

PAPERWORK REDUCTION ACT PUBLIC BURDEN STATEMENT

According to the Paperwork Reduction Act of 1995, a Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with, a collection of information unless it displays a current valid OMB control number. The valid OMB control number for this information collection is 2137-0034. The information requested on this form is being collected by the U.S. Department of Transportation, Pipeline and Hazardous Materials Safety Administration (PHMSA). Public reporting burden for this collection of information is estimated to be 60 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. All responses to this collection are voluntary. Send comments regarding this burden estimate or any other aspect of the collection of information, including suggestions for reducing this burden, to: Mr. T. Glenn Foster, Information Collection Clearance Officer, U. S. Department of Transportation, PHMSA, Office of Hazardous Materials Safety, PHH-10, 1200 New Jersey Ave., S.E., 2nd Floor East, E24-301, Washington, DC 20520.

- If not 11. Does your agency/company/organization current... = Yes then Hide 11a. Can this data be accessed in real-time (i.e....
- If 11. Does your agency/company/organization current... is one of [u'1', u'2', u'3'] then Hide 11a. Can this data be accessed in real-time (i.e....

1. Name of the agency/company/organization you are representing:			
2. Location of the agency/company/organization:			
Town or City:			
County:			
State:			
Zip Code:			
3. Point of Contact (POC) information for the person completing this questionnaire:			
Name:			
Title:			
Address:			
Phone:			
Email:			
■ 4. Which category(ies) describe your agency/company/organization? Select all that apply: □ Hazardous materials (HM) shipper □ HM carrier □ Emergency responder □ HM inspector □ Federal government □ Local government □ Local government □ HM equipment vendor □ HM/emergency response training vendor/consultant □ Other, please identify: □ ✓ 5. With what transportation mode(s) does your agency/company/organization interact? Select all that apply: □ Roadway (truck) □ Rail □ Air □ Maritime (vessel) □ Not applicable			
6. Describe the size of your agency/company/organization using any of the following parameters: Small Medium Large Number of HM shipments annually: Annual revenue (in U.S. dollars):			
Number of employees:			

Cther, please identify:
7. Does your agency/company/organization perform domestic (i.e., within the U.S.) commerce? Yes No Unknown Not applicable
8. Does your agency/company/organization perform international commerce? Yes No Unknown Not applicable
9. Does your agency/company/organization belong to any chemical and/or transportation industry associations? Yes, please provide association name(s): No Unknown
■ 10. Are personnel at your agency/company/organization familiar with the look and content of an HM shipping paper? Yes No Unknown
11. Does your agency/company/organization currently have electronic access to conveyance (i.e., truck, railcar, plane, or vessel) HM data satisfying the U.S. Department of Transportation (DOT) shipping paper requirements? Yes No Unknown Not applicable
11a. Can this data be accessed in real-time (i.e., within five minutes of recall)? Yes No Unknown

12. Do you understand that PHMSA will use the information you provide in this questionnaire as part of PHMSA's public report to Congress, Federal agencies, and other stakeholders, in support of the Moving Ahead for Progress in the 21st Century Act (MAP-21)?

Yes No

Branching Information

- If 13. What class(es) of HM does your agency/company... contains one of [u'0', u'1', u'2', u'3', u'4', u'5', u'6', u'7', u'8', u'9'] then Skip to Page 5
 - If 13. What class(es) of HM does your agency/company... = [u'10'] then Skip to Page 7

Not applicable, my agency/company/organization does not transport HM

■ 13. What class(es) of HM does your agency/company/organization transport? Please select all that apply:

□ Class 1 Explosives
□ Class 2 Gases
□ Class 3 Flammable Liquids (100°F or less, closed cup)
□ Class 4 Other Flammable Substances
□ Class 5 Oxidizing Substances and Organic Peroxides
□ Class 6 Toxic (Poisonous) and Infectious Substances
□ Class 7 Radioactive Materials
□ Class 8 Corrosives
□ Class 9 Miscellaneous Dangerous Materials
□ Unknown

- If not 16. Does your agency/company/organization interac... = Yes then Hide 16a. Which mode(s) does your agency/company/organ...
- If 16. Does your agency/company/organization interac... is one of [u'1', u'2', u'3'] then Hide 16a. Which mode(s) does your agency/company/organ...
- If not 19. How are your HM shipments packaged? Please s... contains Non-bulk packaging then Hide 19a. Which types of non-bulk HM packaging are use...
- If not 19. How are your HM shipments packaged? Please s... contains Bulk packaging then Hide 19b. Which types of bulk HM packaging are used? ...
- If not 19. How are your HM shipments packaged? Please s... contains Radioactive HM packaging then Hide 19c. Which types of radioactive HM packaging are ...

