I, and K) reported for U.S. shipments in each time period in question II-6a. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar year			January-September	
	2021	2022	2023	2023	2024
Quantity: Y + AA + AC – F – H – J = zero ("0"), if not revise.	0	0	0	0	0
Value: Z + AB + AD – G – I – K = zero ("0"), if not revise.	0	0	0	0	0

- II-7a. **Out-of-scope imports under primary HTS numbers.**—Report your firm's imports of products other than active anode material (i.e., out-of-scope imports) that are classified for Customs purposes under HTS statistical reporting numbers 2504.10.5000 and 3801.10.5000 from any source during the specified period.

Quantity (in 1,000 pounds), value (in \$1,000)					
Item	Calendar year			January-September	
	2021	2022	2023	2023	2024
Out-of-scope imports¹ (i.e., not active anode material) classified under HTS statistical reporting numbers 2504.10.5000 and 3801.10.5000 from.--					
<u>China:</u>					
Quantity					
Value					
<u>All other sources:</u> ²					
Quantity					
Value					
¹ Please describe the out-of-scope products being imported: _____.					
² Please list sources: _____.					

- II-7b. **Out-of-scope imports under primary HTS numbers in the 12-month period preceding the petitions.**—Report your firm's imports of products other than active anode material (i.e., out-of-scope imports) that were classified for Customs purposes under HTS statistical reporting numbers 2504.10.5000 and 3801.10.5000 from each of the specific sources between December 1, 2023 and November 30, 2024 (i.e., the last month in 2023 and first 11 months in 2024 combined)

Quantity (in 1,000 pounds)	
Source	December 2023 through November 2024
China	
All other sources	

- II-8. **Transfers to related firms.**--If your firm reported transfers to related firms in any of the data tables in Part II, please identify the firm(s) and indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary) and whether the transfers were priced at market value or by a non-market formula.

--

- II-9. **Other explanations.**--If your firm would like to further explain a response to a question in Part II for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

--

PART III.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Ricky Ubee (202-205-3493, Ravinder.ubee@usitc.gov).

- III-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in Part III.

Name	
Title	
Email	
Telephone	

PRICE DATA

- III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2021 of the following products your firm imported from China:

Product 1.-- Synthetic active anode material, not coated, not blended, 50 microns or smaller, sold in sacks of 2,500 pounds

Product 2.-- Natural active anode material, not coated, not blended, 50 microns or smaller, sold in sacks of 2,500 pounds

Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

- III-2a. During January 2021-September 2024, did your firm import from China and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

<input type="checkbox"/>	Yes. -- Please complete the following pricing data table as appropriate.
<input type="checkbox"/>	No. --Skip to question III-3.

III-2b. **Price data.**--Report below the quarterly price data¹ for pricing products² imported from China and sold by your firm.

Report data in **actual pounds** (not 1,000 pounds) and **actual dollars** (not \$1,000s).

(Quantity in actual pounds, value in dollars)				
Period of shipment	Product 1		Product 2	
	Quantity	Value	Quantity	Value
2021:				
January-March				
April-June				
July-September				
October-December				
2022:				
January-March				
April-June				
July-September				
October-December				
2023:				
January-March				
April-June				
July-September				
October-December				
2024:				
January-March				
April-June				
July-September				

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. Please subtract any discounts, rebates, and returns from the quarter in which the sale occurred.

² Pricing product definitions are provided on the first page of Part IV.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

III-2c. **Price data checklist.**--Please check that the pricing data in question III-2b have been correctly reported.

Are the price data reported above:	✓ if Yes
In actual dollars (not \$1,000s) and actual pounds (not 1,000 pounds)?	<input type="checkbox"/>
Valued f.o.b. U.S. point of shipment (i.e., exclude U.S. inland transportation costs)?	<input type="checkbox"/>
Reported net of all discounts, rebates, and returns (deducted from the quarter in which the original sale occurred)?	<input type="checkbox"/>
Reported for commercial U.S. shipments only (i.e., exclude internal consumption, transfers, and exports)?	<input type="checkbox"/>
Less than or equal to the quantities and values reported in Part II for commercial U.S. shipments in each period?	<input type="checkbox"/>
Explanation(s) for any boxes not checked:	

III-2d. **Pricing data methodology.**--Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

- III-3. **Imports for internal use**--This question requests quarterly quantity and landed-duty paid value data for your firm's imports of the products defined above (on the first page of Part III) for internal consumption from China since January 1, 2021. These are imports that your firm does not resell as active anode material.

