OMB Approved No. 2900-0776 Respondent Burden: 30 minutes

			Respondent Burden: 30 minutes		
Department of Veterans Aff	airs SHOULDER AND ARI	M CONDITIONS DISABILITY BEN	EFITS QUESTIONNAIRE		
IMPORTANT - THE DEPARTMENT OF VETE PROCESS OF COMPLETING AND/OR SUBMIT ON REVERSE BEFORE COMPLETING FORM.					
NAME OF PATIENT/VETERAN		PATIENT/VETERAN'S	SOCIAL SECURITY NUMBER		
NOTE TO PHYSICIAN - Your patient is applying provide on this questionnaire as part of their evaluation.		fairs (VA) for disability benefits. VA will	consider the information you		
	SECTION I - DIAG	NOSIS			
1A. DOES THE VETERAN NOW HAVE OR HAS HE	SHE EVER HAD A SHOULDER AND/OF	R ARM CONDITION?			
YES NO IF YES, PROVIDE ONLY	DIAGNOSES THAT PERTAIN TO SHOUL	LDER AND/OR ARM CONDITIONS:			
1B. PROVIDE ONLY DIAGNOSES THAT PERTAIN			OUDE ASSESSED		
DIAGNOSIS #1-	ICD CODE -	DATE OF DIAGNOSIS:	SIDE AFFECTED: RIGHT LEFT BOT		
DIAGNOSIS # 2 -	ICD CODE -	DATE OF DIAGNOSIS:	SIDE AFFECTED:		
DIAGNOSIS#3-	ICD CODE -	DATE OF DIAGNOSIS:	SIDE AFFECTED:		
1C. IF THERE ARE ADDITIONAL DIAGNOSES THA	T PERTAIN TO SHOULDER AND/OR AS	M CONDITIONS LIST LISING ABOVE FOR			
2A. DESCRIBE THE HISTORY (including onset and	SECTION II - MEDICAI				
2B. DOMINANT HAND:					
	SECTION III - FLAF	RE-UPS			
3. DOES THE VETERAN REPORT THAT FLARE-U	*				
IF YES, DOCUMENT THE VETERAN'S DESCRIPT	ION OF THE IMPACT OF FLARE-UPS IN	HIS OR HER OWN WORDS:			
SEC.	TION IV - INITIAL RANGE OF MOTION	ON (ROM) MEASUREMENTS			
4. MEASURE ROM WITH A GONIOMETER, ROUNDING EACH MEASUREMENT TO THE NEAREST 5 DEGREES. DURING THE MEASUREMENTS, DOCUMENT THE POINT AT WHICH PAINFUL MOTION BEGINS, EVIDENCED BY VISIBLE BEHAVIOR SUCH AS FACIAL EXPRESSION, WINCING, ETC. REPORT INITIAL MEASUREMENTS BELOW.					
