



U.S. Department of Transportation  
Maritime Administration

OMB No. 2133-0525

Expiration Date: XX/XX/XXXX

## **Financial Report of Ship Carriers (Part II)**

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a current valid OMB Control Number. The OMB Control Number for this information collection is 2133-0525. Public reporting for this collection of information is estimated to be approximately 2 hours per response, including the time for preparing and submitting annual financial reports for this collection. All responses to this collection of information are required to receive program benefits. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Maritime Administration, MAR-390, 1200 New Jersey Avenue, SE, Washington, DC 20590.

Schedule 220 - Restricted Funds			
Fund Identification	Balance on Deposit	Accrued for Deposit	Total
Title XI Reserve/Restricted Fund .....			
Capital Construction Fund .....			
Construction Reserve Fund .....			
Insurance Funds .....			
Debt Retirement Funds .....			
Escrow Funds .....			
Construction Funds .....			
Special Guarantee Funds .....			
Other (Including Drilling Rig Reserve Funds) .....			
TOTAL			

<p align="center"><b>Schedule 230 - Notes Payable and Debt Due Within One Year</b></p>
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Bank Loans - Notes Payable-Related Party - Notes Payable-Officers and Employees - Other Short Term Notes

1. Items **\$50,000** or more should be shown individually and grouped by the major classifications shown above.
2. Items less than **\$50,000** each in any account may be shown as a single entry under a caption "Items under \$1 **00,000** each." Such entries will contain data in the first and last columns shown below.
3. Include current portion of Long-Term Debt from Schedule 240.

- Bank Loans - Notes Payable-Related Party - Notes Payable-Officers and Employees - Other Short Term Notes
1. Items **\$50,000** or more should be shown individually and grouped by the major classifications shown above.
  2. Items less than **\$50,000** each in any account may be shown as a single entry under a caption "Items under \$1 **00,000** each." Such entries will contain data in the first and last columns shown below.
  3. Include current portion of Long-Term Debt from Schedule 240.

Account Classification	Name of Debtor	Date of Issue	Date of Maturity	Interest Rate	Ending Balance
TOTAL					

## Schedule 240 - Long-Term Debt, Operating Leases, Rentals

### (A) Long-Term Debt Due After One Year

Mortgage Notes - Maritime Administration - Capital Lease Liabilities - U.S. Government Guaranteed Debt - Operating Leases and Rental Commitments - Other Long Term Debt

1. Entries to both parts of this schedule should be grouped under the classifications shown above. Indicate whether the debt is secured or unsecured by using an (s) or (u) respectively.
2. Items over **\$100,000** should be listed individually showing origination date, maturity date and interest date.
3. Items less than **\$100,000** under each classification may be shown in a single entry under a caption "Items under **\$100,000**" for each of the classifications, indicating secured or unsecured here as well.

Name of Creditor	Character of Liability or Description of Property	Original Date	Maturity or Expiration Date	Interest Rate	Balance or Total Future Lease Payments
TOTAL					

### (B) Long-Term Debt Due Within One Year

Name of Creditor	Character of Liability or Description of Property	Original Date	Maturity or Expiration Date	Interest Rate	Balance or Total Future Lease Payments
TOTAL					

## Schedule 310 - Vessel Operating Statement

### Berth Line Operations

for the Period from \_\_\_\_\_ to \_\_\_\_\_  
(dollars in thousands)

Type of Report: ☐ Grand Summary ☐ Active Voyages ☐ Idle Status

Service Area: \_\_\_\_\_ Vessel Type: \_\_\_\_\_

Number of Sailings: Outbound: \_\_\_\_\_ Inbound: \_\_\_\_\_ Nautical Miles: \_\_\_\_\_

Total Voyage Days: \_\_\_\_\_ at Sea: \_\_\_\_\_ In Port: \_\_\_\_\_ Idle: \_\_\_\_\_

Fuel Used (Barrels): HVO (Bunker C)      -at Sea: \_\_\_\_\_      In Port: \_\_\_\_\_

Diesel                      -at Sea: \_\_\_\_\_                      In Port: \_\_\_\_\_

IFO \_\_\_\_\_ -at Sea: \_\_\_\_\_ In Port: \_\_\_\_\_

(specify type)