■ 14. By what mode(s) does your agency/company/organization transport HM? Please select all that apply:
☐ Roadway (truck) ☐ Rail ☐ Air ☐ Maritime (vesseldomestic)
☐ Maritime (vesselinternational) ☐ Unknown
15. For each mode used to transport HM shipments, does your agency/company/organization utilize your own equipment and personnel or contractor resources?
15a. Roadway:
Agency/company/organization equipment and personnel Contractor resources
 Both agency/company/organization equipment and personnel AND contractor resources Unknown Not applicable, my agency/company/organization does not transport HM via roadways
15b. Rail:
Agency/company/organization equipment and personnel Contractor resources
Both agency/company/organization equipment and personnel AND contractor resources Unknown
Not applicable, my agency/company/organization does not transport HM via rail
≅ 15c. Air:
Agency/company/organization equipment and personnel Contractor resources
Both agency/company/organization equipment and personnel AND contractor resourcesUnknown
Not applicable, my agency/company/organization does not transport HM via air
15d. Maritime (domestic):
Agency/company/organization equipment and personnel Contractor resources
Both agency/company/organization equipment and personnel AND contractor resourcesUnknown
Not applicable, my agency/company/organization does not transport HM via maritime (domestic)
15e. Maritime (international):
Agency/company/organization equipment and personnel Contractor resources

 Both agency/company/organization equipment and personnel AND contractor resources Unknown
Not applicable, my agency/company/organization does not transport HM via maritime (international)
16. Does your agency/company/organization interact with intermodal carriers for HM transfers?
○ Yes
○ No ○ Unknown
Not applicable
■ 16a. Which mode(s) does your agency/company/organization interact with for intermodal HM transfers? Please select all that apply:
Roadway (truck)
Rail
Air Air
Maritime (vessel)
☐ Unknown
17. Does your agency/company/organization transport less than truckload (LTL) HM shipments?
○ Yes
○ No
Unknown
Not applicable, my agency/company/organization does not transport HM via roadways
■ 18. Approximately how much HM does your agency/company/organization transport annually? Please report this information in at least one of the following measurements:
Tons per year:
Ton miles per year:
Number of shipments per week:
Number of shipments per month:
Number of shipments per year:
Number of twenty-foot equivalent unit (TEU) containers per week:
Number of TEU containers per month:
Number of TEU containers per year:
Other, please identify:
J. Olikilowii

- If not 19. How are your HM shipments packaged? Please s... contains Non-bulk packaging then Hide 19a. Which types of non-bulk HM packaging are use...
- If not 19. How are your HM shipments packaged? Please s... contains Bulk packaging then Hide 19b. Which types of bulk HM packaging are used? ...
- If not 19. How are your HM shipments packaged? Please s... contains Radioactive HM packaging then Hide 19c. Which types of radioactive HM packaging are ...
 - If not 22. Does your agency/company/organization use HM ... = Yes then Hide 22a. For what purpose(s)? Please select all that...
- If 22. Does your agency/company/organization use HM ... is one of [u'1', u'2', u'3'] then Hide 22a. For what purpose(s)? Please select all that...

 19. How are your HM shipments packaged? Please select all that apply: Non-bulk packaging Bulk packaging Radioactive HM packaging 			
□ 19a. Which types of non-bulk HM packaging are used? Please select all that apply: □ Drums/pails □ Jerricans □ Barrels □ Boxes □ Bags □ Cylinders □ Other, please identify:			
■ 19b. Which types of bulk HM packaging are used? Please select all that apply: □ Cargo tanks □ Tank cars (rail) □ Intermediate bulk containers (IBCs)/portable tanks □ Freight containers □ Other, please identify:			
□ 19c. Which types of radioactive HM packaging are used? Please select all that apply: □ Type A □ Type B □ Industrial □ Excepted, please identify:			
20. On average, how long does it take to complete a hardcopy HM shipping paper? Less than 5 minutes 5 to 10 minutes 11 to 15 minutes 16 to 30 minutes 31 to 60 minutes One to two hours Two to three hours More than three hours, identify time: Unknown			
21. On average, how long does it take to complete an e-shipping paper? Less than 5 minutes 5 to 10 minutes 11 to 15 minutes 16 to 30 minutes			

(31 to 60 minutes
One to two hours
Two to three hours
More than three hours, identify time:
Unknown
Not applicable
■ 22. Does your agency/company/organization use HM shipping papers for purposes other than regulatory?
○ No
Unknown
☑ 22a. For what purpose(s)? Please select all that apply:
☐ Delivery receipt/confirmation
☐ Driver/pilot/captain/conductor pay record information
☐ Trip log
Other, please identify:
Unknown