FOLLOWING THE INITIAL ASSESSMENT OF RO IN ALL JOINT EXAMS. THE VA HAS DETERMIN REPETITIVE USE. AFTER THE INITIAL MEASU	ED THAT 3 REPETITIONS OF ROM (at a	minimum) CAN SERVE AS A REPRESENT	TATIVE TEST OF THE EFFECT OF		
A. RIGHT SHOULDER FLEXION					
Select where flexion ends (normal endpoint is 18	0 degrees):				
0 5 10 15 20 25 30 35 40 45 50 55 60 65 70 75 80 85 90 95 100 105 110 115 120 125 130 135 140 145 150 155 160 165 170 175 180					
Select where objective evidence of painful motion begins:					

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No objective evidence of painful motion

SECTION IV - INITIAL RANGE OF MOTION (ROM) MEASUREMENTS (Continued)
B. RIGHT SHOULDER ABDUCTION
Select where abduction ends (normal endpoint is 180 degrees): 0
Select where objective evidence of painful motion begins:
No objective evidence of painful motion 0 5 10 15 20 25 30 35 40 45 50 55 60 65 70 75 80 85 90 95 100 105 110 115 120 125 130 135 140 145 150 155 160 165 170 175 180
C LEFT SHOULDER FLEXION
Select where flexion ends (normal endpoint is 180 degrees): 0 5 10 15 20 25 30 35 40 45 50 55 60 65 70 75 80 85 90 95 100 105 110 115 120 125 130 135 140 145 150 155 160 165 170 175 180
Select where objective evidence of painful motion begins:
No objective evidence of painful motion
D. LEFT SHOULDER ABDUCTION
Select where abduction ends (normal endpoint is 180 degrees): 0 5 10 15 20 25 30 35 40 45 50 55 60 65 70 75 80 85 90 95 100 105 110 115 120 125 130 135 140 145 150 155 160 165 170 175 180
Select where objective evidence of painful motion begins:
No objective evidence of painful motion 0 5 10 15 20 25 30 35 40 45 50 55 60 65 70 75 80 85 90 95 100 105 110 115 120 125 130 135 140 145 150 155 160 165 170 175 180
E. IF ROM DOES NOT CONFORM TO THE NORMAL RANGE OF MOTION IDENTIFIED ABOVE BUT IS NORMAL FOR THIS VETERAN (for reasons other than a
shoulder or arm condition, such as age, body habitus, neurologic disease), EXPLAIN:
SECTION V - ROM MEASUREMENTS AFTER REPETITIVE USE TESTING
5A. IS THE VETERAN ABLE TO PERFORM REPETITIVE-USE TESTING WITH 3 REPETITIONS?
IF UNABLE, PROVIDE REASON:
II GNABLE, I NOVIDE REAGON.
IF VETERAN IS UNABLE TO PERFORM REPETITIVE-USE TESTING, SKIP TO SECTION 6. IF VETERAN IS ABLE TO PERFORM REPETITIVE-USE TESTING, MEASURE AND REPORT ROM AFTER A MINIMUM OF 3 REPETITIONS.
5B. RIGHT SHOULDER POST-TEST ROM
Select where flexion ends:
0 5 10 15 20 25 30 35 40 45 50 55 60 65 70 75 80 85 90 95 100 105 110 115 120 125 130 135 140 145 150 155 160 165 170 175 180
Select where abduction ends:
0 5 10 15 20 25 30 35 40 45 50 55 60 65 70 75 80 85 90 95 100 105 110 115 120 125 130 135 140 145 150 155 160 165 170 175 180

SECTION V - ROM MEASUREMENTS AFTER REPETITIVE USE TESTING (Continued)				
5C. LEFT SHOULDER POST-TEST ROM				
Select where flexion ends:				
0 5 10 15 20 25 30 35 40 45 50 55 60 65				
70 75 80 85 90 95 100 105 110 115 120 125 130 135				
Select where abduction ends:				
70 75 80 85 90 95 100 105 110 115 120 125 130 135				
140 145 150 155 160 165 170 175 180				
SECTION VI - FUNCTIONAL LOSS AND ADDITIONAL LIMITATION IN ROM				
6. THE FOLLOWING SECTION ADDRESSES REASONS FOR FUNCTIONAL LOSS, IF PRESENT, AND ADDITIONAL LOSS OF ROM AFTER REPETITIVE-USE TESTING IF PRESENT. THE VA DEFINES FUNCTIONAL LOSS AS THE INABILITY TO PERFORM NORMAL WORKING MOVEMENTS OF THE BODY WITH NORMAL				
EXCURSION, STRENGTH, SPEED, COORDINATION AND/OR ENDURANCE.				
6A. DOES THE VETERAN HAVE ADDITIONAL LIMITATION IN ROM OF THE SHOULDER AND ARM FOLLOWING REPETITIVE-USE TESTING?				
☐ YES ☐ NO				
6B. DOES THE VETERAN HAVE ANY FUNCTIONAL LOSS AND/OR FUNCTIONAL IMPAIRMENT OF THE SHOULDER AND ARM?				