	Domestic	U.S. Foreign Commerce Outbound	Foreign to Foreign	U.S. Foreign Commerce Inbound	Total
<b>CARGO CARRIED:</b>					
Number of Barges: _____ <i>(identify)</i>					
Number of Containers					
TEUs (     )					
Other (     ) _____ <i>(identify)</i>					
Tonnage Carried Payable					
Revenue Tons (     )					
Other (     ) _____ <i>(identify)</i>					
<b>OPERATING REVENUE:</b>					
Freight .....					
Charter Revenue .....					
Other Revenue .....					
Total Operating Revenue .....					
<b>OPERATING EXPENSES: Vessel</b>					
<b>Expenses (Account 700) -</b>					
Wages .....					\$
Subsistence .....					
Stores .....					
M&R .....					
Insurance .....					
Charter hire .....					
Fuel .....					
Other vessel expense .....					
Total Vessel Expense .....					\$
Less Operating Differential Subsidy .....					
Total Vessel Expense After ODS .....					\$
Vessel Port Call Expense (Account 750) .....					\$
<b>Cargo Handling Expenses (Account 760) -</b>					
Cargo, barge, container expense .....					\$
Cargo handling .....					
Cargo Freight Stations .....					
Cargo Transportation (including inland transportation costs)					
Total Cargo Handling Expense .....					\$
Total Operating Expense .....					\$
Vessel Depreciation .....					
Vessel Financing Interest Cost .....					
Idle Status Costs (Idle Status Reports only) .....					
Gross Operating Profit or (Loss) .....					\$

Schedule 320 - Other Shipping Operations  
(Accounts 650 and 860)

**This schedule may be omitted when revenue from other shipping operations is less than 10 percent of total shipping revenue.**

Description	Revenue	Expense
Collection from pools .....	\$ _____	
Revenue from cargo equipment operations .....	_____	
Revenue from cargo handling operations .....	_____	
Revenue from terminal operations .....	_____	
Agency and management fees, commissions and brokerage .....	_____	
Revenue from other shipping operations .....	_____	
 Total - Other shipping operations revenue (Account 650) .....	 \$ _____	
 Contribution to pools .....		\$ _____
Cargo equipment expense .....		_____
Terminal expense .....		_____
Expense of other shipping services .....		_____
 Total - Other shipping operations expense (Account 860) .....		 \$ _____
 Net other shipping operations income or (expense) .....		 \$ _____

NOTE: Container leasing expenses, maintenance and repair costs, and costs of shipping activities which are directly related to vessel operations, should be included in Cargo Handling Expense (Account 760) on Schedule 310.

## Schedule 310 - Vessel Operating Statement

### Tanker and Dry Bulk Vessel Operations

*(Self-propelled and Fully Integrated Tug/Barge Vessels)*

for the Period from \_\_\_\_\_ to \_\_\_\_\_

(dollars in thousands)

Vessel Type: \_\_\_\_\_ DWT: \_\_\_\_\_

Number of Nautical Miles Traveled: \_\_\_\_\_

Total Voyage Days: \_\_\_\_\_ at Sea: \_\_\_\_\_ In Port: \_\_\_\_\_ Idle: \_\_\_\_\_

Fuel Used (Barrels): HVO (Bunker C) -at Sea: \_\_\_\_\_ In Port: \_\_\_\_\_

Diesel -at Sea: \_\_\_\_\_ In Port: \_\_\_\_\_

IFO \_\_\_\_\_ -at Sea: \_\_\_\_\_ In Port: \_\_\_\_\_

(specify type)

	Coastal or Intercoastal	U.S. Foreign Commerce Outbound	Foreign to Foreign	U.S. Foreign Commerce Inbound	Total
<b>CARGO CARRIED:</b>					
Long Tons .....					
Cubic Feet .....					
<b>OPERATING REVENUE:</b>					
Cargo Revenue .....					
Charter Revenue .....					
Other Revenue .....					
Total Operating Revenue .....					
<b>OPERATING EXPENSES:</b>					
Vessel Expenses (Account 700) -					
Wages .....					\$
Subsistence .....					
Stores .....					
M&R .....					
Insurance .....					
Charter hire .....					
Fuel .....					
Other vessel expense .....					
Total Vessel Expense .....					\$
Less Operating Differential Subsidy .....					
Total Vessel Expense After ODS .....					\$
Vessel Port Call Expense (Account 750) .....					
Cargo Handling Expenses (Account 760) -					
Total Operating Expense .....					\$
Vessel Depreciation .....					
Vessel Financing Interest Cost .....					
Idle Status Costs (Idle Status Reports only) .....					
Gross Operating Profit or (Loss) .....					\$

