FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE SILICON METAL FROM ANGOLA, AUSTRALIA, LAOS, NORWAY, AND THAILAND

This questionnaire must be received by the Commission by May 8, 2025

See last page for instructions regarding how to file this questionnaire.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping and/or countervailing duty investigations concerning silicon metal from Angola, Australia, Laos, Norway, and Thailand (Inv. Nos. 701-TA-760-763 and 731-TA-1743-1746 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

Address						
,						
Website						
Has your firm	produced or ex	ported silicon metal (as defined on n	ext page) at any t	ime since January 1, 2022?	
□NO	(Sign the certifi	cation below and prom	ptly return only th	nis page of the ques	stionnaire to the Commission)	
☐ YES	(Complete all page	arts of the questionnair	e, and return the	entire questionnai	re to the Commission)	
Data reporte	ed in this auest	ionnaire relate to (Check one)·			
Buta reporte	Angola	iormane relate to (Australi	2	Laos	
	Aligola	Norway	Australi	Thailand	Luo3	
		Norway				
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PART I. GENERAL INFORMATION

Background. This proceeding was instituted in response to a petition filed on April 24, 2025, by Ferroglobe USA, Inc., Beverly, Ohio, and Mississippi Silicon LLC, Burnsville, Mississippi. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Pertinent information to this proceeding is available at:

Questionnaires: https://usitc.gov/reports/active_import_injury_questionnaires.

Other case information: https://ids.usitc.gov/case/8282/investigation/8737

<u>Silicon metal</u> covered by this proceeding is all forms and sizes of silicon metal, including silicon metal powder. Silicon metal contains at least 85.00 percent but less than 99.99 percent silicon, and less than 4.00 percent iron, by actual weight. Semiconductor grade silicon (merchandise containing at least 99.99 percent silicon by actual weight and classifiable under Harmonized Tariff Schedule of the United States (HTSUS) subheading 2804.61.0000) is excluded from the scope of this investigation.

Silicon metal is currently classifiable under subheadings 2804.69.1000 and 2804.69.5000 of the HTSUS. While the HTSUS numbers are provided for convenience and customs purposes, the written description of the scope remains dispositive.

Reporting of information. If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>. The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. §1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>. The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information. The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

<u>Valid number error messages</u>. If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue are provided at the end of this questionnaire and are available upon request from Jesse Sanchez (202-205-2402, jesse.sanchez@usitc.gov).

I-1. Reporting requirements. Please report below the actual number of hours required and the cost to your firm of completing this questionnaire for use by the Office of Management and Budget.

Hours	Dollars

Public reporting burden for this questionnaire is estimated to average 30 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please provide such comments to the Office of Investigations, import_injury@usitc.gov.

I-2. <u>Establishments covered</u>. Provide the name and address of establishment(s) covered by this questionnaire.

"<u>Establishment</u>" — Each facility of a firm in Angola, Australia, Laos, Norway, and Thailand involved in the production or export of silicon metal, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities. Firms operating more than one establishment in Angola, Australia, Laos, Norway, and Thailand should combine the data for all establishments into a single report.

"Related firm" —A firm that your firm solely or jointly owned, managed, or otherwise
controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm;
and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that
also solely or jointly owned, managed, or otherwise controlled your firm.

I-3. Related producers. Does your firm or any related firm produce, have the capability to produce, or have any plans to produce silicon metal in the United States or other countries?

No	Yes	If yes, please name the firm(s) and country(ies) below and, if U.S. producer(s), ensure that they complete the Commission's U.S. producers' questionnaire.

I-4. **Related U.S. importers**. Does your firm or any related firm import or have any plans to import silicon metal into the United States?

No	If yes, please name the firm(s) below and ensure that they complete the Commission's importer questionnaire.

- I-5. <u>Stock symbol information</u>. If your firm or any of the entities reported in questions I-2 through I-4 are publicly traded in the United States, please specify the stock exchange and trading symbol (including American Depository Receipts, if applicable): _____.
- I-6. **External counsel**. If your firm or parent firm is represented by external counsel in relation to this proceeding, please specify the name of the law firm and the lead attorney(s).

Law firm:	
Lead attorney(s):	

I-7. <u>U.S. importers</u>. Please provide the names, contacts, telephone numbers, and e-mail addresses of the <u>TEN</u> largest U.S. importers of your firm's silicon metal since January 1, 2022.

