

Request for Approval under the Generic Solution for Solicitation for Competitive Discretionary Funding Opportunity Announcements

Funding Opportunity Announcement Title: PIH Choice Neighborhoods Implementation Grants

HUD Program Office/Agency: Office of Public and Indian Housing
Other:

HUD Program Office/Agency Point of Contact (person completing this form):
Choice Neighborhoods/ Caroline Tatalovich and Christina Mortensen

Alignment with Information Collection Package: (Check one)

- ☒ Funding Opportunity for Existing Program.
☐ Funding Opportunity for New Program.

Purpose

Briefly describe the program. Include specific statutes and regulations. Explain the information to be collected to clearly describe to OMB the requirements and burden on the respondents. The description must align with and provide detail on the information included in the parent supporting statement. For example, if the respondent burden table below shows 50 burden hours for project summary, provide additional detail elaborating on what the respondents are required to provide to meet that requirement. For existing programs, the information included here may resemble the information you would have submitted in question number 1 in your existing/previously approved ICR. Also, include and clearly label any exceptions to the activities described in the parent supporting statement. If revising an approved collection, highlight key changes to the collection.

This information collection is required to administer the Choice Neighborhoods Implementation Grants competition. HUD publishes a Notice of Funding Opportunity (NOFO) annually that announces the availability of funds for award and invites eligible applicants (local governments, public housing agencies, tribal entities, and owners of HUD-assisted housing) to submit a grant application. The information will be used by the Office of Public Housing Investments staff to evaluate applicants and determine eligibility to receive grant awards. The contents of an Implementation Grant application consist of forms, narrative responses, and other application attachments such as maps, photos, and charts designed to allow the applicant to demonstrate eligibility and responsiveness to the rating factors (the need for the Federal assistance, their capacity to carry out the planning process, committed leverage from private and/or other public entities, the soundness of their proposed planning process, and anticipated achievements/results). Applications are scored by teams of HUD staff and then by a final panel to ensure consistency of scores. The highest rated applications are then recommended for funding, up to the amount of funding available. Refer to tables below for the list of all forms that apply to this program, frequency of information collection, and associated burden to both the respondents and HUD.

The program authority for the Choice Neighborhoods Initiative is Section 24 of the United States Housing Act of 1937 (42 U.S.C. 1437v, <https://www.govinfo.gov/content/pkg/USCODE-2023-title42/html/USCODE-2023-title42-chap8-subchapl-sec1437v.htm>) (1937 Act), as amended by the annual appropriations laws. The annual appropriations act permits the HUD Secretary to use the appropriated amount for the Choice Neighborhoods Initiative.

Building upon the successes achieved and the lessons learned from the HOPE VI program, Choice Neighborhoods is a program that employs a comprehensive approach to community development centered on

housing revitalization. The program aims to transform neighborhoods of poverty into viable mixed-income neighborhoods with access to economic opportunities by revitalizing severely distressed public and assisted housing and investing and leveraging investments in well-functioning services, effective schools and education programs, public assets, public transportation, and improved access to jobs.

Choice Neighborhoods funding focuses on the transformation of severely distressed public and/or HUD assisted housing developments. HUD awards two types of grants: Planning and Implementation. Planning Grants support communities in developing a local plan, referred to as a 'Transformation Plan,' to redevelop severely distressed public and/or HUD-assisted housing, revitalize the surrounding neighborhood, and coordinate services to improve quality of life outcomes for residents. Implementation Grants provide funding to help communities execute their plans.

Choice Neighborhoods grants are governed by the Notice of Funding Availability (NOFO) and the Grant Agreements executed between each grant recipient and HUD.

Applicants for the funding opportunity may receive bonus/preference points in an effort to strategically target investments in distressed areas designated as Opportunity Zones, and that are critical to advancing the mission of HUD and the program. Applicants for funding request Opportunity Zones preference/bonus application points by submitting required, HUD standard certification form, HUD-2996. The form is electronically available and submitted via grants.gov.

Bonus/preference points are also available for Promise Zones, which are high poverty communities where the federal government partners with local leaders to increase economic activity, improve educational opportunities, leverage private investment, reduce violent crime, enhance public health and address other priorities identified by the community. Applicants for funding request Promise Zones preference/bonus application points by submitting required, HUD standard certification form, HUD-50153. The form is electronically available and submitted via grants.gov.

The certification form, signed by the applicant's authorized representative, provides evidence to HUD that the applicant is engaged in activities that support or stimulate investments in a Opportunity Zone or Promise Zone. The certification also allows HUD to easily verify the percentage of a proposed project funding expected to support an Opportunity Zone or a Promise Zone.

HUD staff review the submitted certification forms during the application review period for the above funding opportunities, to confirm eligibility for the bonus/preference points. HUD may award an applicant up to two (2) bonus/preference application points for each priority. HUD staff also analyze data across all submitted certification.