L YES NO				
6C. IF THE VETERAN HAS FUNCTIONAL LOSS, FUNCTIONAL IMPAIRMENT AND/OR ADDITIONAL LIMITATION OF ROM OF THE SHOULDER AND ARM AFTER				
REPETITIVE USE, INDICATE THE CONTRIBUTING FACTORS OF DISABILITY BELOW (check all that apply and indicate side affected):				
NO FUNCTIONAL LOSS FOR RIGHT UPPER EXTREMITY				
NO FUNCTIONAL LOSS FOR LEFT UPPER EXTREMITY				
LESS MOVEMENT THAN NORMAL Right Left Both				
MORE MOVEMENT THAN NORMAL Right Left Both				
WEAKENED MOVEMENT Right Left Both				
EXCESS FATIGABILITY Right Left Both				
INCOORDINATION, IMPAIRED ABILITY Right Left Both				
TO EXECUTE SKILLED MOVEMENTS SMOOTHLY				
PAIN ON MOVEMENT Right Left Both				
SWELLING Right Left Both				
☐ DEFORMITY ☐ Right ☐ Left ☐ Both				
ATROPHY OF DISUSE Right Left Both				
SECTION VII - PAIN (pain on palpation) 7A. DOES THE VETERAN HAVE LOCALIZED TENDERNESS OR PAIN ON PALPATION OF JOINTS/SOFT TISSUE/BICEPS TENDON OF EITHER SHOULDER?				
YES NO IF YES, SHOULDER AFFECTED: Right Left Both				
7B. DOES THE VETERAN HAVE GUARDING OF EITHER SHOULDER?				
YES NO IF YES, SHOULDER AFFECTED: Right Left Both				
SECTION VIII - MUSCLE STRENGTH TESTING				
8. RATE STRENGTH ACCORDING TO THE FOLLOWING SCALE:				
0/5 NO MUSCLE MOVEMENT				
1/5 PALPABLE OR VISIBLE MUSCLE CONTRACTION, BUT NO JOINT MOVEMENT				
2/5 ACTIVE MOVEMENT WITH GRAVITY ELIMINATED				
3/5 ACTIVE MOVEMENT AGAINST GRAVITY				
4/5 ACTIVE MOVEMENT AGAINST SOME RESISTANCE				
5/5 NORMAL STRENGTH				
SHOULDER ABDUCTION Right 5/5 4/5 3/5 2/5 1/5 0/5				
Left 5/5 4/5 3/5 2/5 1/5 0/5				
SHOULDER FORWARD FLEXION: Right 5/5 4/5 3/5 2/5 1/5 0/5				
Left 5/5 4/5 3/5 2/5 1/5 0/5				
SECTION IX - ANKYLOSIS				
9. DOES THE VETERAN HAVE ANKYLOSIS OF THE GLENOHUMERAL ARTICULATION (SHOULDER JOINT)?				
YES NO				
IF YES, INDICATE SEVERITY AND SIDE AFFECTED:				
ABDUCTION TO 60 DEGREES; CAN REACH MOUTH AND HEAD Right Deft Both				
ABDUCTION LIMITED TO BETWEEN 60 AND 25 DEGREES Right Left Both				
ABDUCTION LIMITED TO 25 DEGREES FROM THE SIDE Right Left Both				

SECTION X - SPECIFIC TESTS FOR ROTATOR CUFF CONDITIONS				
10A. HAWKINS' IMPINGEMENT TEST (Forward flex the arm to 90 degrees with the elbow bent to 90 degrees. Internally rotate arm. Pain on internal rotation indicates a positive test; may signify rotator cuff tendinopathy or tear)				
POSITIVE NEGATIVE UNABLE TO PERFORM N/A				
IF POSITIVE, SIDE AFFECTED: Right Left Both				
10B. EMPTY-CAN TEST (Abduct arm to 90 degrees and forward flex 30 degrees. Patient turns thumbs down and resists downward force applied by the examiner. Weakness indicates a positive test; may indicate rotator cuff pathology, including supraspinatus tendinopathy or tear)				
POSITIVE NEGATIVE UNABLE TO PERFORM N/A				
IF POSITIVE, SIDE AFFECTED: Right Left Both				
10C. EXTERNAL ROTATION/INFRASPINATUS STRENGTH TEST (Patient holds arms at side with elbow flexed 90 degrees. Patient externally rotates against resistance Weakness indicates a positive test; may be associated with infraspinatus tendinopathy or tear)				
POSITIVE NEGATIVE UNABLE TO PERFORM N/A				
IF POSITIVE, SIDE AFFECTED: Right Left Both				
10D. LIFT-OFF SUBSCAPULARIS TEST (Patient internally rotates arm behind lower back, pushes against examiner's hand. Weakness indicates a positive test; may indicate subscapularis tendinopathy or tear)				
POSITIVE NEGATIVE UNABLE TO PERFORM N/A				
IF POSITIVE, SIDE AFFECTED: Right Left Both				
SECTION XI - HISTORY AND SPECIFIC TESTS FOR INSTABILITY/DISLOCATION/LABRAL PATHOLOGY				
11A. IS THERE A HISTORY OF MECHANICAL SYMPTOMS (clicking, catching, etc.)?				