	Importer's name	Contact person	Email	Telephone	Share of your firm's 2024 U.S. exports (%)
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

PART II. TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Jesse Sanchez (202-205-2402, jesse.sanchez@usitc.gov). **Supply all data requested on a** calendar-year basis.

II-1.	<u>Contact information</u> . Please identify the responsible individual and the manner by which
	Commission staff may contact that individual regarding the confidential information submitted
	in Part II.

Name	
Title	
Email	
Telephone	

II-2a. <u>Changes in operations.</u> Please indicate whether your firm has experienced any of the following changes in relation to the production of silicon metal since January 1, 2022.

Check as many as appropriate.		If checked, please describe the nature, timing / duration, and impact on operations of any such reported changes as well as the business reasons for them; leave completely blank if not applicable
	Plant openings	
	Plant closings	
	Prolonged shutdowns	
	Production curtailments	
	Relocations	
	Expansions	
	Acquisitions	
	Consolidations	
	Weather-related or force majeure events	
	Other (e.g., revised labor agreements, technology)	

II-2b. <u>Anticipated changes in operations</u>. Does your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the production of silicon metal in the future?

No	Yes	If yes, supply details as to the likely timing, nature, and significance of such anticipated changes and describe the underlying assumptions and business reasons for them.

II-3a. **Production using same machinery**. Please report your firm's production of products using the same equipment, machinery, or employees as used to produce silicon metal, and the combined capacity (both installed and practical capacity) on this shared equipment, machinery, or employees in the periods indicated.

"Installed overall capacity" — The level of production that your establishment(s) could have attained, assuming your firm's optimal product mix, and based solely on existing capital investments, i.e., machinery and equipment that is in place and ready to operate. This capacity measure does <u>not</u> take into account other constraints to production such as existing workforce constraints, availability of raw materials, or downtime for maintenance, repair, and clean-up. This capacity measure is sometimes referred to as "nameplate" or "theoretical" capacity.

"Practical overall capacity" —The level of production that your establishment(s) could reasonably have expected to attain, taking into account your firm's actual product mix over the period. This capacity measure is based on not only existing capital investments, i.e., machinery and equipment that is in place and ready to operate; but also non-capital investment constraints, such as (1) normal operating conditions, including normal downtime for maintenance, repair, and cleanup; (2) your firm's existing in place and readily available labor force; (3) availability of material inputs; and (4) any other constraints that may have limited your firm's ability to produce the reported products. Importantly, this capacity measure is the maximum "practical" production your firm could have achieved without hiring new personnel or expanding the number of shifts operated in the period.

"Practical silicon metal capacity" —The level of production of silicon metal that your establishment(s) could reasonably have expected to attain. The same assumptions apply to this capacity measure as for practical overall capacity, but only includes the portion of practical overall capacity allocated to the production of silicon metal based on the actual product mix experienced over the period.

"Production" —All production in your establishment(s) in Angola, Australia, Laos, Norway, and Thailand, including production consumed internally within your firm and production for another firm under a toll agreement.

Takes into account	Installed overall capacity	Practical overall capacity	Practical silicon metal capacity
Existing capital investments	Yes	Yes	Yes
Product mix	Yes	Yes	Yes
Normal downtime, maintenance, repair and clean-up	No	Yes	Yes
Existing labor force	No	Yes	Yes
Availability of material inputs	No	Yes	Yes
Actual number of shifts and hours operated	No	Yes	Yes
Limited to silicon metal	No	No	Yes

II-3a. **Production using same machinery. Continued**

Quantity (in short	tons contained silicor	n)				
	Calendar year					
Item	2022	2023	2024			
Capacity measures:						
Installed overall capacity ¹						
Practical overall capacity ¹²						
Practical silicon metal capacity ^{3 4}	0	0	0			
Production of: Silicon metal ^{3 4}						
Contained weight	0	0	0			
Weight of other elements						
Total weight	0	0	0			
Out-of-scope products: Ferrosilicon						
Other products ⁵						
Subtotal, all out-of-scope products	0	0	0			
Total production using same machinery or workers	0	0	0			

¹ Data reported for both "installed overall" and "practical overall" capacity should each individually be greater than data reported for total production (last line). Additionally, data reported for "installed overall" capacity should be greater than "practical overall" capacity in every period.

