



**U.S. Department of Housing and Urban Development**

Policy Development and Research

HUDRD - HBCU Research Center of Excellence

FR-6800-N-29F

07/09/2024

# Table of Contents

|  |    |
|--|----|
| OVERVIEW .....   | 3  |
| I. FUNDING OPPORTUNITY DESCRIPTION.....  | 3  |
| A. Program Description .....   | 3  |
| B. Authority .....   | 12 |
| II. AWARD INFORMATION .....  | 13 |
| A. Available Funds .....   | 13 |
| B. Number of Awards .....  | 13 |
| C. Minimum/Maximum Award Information .....   | 13 |
| D. Period of Performance.....  | 13 |
| E. Type of Funding Instrument .....  | 13 |
| III. ELIGIBILITY INFORMATION .....   | 14 |
| A. Eligible Applicants .....   | 14 |
| B. Ineligible Applicants .....   | 14 |
| C. Cost Sharing or Matching.....   | 14 |
| D. Threshold Eligibility Requirements .....  | 15 |
| E. Statutory and Regulatory Requirements Affecting Eligibility .....   | 16 |
| F. Program-Specific Requirements .....   | 16 |
| G. Criteria for Beneficiaries.....   | 18 |
| IV. APPLICATION AND SUBMISSION INFORMATION .....   | 18 |
| A. Obtain an Application Package .....   | 18 |
| B. Content and Form of Application Submission .....  | 19 |
| C. System for Award Management (SAM) and Unique Entity Identifier (UEI) .....  | 23 |
| D. Application Submission Dates and Times .....  | 24 |
| E. Intergovernmental Review .....  | 27 |
| F. Funding Restrictions .....  | 27 |
| V. APPLICATION REVIEW INFORMATION .....  | 28 |
| A. Review Criteria .....   | 28 |
| B. Review and Selection Process .....  | 32 |
| VI. AWARD ADMINISTRATION INFORMATION.....  | 33 |
| A. Award Notices.....  | 33 |
| B. Administrative, National and Departmental Policy Requirements and Terms for HUD<br>Applicants and Recipients of Financial Assistance Awards ..... | 34 |
| C. Reporting .....   | 37 |

D. Debriefing .....39

VII. AGENCY CONTACT(S).....39

VIII. OTHER INFORMATION .....40

APPENDIX.....41

**Funding Opportunity Title:**

HUDRD - HBCU Research Center of Excellence

**Funding Opportunity Number:**

FR-6800-N-29F

**Assistance Listing Number:**

14.506

**Due Date for Applications:**

07/09/2024

## OVERVIEW

The U.S. Department of Housing and Urban Development (HUD) issues this Notice of Funding Opportunity (NOFO) to invite applications from eligible applicants for the program and purpose described within this NOFO. You, as a prospective applicant, should carefully read all instructions in all sections to avoid sending an incomplete or ineligible application. HUD funding is highly competitive. Failure to respond accurately to any submission requirement could result in an incomplete, ineligible, or noncompetitive proposal.

In accordance with [Title 24 part 4, subpart B](#) of the Code of Federal Regulations (CFR), during the selection process (which includes HUD's NOFO development and publication, and concludes with the announcement of the selection of recipients of assistance), HUD is prohibited from disclosing covered selection information. Examples of impermissible disclosures include: 1) information regarding any applicant's relative standing; 2) the amount of assistance requested by any applicant; and 3) any information contained in the application. Prior to the application deadline, HUD may not disclose the identity of any applicant or the number of applicants who have applied for assistance.

For further information regarding this NOFO, direct questions regarding the specific requirements of this NOFO to the agency contact identified in section VII.

**Paperwork Reduction Act Statement.** In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. §§ 3501- 3520) (PRA), the Office of Management and Budget (OMB) approved the information collection requirements in this NOFO. HUD may not conduct or sponsor, and a person is not required to respond to a collection of information unless the collection displays a valid OMB control number. This NOFO identifies the applicable OMB control number, unless the collection of information is excluded from these requirements under [5 CFR Part 1320](#).

**OMB Control Number(s):**

2501-0044

## I. FUNDING OPPORTUNITY DESCRIPTION

### A. Program Description

#### 1. Purpose

The purpose of this NOFO is to fund research at one or more research Centers of Excellence (Centers or COEs, COE when singular) at Historically Black Colleges and Universities (HBCUs). The Centers will conduct research projects on topics of strategic interest to HUD and produce research that provides evidence-based solutions to housing, community development,

economic development, or built environment challenges in underserved communities. Applicants must clearly specify proposed research projects and how they fill key knowledge and evidence gaps, describe their capacity to successfully conduct the proposed research and disseminate their findings to policymakers and other key stakeholders, and detail plans for developing and sustaining a Center of Excellence.

Through this NOFO, HUD is seeking HBCUs with demonstrated capacity to conduct rigorous and policy-relevant research, develop actionable policy recommendations, and share findings with policymakers, community organizations and other key stakeholders able to implement policy and programmatic solutions to challenges in underserved communities. We encourage applicants to propose building on their demonstrated experience to do something new and innovative. We encourage the submission of proposals that draw on novel research approaches, including big data analytics, machine learning and artificial intelligence; community-engaged and participatory research; and novel partnerships such as academic consortia and collaboration with other Minority-Serving Institutions (MSIs), other Institutions of Higher Education, community-based organizations, or other federal, state and local government agencies. Partners can include subrecipients. Competitive applications will include action and sustainability plans, creative partnerships and collaboration models, multidisciplinary and holistic methodologies, and new or enhanced theory of change models designed to achieve transformative outcomes.

HUD anticipates awarding up to four awards ranging from \$1 million to \$4 million. Using the funds made available through this NOFO, recipients will undertake multiple research projects.

**Research Projects:** The research projects proposed by the applicant must be on topics of strategic interest to HUD that address challenges related to housing, community development, economic development, or the built environment in underserved communities. These topics may be from HUD's 2022-26 [Learning Agenda](#), which identifies HUD's priority learning and research questions, consistent with the Departmental objectives and goals described in HUD's 2022-26 [Strategic Plan](#).

Other topics of strategic interest to HUD include:

- equity issues in local housing markets, broadly defined to include production and preservation, rental and homeownership, tenant protections, fair housing, zoning and land use, property appraisals, and property taxation;
- promoting housing stability and preventing people from experiencing homelessness;
- improvements and innovations in building codes, planning methods and construction technologies to address housing and community development needs and improve environmental sustainability and climate resilience;
- “green” or energy-efficient practices in housing and carbon reduction strategies;
- disaster preparedness and disaster recovery;
- leveraging technology to address housing, community development, and economic development needs, improve the built environment, or create wealth-building opportunities in historically underserved communities;
- innovative solutions that allow residents of HUD-assisted rental housing and other low-income renters to access economic opportunities, quality education, affordable transportation, health care, essential services, green space, and cultural amenities;

- housing and community planning that addresses the needs of and engages underserved communities.

HUD is particularly interested in research to both better understand and eliminate disparities in laws and policies, and in public and private institutions, that may deny equal housing and community-building opportunities to individuals and families in underserved communities, and support policy solutions to address these disparities and that can be applied to a wide variety of communities. Research should relate to a long-term goal (e.g., improving minority homeownership). Given the range of possible research topics, the research funded by this NOFO has the potential to address almost all of the goals and objectives in HUD's Strategic Plan.

The proposed research should apply new and context-specific approaches and methods to studying challenges faced by underserved communities in urban or rural areas. Applicants should also describe how the proposed research would fill key knowledge and evidence gaps and how they plan to share findings with policymakers, community organizations and other key stakeholders able to implement policy and programmatic solutions to challenges in underserved communities. Applicants may wish to review previously approved [published research](#) to avoid duplication and illustrate what completed HUD research studies look like.

**Center of Excellence:** The research Centers of Excellence (COEs) shall conduct rigorous and actionable research focused on housing, community development, economic development, and the built environment in underserved communities. COEs should take a multidisciplinary approach to the research and use innovative methods. Ultimately, the purpose of a COE will be to support evidence-based, data-driven, and community-informed policymaking and program improvements at the local, state, and national levels.

The COE will serve as a platform for the formulation, analysis, and dissemination of innovative, evidence-based solutions to address problems confronting underserved communities. The COE will perform academic research, hold expert convenings, and conduct related activities designed to advance understanding of economic and social factors that affect communities, including housing, economic and workforce development, health and health care, education, civic engagement, public safety, and other dimensions of community health. Through academic programs, research projects, and thought leadership, the COE will help leaders across all levels of government, as well as the business and civic sectors, address critical issues that impact the social and economic well-being of the families and individuals who reside in underserved communities.

Applicants are encouraged to consider and apply lessons from the experiences of and research produced at COEs at HBCUs and Hispanic-Serving Institutions (HSIs) funded through past HUD grants, as well as other university-based housing research centers.

The awardee will be required to develop and submit a detailed plan for the development and long-term sustainability (beyond 5 years) of a Center of Excellence to continue the work started under this NOFO and serve as a leader in researching issues related to housing, community development, economic development, and the built environment in underserved communities.

### **Eligible Activities**

Awardees can use funds to conduct research projects on topics described in this NOFO and in their application that will contribute to the development of a sustainable research Center of

Excellence. Funds can be used for a variety of research activities, including articulating research questions, establishing and implementing appropriate data analysis, communicating and sharing research findings, and producing informational tools and resources that improve practice.

Center of Excellence does not refer to physical entities; HUD will not fund buildings or the rental and/or maintenance of office space. Funds may not be used for construction or other construction related activities, or for the purchase or lease of real property, or for the purchase of equipment.

## **Deliverables**

At a minimum, HUD expects each award recipient to produce the following deliverables during the 36-month performance period.

1. Management and Work Plan (MWP) that outlines the overall strategy for completing the research within the budget and timeframe allotted. It will describe activities to be undertaken, assign staff, and provide a schedule of key tasks.
2. Research Design describing the methodology that will be used to complete the proposed research, including collection, management, and analysis of data.
3. Quality Control Plan that addresses all potential points of a lapse in quality control.
4. Detailed plan for the establishment, sustainability, and ongoing financial stability of the Center of Excellence.
5. Quarterly Progress Reports that document activities completed in the most recent reporting period, planned activities for the upcoming reporting period, and budget expenditures by line item (including hours worked for specific staff). Progress reports must be submitted quarterly and shall be aligned with the submission of the draw down requests, by which grantees receive reimbursement.
6. Research Policy Papers related to multiple research projects funded by the award.
7. Final Report that summarizes the entire work, achievements, and findings conducted under the NOFO award. The report format and style requirements are provided at [PD&R Style Guide for Reports](#).

The Government Technical Representative (GTR) and/or other HUD staff as identified by the GTR will have substantial involvement in this grant and work closely with the awardee on defining these deliverables. HUD staff shall have an opportunity to review and comment on these deliverables to ensure they meet the project objectives. The specific times for submission, review, and resubmission are contained in the Cooperative Agreement.