YES NO IF YES, SIDE AFFECTED: Right Left Both				
11B. IS THERE A HISTORY OF RECURRENT DISLOCATION (subluxation) OF THE GLENOHUMERAL (scapulohumeral) JOINT?				
YES NO IF YES, INDICATE FREQUENCY, SEVERITY AND SIDE AFFECTED (check all that apply):				
☐INFREQUENT EPISODES ☐ Right ☐ Left ☐ Both				
FREQUENT EPISODES Right Left Both				
GUARDING OF MOVEMENT ONLY AT Right Left Both SHOULDER LEVEL				
GUARDING OF ALL ARM MOVEMENTS Right Left Both				
11C. CRANK APPREHENSION AND RELOCATION TEST (With patient supine, abduct patient's arm to 90 degrees and flex elbow 90 degrees. Pain and sense of instability with further external rotation may indicate shoulder instability)				
POSITIVE NEGATIVE UNABLE TO PERFORM N/A				
IF POSITIVE, SIDE AFFECTED: Right Left Both				
SECTION XII - HISTORY AND SPECIFIC TESTS FOR CLAVICLE, SCAPULA, ACROMIOCLAVICULAR (AC) JOINT AND STERNOCLAVICULAR JOINT CONDITIONS				
12A. DOES THE VETERAN HAVE AN AC JOINT CONDITION OR ANY OTHER IMPAIRMENT OF THE CLAVICLE OR SCAPULA? YES NO				
IF YES, INDICATE SEVERITY AND SIDE AFFECTED MALUNION OF CLAVICLE OR SCAPULA Right Both				
NONUNION OF CLAVICLE OR SCAPULA Right Left Both				
NONUNION OF CLAVICLE OR SCAPULA Right Left Both				
□ DISLOCATION (ACROMIOCLAVICULAR SEPARATION □ Right □ Left □ Both				
OTHER (Describe) Right Left Both				
12B. IS THERE TENDERNESS ON PALPATION OF THE AC JOINT?				
YES NO IF YES, INDICATE SIDE: Right Left Both				
12C. CROSS-BODY ADDUCTION TEST (Passively adduct arm across the patient's body toward the contralateral shoulder. Pain may indicate acromioclavicular joint pathology)				
POSITIVE NEGATIVE UNABLE TO PERFORM N/A IF POSITIVE, SIDE AFFECTED: Right Left Both				

SECTION XIII - JOINT REPLACEMENT AND/OR OTHER SURGICAL PROCEDURES
13A. HAS THE VETERAN HAD A TOTAL SHOULDER JOINT REPLACEMENT?
☐ YES ☐ NO
IF YES, INDICATE SIDE AND SEVERITY OF RESIDUALS
RIGHT SHOULDER
DATE OF SURGERY:
RESIDUALS:
□ NONE
☐ INTERMEDIATE DEGREES OF RESIDUAL WEAKNESS, PAIN AND/OR LIMITATION OF MOTION
CHRONIC RESIDUALS CONSISTING OF SEVERE PAINFUL MOTION AND/OR WEAKNESS
U OTHER (Describe)
LEFT SHOULDER DATE OF SUPCEDV.