II-3b. **Operating parameters**. The **practical** overall capacity reported in II-3a is based on the following operating parameters:

Hours per week	Weeks per year

² Please provide details in your response to the question on capacity constraints in question II-3d below that explain the differences reported between "installed" and "practical" overall production capacities.

³ Data entered in question II-9 for this indicator will populate here.

⁴ Data reported for practical silicon metal capacity should be greater than the data reported for production of silicon metal in each period, if not revise prior to submission to the Commission. Additionally, if your firm reports the production of no other products on the same machinery and using the same workers as silicon metal then "practical overall" and "practical silicon metal" capacity measures should be equal to each other.

⁵ Please identify these products: _____

een b	s still a constraint to achieving the ins inding.	raint was not actually binding over the period reporte talled capacity level, indicate at what level it would ha
	traint ck as many as appropriate)	Description (If checked, please describe the details, timing, and a of the constraint; leave completely blank if not applic
	Production bottlenecks	
	Existing labor force	
	Supply of material inputs	
	Fuel or energy	
	Storage capacity	
	Logistics/transportation	
	Other constraints (list the specific constraints in the description field)	
nd the	Logistics/transportation Other constraints (list the specific constraints in the description field) one installed overall capacity. Please de additional actions that would be need	describe and quantify the amount of time it would eded (e.g., hiring new workers, expanding shifts, ply, etc.) for your firm to be able to fully utilize th

ng. r firm able to switch production	
firm able to switch production	
the same equipment and/or lab	(capacity) between silicon metal and other prod or?
· _ · _ · _ · _ ·	ed other products or are able to produce other fy other actual or potential products.
de	escribe the factors that affect

II-5. <u>Capacity checklist</u>. Please check that the capacity numbers reported in question II-3a follow the Commission's relevant definitions for capacity.

Item	√ if Yes
Are all three capacity measures reported based on <u>currently installed machinery</u> <u>and equipment</u> (i.e., the reported capacity level would not require additional capital investments in order to achieve)?	
Are practical overall capacity and practical silicon metal capacity measures reported based on <u>existing labor force</u> (i.e., the reported capacity level would not require hiring additional production related workers or adding shifts)?	
Are practical overall capacity and practical silicon metal capacity measures based on the actual availability of material inputs?	
Do both practical overall capacity and practical silicon metal capacity measures account for <i>normal downtime, maintenance, repair and clean-up</i> activities?	
Does the difference between practical overall capacity and practical silicon metal capacity equal the portion of practical overall capacity that is dedicated to the production of out-of-scope products?	

Note: If your firm is not able to answer "yes" to any of the above criteria as they relate to your firm's reported capacity levels, please revise your capacity numbers to be in conformance with the appropriate definition prior to submission to the Commission.

- II-6. **Share of sales**. What percentage of your firm's total sales in its most recent fiscal year was represented by sales of silicon metal? _____ percent.
- II-7a. Firm's estimated share of production in Angola, Australia, Laos, Norway, or Thailand. Please estimate the percentage of total production of silicon metal in the country specified on the certification page accounted for by your firm's production in 2024. _____ percent.
- II-7b. <u>Firm's estimated share of exports from Angola, Australia, Laos, Norway, or Thailand</u>. Please estimate the percentage of total exports to the United States of silicon metal from the country specified on the certification page accounted for by your firm's exports in 2024. _____ percent.
- II-8. <u>Third country trade actions</u>. Is the silicon metal exported by your firm subject to antidumping/countervailing duty/safeguard findings, remedies, or proceedings?

No	If yesList the products(s), countries affected, and the date of such findings/remedies/proceedings.

II-9. <u>Trade data</u>. Report your firm's capacity, production, shipments, and inventories related to the production of silicon metal in your establishment(s) in Angola, Australia, Laos, Norway, or Thailand during the specified periods. Do not include resales of silicon metal that your firm did not produce in this question; those data to the degree they are exported to the United States should only be reported in question II-10.

<u>Do not submit data by manufacturing facility if they are in the same country.</u> If your firm has multiple manufacturing establishments within one country, you are required to combine data for those establishments within one foreign producer questionnaire response.