## **2. HUD and Program-Specific Goals and Objectives**

This NOFO supports [HUD's Strategic Plan for Fiscal Years \(FY\) 2022-2026](#) to accomplish HUD's mission and vision. Each of the five goals in the [Strategic Plan](#) include what HUD hopes to accomplish, the strategies to accomplish those objectives, and the indicators of success. However, of the five goals only those applicable to this NOFO are identified below.

You are expected to align your application to the applicable strategic goals and objectives below. Use the information in this section to describe in your application the specific goals, objectives, and measures that your project is expected to help accomplish. If your project is selected for funding, you are also expected to establish a plan to track progress related to those goals,

objectives, and measures. HUD will monitor compliance with the goals, objectives, and measures in your project.

### **Applicable Goals and Objectives from HUD's Strategic Plan**

#### **Strategic Goal 1: Support Underserved Communities**

Fortify support for underserved communities and support equitable community development for all people.

##### **1A: Advance Housing Justice**

Fortify support for vulnerable populations, underserved communities, and Fair Housing enforcement.

##### **1B: Reduce Homelessness**

Strengthen Federal, State, Tribal, and community implementation of the Housing First approach to reducing the prevalence of homelessness, with the ultimate goal of ending homelessness.

##### **1C: Invest in the Success of Communities**

Promote equitable community development that generates wealth-building for underserved communities, particularly for communities of color.

#### **Strategic Goal 2: Ensure Access to and Increase the Production of Affordable Housing**

Ensure housing demand is matched by adequate production of new homes and equitable access to housing opportunities for all people.

##### **2A: Increase the Supply of Housing**

Enhance HUD's programs that increase the production and supply of housing across the country.

##### **2B: Improve Rental Assistance**

Improve rental assistance to address the need for affordable housing.

#### **Strategic Goal 3: Promote Homeownership**

Promote homeownership opportunities, equitable access to credit for purchase and improvements, and wealth-building in underserved communities.

##### **3A: Advance Sustainable Homeownership**

Advance the deployment of tools and capital that put sustainable homeownership within reach.

##### **3A: Major Initiative: Expand Homeownership Opportunities**

Promote financing for innovative ownership models to increase the availability of affordable housing.

##### **3B: Create a More Accessible and Inclusive Housing Finance System**

Advance new policy, programs, and modernization initiatives that support a more equitable housing finance system. Promote the preservation and creation of affordable housing stock.

#### **Strategic Goal 4: Advance Sustainable Communities**

Advance sustainable communities by strengthening climate resilience and energy efficiency, promoting environmental justice, and recognizing housing's role as essential to health.

##### **4A: Guide Investment in Climate Resilience**

Invest in climate resilience, energy efficiency, and renewable energy across HUD programs.



#### **4B: Strengthen Environmental Justice**

Reduce exposure to health risks, environmental hazards, and substandard housing, especially for low-income households and communities of color.

#### **4C: Integrate Health and Housing**

Advance policies that recognize housing's role as essential to health.

### **3. Changes from Previous NOFO**

**Purpose.** The description of the purpose of the program is revised to identify current HUD research priorities.

**Rating factors.** The rating factors are revised to ensure the research projects are fully described and the research experience relates to the proposed research projects.

**Racial Equity and Civil Rights narratives.** Applicants are required to provide narratives, no longer than 2 pages each, addressing Advancing Racial Equity, Experience Promoting Racial Equity, and Affirmatively Furthering Fair Housing.

### **4. Definitions**

#### **a. Standard Definitions**

**Affirmatively Furthering Fair Housing (AFFH)** means taking meaningful actions, in addition to combating discrimination to overcome patterns of segregation and foster inclusive communities free from barriers that restrict access to opportunity based on protected characteristics. Specifically, affirmatively furthering fair housing means taking meaningful actions that, taken together, address significant disparities in housing needs and in access to opportunities, replacing segregated living patterns with truly integrated and balanced living patterns, transforming racially and ethnically concentrated areas of poverty into areas of opportunity, and fostering and maintaining compliance with civil rights and fair housing laws. The duty to affirmatively further fair housing extends to all program participant's activities and programs relating to housing and urban development.

**Assistance Listing number** refers to the unique number assigned to each Federal assistance program publicly available in the Assistance Listing, which is managed and administered by the General Services Administration. The Assistance Listing number was formerly known as the Catalog of Federal Domestic Assistance (CFDA) number.

**Authorized Organization Representative (AOR)** is a person authorized to legally bind your organization and submit applications via Grants.gov. The AOR is authorized by the E-Business Point of Contact (E-Biz POC) in the System for Award Management (see E-Biz POC definition). An AOR may include an Expanded AOR and/or a Standard AOR.

***Expanded Authorized Organization Representative*** is a user in Grants.gov who is authorized by the E-Biz POC to perform the functions of a Standard AOR, initiate and submit applications on behalf of your organization, and is allowed to modify organization-level settings and certifications in Grants.gov.

***Standard Authorized Organization Representative*** is a user in Grants.gov who is authorized by the E-Biz POC to initiate and submit applications in Grants.gov. A Grants.gov user with

the Standard AOR role can only submit applications when they are a Participant for that workspace.

**Consolidated Plan** is the document submitted to HUD that serves as the comprehensive housing affordability strategy, community development plan, and submission for funding under any of the Community Planning and Development formula grant programs (e.g., CDBG, ESG, HOME, and HOPWA). This Plan is prepared in accordance with the process described in [24 CFR part 91](#). This plan is completed by engaging in a participatory process to assess affordable housing and community development needs and market conditions, and to make data-driven, place-based investment decisions with funding from formula grant programs. (See [24 CFR part 91](#) for HUD's requirements regarding the Consolidated Plan and related Action Plan).

**Contract** means, for the purpose of Federal financial assistance, a legal instrument by which a recipient or subrecipient purchases property or services needed to carry out the project or program under a Federal award. For additional information on contractor and subrecipient determinations, see [2 CFR 200.331](#).

**Contractor** means an entity that receives a contract as defined above and in [2 CFR 200.1](#).

**Cooperative agreement** has the same meaning defined at [2 CFR 200.1](#).

**Deficiency**, with respect to the making of an application for funding, is information missing or omitted within a submitted application. Examples of deficiencies include missing documents, missing or incomplete information on a form, or some other type of unsatisfied information requirement. Depending on specific criteria, a deficiency may be either Curable or Non-Curable.

*A **Curable Deficiency*** is missing or incomplete application information that may be corrected by the applicant with timely action. To be curable, the deficiency must:

- Not be a threshold requirement, except for documentation of applicant eligibility;
- Not influence how an applicant is ranked or scored versus other applicants; and
- Be remedied within the time frame specified in the notice of deficiency.

*A **Non-Curable Deficiency*** is missing or incomplete application information that cannot be corrected by an applicant after the submission deadline. A non-curable deficiency is a deficiency that is a threshold requirement, or a deficiency that, if corrected, would change an applicant's score or rank versus other applicants. If an application includes a non-curable deficiency, the application may receive an ineligible determination, or the non-curable deficiency may otherwise adversely affect the application's score and final funding determination.

**E-Business Point of Contact (E-Biz POC)** is an individual associated with the applicant organization who is responsible for the administration and management of award activities for the applicant organization. The E-Biz POC is likely to be an organization's chief financial officer or authorizing official. The E-Biz POC authorizes representatives of their organization to apply on behalf of the organization (see Authorized Organization Representative definition). There can only be one E-Biz POC per unique entity identifier (see definition of Unique Entity Identifier below).

**Eligibility requirements** are mandatory requirements for an application to be eligible for funding.

**Environmental Justice** means investing in environmental improvements, remedying past environmental inequities, and otherwise developing, implementing, and enforcing environmental laws and policies in a manner that advances equity and provides meaningful involvement for people and communities that have been environmentally underserved or overburdened, such as Black and Brown communities, indigenous groups, and individuals with disabilities. This definition does not alter the requirements under HUD's regulations at [24 CFR 58.5\(j\)](#) and [24 CFR 50.4\(l\)](#) implementing [Executive Order 12898](#). E.O. 12898 requires a consideration of how Federally assisted projects may have disproportionately high and adverse human health or environmental effects on minority and/or low-income populations. For additional information on environmental review compliance, refer to:

[https://www.hud.gov/program\\_offices/comm\\_planning/environment\\_energy/regulations](https://www.hud.gov/program_offices/comm_planning/environment_energy/regulations).

**Equity** has the meaning given to that term in Section 2(a) of Executive Order [13985](#) and means the consistent and systematic fair, just, and impartial treatment of all individuals, including individuals who belong to underserved communities that have been denied such treatment, such as Black, Latino, and Indigenous and Native American persons, Asian Americans and Pacific Islanders and other persons of color; members of religious minorities; lesbian, gay, bisexual, transgender, and queer (LGBTQ+) persons; persons with disabilities; persons who live in rural areas; and persons otherwise adversely affected by persistent poverty or inequality.

**Federal award**, has the meaning, depending on the context, in either paragraphs (1) or (2) of this definition:

(1)

(a) The Federal financial assistance that a recipient receives directly from a Federal awarding agency or indirectly from a pass-through entity, as described in [2 CFR 200.101](#); or

(b) The cost-reimbursement contract under the Federal Acquisition Regulations that a non-Federal entity receives directly from a Federal awarding agency or indirectly from a pass-through entity, as described in [2 CFR 200.101](#).

(2) The instrument setting forth the terms and conditions. The instrument is the grant agreement, cooperative agreement, other agreement for assistance covered in paragraph (2) of the definitions of Federal financial assistance in [2 CFR 200.1](#), and this NOFO, or the cost-reimbursement contract awarded under the Federal Acquisition Regulations.

(3) Federal award does not include other contracts that a Federal agency uses to buy goods or services from a contractor or a contract to operate Federal Government owned, contractor operated facilities (GOCOs).

(4) See also definitions of Federal financial assistance, grant agreement, and cooperative agreement in [2 CFR 200.1](#).

**Federal Financial Assistance** has the same meaning defined at [2 CFR 200.1](#).

**Grants.gov** is the website serving as the Federal government's central portal for searching and applying for Federal financial assistance throughout the Federal government. Registration on Grants.gov is required for submission of applications to prospective agencies unless otherwise specified in this NOFO.

**Historically Black Colleges and Universities (HBCUs)** are any historically Black college or university that was established prior to 1964, whose principal mission was, and is, the education of Black Americans, and that is accredited by a nationally recognized accrediting agency or association determined by the Secretary of Education to be a reliable authority as to the quality of training offered or is, according to such an agency or association, making reasonable progress toward accreditation. A list of accredited HBCUs can be found at the U.S. Department of Education's website.

**Minority-Serving Institutions (MSIs)** are

- (1) a part B institution (as defined in 20 U.S.C. § 1061(2));
- (2) a Hispanic-serving institution (as defined in 20 U.S.C. § 1101a(5));
- (3) a Tribal College or University (as defined in 20 U.S.C. § 1059c(b)(3));
- (4) an Alaska Native-serving institution or a Native Hawaiian-serving institution (as defined in 20 U.S.C. § 1059d(b));
- (5) a Predominantly Black Institution (as defined in 20 U.S.C. § 1059e(b)(6));
- (6) an Asian American and Native American Pacific Islander-serving institution (as defined in 20 U.S.C. § 1059g(b)(2)); or
- (7) a Native American-serving nontribal institution (as defined in 20 U.S.C. § 1059f(b)(2)).