**Schedule 320 - Other Shipping Operations**  
(Accounts 650 and 860)

**This schedule may be omitted when revenue from other shipping operations is less than 10 percent of total shipping revenue.**

Description	Revenue	Expense
Collection from pools .....	\$ _____	
Revenue from cargo equipment operations .....	_____	
Revenue from cargo handling operations .....	_____	
Revenue from terminal operations .....	_____	
Agency and management fees, commissions and brokerage .....	_____	
Revenue from other shipping operations .....	_____	
 Total - Other shipping operations revenue (Account 650) .....	 \$ _____	
 Contribution to pools .....		\$ _____
Cargo equipment expense .....		_____
Terminal expense .....		_____
Expense of other shipping services .....		_____
 Total - Other shipping operations expense (Account 860) .....		 \$ _____
 Net other shipping operations income or (expense) .....		 \$ _____

NOTE: Container leasing expenses, maintenance and repair costs, and costs of shipping activities which are directly related to vessel operations, should be included in Cargo Handling Expense (Account 760) on Schedule 310.

**Schedule 310 - Vessel Operating Statement**  
**Drill Rig and Supply Boat Operations**

for the Period from \_\_\_\_\_ to \_\_\_\_\_  
(dollars in thousands)

	Number of Vessels	Total	Days 2 Utilized		Number of Vessels	Total <sup>1</sup>	Days 2 Utilized
Semi-submersibles .....				Other Rigs .....			
Jack-ups .....				Supply Boats .....			
Drill Ships .....				Tug/Supply Boats .....			
Barges .....				Other Boats .....			

**CURRENT ASSETS**

Drill rig operations .....	\$	
Tug and other supply boat operations .....		
Charter revenue .....		
Other revenue (detail each item over 5% of total gross revenue) .....		
.....		
.....		
.....		
.....		
.....		
Total Other Revenue .....	\$	
Total Revenue .....		

**VESSEL EXPENSES**

Labor and burden .....	\$	
Repairs and maintenance .....		
Charter hire .....		
Vessel depreciation .....		
Vessel financing interest cost .....		
Insurance .....		
Other expenses (detail each item over 5% of total vessel expense) .....		
.....		
.....		
.....		
.....		
.....		
Total Other Expense .....		
Total Vessel Expense .....	\$	

Gross Operating Profit or (Loss) ..... \$

<sup>1</sup> Total calendar days owned or controlled times number of vessels

<sup>2</sup> Total number of days vessels are under charter or in transit to and from site



Schedule 320 - Other Shipping Operations  
(Accounts 650 and 860)

**This schedule may be omitted when revenue from other shipping operations is less than 1 0 percent of total shipping revenue.**

Description	Revenue	Expense
Collection from pools .....	\$ _____	
Revenue from cargo equipment operations .....	_____	
Revenue from cargo handling operations .....	_____	
Revenue from terminal operations .....	_____	
Agency and management fees, commissions and brokerage .....	_____	
Revenue from other shipping operations .....	_____	
 Total - Other shipping operations revenue (Account 650) .....	 \$ _____	
 Contribution to pools .....		\$ _____
Cargo equipment expense .....		_____
Terminal expense .....		_____
Expense of other shipping services .....		_____
 Total - Other shipping operations expense (Account 860) .....		 \$ _____
 Net other shipping operations income or (expense) .....		 \$ _____

NOTE: Container leasing expenses, maintenance and repair costs, and costs of shipping activities which are directly related to vessel operations, should be included in Cargo Handling Expense (Account 760) on Schedule 31 0.