<u>Do not submit data on multiple countries combined</u>. The establishments reported here should all be located in the country of the firm's address reported on the certification page. Multinational companies with production in multiple subject countries should submit separate foreign producer questionnaire responses for each subject country.

"Production" —All production in your establishment(s) in Angola, Australia, Laos, Norway, and Thailand, including production consumed internally within your firm and production for another firm under a toll agreement.

"Shipments" — Shipments of products produced in your establishment(s) in Angola, Australia, Laos, Norway, and Thailand. Quantities reported should be net of returns.

"Home market commercial shipments" — Shipments, other than internal consumption and transfers to related firms, within Angola, Australia, Laos, Norway, and Thailand.

"Home market internal consumption/transfers to related firms" — Shipments made to related firms in Angola, Australia, Laos, Norway, and Thailand, including product consumed internally by your firm.

"Export shipments" — Shipments to destinations outside of the country indicated on page 1 (Angola, Australia, Laos, Norway, and Thailand), including shipments to related firms.

"Inventories" — Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-9. **Trade data.** Continued.

	Actual experience			Projections ¹	
	Calendar year				
Item	2022	2023	2024	2025	2026
Practical silicon metal capacity ² (A)					
Beginning-of-period inventories (B)					
Production ² (C)					
Home market shipments: Internal consumption/ transfers (D)					
Commercial shipments (E)					
Export shipments: to the United States (F)					
to all other markets (G) ³					
Total exports (H)	0	0	0	0	0
Total shipments (I)	0	0	0	0	O
End-of-period inventories (J)					

³ Identify your firm's principal other export markets: _____

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY</u>. Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, E, F, and G). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	A	ctual experien	ice	Proje	ections
			Calendar year		
Item	2022	2023	2024	2025	2026
B + C - D - E - F - G - J = should equal					
zero ("0") or provide an explanation. ¹	0	0	0	0	0

¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:

II-10. Exports to the United States not produced by your firm. Report your firm's exports to the United States of silicon metal that was produced in Angola, Australia, Laos, Norway, and Thailand but not by your firm during the specified periods. Note these data should <u>not</u> be included in question II-9.

Quantity (in short tons contained silicon)					
	Ac	tual experien	ice	Proje	ctions
	Calendar year				
Item	2022	2023	2024	2025	2026
Exports of silicon metal to the United States not produced by your firm ¹					
¹ List the producer(s)					•

II-11.	Other explanations. If your firm would like to further explain a response to a question in Part II for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

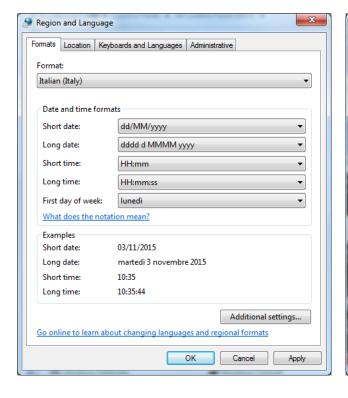
Correcting Valid number error messages. If you are completing this questionnaire in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). This questionnaire is prepared in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

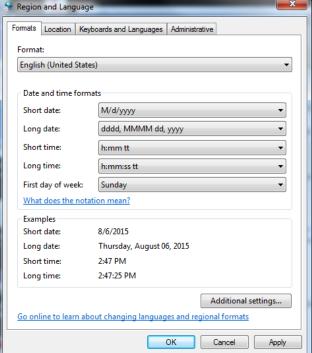
The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g., "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the USITC foreign producer questionnaire form. When you finish reporting the data then you can close the questionnaire and switch back to your country settings.





HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://usitc.gov/reports/active import injury questionnaires.

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Commission's secure submission portal</u>. The questionnaire must be uploaded in two formats: (1) a Microsoft Word document; and (2) a PDF copy of the complete questionnaire with a signature on the first page. Please include any attachments at the end of the PDF (e.g., APO certification, additional comments, etc.).

Web address: https://usitc.gov/qportal Pin: SIMTL Phase: Preliminary

• E-mail. E-mail the MS Word questionnaire to <u>jesse.sanchez@usitc.gov</u>; include a PDF copy of the complete questionnaire with a signature on the first page. Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure submission portal and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm did not produce or export this product, please fill out page 1, print, sign, and submit a scanned PDF copy via the Commission's secure submission portal or email.

<u>Parties to this proceeding</u>. If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1802). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.