DATE OF SURGERY: RESIDUALS:
NONE
☐ NONE ☐ INTERMEDIATE DEGREES OF RESIDUAL WEAKNESS, PAIN AND/OR LIMITATION OF MOTION
CHRONIC RESIDUALS CONSISTING OF SEVERE PAINFUL MOTION AND/OR WEAKNESS
OTHER (Describe)
13B. HAS THE VETERAN HAD ARTHROSCOPIC OR OTHER SHOULDER SURGERY?
YES NO IF YES, INDICATE SIDE AFFECTED: Right Left Both
DATE AND TPYE OF SURGERY:
13C. DOES THE VETERAN HAVE ANY RESIDUAL SIGNS AND/OR SYMPTOMS DUE TO ARTHROSCOPIC OR OTHER SHOULDER SURGERY?
YES NO IF YES, INDICATE SIDE AFFECTED: Right Left Both
IF YES, DESCRIBE RESIDUALS:
CECTION VIV. OTHER REPTINENT RUVEICAL FINDINGS COMPLICATIONS CONDITIONS SIGNS AND/OR CVMPTOMS
SECTION XIV - OTHER PERTINENT PHYSICAL FINDINGS, COMPLICATIONS, CONDITIONS, SIGNS AND/OR SYMPTOMS 14A. DOES THE VETERAN HAVE ANY SCARS (surgical or otherwise) RELATED TO ANY CONDITIONS OR TO THE TREATMENT OF ANY CONDITIONS LISTED IN
DIAGNOSIS SECTION 1?
│
IF YES, ARE ANY OF THE SCARS PAINFUL/OR UNSTABLE, OR IS THE TOTAL AREA OF ALL RELATED SCARS GREATER THAN 39 SQUARE cm (6 square inches)?
YES NO
IF YES, ALSO COMPLETE VA FORM 21-0960F-1, SCARS/DISFIGUREMENT DISABILITY BENEFITS QUESTIONNAIRE.
14B. DOES THE VETERAN HAVE ANY OTHER PERTINENT PHYSICAL FINDINGS, COMPLICATIONS, CONDITIONS, SIGNS AND/OR SYMPTOMS RELATED TO ANY CONDITIONS LISTED IN DIAGNOSIS SECTION 1?
YES NO IF YES, DESCRIBE (Brief summary):
SECTION XV - REMAINING EFFECTIVE FUNCTION OF THE EXTREMITIES
15. DUE TO THE VETERAN'S SHOULDER AND/OR ARM CONDITIONS, IS THERE FUNCTIONAL IMPAIRMENT OF AN EXTREMITY SUCH THAT NO EFFECTIVE FUNCTION REMAINS OTHER THAN THAT WHICH WOULD BE EQUALLY WELL SERVED BY AN AMPUTATION WITH PROSTHESIS? (Functions of the upper extremity include grasping, manipulation, etc)
YES, FUNCTIONING IS SO DIMINISHED THAT AMPUTATION WITH PROSTHESIS WOULD EQUALLLY SERVE THE VETERAN.
□ NO
IF YES, INDICATE EXTREMITY(IES) (check all extremities for which this applies):
Right upper Left upper
FOR EACH CHECKED EXTREMITY, DESCRIBE LOSS OF EFFECTIVE FUNCTION, IDENTIFY THE CONDITION CAUSING LOSS OF FUNCTION, AND PROVIDE
SPECIFIC EXAMPLES (brief summary):

SECTION XVI - DIAGNOSTIC TESTING				
NOTE: The diagnosis of degenerative arthritis (osteoarthritis) or traumatic arthritis must be confirmed by imaging studies. Once such arthritis has been documented, no further imaging studies are required by VA, even if arthritis has worsened.				