**Non-Federal Entity (NFE)** means a state, local government, Indian tribe, Institution of Higher Education (IHE), or non-profit organization that carries out a Federal award as a recipient or subrecipient.

**Primary Point of Contact (PPOC)** is the person who may be contacted with questions about the application submitted by the AOR. The PPOC is listed in item 8F on the SF-424.

**Racial Equity** is the elimination of racial disparities and is achieved when race can no longer predict opportunities, distribution of resources, or outcomes – particularly for Black and Brown persons.

**Recipient** means an entity, usually but not limited to non-Federal entities, that receives a Federal award directly from HUD. The term recipient does not include subrecipients or individuals that are beneficiaries of the award.

**Resilience** is a community's ability to minimize damage and recover quickly from natural disasters, extreme weather events, and other changing climate conditions.

**Small business** is defined as a privately-owned corporation, partnership, or sole proprietorship that has fewer employees and less annual revenue than regular-sized business. The definition of "small"—in terms of being able to apply for government support and qualify for preferential tax policy—varies by country and industry. The U.S. Small Business Administration defines a small business according to a set of standards based on specific industries. See [13 CFR part 121](#).

**Subaward** means an award provided by a pass-through entity to a subrecipient for the subrecipient to carry out part of a Federal award received by the pass-through entity. It does not include payments to a contractor or payments to an individual that is a beneficiary of a Federal

program. A subaward may be provided through any form of legal agreement, including an agreement that the pass-through entity considers a contract.

**Subrecipient** means an entity, usually but not limited to non-Federal entities, that receives a subaward from a pass-through entity to carry out part of a Federal award but does not include an individual that is a beneficiary of such award. A subrecipient may also be a recipient of other Federal awards directly from a Federal awarding agency.

**System for Award Management (SAM)** is the Federal Repository into which an entity must provide information required for the conduct of business as a recipient. Registration with SAM is required for submission of applications via Grants.gov. You can access the website at <https://www.sam.gov/SAM/>. There is no cost to use SAM.

**Threshold Requirements** are eligibility requirements that must be met for an application to be reviewed, rated, and ranked. Threshold requirements are not curable, except for documentation of applicant eligibility, which are listed in Section III.D., Threshold Eligibility Requirements. Similarly, there are eligibility requirements under Section III.E., Statutory and Regulatory Requirements Affecting Eligibility.

**Underserved Communities** has the meaning given to that term in Section 2(b) of Executive Order [13985](#) and refers to populations sharing a particular characteristic, as well as geographic communities, that have been systematically denied a full opportunity to participate in aspects of economic, social, and civic life, as exemplified by the list in the definition of “equity” above.

**Unique Entity Identifier (UEI)** means the identifier assigned by SAM to uniquely identify entities. As of April 4, 2022, the Federal government has transitioned from the use of the DUNS Number to the use of UEI, as the primary means of entity identification for Federal awards government-wide.

#### b. Program Definitions.

**Program theory:** A program theory or logic model explains how the activities of an intervention are understood to contribute to a chain of results that produces intended or actual impacts.

**Theory of change:** Theory of change is a comprehensive description and illustration of how and why a desired change is expected to happen in a particular context. It is focused on mapping out or “filling in” what has been described as the “missing middle” between what a program or change initiative does (its activities or interventions) and how these lead to desired goals being achieved.

## B. Authority

This program is authorized by Sections 501 and 502 of the Housing and Urban Development Act of 1970 (12 U.S.C. §1701z-1 and §1701z-2) and funding is provided by the Consolidated Appropriations Act, 2023 (Public Law 117-328, approved December 29, 2022).

## **II. AWARD INFORMATION**

### **A. Available Funds**

Funding of approximately **\$5,000,000** is available through this NOFO.

Additional funds may become available for award under this NOFO consistent with VI.A.2.e., Adjustments to Funding. Use of these funds is subject to statutory constraints. All awards are subject to the funding restrictions contained in this NOFO.

### **B. Number of Awards**

HUD expects to make approximately 4 awards from the funds available under this NOFO.

HUD intends to make up to four awards totaling \$5,000,000 (maximum \$4,000,000 and no less than \$1,000,000 for each award) to conduct research projects under this program NOFO.

### **C. Minimum/Maximum Award Information**

Estimated Total Funding:

\$5,000,000

Minimum Award Amount:

\$1,000,000

Per Project Period

Maximum Award Amount:

\$4,000,000

Per Project Period

### **D. Period of Performance**

Estimated Project Start Date:

09/30/2024

Estimated Project End Date:

09/29/2027

Length of Project Periods:

36-month project period and budget period

Length of Periods Explanation of Other:

Not applicable

### **E. Type of Funding Instrument**

Funding Instrument Type:

CA (Cooperative Agreement)

### **III. ELIGIBILITY INFORMATION**

#### **A. Eligible Applicants**

06 (Public and State controlled institutions of higher education)

20 (Private institutions of higher education)

Historically Black Colleges and Universities (HBCUs)

##### **Additional Information on Eligibility**

Applicant must be an HBCU to be eligible.

Current HBCU COE awardees are eligible to apply.

Individuals, foreign entities, and sole proprietorship organizations are not eligible to compete for, or receive, awards made under this announcement.

Faith-based organizations

(1) Faith-based organizations may apply for this award on the same basis as any other organization, as set forth at [24 CFR part 5.109](#), and subject to the protections and requirements of 42 U.S.C. § 2000bb et seq., HUD will not, in the selection of recipients, discriminate against an organization based on the organization's religious character, affiliation, or exercise.

(2) A faith-based organization that participates in this program will retain its independence and may continue to carry out its mission consistent with religious freedom and conscience protections in Federal law, including the Free Speech and Free Exercise Clauses of the Constitution, 42 U.S.C. § 2000bb et seq., 42 U.S.C. § 238n, 42 U.S.C. § 18113, 42 U.S.C. §§ 2000e-1(a) and 2000e-2, 42 U.S.C. § 12113(d), and the Weldon Amendment, among others. Religious accommodations may also be sought under many of these religious freedom and conscience protection laws, particularly under the Religious Freedom Restoration Act.

(3) A faith-based organization may not use direct financial assistance from HUD to support or engage in any explicitly religious activities except where consistent with the Establishment Clause and any other applicable requirements. Such an organization also may not, in providing services funded by HUD, discriminate against a beneficiary or prospective program beneficiary on the basis of religion, religious belief, a refusal to hold a religious belief, or a refusal to attend or participate in a religious practice.

#### **B. Ineligible Applicants**

Any institution that is not an accredited HBCU as defined in the Definitions section is ineligible to be an applicant.

#### **C. Cost Sharing or Matching**

This Program does not require cost sharing or matching, but provides points based on leverage, as described below.

As described in Rating Factor 4, in Section V.A., an applicant that plans to leverage funds or resources (including in-kind contributions) from other organizations may receive up to 5 points.

## **D. Threshold Eligibility Requirements**

Applicants who fail to meet any of the following threshold eligibility requirements are deemed ineligible. Applications from ineligible applicants are not rated or ranked and will not receive HUD funding.

### **1. Resolution of Civil Rights Matters**

Outstanding civil rights matters must be resolved before the application submission deadline. Applicants with unresolved civil rights matters at the application deadline are deemed ineligible. Applications from ineligible applicants are not rated or ranked and will not receive HUD funding.

a. An applicant is ineligible for funding if the applicant has any of the charges, cause determinations, lawsuits, or letters of findings referenced in subparagraphs (1) – (5) that are not resolved to HUD’s satisfaction before or on the application deadline date for this NOFO.

(1) Charges from HUD concerning a systemic violation of the Fair Housing Act or receipt of a cause determination from a substantially equivalent state or local fair housing agency concerning a systemic violation of a substantially equivalent state or local fair housing law proscribing discrimination because of race, color, religion, sex (including sexual orientation and gender identity), national origin, disability or familial status;

(2) Status as a defendant in a Fair Housing Act lawsuit filed by the United States alleging a pattern or practice of discrimination or denial of rights to a group of persons raising an issue of general public importance under 42 U.S.C. § 3614(a);

(3) Status as a defendant in any other lawsuit filed or joined by the Department of Justice, or in which the Department of Justice has intervened, or filed an amicus brief or statement of interest, alleging a pattern or practice or systemic violation of Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Section 109 of the Housing and Community Development Act of 1974, the Americans with Disabilities Act, Violence Against Women Act, or a claim under the False Claims Act related to fair housing, non-discrimination, or civil rights generally including an alleged failure to affirmatively further fair housing;

(4) Receipt of a letter of findings identifying systemic non-compliance with Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Section 109 of the Housing and Community Development Act of 1974; Violence Against Women Act; or the Americans with Disabilities Act; or

(5) Receipt of a cause determination from a substantially equivalent state or local fair housing agency concerning a systemic violation of provisions of a state or local law prohibiting discrimination in housing based on sexual orientation, gender identity, or lawful source of income.

b. HUD will determine if actions to resolve the charge, cause determination, lawsuit, or letter of findings taken before the application deadline date will resolve the matter. Examples of actions that may be sufficient to resolve the matter include, but are not limited to:

(1) Current compliance with a voluntary compliance agreement signed by all the parties;

(2) Current compliance with a HUD-approved conciliation agreement signed by all the parties;



- (3) Current compliance with a conciliation agreement signed by all the parties and approved by the state governmental or local administrative agency with jurisdiction over the matter;
- (4) Current compliance with a consent order or consent decree;
- (5) Current compliance with a final judicial ruling or administrative ruling or decision; or
- (6) Dismissal of charges.

## **2. Timely Submission of Applications**

Applications submitted after the deadline stated within this NOFO that do not meet the requirements of the grace period policy are marked late. Late applications are ineligible and are not considered for funding. See Section IV. D. Application Submission Dates and Times.

## **E. Statutory and Regulatory Requirements Affecting Eligibility**

### **Eligibility Requirements for Applicants of HUD's Financial Assistance Programs**

The following requirements affect applicant eligibility. Detailed information on each requirement is found in the "[Eligibility Requirements for Applicants of HUD's Competitive Programs](#)" document on HUD's Funding Opportunities page. Applicants who fail to meet any of these eligibility requirements are deemed ineligible to receive HUD funding.

1. Universal Identifier and System for Award Management (SAM.gov) Requirements
2. Outstanding Delinquent Federal Debts
3. Debarments or Suspensions, or both
4. Mandatory Disclosure Requirement
5. Pre-selection Review of Performance
6. Sufficiency of Financial Management System
7. False Statements
8. Prohibition Against Lobbying Activities

In addition, each applicant under this NOFO must have the necessary processes and systems in place to comply with the Award Term in Appendix A of [2 CFR part 170](#) if the applicant receives an award, unless an exception applies as provided in [2 CFR 170.110](#).