Electronic Submission

Applicants submit their applications electronically through Grants.gov. Electronic submission eliminates the burden on applicants to print, organize and ship multiple copies of their application. Additionally, the Grants.gov automatically populates common elements of many forms, so that standard information about the applicant that appears on several forms only needs to be entered once. To use Grants.gov, applicants are required to submit organization information, certifications, and assurances via sam.gov. HUD uses the interface between Grants.gov and GrantSolutions to retrieve applications.

No PII is included in this data collection. HUD stores the information collected via applicants' narrative responses in its own servers and takes all necessary steps to safeguard the information while complying with federal mandates, guidance and HUD security policies and procedures.

Curables

HUD reviews each application to see if any of the items are missing or deficient as part of the initial review for eligibility and threshold compliance. If a curable deficiency is identified, HUD staff administering the

competition emails the applicant POC along with instructions on how to cure the deficiency. The instructions ask the response to be emailed to applicantsupport@hud.gov and ChoiceNeighborhoods@hud.gov. The template email is included in the supporting documents provided for this information collection.

Changes Since Previous Approval (include changes since OMB previous approval):

If programs, the information included here may resemble the information in question 5 in your existing/previously approved ICR.

The Choice Neighborhoods information collected was previously approved under OMB No. 2577-0269.

Changes to the information collected under this approval include:

- pre-award documents are now included in HUD's Generic IC
- reduced application content and forms to simplify the application process

Announcement Dates (Start and End Dates for Applications): NOFO is published annually, subject to appropriations. Application due date is generally 90 days after NOFO publication.

Type of Collection (Federal Award Instrument): (Check all that apply)

- ☒ Grant
☐ Cooperative agreement
☐ Other:

How will information collected be submitted to the HUD Office/Agency? (Check all that apply)

- ☒ Grants.gov
☐ esnaps.hud.gov
☐ Other:

Does the HUD Office/Agency need to collect information beyond the scope of the supporting statement for the generic ICR? ☐ Yes ☒ No

If yes, will the HUD Office/Agency submit a regular ICR package?

- ☐ Yes ☐ No

How does this collection minimize the burden on small businesses/entities, if applicable?

For existing programs, the information included here may resemble the information in question 5 in your existing/previously approved ICR.

This information collection has no impact on small businesses/entities.

If the collection requires a systems of records notice (SORN) or privacy impact assessment (PIA), those should be cited and described here.

Consult your Privacy Act Officer before responding to this item. The information included here may resemble the information in question 10 in your existing/previously approved ICR.

Assurance of confidentiality is neither provided nor needed for any of these information collections. The Privacy Act of 1974 provided privacy protection to respondents.

Sensitive Information:

Is the agency asking any questions of a sensitive nature? If yes, provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. Include the reasons justifying why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

Consult your Privacy Act Officer and the FHEO before responding to this item. For existing programs, the

information included here may resemble the information in question 11 in your existing/previously approved ICR.

☐ Yes ☒ No

If yes, additional information:

Certification:

I certify the information contained in this document to be true and accurate:

☒ Yes ☐ No

Respondent Burden Hours, Formats, and Reporting

Include in the table the collection items and burden amounts associated with responding to a notice of funding opportunity (NOFO) and other pre-award activities. The burden included in this chart must align with the parent supporting statement. If preparing a new form, indicate "new" under the Form Number column. Existing forms number can remain unchanged, but the actual forms need to be updated with the OMB approval number for this generic collection. Also include the burden associated with responding to the rating factors and completing other narratives requested via the NOFO or other pre-award activities.

For existing programs, the information added here may resemble the information you would have submitted in question number 12 in your existing/previously approved ICR. All forms must accompany this Template for submission to OMB for approval. Do not list the required standard forms from the generic support statement; however, please list optional standard forms from the parent supporting statement. For the optional standard forms, do not include burden information, unless the burden is unique to the program.

Note: Forms with "0" burden hours have already been covered in the generic information collection or a different PRA approval.

According to the Department of Labor Bureau of Labor Statistics' website, the hourly rate for "Project Management Specialists" (occupation code 13-1082) in May 2023 is \$50.44 [National Occupational Employment and Wage Estimates United States, <https://www.bls.gov/oes/2023/may/oes131082.htm>]

Brief description of information being collected (project summary, charts, narrative response)	Forms number	Number of Respondents	Number of Responses per respondent	Total Annual Responses	Burden hours per responses
Application Checklist/Table of Contents	HUD-53230	20	1	20	.5
Key Eligibility Data Form- Implementation Grants	HUD-53233	20	1.2	24	.75
Application Data Form: Existing Units, Occupancy, Vacancy and Planned Units	HUD-53234	20	1	20	1
Eligible Target Housing Documentation – Severe Distress of Targeted Project Certification	HUD-53232	20	1.2	24	.25
One-for-One Replacement Certification	HUD-53238	20	1	20	.25
Resident and Community Involvement Certification	HUD-53231	20	1	20	.25
Housing, People, and Neighborhood Leverage Documentation	HUD-53239	20	1	20	8
Grant Sizing Worksheet	HUD-53235	20	1	20	1