16A. HAVE IMAGING STUDIES OF THE SHOULDER BEEN PE	REFORMED AND ARE THE RESULTS AVAILA	ABLE?		
IF YES, IS DEGENERATIVE OR TRAUMATIC ARTHRITIS DO	CUMENTED?			
YES NO				
IF YES, INDICATE SHOULDER:				
Right Left Both				
16B. ARE THERE ANY OTHER SIGNIFICANT DIAGNOSTIC TE YES NO	ST FINDINGS AND/OR RESULTS?			
IF YES, PROVIDE TYPE OF TEST OR PROCEDURE, DATE AN	ID RESULTS (Brief summary):			
SECTION	I XVII - FUNCTIONAL IMPACT AND RE	MARKS		
17. DOES THE VETERAN'S SHOULDER CONDITION IMPACT	HIS OR HER ABILITY TO WORK?			
YES NO				
IF YES, DESCRIBE THE IMPACT OF EACH OF THE VETERAN'S SHOULDER CONDITIONS PROVIDING ONE OR MORE EXAMPLES:				
SECTION	XVIII - FUNCTIONAL IMPACT AND RE	EMARKS		
18. REMARKS (If any)				
SECTION XIX	- PHYSICIAN'S CERTIFICATION AND	SIGNATURE		
CERTIFICATION - To the best of my knowledge, the information		nd current.		
19A. PHYSICIAN'S SIGNATURE	19B. PHYSICIAN'S PRINTED NAME	19C. DATE SIGNED		
19D. PHYSICIAN'S PHONE AND FAX 19E. PHYSICIAN	 'S MEDICAL LICENSE NUMBER	AGE PHYCHOLANIC APPRECO		
NUMBER	TS MEDICAL LICENSE NUMBER	19F. PHYSICIAN'S ADDRESS		
NOTE - VA may request additional medical information, inclu	ding additional examinations, if necessary to	complete VA's review of the veteran's application.		
IMPORTANT - Physician please fax the completed form to (VA Regional Office FAX No.)				
NOTE - A list of VA Regional Office FAX Numbers can be for	ound at www.yba.ya.gov/disabilityexams.or.	,		
		authorized under the Privacy Act of 1974 or Title 38. Code of Federal		

PRIVACY ACT NOTICE: VA will not disclose information collected on this form to any source other than what has been authorized under the Privacy Act of 1974 or Title 38, Code of Federal Regulations 1.576 for routine uses (i.e., civil or criminal law enforcement, congressional communications, epidemiological or research studies, the collection of money owed to the United States, litigation in which the United States is a party or has an interest, the administration of VA programs and delivery of VA benefits, verification of identity and status, and personnel administration) as identified in the VA system of records, 58/VA21/22/28, Compensation, Pension, Education and Vocational Rehabilitation and Employment Records - VA, published in the Federal Register. Your obligation to respond in required to obtain or retain benefits. VA uses your SSN to identify your claim file. Providing your SSN will help ensure that your records are properly associated with your claim file. Giving us your SSN account information is voluntary. Refusal to provide your SSN by itself will not result in the denial of benefits. VA will not deny an individual benefits for refusing to provide his or her SSN unless the disclosure of the SSN is required by a Federal Statute of law in effect prior to January 1, 1975, and still in effect. The requested information is considered relevant and necessary to determine maximum benefits under the law. The responses you submit are considered confidential (38 U.S.C. 5701). Information submitted is subject to verification through computer matching programs with other agencies.

RESPONDENT BURDEN: We need this information to determine entitlement to benefits (38 U.S.C. 501). Title 38, United States Code, allows us to ask for this information. We estimate that you will need an average of 30 minutes to review the instructions, find the information, and complete the form. VA cannot conduct or sponsor a collection of information unless a valid OMB control number is displayed. You are not required to respond to a collection of information if this number is not displayed. Valid OMB control numbers can be located on the OMB Internet Page at www.reginfo.gov/public/do/PRAMain. If desired, you can call 1-800-827-1000 to get information on where to send comments or suggestions about this form.