## **F. Program-Specific Requirements**

### **Advancing Racial Equity**

In accordance with Executive Order [13985](#), Executive Order 14091, *Executive Order on Further Advancing Racial Equity and Support for Underserved Communities Through The Federal Government*, and Federal fair housing and civil rights laws, your application must address the following:

- You analyzed the racial composition of the persons or households who are expected to benefit, directly or indirectly, from your proposed award activities;
- You identified any potential barriers to persons or communities of color equitably benefiting from your proposed award activities;
- You detailed the steps you will take to prevent, reduce, or eliminate these barriers; and

- You have measures in place to track your progress and evaluate the effectiveness of your efforts to advance racial equity in your award activities.

Note that any actions taken in furtherance of this section must be consistent with Federal nondiscrimination requirements.

This narrative is required and must address the four bullets outlined in the paragraph above. Applicants will submit this narrative according to the instructions in Section IV.B. This narrative will be evaluated for sufficiency and will not change the applicant's score or rank as compared to other applicants. If the narrative is deemed insufficient, it will be a "Curable Deficiency" that will be communicated to the applicant for correction with a notice of deficiency.

The narrative shall be a maximum of two pages.

### **Experience Promoting Racial Equity**

In accordance with Executive Order 13985, Executive Order On Advancing Racial Equity and Support for Underserved Communities Through the Federal Government, Executive Order 14091, Further Advancing Racial Equity and Support for Underserved Communities Through the Federal Government, and Federal fair housing and civil rights laws, your application must demonstrate that the applicant has the experience and/or the resources to effectively address the needs of underserved communities, particularly Black and Brown communities. This may include experience successfully working directly with such groups, experience designing or operating programs that equitably benefit such groups, or experience successfully advancing racial equity in other ways. This may also include experience soliciting, obtaining, and applying input from such groups when designing, planning, or implementing programs and activities.

This narrative is required and must address the issues outlined in the paragraph above. Applicants will submit this narrative according to the instructions in Section IV.B. This narrative will be evaluated for sufficiency and will not change the applicant's score or rank as compared to other applicants. If the narrative is deemed insufficient, it will be a "Curable Deficiency" that will be communicated to the applicant for correction with a notice of deficiency.

The narrative shall be a maximum of two pages.

### **Affirmatively Furthering Fair Housing**

With some exceptions for Federally recognized Indian tribes and their instrumentalities, the application must discuss how the applicant will carry out the proposed activities in a manner that affirmatively furthers fair housing in compliance with the Fair Housing Act and its implementing regulations and how applicants will meet the requirements of the definition of affirmatively furthering fair housing at 24 CFR 5.151. If the applicant will carry out proposed activities with an Assessment of Fair Housing (AFH), the proposed activities should be consistent with the AFH's fair housing goals and with fair housing strategies specified in the jurisdiction's Consolidated Plan or Public Housing Agency Plan.

Applicants must address this requirement by submitting a written narrative which describes how their proposed NOFO activities are aligned with the requirement to affirmatively further fair housing (AFFH). Specifically, applicants should describe how their proposed NOFO activities will meaningfully: (1) address significant disparities based on protected class in unmet housing needs (2) address disparities based on protected class in access to opportunity (3) address

segregation and promoting integration (4) transform racially or ethnically concentrated areas of poverty into well-resourced areas of opportunity without displacing existing residents, and/or (5) foster and maintain compliance with civil rights and fair housing laws]. If the narrative is deemed insufficient, it will be a “Curable Deficiency” that will be communicated to the applicant for correction with a notice of deficiency.

The narrative shall be a maximum of two pages.

## **G. Criteria for Beneficiaries.**

Not applicable

# **IV. APPLICATION AND SUBMISSION INFORMATION**

## **A. Obtain an Application Package**

### **Instructions for Applicants**

All application materials, including the Application Instructions and Application Package, are available through Grants.gov. You must access and review all available application materials. You must submit your application electronically via Grants.gov under the Funding Opportunity Number cited within this NOFO. Your application must list the applicable Funding Opportunity Number.

You can request a waiver from the requirement for electronic submission, if you demonstrate good cause. An example of good cause may include: a lack of available Internet access in the geographic area in which your business offices are located. However, lack of SAM registration or valid UEI is not good cause. If you cannot submit your application electronically, you must ask in writing for a waiver of the electronic application submission requirements. HUD will not grant a waiver if you fail to submit to HUD by email or postmark by mail a request for a waiver at least 15 calendar days before the application deadline. If HUD grants a waiver, a paper application must be received before the deadline for this NOFO. To request a waiver, you must contact:

Name:

Kinnard Wright

Email:

Kinnard.D.Wright@hud.gov

HUD Organization:

PDR

Street:

451 7th Street, SW

City:

Washington

State:

DC DISTRICT OF COLUMBIA

Zip:

20410

## B. Content and Form of Application Submission

You must verify that boxes 11, 12, and 13 on the SF-424 match the NOFO for which you are applying. If they do not match, you have downloaded the wrong Application Instruction and Application Package.

Submission of an application that is otherwise sufficient, but is under the wrong Assistance Listing and Funding Opportunity Number is a Non-Curable Deficiency, and will be rejected, unless otherwise stated under the Threshold requirements section.

### 1. Content

| Forms/Assurances/Certifications                                   | Submission Requirement            | Notes/Description  |
|---|-----------------------------------|--|
| Application for Federal Assistance (SF-424)                       | This form is required.            | Review section IV.B.2. of this NOFO for detailed submission requirements   |
| Applicant and Recipient Assurances and Certifications (HUD 424-B) | This form is required.            | Review section IV.B.2. of this NOFO for detailed submission requirements   |
| Applicant/Recipient Disclosure/Update Report (HUD 2880)           | This form is required.            | Review section IV.B.2. of this NOFO for detailed submission requirements   |
| Certification of Lobbying Activities                              | This form is required.            |  |
| Federal Assistance Representations and Certifications             | This form is required via sam.gov | To assure compliance with statutory requirements for HUD programs, you must complete the “Federal Assistance Representations and Certifications” section of your sam.gov registration. HUD and OMB use information reported within sam.gov for general management of Federal assistance awards programs. For more information on how to update your sam.gov registration, visit SAM.gov or the Federal Service Desk, FSD.gov. You can search for help at FSD any time or request help from an FSD agent Monday-Friday 8 a.m. to 8 p.m. ET. |

| Forms/Assurances/Certifications                           | Submission Requirement  | Notes/Description  |
|---|---|--|
| Grant Application Detailed Budget Worksheet (HUD-424-CBW) | This form is required   | This form is contained within the Instruction package.   |
| Disclosure of Lobbying Activities (SF-LLL)                | Review section IV.G. of this NOFO for detailed submission requirements. | Federally recognized Indian tribes and tribally designated housing entities (TDHEs) established by federally recognized Indian tribes as a result of the exercise of the tribe's sovereign power are excluded from coverage of the Byrd Amendment, but state-recognized Indian tribes and TDHEs established only under state law shall comply with this requirement. |

## 2. Other Submission Requirements

### Narratives and Non-Form Attachments

Additionally, your complete application must include the following narratives and non-form attachments.

- a. **Application Abstract** (two-page maximum). The abstract must include the project title; the names and affiliations of the lead researchers and project director; identification of partner organizations; a summary of the research objectives, study design, and expected results; and the total funds requested. Information contained in the abstract will not be considered in the evaluation and scoring of your application and will not count towards the 25-page maximum. Any information you wish to be considered in scoring of the application must be provided in the narrative response under the appropriate rating factor.
- b. **Narrative Response to Rating Factors (25-page maximum)**. A narrative statement (25-page maximum) addressing the rating factors for award, which are identified in section V.A.1. In the narrative statement, applicant must identify each Rating Factor (1 through 4) being addressed. The full application must be formatted as per section IV.B.2. Any content in the narrative beyond the 25-page limit will not be read. The points the applicant receives for each rating factor will be based on the content in the narrative statement submitted in response to that factor, supplemented by any additional materials that are **referenced in the narrative response to the rating factor**. Supporting materials that are not referenced or discussed in the narrative response to the individual rating factor will not be considered. The additional materials (e.g., appendices) that can be submitted as part of the application are identified in (c) below. The footer on the pages of these materials should identify the rating factor that they are supporting.
- c. **Additional Materials**. Include as appendices the following materials that are needed to support your responses to the rating factors. These will not be counted towards the Rating Factors narrative 25-page maximum. **The additional materials must not exceed 30**

**pages.** Any pages beyond this limit will not be read. Do not include additional narrative information that is an extension of or expands upon any of your rating factor responses. Such narrative will not be considered.

Additional materials to be submitted include:

1. The resumes of the lead researchers and other key personnel. Each resume shall not exceed three pages and is limited to information that is relevant in assessing the qualifications and experience of key personnel to conduct and/or manage the proposed technical study.
2. Organizational chart
3. Letters of commitment
4. List of references cited in your responses to the rating factors
5. The Advancing Racial Equity Narrative (two-page maximum)
6. The Experience Promoting Racial Equity Narrative (two-page maximum)
7. The Affirmatively Furthering Fair Housing Narrative (two-page maximum)

d. **Budget.** Include a total budget using form HUD 424CBW, included in the Instructions download at Grants.gov with supporting cost justification of up to four pages, which will cover all budget categories of the federal grant request. This information will not be counted towards the Rating Factors narrative 25-page limit. Use the budget format discussed in Section IV.B.1 above. In completing the budget forms and justification, you must address the following elements:

1. Direct Labor costs, including all full- and part-time staff required for the planning and implementation phases of the project. These costs should be based on full time equivalent (FTE) or hours per year (hours/year) (i.e., one FTE equals 2,080 hours/year);
2. Allowance for two trips to HUD Headquarters in Washington, D.C., during the period of performance of your grant, planning each trip for 1-2 people, as needed. In planning your trips, you should assume one or two overnight stays depending on your location;
3. A separate budget form and justification for each sub-recipient receiving more than 10 percent of the total federal budget request; and
4. Supporting documentation for salaries and prices of materials and equipment, upon request.

a. Standard Application, Assurances, Certifications and Disclosures

**(1) Standard Form 424 (SF-424) Application for Federal Assistance**

The SF-424 is the government-wide form required to apply for Federal assistance programs, discretionary awards, and other forms of financial assistance programs. You must complete and submit the form with the other required forms and information as directed in this NOFO.

By signing the forms in the SF-424 either through electronic submission or in paper copy submission (for those granted a waiver), you and the signing authorized organization representative affirm that you both have reviewed the certifications and assurances associated with the application for Federal assistance and (1) are aware the submission of the SF-424 is an assertion that the relevant certifications and assurances are established and (2) acknowledge that the truthfulness of the certifications and assurances are material representations upon which HUD will rely when making an award to the applicant. If it is later determined the signing

authorized organization representative to the application made a false certification or assurance, caused the submission of a false certification or assurance, or did not have the authority to make a legally binding commitment for the applicant, the applicant and the individual who signed the application may be subject to administrative, civil, or criminal action. Additionally, HUD may terminate the award to the applicant organization or pursue other available remedies. Each applicant is responsible for including the correct certifications and assurances with its application submission, including those applicable to all applicants, those applicable only to Federally recognized Indian tribes, or Alaskan native villages and those applicable to applicants other than Federally recognized Indian tribes, or Alaskan native villages.