- If not 24. In your opinion, what HM information is <stron... contains one of [u'0', u'1', u'2', u'3', u'4', u'5', u'6', u'7', u'8', u'9', u'10', u'11', u'12', u'13', u'14', u'15', u'16', u'17', u'18', u'19', u'20', u'21', u'22', u'23', u'24', u'25'] then Hide 24a. What is the longest timeframe that is accepta...
 - If 24. In your opinion, what HM information is <stron... = [u'26'] then Hide 24a. What is the longest timeframe that is accepta...
- If not 24b. Can you think of any additional HM informatio... = Yes, please describe: then Hide 24c. What is the longest timeframe that is accept...
- If 24b. Can you think of any additional HM informatio... = No then Hide 24c. What is the longest timeframe that is accept...
- If not 25. In your opinion, what HM information is <stron... contains one of [u'0', u'1', u'2', u'3', u'4', u'5', u'6', u'7', u'8', u'9', u'10', u'11', u'12', u'13', u'14', u'15', u'16', u'17', u'18', u'19', u'20', u'21', u'22', u'23', u'24', u'25'] then Hide 25a. What is the longest timeframe that is accepta...
- If 25. In your opinion, what HM information is <stron... = [u'26'] then Hide 25a. What is the longest timeframe that is accepta...
- If not 25b. Can you think of any additional HM informatio... = Yes, please describe: then Hide 25c. What is the longest timeframe that is accepta...
- If 25b. Can you think of any additional HM informatio... = No then Hide 25c. What is the longest timeframe that is accepta...
- If not 26. Has your agency/company/organization ever use... = Yes then Hide 26a. Please describe the inspection(s) and or inc...
- If not 26. Has your agency/company/organization ever use... = Yes then Hide 26b. What means was used to provide the HM nform...
- If not 26. Has your agency/company/organization ever use... = Yes then Hide 26c. How quickly was the information provided to ...
- If 26. Has your agency/company/organization ever use... is one of [u'1', u'2', u'3'] then Hide 26a. Please describe the inspection(s) and or inc...
- If 26. Has your agency/company/organization ever use... is one of [u'1', u'2', u'3'] then Hide 26b. What means was used to provide the HM inform...
- If 26. Has your agency/company/organization ever use... is one of [u'1', u'2', u'3'] then Hide 26c. How quickly was the information provided to ...
- If not 27. Has your agency/company/organization ever rec... = Yes then Hide 27a. What entity(ies) provided the HM information...
- If not 27. Has your agency/company/organization ever rec... = Yes then Hide 27b. What HM information was provided? Please se...
- If 27. Has your agency/company/organization ever rec... is one of [u'1', u'2', u'3'] then Hide 27a. What entity(ies) provided the HM information...
- If 27. Has your agency/company/organization ever rec... is one of [u'1', u'2', u'3'] then Hide 27b. What HM information was provided? Please se...

Hazard class or division number
☐ Packing group
Tunnel restriction code
Total quantity of material
Number and type of packages
Name and address of the consignor (i.e., shipper)
■ Name and address of the consignee (i.e., receiver)
☐ Date shipping document was prepared or first given to a shipper
☐ Shipper's statement or supplementary requirements for loading, stowage, carriage, handling, and unloading, including any
special stowage provisions
☐ Shipper's restrictions on the mode of transport and any necessary routing instructions
☐ Shipper's signed certification statement
Hazardous Waste Manifest (when necessary)
Other, please identify:
☐ Unknown
24a. What is the longest timeframe that is acceptable for receipt of the essential information?
☐ Instantaneous
5 minutes or less
6 to 15 minutes
16 to 30 minutes
31 minutes to one hour
🔁 24b. Can you think of any additional HM information that may be helpful for emergency responders to receive after arriving at
the emergency site?
Yes, please describe:
○ No
24c. What is the longest timeframe that is acceptable for receipt of this additional information?
Instantaneous
Within 15 minutes of request
16 to 30 minutes from original request
31 minutes to one hour from original request
One to two hours from original request
Other, please identify:
25. In your opinion, what HM information is essential for inspectors to receive to properly conduct an HM inspection? Please
select all that apply:
☐ Air Waybill Number
■ Basic description of the HM
Technical name of the HM
☐ Proper shipping name
Immediate hazards to health
Risks of fire or explosion
Immediate precautions to be taken in an accident or incident
Immediate methods for handling fires, spills, or leaks
☐ Preliminary first aid measures
Emergency response assistance plan (ERAP) reference number
24-hour emergency response telephone number
Ability of the operator to contact the carrier in an incident involving HM
UN identification number
☐ Hazard class or division number
☐ Packing group
☐ Tunnel restriction code
Total quantity of material
Number and type of packages
■ Name and address of the consignor (i.e., shipper)