During January 2021-September 2024, did your firm import any of the products listed, on the first page of part III, from China for internal consumption?

<input type="checkbox"/>	Yes. --Please complete the following table.
<input type="checkbox"/>	No. --Skip to question III-4.

III-3a. **Imports for internal use.**—Report below the import data¹ for pricing products² imported from China and used by your own firm. These are imports that your firm does not resell as active anode material.

Please note that values should be landed, duty-paid (“LDP”) and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid by your firm (i.e., should be net of all returns, discounts, allowances, and rebates).

Report data in **actual pounds** (not 1,000 pounds) and **actual dollars** (not \$1,000s).

(Quantity in actual pounds, value in dollars)				
Period of importation	Product 1		Product 2	
	Quantity	LDP Value	Quantity	LDP Value
2021:				
January-March				
April-June				
July-September				
October-December				
2022:				
January-March				
April-June				
July-September				
October-December				
2023:				
January-March				
April-June				
July-September				
October-December				
2024:				
January-March				
April-June				
July-September				

¹ LDP value (i.e., landed duty-paid values): Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States). See “Import values” definition in Part II (Trade and Related Information – Definitions).

² Pricing product definitions are provided on the first page of Part III.

Note.—If your firm’s product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm’s product. Also, please explain any anomalies in your firm’s reported data.

Product 1:

Product 2:

III-3b. **Purchase cost data checklist.**--Please check that the purchase cost data in question III-3(a) have been correctly reported.

Are the purchase cost data reported above:	✓ if Yes
In actual dollars (not \$1,000) and actual pounds (not 1,000 pounds)?	<input type="checkbox"/>
Valued at landed duty-paid import value (i.e., value at the U.S. border after clearing Customs)?	<input type="checkbox"/>
Reported only for imports used for internal consumption or for your firm's retail sale?	<input type="checkbox"/>
Less than or equal to the quantities and values reported in part II for imports in each period?	<input type="checkbox"/>
Explanation(s) for any boxes not checked:	

Complete these questions only if your firm reported data on the previous page, otherwise skip to question III-4.

The following questions ask about the costs/benefits (not included in the LDP values reported above) that factor into your firm's decision to import active anode graphite rather than purchase from a U.S. producer or importer of active anode graphite.

III-3c. Additional costs for your firm's imports of active anode graphite for your firm's internal use.—

- i. Did your firm incur any additional costs by importing active anode graphite rather than purchasing from a U.S. producer or importer (e.g., logistical or supply chain management costs, warehousing/inventory carrying costs, insurance or other risk management fees, demurrage fees, indirect and overseas costs)?

☐ No ☐ Yes—Please answer parts ii, iii, and iv.

- ii. Please provide an estimate of the total additional cost incurred (as a percentage compared to LDP value) when importing graphite rather than purchasing from a U.S. producer or importer. (Report only the portion that is related to your firm's importing activities, either directly or indirectly, and not already included in the LDP value. Do NOT include any costs that also would be incurred if your firm purchased the product from a U.S. producer or importer.) _____ percent compared to LDP value.

- iii. Please identify and explain the specific additional costs incurred by your firm and estimate each cost (in percent) compared to the cost of importing. (Only report costs and the portion of each cost that was related to your firm's importing activities for graphite, either directly or indirectly, and that are not already included in the LDP value. Do NOT report costs that your firm would incur when purchasing graphite from a U.S. producer or importer.)

Costs	Estimated percentage compared to LDP value (percent)	Explanation
	%	
	%	
	%	
	%	

- iv. Briefly describe how your firm's additional costs (beyond the purchase price) by importing active anode graphite directly compare with the additional costs your firm incurs when purchasing from a U.S. producer or U.S. importer.

III-3d. **Decision basis.**—To which source(s) does your firm compare costs in determining whether or not to import graphite? Check all that apply.

U.S. importers	U.S. producers	Neither U.S. importers nor U.S. producers
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

III-3e. **Benefits of importing active anode graphite.**

- i. Briefly identify the benefits of importing active anode graphite instead of purchasing graphite from a U.S. importer or from a U.S. producer.