Narrative response to rating factors (Capacity, Need, Soundness of Approach, Expected Achievement/Outcomes, etc.)		20	1	20	25
Rental Assistance Demonstration (RAD) certification		20	20	1	.5
Housing Sources and Uses		20	1	20	2
Site Control and land use documentation		20	1	20	2
Eligible Applicants Documentation		20	1.2	24	.5
Partnership Certifications		20	1	20	2
Eligible Neighborhoods Documentation – Eligible Neighborhoods Map		20	1	20	.5
Need Documentation		20	1	20	1.5
Market Analysis summary		20	1	20	1.5
Program Schedule		20	1	20	1.5
Staffing, organization framework, and decision-making documentation		20	1	20	1
Project-Based Voucher (PBV) documentation		20	1	20	.5
Consistency with PHA/MTW Plan		20	1	20	.5
Maps, Photos, Current Site Plan of target housing, neighborhood, and city		20	1	20	3
Conceptual Site Plans		20	1	20	2
Building elevations, schematic drawings		20	1	20	2
Covered by Generic IC					
Certification of Consistency with Consolidated Plan	HUD-2991	20	1	20	0
Applicant/Recipient Disclosure/Update Report	HUD-2880	20	1	20	0
Applicant and Recipient Assurances and Certifications	HUD-424B	20	1	20	0
Certification of Consistency with Promise Zone Goals and Implementation	HUD-50153	5	1	5	0
Opportunity Zones Certification	HUD-2996	5	1	5	0
Application for Federal Assistance (OMB 4040-0004)	SF-424	20	1	20	0
Assurances - Non-Construction Programs (OMB 4040-0007)	SF-424B	20	1	20	0
Assurance - Construction Programs (OMB 4040-0009)	SF-424D	20	1	20	0
Disclosure of Lobbying Activities documentation (OMB 4040-0013)	SF-LLL	20	1	20	0
Grants.gov Lobbying Certification		20	1	20	0
Drug-Free Workplace Certification	HUD-50070	20	1	20	0
Covered by Other IC approvals					
Previous Participation Certification (OMB	HUD-	5	1	5	0

2502-0118)	2530				
Housing Choice Voucher application	HUD-52515	20	1	20	0
CN Implementation Budget Form (OMB 2577-0269)	HUD-53236	20	1	20	0
TOTAL					

Federal Burden Hours, Formats, and Reporting

Include in the table the collection items and burden amounts associated with HUD's review of the collected item for NOFOs and other pre-award activities. Include burden associated with reviewing eligibility as well as rating factors. For existing programs, the information added here may resemble the information you would have submitted in question number 14 in your existing/previously approved ICR.

Brief description of information being collected (project summary, charts, narrative responses, etc.)	Forms number	Number of Respondents	Number of Responses per respondent	Total Annual Responses	Burden hours per responses
Application Checklist/Table of Contents	HUD-53230	20	1	20	.25
Key Eligibility Data Form- Implementation Grants	HUD-53233	20	1.2	20	.5
Application Data Form: Existing Units, Occupancy, Vacancy and Planned Units	HUD-53234	20	1	20	.75
Eligible Target Housing Documentation – Severe Distress of Targeted Project Certification	HUD-53232	20	1.2	20	.25
One-for-One Replacement Certification	HUD-53238	20	1	20	.25
Resident and Community Involvement Certification	HUD-53231	20	1	20	.25
Grant Sizing Worksheet	HUD-53235	20	1	20	.25
Housing, People, and Neighborhood Leverage Documentation	HUD-53239	20	1	20	1.5
Rental Assistance Demonstration (RAD) certification		20	1	20	.17
Housing Sources and Uses		20	1	20	.5
Site Control and land use documentation		20	1	20	.5
Eligible Applicants Documentation		20	1.2	20	.25
Partnership Certifications		20	1	20	.5
Eligible Neighborhoods Documentation – Eligible Neighborhoods Map		20	1	20	.5
Need Documentation		20	1	20	.5
Market analysis summary		20	1	20	.5
Program Schedule		20	1	20	.75
Staffing, organization framework, and decision-making documentation		20	1	20	.5
Project-based voucher (PBV) documentation		20	1	20	.25
Consistency with PHA/MTW Plan		20	1	20	.25
Maps, Photos, Current Site Plan of target housing, neighborhood, and city		20	1	20	.5
Conceptual Site Plans		20	1	20	.5
Building elevations, schematic drawings		20	1	20	.25
Narrative response to rating factors		20	1	20	6