## **(2) Assurances (HUD 424-B)**

By submitting your application, you provide assurances that, if selected to receive an award, you will comply with U.S. statutory and other requirements, including, but not limited to civil rights requirements. All recipients and subrecipients of the award are required to submit assurances of compliance with Federal civil rights requirements. *See, e.g.*, Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments Act of 1972, Section 504 of the Rehabilitation Act of 1973, Violence Against Women Act, and the Age Discrimination Act of 1975; *see also* [24 CFR §§ 1.5; 3.115; 8.50; and 146.25](#). HUD accepts these assurances in the form of the HUD 424-B, which also require compliance with HUD Reform Act requirements and all general Federal nondiscrimination requirements in the administration of the Federal assistance award.

## **(3) Applicant Disclosure Report Form 2880 (HUD 2880)**

The form HUD 2880 is required if you are applying for assistance within the jurisdiction of HUD to any project subject to Section 102(d) of the HUD Reform Act . Assistance is provided directly by HUD to any person or entity, but not to subrecipients. It includes assistance for the acquisition, rehabilitation, operation, conversion, modernization, renovation, or demolition of any property containing five or more dwelling units that is to be used primarily for residential purposes. It includes assistance to independent group residences, board and care facilities, group homes and transitional housing but does not include primarily nonresidential facilities such as intermediate care facilities, nursing homes and hospitals. It also includes any change requested by a recipient in the amount of assistance previously provided, except changes resulting from annual adjustments in Section 8 rents under Section 8(c)(2)(A) of the United States Housing Act of 1937 ([42 U.S.C. § 1437f](#)). [See 24 CFR part 4 for additional information.](#)

## **(4) Code of Conduct**

Both you, as the award recipient, and all subrecipients must have a code of conduct (or written standards of conduct). The code of conduct must comply with the requirements included in the “Conducting Business in Accordance with Ethical Standards” section of the Administrative, National and Department Policy Requirements and Terms for HUD Financial Assistance Awards-- 2024, as well as any program-specific requirements. These requirements include ethical standards related to conflicts of interest for procurements in [2 CFR 200.318\(c\)](#) and [2 CFR 200.317](#), as well as HUD-specific conflict of interest standards. HUD maintains a list of organizations that have previously submitted written standards of conduct on its [Code of Conduct for HUD Grant Programs webpage](#). But it is your responsibility to ensure that the standards are compliant with the noted requirements and that HUD has the latest version of the written standards. Updated written standards should be submitted with the application. Any

updates to your written standards, after the application period, should be submitted as directed by the HUD program contact for this NOFO.

### **(5) False Statements**

Applicant understands that providing false or misleading information during any part of the application, award, or performance phase of an award may result in criminal, civil or administrative sanctions, including but not limited to: fines, restitution, and/or imprisonment under 18 USC 1001, 18 USC 1012, or 18 USC 287; treble damages and civil penalties under the False Claims Act, 31 USC 3729 et seq.; double damages and civil penalties under the Program Fraud Civil Remedies Act, 31 USC 3801 et seq.; civil recovery of award funds; suspension and/or debarment from all federal procurement and non-procurement transactions, FAR Part 9.4 or 2 CFR Part 180; and other remedies including termination of active HUD award.

### **(6) Disclosure of Lobbying Activities**

Applicants are subject to the provisions of Section 319 of Public Law 101-121, 31 U.S.C. 1352, (the Byrd Amendment), and 24 CFR part 87, which prohibit recipients of federal awards from using appropriated funds for lobbying the executive or legislative branches of the Federal government in connection with a Federal award. All applicants must submit with their application the signed certification regarding lobbying included in the Application download from [Grants.gov](https://www.grants.gov). In addition, applicants must disclose, using Standard Form LLL (SF-LLL), “Disclosure of Lobbying Activities,” any funds, other than federally appropriated funds, that will be or have been used to influence federal employees, members of Congress, or congressional staff regarding specific awards. Federally recognized Indian tribes and tribally designated housing entities (TDHEs) established by Federally recognized Indian tribes as a result of the exercise of the tribe’s sovereign power are excluded from coverage of the Byrd Amendment, but state-recognized Indian tribes and TDHEs established only under state law shall comply with this requirement.

### **3. Format and Form**

Narratives and other attachments to your application must follow the following format guidelines. Do not submit password protected or encrypted files.

Applicants must submit documents formatted with double spacing, 12-point Times New Roman font, letter-sized paper (8 1/2 x 11 inches), and 1-inch margins on all sides.

The narrative response to Rating Factors 1-4 must not exceed 25 pages.

## **C. System for Award Management (SAM) and Unique Entity Identifier (UEI)**

### **1. SAM Registration Requirement**

You must register at [www.sam.gov](https://www.sam.gov) before submitting an application. You must maintain current information in SAM on immediate and highest-level owner and subsidiaries, as well as on all predecessors that a Federal award within the last three years, if applicable. Information in SAM must be current for all times during which you have an active Federal award or an application or plan under consideration by HUD.

### **2. UEI Requirement**



All entities doing business with the Federal government must use the UEI created in SAM.gov. You must provide a valid UEI, registered and active at [www.sam.gov/](http://www.sam.gov/) in the application. For more information, see: <https://www.gsa.gov/about-us/organization/Federal-acquisition-service/office-of-systems-management/integrated-award-environment-iae/iae-systems-information-kit/unique-entity-identifier-update>.

### **3. Requirement to Register with Grants.gov**

Anyone planning to submit applications on behalf of an organization must register at Grants.gov and be approved by the E-Biz POC in SAM to submit applications for the organization. Registration for SAM and Grants.gov is a multi-step process and can take four (4) weeks or longer to complete if data issues arise. Applicants without a valid registration cannot apply through Grants.gov. Complete registration instructions and guidance are provided on Grants.gov.

## **D. Application Submission Dates and Times**

### **1. Application Due Date Explanation**

The application deadline is 11:59:59 PM Eastern time on

07/09/2024

Submit your application to Grants.gov unless a waiver has been issued allowing you to submit a paper application. Instructions for submitting your paper application will be contained in the waiver of electronic submission.

“Received by Grants.gov” means the applicant received a confirmation of receipt and an application tracking number from Grants.gov. Grants.gov then assigns an application tracking number and date-and-timestamp each application upon successful receipt by the Grants.gov system. A submission attempt not resulting in confirmation of receipt and an application tracking number is not considered received by Grants.gov.

Applications received by Grants.gov must be validated by Grants.gov to be received by HUD.

“Validated by Grants.gov” means the application has been accepted and was not rejected with errors. You can track the status of your application by logging into Grants.gov, selecting “Applicants” from the top navigation, and selecting “Track my application” from the dropdown list. If the application status is “rejected with errors,” you must correct the error(s) and resubmit the application before the 24-hour grace period ends. Applications in “rejected with errors” status after the 24-hour grace period expires will not be received by HUD. Visit Grants.gov for a complete description of processing steps after applying.

HUD strongly recommends you submit your applications at least **48 hours before the deadline** and during regular business hours to allow enough time to correct errors or overcome other problems.

### **2. Grants.gov Customer Support**

Grants.gov provides customer support information on its website at <https://www.grants.gov/web/grants/support.html>. If you have difficulty accessing the application and instructions or have technical problems, contact Grants.gov customer support center by calling (800) 518-GRANTS (this is a toll-free number) or by sending an email to [support@grants.gov](mailto:support@grants.gov). The customer support center is open 24 hours a day, seven days per week, except Federal holidays. Individuals who are deaf or hard of hearing, as well as individuals who

have speech or other communication disabilities may use a relay service to reach Grants.gov Customer Support. To learn more about how to make an accessible telephone call, visit the [webpage for Federal Communications Commission](#).

### **3. Grants.gov Application Submission**

You can verify the contents of your submitted application to confirm Grants.gov received everything you intended to submit. To verify the contents of your submitted application:

- Log in to Grants.gov.
- Click the Check Application Status link, which appears under the Grant Applications heading in the Applicant Center page. This will take you to the Check Application Status page.
- Enter search criteria and a date range to narrow your search results.
- Click the Search button. To review your search results in Microsoft Excel, click the Export Data button.
- Review the Status column. To view more detailed submission information, click the Details link in the Actions column.
- To download the submitted application, click the Download link in the Actions column.

Take note of the Grants.gov tracking number, as it is needed by the Grants.gov customer support center should you seek their assistance.

HUD may extend the application deadline for any program if Grants.gov is offline or not available to applicants for at least 24 hours immediately prior to the deadline date, or the system is down for 24 hours or longer and impacts the ability of applicants to cure a submission deficiency within the grace period.

HUD may also extend the application deadline upon request if there is a presidentially declared disaster in the applicant's area.

If these events occur, HUD will post a notice on its website establishing the new, extended deadline for the affected applicants. HUD will also publish the extension on Grants.gov.

In determining whether to grant a request for an extension based on a presidentially declared disaster, HUD will consider the totality of the circumstances including the date of an applicant's extension request (how closely it followed the basis for the extension), whether other applicants in the geographic area are similarly affected by the disaster, and how quickly power or services are restored to enable the applicant to submit its application.

**NOTE:** Busy servers, slow processing, large file sizes, improper registration

or password issues are not valid circumstances to extend the deadline dates or the grace period.

### **4. Amend or Revise an Application**

Before the submission deadline, you may amend a validated application through Grants.gov by submitting a revised and complete application including the new or changed material. The revised application must be received and validated by Grants.gov by the applicable deadline.

If HUD receives an original and a revised application for a single proposal, HUD will evaluate only the last submission received by Grants.gov before the deadline.

## **5. Grace Period for Grants.gov Submissions**

If your application is received by Grants.gov before the deadline, but is rejected with errors, you have a grace period of 24 hours after the application deadline to submit a corrected, received, and validated application through Grants.gov. The date and time stamp on the Grants.gov system determines the application receipt time. Any application submitted during the grace period but not received and validated by Grants.gov will not be considered for funding. There is no grace period for paper applications.

## **6. Late Applications**

An application received after the NOFO deadline date that does not meet the Grace Period requirements will be marked late and will not be reviewed by HUD for funding

consideration. Improper or expired registration and password issues are not sufficient causes to allow HUD to accept applications after the deadline date.

## **7. Corrections to Deficient Applications**

HUD will not consider information from applicants after the application deadline except for curable deficiencies.

HUD will uniformly notify applicants of each curable deficiency. See curable deficiency definition in section I.A of this NOFO. Examples of curable (correctable) deficiencies include inconsistencies in the funding request and failure to submit required certifications. These examples are non-exhaustive.