## Schedule 310 - Vessel Operating Statement

### Other Modes of Marine Operations

(including Coastal and Inland Waterways Operations)

**for the Period from \_\_\_\_\_ to \_\_\_\_\_**

Service Area \_\_\_\_\_

Number of Barges \_\_\_\_\_ and Boats \_\_\_\_\_ Owned or Leased

Number of Miles Cargo Carried \_\_\_\_\_ Total Capacity of Barges L/T \_\_\_\_\_

Total L/T Cargo Carried \_\_\_\_\_ Number of Vessel Days in Operations \_\_\_\_\_

Total Fuel Consumption (Barrels) \_\_\_\_\_ Total Horsepower \_\_\_\_\_

Average Number of Units (Car Floats) \_\_\_\_\_

#### Type and Number of Vessels Operated

Tugboats .....	Barges:
Towboats .....	Deck .....
Midstreamers .....	Open Hopper .....
Scows .....	Dry Cargo .....
Car Floats .....	Liquid Cargo .....
Other .....	LASH/SEABEE .....

#### OPERATING REVENUE:

Freight Carried (Inland) .....	\$ .....
Tow and Tug Operations .....	.....
Other Voyage Revenue .....	.....
Freight Carried (Coastal) .....	.....
Charter Revenue .....	.....
Total Operating Revenue .....	\$ .....

#### OPERATING EXPENSES: Vessel

##### Expenses (Account 700) -

Wages .....	\$ .....
Subsistence .....	.....
Stores .....	.....
M&R .....	.....
Insurance .....	.....
Charter hire .....	.....
Fuel .....	.....
Other vessel expense .....	.....
Total Vessel Expense .....	\$ .....
Vessel Port Call Expense (Account 750) .....	.....
Cargo Handling Expense (Account 760) -	.....
Total Operating Expense .....	\$ .....
Vessel Depreciation .....	.....
Vessel Financing Interest Cost .....	.....
Idle Status Costs (Idle Status Reports only) .....	.....
Gross Operating Profit or (Loss) .....	\$ .....

Schedule 320 - Other Shipping Operations  
(Accounts 650 and 860)

**This schedule may be omitted when revenue from other shipping operations is less than 10 percent of total shipping revenue.**

Description	Revenue	Expense
Collection from pools .....	\$ _____	
Revenue from cargo equipment operations .....	_____	
Revenue from cargo handling operations .....	_____	
Revenue from terminal operations .....	_____	
Agency and management fees, commissions and brokerage .....	_____	
Revenue from other shipping operations .....	_____	
 Total - Other shipping operations revenue (Account 650) .....	 \$ _____	
 Contribution to pools .....		\$ _____
Cargo equipment expense .....		_____
Terminal expense .....		_____
Expense of other shipping services .....		_____
 Total - Other shipping operations expense (Account 860) .....		 \$ _____
 Net other shipping operations income or (expense) .....		 \$ _____

NOTE: Container leasing expenses, maintenance and repair costs, and costs of shipping activities which are directly related to vessel operations, should be included in Cargo Handling Expense (Account 760) on Schedule 310.

## OATH

State of \_\_\_\_\_

County of \_\_\_\_\_

\_\_\_\_\_ makes oath and says that as  
(Name)

\_\_\_\_\_ of \_\_\_\_\_  
(Official Title) (Exact name of Respondent)

that I have carefully examined the foregoing report, and that to the best of my knowledge and belief the said report has been prepared in accordance in conformance with generally accepted accounting principles applied on a consistent basis and is a true and correct statement of the financial affairs of the Respondent for the period covered by this report. Moreover, all of the supporting Schedules required in this form have been completed with the exception of the Schedules listed below.

\_\_\_\_\_  
(Signature of applicant)

Subscribed and sworn to before me, a \_\_\_\_\_

in and for the State and County above named, this \_\_\_\_\_ day of \_\_\_\_\_ 120 \_\_\_\_\_

My commission expires \_\_\_\_\_

\_\_\_\_\_  
(Signature of official authorized to administer oath)

Schedule Number \_\_\_\_\_ Reason - N/A (not applicable), or None (no information to report)

Official of Respondent with whom the Administration may contact regarding this report:

Name \_\_\_\_\_ Title \_\_\_\_\_

Telephone Number \_\_\_\_\_