--

- ii. Is it your firm's experience that the active anode graphite your firm imported from China is priced lower than it would be if your firm purchased from a U.S. importer or U.S. producer?

Imports are priced lower when	No	Yes (If yes to either, please answer parts iii and iv.)
Not including the additional costs above	<input type="checkbox"/>	<input type="checkbox"/>
Including the additional costs above	<input type="checkbox"/>	<input type="checkbox"/>

- iii. Please estimate your firm's savings by importing active anode graphite instead of purchasing from a U.S. producer or U.S. importer since January 1, 2021 (as a percent of the purchase price from the U.S. importer or U.S. producer). For your estimate, compare the price of purchasing from a U.S. importer or U.S. producer to the cost of direct imports (including the additional costs described above in III-3b).

Factors	Estimated savings (percent of purchase price from U.S. importer/producer)
U.S. producer	%
U.S. importer	%

- iv. What methods or experience did you base the estimates in III-3diii (i.e. based on previous transactions with U.S. producers and/or U.S. importers, market research, etc.) Check all that apply.

Reported estimates in III-3diii based on	✓ if Yes
Previous company transactions	<input type="checkbox"/>
Market research	<input type="checkbox"/>
Other – Please explain	<input type="checkbox"/>

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of these data, as Commission staff may contact your firm regarding questions. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

- III-4. **Price setting.**--How does your firm determine the prices that it charges for sales of active anode material (*check all that apply*)?

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- III-5. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- III-6. **Pricing terms.**--On what basis are your firm's prices of imported active anode material from China usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

- III-7. **Contract versus spot.**-- Approximately what shares of your firm's sales of its active anode material from China in 2023 were on the basis of (1) short-term contracts, (2) annual contracts, (3) long-term contracts, and (4) spot sales?

Item	Type of sale				Total (should sum to 100.0%)
	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	Spot sales (for a single delivery)	
Share of 2023 sales	%	%	%	%	0.0 %

- III-8. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for active anode material imported from China (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation (during contract period)	Yes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	Quantity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Price	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Both	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Indexed to raw material costs ¹	Yes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
¹ Please identify the indexes used: _____.				

- III-9. **Lead times.**-- What share of your firm's sales of its active anode material imported from China was from inventory and produced to order, and what was the typical lead time between a customer's order and the date of delivery for your firm's sales of its imported active anode material?

Source	Share of 2023 sales	Lead time (Average number of days)
From your firm's U.S. inventory	%	
From foreign manufacturers' inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

III-10. **Shipping information.**—

- (a) Who generally arranges the transportation to your firm's customers' locations?
☐ Your firm ☐ Purchaser (*check one*)
- (b) When your firm sells active anode material imported from China, from where is it shipped?
☐ Point of importation ☐ Storage facility (*check one*)
- (c) Indicate the approximate percentage of your firm's sales of active anode material imported from China that are delivered the following distances from your firm's U.S. point of shipment.

Distance from your firm's U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

- III-11. **Geographical shipments.**—In which U.S. geographic market area(s) has your firm sold active anode material imported from subject countries since January 1, 2021 (check all that apply)?

Geographic area	China
Northeast. —CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
Midwest. —IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
Southeast. —AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
Central Southwest. —AR, LA, OK, and TX.	<input type="checkbox"/>
Mountains. —AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
Pacific Coast. —CA, OR, and WA.	<input type="checkbox"/>
Other. —All other markets in the United States not previously listed, including AK, HI, PR, and VI.	<input type="checkbox"/>

- III-12. **Inland transportation costs.**—What is the approximate percentage of the cost of active anode material imported from China that is accounted for by U.S. inland transportation costs? _____ percent.

III-13. **End uses.**--List the end uses of the active anode material that your firm imports. For each end-use product, what percentage of the total cost is accounted for by active anode material and other inputs?

End-use product	Share of total cost of end-use product accounted for by		Total (should sum to 100.0% across)
	Active anode material	Other inputs	
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

III-14. **Substitutes.**--Can other products be substituted for active anode material?

☐ No ☐ Yes--Please fill out the table.

Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for active anode material?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Demand trends.**-- Has demand within the United States and outside of the United States (if known) for active anode material steadily increased, fluctuated but ended higher, not changed, fluctuated but ended lower, or steadily decreased since January 1, 2021? Explain any trends and describe the principal factors that have affected these changes in demand.

Select one box per row.

Market	Steadily increase	Fluctuate up	No change	Fluctuate down	Steadily decrease	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- III-16. **Product changes.**--Have there been any significant changes in the product range, product mix or marketing of active anode material since January 1, 2021?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-17. **Business cycles.**--Is the active anode material market subject to business cycles, either during the year or across years? If yes, describe.

No	Yes	If yes, please describe, including any changes since January 1, 2021.
<input type="checkbox"/>	<input type="checkbox"/>	

- III-18. **Conditions of competition.**--Is the active anode material market subject to conditions of competition distinctive to active anode material other than the business cycles described in the previous question? If yes, describe.

No	Yes	If yes, please describe, including any changes since January 1, 2021.
<input type="checkbox"/>	<input type="checkbox"/>	

III-19. Supply constraints.—

- (a) Has your firm refused, declined, or been unable to supply active anode material at any time since January 1, 2021 (examples include placing customers on allocation or “controlled order entry,” declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, impact from changes in operations listed in II-2a, etc.)?

No (skip to III-20)	Yes (respond to part b)
<input type="checkbox"/>	<input type="checkbox"/>

- (b) For each year that your firm faced supply constraints, check yes and describe the constraints with the details requested below.

Check if yes	Period	Description: include the timing, duration, and reason for the constraint.
<input type="checkbox"/>	2021	
<input type="checkbox"/>	2022	
<input type="checkbox"/>	2023	
<input type="checkbox"/>	2024	

- III-20. Raw materials.--** Have active anode material raw material prices (i.e., mined and synthetic graphite) steadily increased, fluctuated but ended higher, not changed, fluctuated but ended lower, or steadily decreased since January 1, 2021?

Select one box per row.

Type of raw material	Steadily increase	Fluctuate up	No change	Fluctuate down	Steadily decrease	Explain, noting how raw material price changes have affected your firm's selling prices for active anode material.
Mined graphite	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Synthetic graphite	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-21. **Interchangeability.**—How often is active anode material produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries
United States		
China		
For any country-pair producing active anode material that is <i>sometimes</i> or <i>never</i> interchangeable, explain the factors that limit or preclude the interchangeable use of active anode material produced in the countries:		

III-22. **Factors other than price.**—How often are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between active anode material produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries
United States		
China		
For any country-pair for which factors other than price are <i>always</i> or <i>frequently</i> a significant factor in your firm's sales of active anode material, identify the relevant factors other than price, and report the advantages or disadvantages imparted by such factors:		

- III-23. **Role of section 301 tariffs.**-- Did the tariffs on Chinese-origin products under section 301, or changes in these tariffs, have an impact on the active anode material market in the United States, including any effects on active anode material cost, price, supply, and/or demand, since January 1, 2021?

Yes	No	Don't know
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<p>If yes, please describe the impact on cost, price, supply, and/or demand, and include the timing of such impacts.</p>

- III-24. **Role of the U.S. Inflation Reduction Act ("IRA").**—Did the IRA have an impact on the active anode material market in the United States, including any effects on active anode material cost, price, supply, and/or demand, since it was signed on August 16, 2022?

Yes	No	Don't know
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<p>If yes, please describe the impact on cost, price, supply, and/or demand, and include the timing of such impacts.</p>

III-25 **Customer identification.**--List the names and contact information for your firm's 10 largest U.S. customers for active anode material since January 1, 2021. Indicate the share of the quantity of your firm's U.S. shipments of active anode material that each of these customers accounted for in 2023.

	Customer's name	Contact person	Email	Telephone	City	State	Share of 2023 sales (%)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

III-26. **Other explanations.**--If your firm would like to further explain a response to a question in Part III for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section.