When HUD identifies a curable deficiency, HUD will notify the authorized organization representative identified on the SF-424 Application for Federal Assistance via email. This email is the official notification of a curable deficiency.

You must email corrections of Curable Deficiencies to [applicationsupport@hud.gov](mailto:applicationsupport@hud.gov) within the time limits specified in the notification. The time allowed to correct deficiencies will be no less than 48 hours and no more than 14 calendar days from the date of the email notification. The start of the cure period will be the date stamp on the email sent from HUD. If the deficiency cure deadline date falls on a Saturday, Sunday, Federal holiday, or on a day when HUD's Headquarters are closed, then the applicant's correction must be received on the next business day HUD Headquarters offices in Washington, DC are open.

The subject line of the email sent to [applicationsupport@hud.gov](mailto:applicationsupport@hud.gov) must state: Technical Cure and include the Grants.gov application tracking number or the GrantSolutions application number (e.g., Subject: Technical Cure - GRANT123456 or Technical Cure - XXXXXXXXXXXX). If this information is not included, HUD cannot match the response with the application under review and the application may be rejected due to the deficiency.

Corrections to a paper application must be sent in accordance with and to the address indicated in the notification of deficiency. HUD will treat a paper application submitted in accordance with a waiver of electronic application containing the wrong UEI as having a curable deficiency. Failure to correct the deficiency and meet the requirement to have a UEI and active registration in SAM will render the application ineligible for funding.

## **8. Authoritative Versions of HUD NOFOs**

The version of this NOFO posted on Grants.gov includes the official documents HUD uses to solicit applications.

## **9. Exemptions**

Parties that believe the requirements of the NOFO would impose a substantial burden on the exercise of their religion should seek an exemption under the [Religious Freedom Restoration Act](#) (RFRA).

## **E. Intergovernmental Review**

This program is not subject to Executive Order 12372, Intergovernmental Review of Federal Programs.

## **F. Funding Restrictions**

HUD will determine whether the salary rates are reasonable, customary for the skill set provided and the task to be conducted and in accordance with federal legal requirements.

Center of Excellence does not refer to physical entities; HUD will not fund buildings or the rental and/or maintenance of office space. Funds may not be used for construction or other construction related activities, or for the purchase or lease of real property, or for the purchase of equipment.

Dissertation Research. Funds may not be used for a doctoral dissertation research grant program.

### **Indirect Cost Rate**

Normal indirect cost rules under 2 CFR part 200, subpart E apply. If you intend to charge your indirect costs to the award, your application must clearly state the rate and distribution base you intend to use. If you have a Federally negotiated indirect cost rate, your application must also include a letter or other documentation from the cognizant agency showing the approved rate. Successful applicants whose rate changes after the application deadline must submit the new rate and documentation to assure the award agreement incorporates the applicable rate.

Applicants other than state and local governments. If you have a Federally negotiated indirect cost rate, your application must clearly state the approved rate and distribution base and must include a letter or other documentation from the cognizant agency showing the approved rate. If your organization does not have a current negotiated rate (including provisional rate) and elects to use the de minimis rate, your application must clearly state you intend to use the de minimis rate of 10% of Modified Total Direct Costs (MTDC), as defined at 2 CFR 200.1. Costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both, as described in 2 CFR 200.403. Once elected, the de minimis rate must be applied consistently for all Federal awards until the organization chooses to negotiate a rate, which the organization may apply to do at any time. Documentation of the decision to use the de minimis rate must be retained on file for audit.

State and local governments. If your department or agency unit has a Federally negotiated indirect cost rate, your application must include that rate, the applicable distribution base, and a letter or other documentation from the cognizant agency showing the negotiated rate. If your department or agency unit receives more than \$35 million in direct Federal funding per year, you

may not claim indirect costs until you receive a negotiated rate from your cognizant agency for indirect costs as provided in Appendix VII to 2 CFR part 200.

If your department or agency unit receives no more than \$35 million in direct Federal funding per year and your department or agency unit has developed and maintains an indirect cost rate proposal and supporting documentation for audit in accordance with 2 CFR part 200, Appendix VII, you may use the rate and distribution base specified in that indirect cost rate proposal.

Alternatively, if your department or agency unit receives no more than \$35 million in direct Federal funding per year and does not have a current negotiated rate (including provisional) rate, you may elect to use the de minimis rate of 10% of MTDC. As described in 2 CFR 200.403(d), costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. Once elected, the de minimis rate must be applied consistently for all Federal awards until your department or agency chooses to negotiate for a rate, which you may apply to do at any time. Documentation of the decision to use the de minimis rate must be retained on file for audit.

#### **G. Other Program-specific Requirements**

None

## **V. APPLICATION REVIEW INFORMATION**

### **A. Review Criteria**

#### **1. Rating Factors**

HUD will review applications in accordance with the requirements of this NOFO. HUD will consider whether your application is clear, concise, and well organized. The maximum score that can be attained is 100 points, and the minimum score for funding consideration is 75 points. However, if no eligible applicants score at least 75 points, HUD may award funds to applicant(s) scoring below 75 points. Each of the four factors is weighted as indicated by the number of points that are assigned to it. Individual minimum scores for each factor are set forth in the chart below. HUD will select applicants as set forth in V.B. HUD reminds applicants that responses are subject to verification. Each rating factor is reviewed independently.

You must ensure that each Rating Factor is adequately addressed in the narrative (25 page maximum) and supporting materials (30 page maximum). To the extent feasible, include all the needed information within your response to each rating factor. If your response to a particular rating factor cites information provided in your response to another rating factor or in the supporting materials, clearly indicate where the information is located so the reviewer can easily locate it. Your response to the rating factors should be submitted on consecutively numbered pages.

| <b>Rating Category</b>                  | <b>Maximum Points</b> | <b>Minimum Points</b> |
|---|-----------------------|-----------------------|
| <b>Rating Factor 1: Research Design</b> | <b>30</b>             | <b>25</b>             |
| Methodology                             | 15                    |                       |

|   |            |           |
|---|------------|-----------|
| Strengthening Evidence Base and Filling Knowledge Gaps                | 10         |           |
| Innovation  | 5          |           |
| <b>Rating Factor 2: Impact</b>  | <b>20</b>  | <b>15</b> |
| Theory of change  | 10         |           |
| Dissemination plan  | 5          |           |
| Impact measurement  | 5          |           |
| <b>Rating Factor 3: Capacity of the Applicant and Past Experience</b> | <b>25</b>  | <b>20</b> |
| Knowledge and Experience  | 15         |           |
| Past Performance  | 10         |           |
| <b>Rating Factor 4: Sustainability, Resources, and Partnerships</b>   | <b>25</b>  | <b>15</b> |
| Sustainability of a Center of Excellence                              | 15         |           |
| Leveraged Funds and Resources   | 5          |           |
| Partnerships and Collaboration  | 5          |           |
| <b>Total</b>  | <b>100</b> | <b>75</b> |

### **Rating Factor 1: Research Design (max. points 30)**

In Rating Factor 1, you must describe your proposed research projects.

**a. Methodology (15 points):** You must clearly identify the proposed research projects. Provide a clear description of how your research design will evaluate issues or challenges in underserved communities that impact housing, community and economic development, and/or the built environment. You will be assessed based on the extent to which your proposed research design provides a methodologically sound and realistic approach for the proposed research.

1. List the proposed research questions and hypotheses.
2. Describe indicators of community distress or health or other outcomes that will be analyzed or measured through this research.
3. Identify how the proposed research questions relate to the research objective(s).
4. Discuss the data sources required, the reliability and availability of those data sources, strategies for obtaining required data, and methods for analyzing the data to address the research questions and hypotheses. If the applicant proposes the collection of original data (such as surveys or interviews), the response must discuss sampling strategy and should detail how the applicant will conduct outreach broadly (as applicable) and targeted to reach any eligible persons who would be unlikely or least likely to participate absent such efforts.

**b. Strengthening Evidence Base and Filling Knowledge Gaps (10 points):** Describe how your proposed research will strengthen the evidence base for meeting the needs of underserved communities, including identifying current gaps in existing research and knowledge, and how your proposed research will fill those gaps. Applicants are encouraged to reference specific knowledge gaps described in HUD’s 2022-26 Learning Agenda and other topics of strategic interest to HUD described in Purpose, Section I.A.1 above. The full 10 points will be given for proposals that systematically and persuasively address open research questions noted in the Learning Agenda.

**c. Innovation (5 points):** Describe how you will use innovative, evidence-based approaches to evaluate problems confronting historically underserved communities.

### **Rating Factor 2: Impact (max. points 20)**

In Rating Factor 2, you should explain how your proposed research will advance HUD’s mission.

**a. Theory of Change (10 points):** Describe your program theory models or theory of change models (see Definitions, Section I.A.4). In describing your theory of change, you should explain how your proposed research projects and approach to research will advance HUD’s mission “to create strong, sustainable, inclusive communities and quality affordable homes for all.” Applicants may also reference specific goals and objectives from HUD’s Strategic Plan described in HUD and Program-Specific Goals and Objectives, Section I.A.2 above.

**b. Dissemination plan (5 points):** Describe the key audience(s) for your research and how you plan to reach them, including specific methods and platforms you will use to disseminate your research and research findings and any engagement activities you will conduct with key audiences.

**c. Impact measurement (5 points):** Describe how you plan to measure the impact of your research activities on underserved communities, including any specific data you will collect or metrics you will use to measure impact.

### **Rating Factor 3: Capacity of the Applicant and Relevant Experience (max. points 25)**

In Rating Factor 3, you must identify who will conduct the research and the experience your team brings to this work. This factor addresses the extent to which your institution has the capacity to successfully implement the proposed research projects in a timely manner.

#### **a. Knowledge and Experience (15 points)**

1. Identify and describe the knowledge and experience of key project team members such as the proposed COE Director, participating faculty, and key staff.
2. Describe the academic programs relevant to the institution’s approach to implementing the research projects and the research experience of key faculty involved (relevant to the proposed research projects, related research, or the COE approach).
3. Describe the team members’ or institution’s experience and/or resources supporting members of underserved communities.

#### **b. Past Performance (10 points)**

1. Identify up to five grants or research contracts performed by key personnel who will be assigned to the research projects funded by this award that demonstrate capacity in fields related to the institution's vision for the COE and proposed research projects. Provide the dollar amount awarded and descriptions of the achievement of specific tasks, measurable objectives, and specific outcomes.
2. List all HUD grants or research contracts received in the last 10 years, including the dollar amount awarded and a description of the achievement of specific tasks, measurable objectives, and specific outcomes.

**Rating Factor 4: Sustainability, Resources, and Partnerships (max. points 25)**

In Rating Factor 4, you must describe your plan to support research efforts over the long term through the creation or continuation of a research Center of Excellence. Maximum points will only be awarded to applicants with a high quality and realistic sustainability plan. Support and resources may include funding or in-kind contributions, such as services or equipment allocated for the purpose of the proposed research. Generally, Federal sources are not allowed to be used as cost share or match unless otherwise permitted by a program's authorizing statute.

**a. Sustainability of a Center of Excellence (15 points)**

1. Describe your long-term plan to support and sustain your Center of Excellence.
2. Describe how your Center of Excellence will build upon the research funded through this NOFO.
3. Describe the resources the applicant's institution will provide to support the Center of Excellence, such as financial support, staff resources, office space, IT resources. Provide references for up to 3 external funders who can testify to the applicant institution's past effective support of research activity of a similar size as proposed in this application.

**b. Leveraged Funds and Resources (5 points):** If the applicant plans to leverage funds or resources (including in-kind contributions) from other organizations, please describe those funds and resources here. For each source, please list, at a minimum:

1. Name of the organization.
2. Amount of cash contributed or dollar value of the in-kind contribution.
3. Specific description of how each contribution will be used.
4. Date the contribution will be available and the duration of the contribution.
5. Any other terms or conditions affecting the contribution.
6. Status of the commitment (firm, likely or anticipated)

For firm commitments, please include written commitment letters, memoranda of understanding, grant agreements or other supporting documentation in your supporting materials.

**c. Partnerships and Collaborations (5 points):** Applicants are encouraged to develop innovative partnerships to achieve the project goals. These can include academic consortia and collaboration with other Minority-Serving Institutions (MSIs), community-based organizations, state and local governments, or other federal agencies. Describe any other partnerships and collaborations that will support the COE or proposed research described in this application, including the following information for each:



1. Name of the organization.
2. Nature of the partnership or collaboration
3. Specific description of how each partnership or collaboration contributes to the approach and objectives of the research efforts.
4. Description of the partnership or collaboration; assigned roles and responsibilities and the length of the commitment.
5. Any other terms or conditions affecting the partnership or collaboration.

For firm commitments, please include written commitment letters, memoranda of understanding, grant agreements or other supporting documentation in your supporting materials.

## **2. Other Factors**

### **Policy Initiative Preference Points**

This NOFO does not offer any preference points

You may voluntarily choose to address preference point policy initiatives in your application. Addressing these policy initiatives is not a requirement to apply for or receive an award. If you voluntarily choose to address a policy initiative in your application, you will be required to adhere to the information submitted with your application should you receive an award. The proposed information will be included as a binding requirement of any Federal award you receive as a term and condition of that award.

This NOFO does not offer preference points for Climate Change

This NOFO does not offer Environmental Justice preference points.

This NOFO does not offer HBCU preference points.

This NOFO does not offer preference points related to minority-serving institutions.

This NOFO does not offer Promise Zone preference points.

This NOFO does not offer Underserved Rural Communities preference points.

This program does not offer Rural Partners Network Community Networks preference points.

## **B. Review and Selection Process**

### **1. Past Performance**

In evaluating applications for funding, HUD will consider an applicant's past performance in managing funds. Items HUD will consider include, but are not limited to:

OMB-designated repositories of governmentwide data, as noted in [2 CFR 200.206\(a\)](#)

The ability to account for funds in compliance with applicable reporting and recordkeeping requirements

Timely use of funds received from HUD

Timely submission and quality of reports submitted to HUD

Meeting program requirements

Meeting performance targets as established in the HUD agreement

The applicant's organizational capacity, including staffing structures and capabilities

Timely completion of activities and receipt and expenditure of promised matching or leveraged funds

Applicants are selected based on highest score.

HUD may reduce scores based on the past performance review, if specified under V.A. Rating Factors. Whenever possible, HUD will obtain and review past performance information. If this review results in an adverse finding related to integrity of performance, HUD reserves the right to take any of the remedies provided in the [Pre-Selection Review of Performance section of the Eligibility Requirements for Applicants of HUD Financial Assistance Programs](#).

## **2. Assessing Applicant Risk**

In evaluating risks posed by applicants, HUD may use a risk-based approach and may consider any items such as the following:

- (1) Financial stability;
- (2) Quality of management systems and ability to meet the management standards prescribed in this part;
- (3) History of performance. The applicant's record in managing Federal awards, if it is a prior recipient of Federal awards, including timeliness of compliance with applicable reporting requirements, failing to make significant progress in a timely manner, failing to meet planned activities in a timely manner, conformance to the terms and conditions of previous Federal awards, and if applicable, the extent to which any previously awarded amounts will be expended prior to future awards;
- (4) Reports and findings from audits performed under Subpart F—Audit Requirements of 2 CFR part 200 or the reports and findings of any other available audits; and
- (5) The applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities.

# **VI. AWARD ADMINISTRATION INFORMATION**

## **A. Award Notices**

Following the evaluation process, HUD will notify successful applicants of their selection for funding. HUD will also notify other applicants, whose applications were received by the deadline but were not chosen for award. Notifications will be sent by email to the person listed as the AOR in item 21 of the SF-424.

### **1. Final Award**

After HUD has made selections, HUD will finalize specific terms of the award and budget in consultation with the selected applicant. If HUD and the selected applicant do not finalize the terms and conditions of the award in a timely manner, or the selected applicant fails to provide requested information, an award will not be made to that applicant. In this case, HUD may select another eligible applicant. HUD may also impose specific conditions on an award as provided under [2 CFR 200.208](#).

## **2. Adjustments to Funding**

To ensure fair distribution of funds and enable the purposes or requirements of a specific program to be met, HUD reserves the right to fund less than the amount requested in an application.

a. HUD may fund no portion of an application that:

- (1) Is ineligible for funding under applicable statutory or regulatory requirements;
- (2) Fails, in whole or in part, to meet the requirements of this notice;
- (3) Duplicates activities funded by other Federal awards; or
- (4) Duplicates activities funded in a prior year.

b. HUD may adjust the funding for an application to ensure funding diversity, geographic diversity, and alignment with HUD administrative priorities.

c. If an applicant turns down an award offer, or if HUD and an applicant do not finalize the terms and conditions of the award in a timely manner, HUD may withdraw the award offer and make an offer of funding to another eligible application.

d. If funds remain after all selections have been made, remaining funds may be made available within the current FY for other competitions within the program area, may be held for future competitions (if allowable in accordance with the applicable appropriation or authorizing statute), or may be used as otherwise provided by authorizing statute or appropriation.

e. If, after announcement of awards made under the current NOFO, additional funds become available either through the current appropriations, a supplemental appropriation, other appropriations or recapture of funds, HUD may, in accordance with the appropriation, use the additional funds to provide additional funding to an applicant awarded less than the requested amount of funds to make the full (or nearer to full) award, and/or to fund additional applicants that were eligible to receive an award but for which there were no funds available.

## **3. Funding Errors**

If HUD commits an error that, when corrected, would cause selection of an applicant during the funding round of a Program NOFO, HUD may select that applicant for funding, subject to the availability of funds. If funding is not available to award in the current fiscal year, HUD may make an award to this applicant during the next fiscal year if funding is available.

## **B. Administrative, National and Departmental Policy Requirements and Terms for HUD Applicants and Recipients of Financial Assistance Awards**

Unless otherwise specified, the following requirements apply and are detailed on HUD's Funding Opportunity page in the document titled, "[Administrative, National & Departmental Policy Requirements and Terms for HUD Financial Assistance – 2024](#)." You must review each requirement to ensure compliance is considered when preparing your application materials (e.g., staff, budget, and timeline). Failure to comply with these requirements may impact your ability to receive or retain a financial assistance award from HUD.

1. Compliance with The Fair Housing Act ([42 U.S.C. 3601-3619](#)) and implementing regulations at [24 CFR part 100 et seq](#)
2. Compliance with Title VI of the Civil Rights Act of 1964, [42 U.S.C. 2000d-2000d-4](#)(Nondiscrimination in Federally Assisted Programs) and implementing regulations at [24 CFR part 1](#)
3. Compliance with the Age Discrimination Act of 1975 ([42 U.S.C. 6101-6107](#)) and implementing regulations at [24 CFR part 146](#)
4. Compliance with Section 504 of the Rehabilitation Act of 1973 ([29 U.S.C. 794](#)) and implementing regulations at [24 CFR part 8](#)
5. Compliance with the Americans with Disabilities Act, [42 U.S.C. 12101 et seq](#)
6. Compliance with Affirmatively Furthering Fair Housing (AFFH) requirements, including 24 CFR 5.150 et seq
7. Compliance with Economic Opportunities for Low-and Very Low-income Persons (12 U.S.C. 1701u) requirements, including those listed at [24 CFR part 75](#)
8. Compliance with Improving Access to Services for Persons with Limited English Proficiency (LEP) requirements, including those listed within [Federal Register Notice, FR-4878-N-02](#) (also see [HUD's webpage](#))
9. Compliance with Accessible Technology requirements, including those listed on in [HUD's Policy on Section 508 of the Rehabilitation Act and Accessible Technology](#)
10. Compliance with Equal Access Requirements (e.g., [24 CFR 5.105\(a\)\(2\)](#) and [5.106](#))
11. Compliance with Ensuring the Participation of Small Disadvantaged Business, and Women-Owned Business requirements at [2 CFR 200.321](#)
12. Compliance with Energy Efficient and Sustainable by Design
13. Compliance with Uniform Relocation Assistance and Real Property Acquisition Policies Act (42 USC 4601 et seq.) (URA) requirements, [49 CFR part 24](#), and applicable program regulations
14. Compliance with Participation in HUD-Sponsored Program Evaluation
15. Compliance with OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards ([2 CFR part 200](#))
16. Compliance with Drug-Free Workplace requirements ([2 CFR part 2429](#))
17. Compliance with the requirements related to safeguarding resident/client files (e.g., 2 CFR 200.303(e))
18. Compliance with the Federal Funding Accountability and Transparency Act of 2006 ([2 CFR part 170](#)) (FFATA), as amended
19. Compliance with Eminent Domain
20. Compliance with Accessibility for Persons with Disabilities requirements, including 24 CFR parts 8 and 100; 28 CFR part 35

21. Compliance with applicable Violence Against Women Act requirements in the Housing Chapter of VAWA, 34 U.S.C. 12491-12496, 24 CFR part 5, subpart L, and program-specific regulations, if applicable
22. Compliance with Conducting Business in Accordance with Ethical Standards/Code of Conduct, including [2 CFR 200.317](#), [2 CFR 200.318\(c\)](#) and other applicable conflicts of interest requirements
23. Compliance with the [Build America, Buy America \(BABA\) Act](#) procurement requirements
24. Compliance with System for Award Management and Universal Identifier Requirements at [2 CFR part 25](#)
25. Compliance with [section 106\(g\) of the Trafficking Victims Protection Act of 2000 \(TVPA\), as amended \(22 U.S.C. 7104\(g\)\)](#) and implementing regulations at [2 CFR part 175](#) (Award Term for Trafficking in Persons)
26. Compliance with Award Term and Condition for Recipient Integrity and Performance Matters (see [Appendix XII to 2 CFR part 200](#))
27. Compliance with Suspension and Debarment regulations ([2 CFR part 2424](#) and [2 CFR part 180](#))
28. Compliance with environmental justice requirements that apply in accordance with Executive Orders [12898](#) and [14008](#), and OMB Memorandum [M-21-28](#), which implements the *Justice40 Initiative*, section 223 of Executive Order [14008](#).
29. Compliance with [HUD Secretary Fudge's April 12, 2022 memorandum](#), "Eliminating Barriers That May Unnecessarily Prevent Individuals with Criminal Histories from Participation in HUD Programs"
30. Compliance with equity requirements, including racial equity and underserved communities and LGBTQ+ requirements that apply in accordance with Executive Orders [13985](#), [13988](#), and 14091
31. Compliance with 41 U.S.C. § 4712, which includes informing your employees in writing of their rights and remedies, in the predominant native language of the workforce. Under 41 U.S.C. § 4712, employees of a contractor, subcontractor, grantee, subgrantee, and personal services contractor may not be discharged, demoted, or otherwise discriminated against as a reprisal for disclosing information that the employee reasonably believes is evidence of gross mismanagement of a Federal contract or grant, a gross waste of Federal funds, an abuse of authority relating to a Federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a Federal contract (including the competition for or negotiation of a contract) or grant.
32. Compliance with [2 CFR 200.216, Prohibition on Certain Telecommunication and Video Surveillance Services or Equipment](#) and Executive Orders 14091 and 14110, which includes prohibition on the use of HUD funds to purchase or fund any form of facial or biometric recognition technology for the purpose of surveillance or any other use that may adversely impact equitable access to housing

For item 31 above, please see Federal Contractor or Grantee Protections, Office of Inspector General, Department of Housing and Urban Development ([hudoig.gov](http://hudoig.gov)).