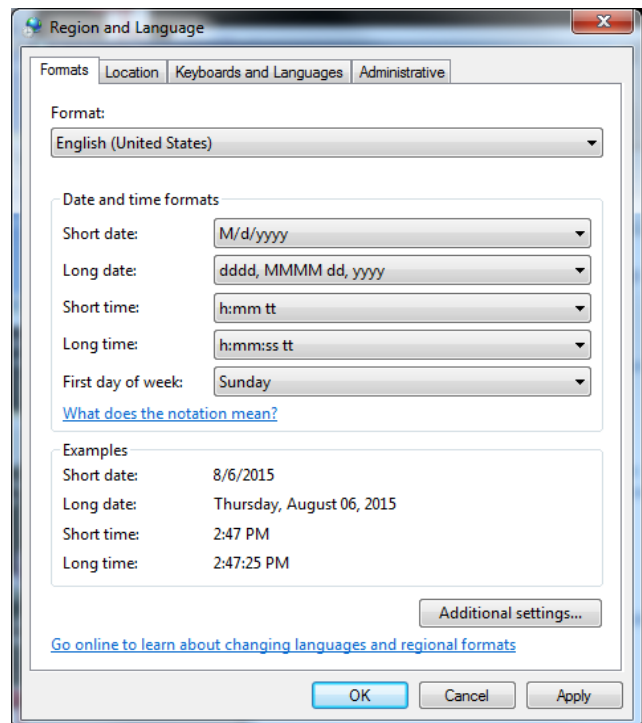
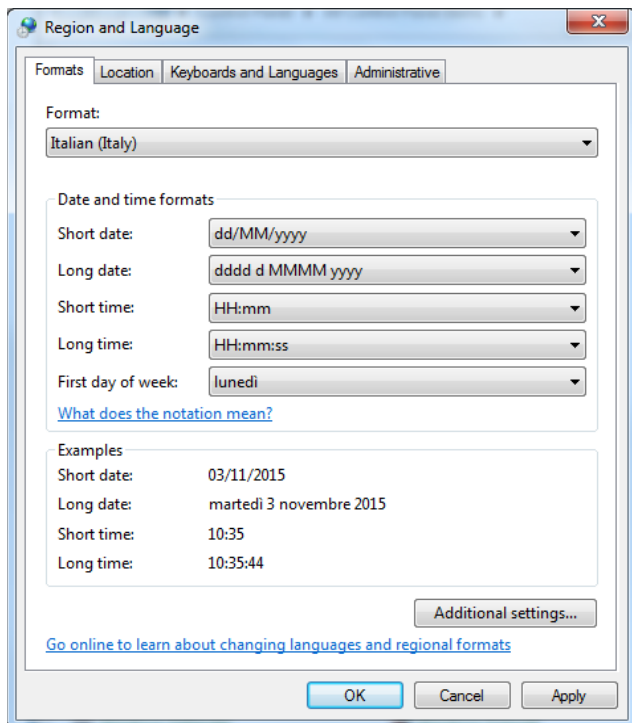
Correcting valid number error messages.--If you are completing this questionnaire in a country that uses periods (".") to delineate multiples of 1000 (i.e., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in the numeric form fields. This issue stems from your computer's number formatting setting (i.e., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (",") delineate multiples of 1000 and periods (".") delineate fractions less than one. Many EU and other countries use the reverse where multiples of 1000 are delineated with periods (".") and fractions less than one are delineated with commas (","). The U.S. International Trade Commission's questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU or other country number formatting, we believe this may cause this issue.

The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g., "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the USITC importer questionnaire form. When you finish reporting the data then you can close the questionnaire and switch back to Italy (or your country) settings.



HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

https://usitc.gov/reports/active_import_injury_questionnaires.

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Commission’s secure submission portal.**— The questionnaire must be uploaded in two formats: (1) a Microsoft Word 97-2003 document; and (2) a PDF copy of the complete questionnaire with a signature on the first page. Please include any attachments at the end of the PDF (e.g., APO certification, additional comments, etc.).

Web address: <https://usitc.gov/qportal> **Pin:** **ANODE** **Phase:** **Preliminary**

- **E-mail.**— *E-mail the MS Word questionnaire to calvin.chang@usitc.gov; include a PDF copy of the complete questionnaire with a signature on the first page. Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure submission portal and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

If your firm did not import this product, please fill out page 1, print, sign, and submit a scanned PDF copy via the Commission’s secure submission portal or email.

Parties to this proceeding.— If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1802). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.