### **Environmental Review**

In accordance with 24 CFR 50.19(b)(1), (b)(3), and (c)(13) activities funded under this NOFO are exempt or categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321) and not subject to environmental review under related laws and authorities.

### **Remedies for Noncompliance**

HUD may apply the remedies at [2 CFR 200.339](#) or impose additional conditions to remedy noncompliance with any Federal State, or local statutes, regulations, or terms and conditions of the financial assistance award. If noncompliance cannot be remedied, HUD may terminate a Federal award, in whole or in part, for any of the reasons specified in [2 CFR 200.340, Termination](#).

The Grant Officer may (on reasonable notice to the Grantee and/or Subgrantee), temporarily suspend the award and withhold further payments pending corrective action by the Grantee and/or Subgrantee. The award may be terminated in whole or in part before the end of the performance period **For Cause** when the Grantee and/or Subgrantee has failed to comply with the Terms and Conditions, standards, or provisions of this award. The award may be terminated **For Convenience** when both parties agree that the continuation of the award would not produce beneficial results. Action will be taken in accordance with [2 CFR §200.339 \(Remedies for Non-Compliance\)](#) – [§200.343 \(Effects of Suspension and Termination\)](#).

**1. Effects of Suspension and Termination:** Costs resulting from obligations incurred by the Grantee or Subgrantee during a suspension or after termination of an award are not allowable unless HUD expressly authorizes them in the notice of suspension or termination, or subsequently other Grantee or Subgrantee costs during suspension or after termination, which are necessary and not reasonably avoidable, are allowable if:

- a. The costs result from obligations which were properly incurred by the Grantee or Subgrantee before the effective date of suspension or termination, are not in anticipation of suspension and termination, and, in the case of a termination, are non-cancellable; and
- b. The costs would be allowable if the award were not suspended or expired normally at the end of the funding period in which the termination takes effect.

**2. Relationship to Debarment and Suspension:** The enforcement remedies identified in this section, including suspension and termination, do not preclude Grantee or Subgrantee from being subject to “Debarment and Suspension” under [Executive Orders 12549](#) and [12689](#), [2 CFR Part 180 \(OMB Guidelines to Agencies on Governmentwide Debarment and Suspension \(Non-Procurement\)\)](#), and [2 CFR §200.339 \(Remedies for Non-Compliance\)](#).

### **Lead-Based Paint Requirements**

Not Applicable

## **C. Reporting**

HUD requires recipients to submit performance and financial reports under OMB guidance and program instructions.



### **1. Recipient Integrity and Performance Matters**

You should be aware that if the total Federal share of your Federal award includes more than \$500,000 over the period of performance, the award will be subject to post award reporting requirements reflected in [Appendix XII to 2 CFR part 200, Award Terms and Conditions for Recipient Integrity and Performance Matters](#).

### **2. Race, Ethnicity and Other Data Reporting**

HUD requires recipients that provide HUD-funded program benefits to individuals or families to report data on the race, color, religion, sex, national origin, age, disability, and family characteristics of persons and households who are applicants for, participants in, or beneficiaries or potential beneficiaries of HUD programs in order to carry out the Department's responsibilities under the Fair Housing Act, Executive Order 11063, Title VI of the Civil Rights Act of 1964, and Section 562 of the Housing and Community Development Act of 1987. These authorities prohibit discrimination in housing and in programs receiving financial assistance from the Department and direct the Secretary to administer the Department's programs and activities in a manner affirmatively to further these policies and to collect certain data to assess the extent of compliance with these policies. Each recipient shall keep such records and submit to the Department timely, complete, and accurate compliance reports at such times, and in such form and containing such information, as the Department may determine to be necessary to enable it to ascertain whether the recipient has complied or is complying with 24 CFR parts 1 and 121. In general, recipients should have available for the Department data showing the demographics of beneficiaries of Federally-assisted programs.

Awardees must submit the Race and Ethnic Data Reporting Form, HUD-27061, OMB Approval No. 2535-0113, to report this information to HUD after the award.

### **3. Compliance with the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109-282) as amended (FFATA)**

FFATA requires information on Federal awards be made available to the public via a single, searchable website, which is [www.USASpending.gov](http://www.USASpending.gov). Accordingly, each award HUD makes under this NOFO will be subject to the requirements provided by the Award Term in Appendix A to [2 CFR part 170](#), "REPORTING SUBAWARD AND EXECUTIVE COMPENSATION INFORMATION," unless the Federal funding for the award (including funding that may be added through amendments) is not expected to equal or exceed \$30,000. Requirements under this Award Term include filing subaward information in the Federal Funding Accountability and Transparency Act (FFATA) Sub-award Reporting System (FSRS.gov) by the end of the month following the month in which the recipient awards any sub-award equal to or greater than \$30,000.

### **4. Program-Specific Reporting Requirements**

HUD expects each award recipient to produce the following deliverables during the 36-month performance period.

1. Management and Work Plan (MWP) that outlines the overall strategy for completing the research within the budget and timeframe allotted. It will describe activities to be undertaken, assign staff, and provide a schedule of key tasks.
2. Research Design describing the methodology that will be used to complete the proposed research, including collection, management, and analysis of data.

3. Quality Control Plan that addresses all potential points of a lapse in quality control.
4. Detailed plan for the establishment, sustainability, and ongoing financial stability of the Center of Excellence.
5. Quarterly Progress Reports that document activities completed in the most recent reporting period, planned activities for the upcoming reporting period, and budget expenditures by line item (including hours worked for specific staff). Progress reports must be submitted quarterly and shall be aligned with the submission of the draw down requests, by which grantees receive reimbursement.
6. Research Policy Papers related to multiple research projects funded by the award.
7. Final Report that summarizes the entire work, achievements, and findings conducted under the NOFO award (details follow). The report format and style requirements are provided at [PD&R Style Guide for Reports](#).

Additionally, awardees must submit annually a report documenting achievement of outcomes under the purpose of the program and the work plan in the award agreement.

A draft of the Final Report shall also be submitted, which summarizes the work conducted over the course of the project and presents the study objectives, data sources, analysis methods, and results. The Draft Final Report is the key deliverable of the study and must serve as a standalone document that meets the overall objective of this project. The Final Report must adequately address any HUD comments received for the Draft Final Report. This report is expected to be submitted immediately before the conclusion of the grant. Both the Draft Final Report and Final Report should be edited and prepared for publication in accordance with HUD's Guidelines for Preparing a Report for Publication, available at: <https://www.huduser.gov/portal/About/style-guide-for-reports.pdf>

## **D. Debriefing**

For a period of at least 120 calendar days, beginning 30 calendar days after the public announcement of awards under this NOFO, if requested, HUD will provide a debriefing related to their application. The AOR or the AOR's successor must submit a written request for debriefing via mail or email to the POC in Section VII Agency Contact(s) of this NOFO. Information provided during a debriefing may include the applicant's final score for each rating factor, final evaluator comments for each rating factor, and the final assessment indicating the basis upon which funding was approved or denied.

## **VII. AGENCY CONTACT(S)**

HUD staff will be available to provide clarification on the content of this NOFO. Questions regarding specific program requirements for this NOFO should be directed to the POC listed below.

Name:

Kinnard Wright

Phone:

202-402-7495

Email:

Kinnard.D.Wright@hud.gov



Individuals who are deaf or hard of hearing, as well as individuals who have speech or communication disabilities may use a relay service to reach the agency contact. To learn more about how to make an accessible telephone call, visit the webpage for the [Federal Communications Commission](#).

Note that HUD staff cannot assist applicants in preparing their applications.

## **VIII. OTHER INFORMATION**

### **1. Compliance of this NOFO with the National Environmental Policy Act (NEPA)**

This NOFO does not direct, provide for assistance or loan and mortgage insurance for, or otherwise govern or regulate, real property acquisition, disposition, leasing (other than tenant-based rental assistance), rehabilitation, demolition, or new construction, or establish revise or provide for standards for construction or construction materials, manufactured housing, or occupancy. Accordingly, under 24 CFR 50.19(c)(1), this NOFO is categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321).

### **2. Web Resources.**

[Affirmatively Furthering Fair Housing](#)

[Assistance Listing \(formerly CFDA\)](#)

[Climate Action Plan](#)

[Climate and Economic Justice Screening Tool \(CEJST\)](#)

[Code of Conduct Requirements and E-Library](#)

[Environmental Review](#)

[Equal Participation of Faith-Based Organizations](#)

[Fair Housing Rights and Obligations](#)

[Federal Awardee Performance and Integrity Information System](#)

[Federal Funding Accountability and Transparency Act \(FFATA\) Subaward Reporting System](#)

[Grants.gov](#)

[Healthy Homes Strategic Plan](#)

[Healthy Housing Reference Manual](#)

[Historically Black Colleges and Universities \(HBCUs\)](#)

[HUD's Disability Overview](#)

[HUD's Strategic Plan](#)

[HUD Grants](#)

[HUD Reform Act](#)

[HUD Reform Act: HUD Implementing Regulations](#)

[Limited English Proficiency \(LEP\)](#)

[NOFO Webcasts](#)

[Procurement of Recovered Materials](#)

[Promise Zones](#)

[Rural.gov](#)

[Rural Partners Network Community Networks](#)

[State Point of Contact List](#)

[System for Award Management \(SAM\)](#)

[Real Estate Acquisition and Relocation](#)

[Unique Entity Identifier](#)

[USA Spending](#)

### **3. Program Relevant Web Resources**

None.

## **APPENDIX**