 Name and address of the consignee (i.e., receiver) □ Date shipping document was prepared or first given to a shipper □ Shipper's statement or supplementary requirements for loading, stowage, carriage, handling, and unloading, including any special stowage provisions □ Shipper's restrictions on the mode of transport and any necessary routing instructions □ Shipper's signed certification statement □ Hazardous Waste Manifest (when necessary) □ Other, please identify: □ Unknown
25a. What is the longest timeframe that is acceptable for receipt of the essential information?
 Immediately Within 15 minutes of request 16 to 30 minutes from original request 31 minutes to one hour from original request One to two hours from original request Two to four hours from original request Four to eight hours from original request One day from original request One week from original request Other, please identify:
25b. Can you think of any additional HM information that may be helpful for inspectors to receive? Yes, please describe: No
25c. What is the longest timeframe that is acceptable for receipt of this additional information?
 Immediately Within 15 minutes of request 16 to 30 minutes from original request 31 minutes to one hour from original request One to two hours from original request Two to four hours from original request Four to eight hours from original request One day from original request One week from original request Other, please identify:
26. Has your agency/company/organization ever used wireless or electronic communication to provide law enforcement or emergency response personnel with HM information for an HM shipment involved in an inspection or incident?
✓ Yes✓ No✓ Unknown✓ Not applicable
4 26a. Please describe the inspection(s) and or incident(s):
26b. What means was used to provide the HM information to law enforcement personnel/emergency responders? Please select all that apply:
 ☐ Personal digital assistants (PDAs) ☐ Vehicle laptops ☐ Workplace computers ☐ Computer aid dispatch (CAD) terminals

Home computers Landline telephones Cellular telephones Smartphones Videos Facsimile (FAX) machines Live web cameras Pagers Two-way radios Walkie-talkies Tablets Other, please identify: Unknown
26c. How quickly was the information provided to law enforcement personnel/emergency responders?
 Instantaneous 5 minutes or less 6 to 15 minutes 16 to 30 minutes 31 minutes to one hour More than one hour, identify time:
27. Has your agency/company/organization ever received wireless or electronic communication of HM information for an HN shipment involved in an inspection or incident?
Yes No Unknown Not applicable
☑ 27a. What entity(ies) provided the HM information? Please select all that apply:
Shipper carrier Driver/pilot/captain/conductor of the transportation conveyance PSAP dispatcher Police Fire personnel EMS personnel Inspection personnel Other, please identify: Unknown
☑ 27b. What HM information was provided? Please select all that apply:
Air Waybill Number Basic description of the HM Technical name of the HM Proper shipping name Immediate hazards to health Risks of fire or explosion Immediate precautions to be taken in an accident or incident Immediate methods for handling fires, spills, or leaks Preliminary first aid measures Emergency response assistance plan (ERAP) reference number 24-hour emergency response telephone number Ability of the operator to contact the carrier in an incident involving HM UN identification number Hazard class or division number
☐ Packing group

Tunnel restriction code
☐ Total quantity of material
■ Number and type of packages
■ Name and address of the consignor (i.e., shipper)
■ Name and address of the consignee (i.e., receiver)
☐ Date shipping document was prepared or first given to a shipper
☐ Shipper's statement or supplementary requirements for loading, stowage, carriage, handling, and unloading, including any
special stowage provisions
☐ Shipper's restrictions on the mode of transport and any necessary routing instructions
☐ Shipper's signed certification statement
☐ Hazardous Waste Manifest (when necessary)
Other, please identify:
☐ Unknown

Branching Information

• If not 28. Does your agency/company/organization current... = Yes then Hide 28a. POC information for your agency's/company's/...

а	 If 28. Does your agency/company/organization current If 28. Does your agency/company/organization current agency's/company's/ 	is one of [u'1', u'2', u'3'] then Skip to Page 15 is one of [u'1', u'2', u'3', u'4'] then Hide 28a. POC information for your		
p	28. Does your agency/company/organization currently had paper information? Yes No Unknown Not applicable	ave an e-system capable of managing and communicating HM shipping		
28a. POC information for your agency's/company's/organization's e-system (enter "UNKNOWN" in each text field for which you do not have a response):				
	Name:			
	Title:			
	Address:			
	Phone:			
	Email:			

- If not 32. What type(s) of electronic data exchange langu... = Yes then Hide 32a1. What are the benefits of using this languag...
- If not 32. What type(s) of electronic data exchange langu... = Yes then Hide 32a2. Are there any challenges or impediments mp...
- If 32. What type(s) of electronic data exchange langu... is one of [u'1', u'2'] then Hide 32a1. What are the benefits of using this languag...
- If 32. What type(s) of electronic data exchange langu... is one of [u'1', u'2'] then Hide 32a2. Are there any challenges or impediments imp...
 - If not 32b. Universal Business Language (UBL)? = Yes then Hide 32b1. What are the benefits of using this languag...
- If not 32b. Universal Business Language (UBL)? = Yes then Hide 32b2. Are there any challenges or impediments imp...
- If 32b. Universal Business Language (UBL)? is one of [u'1', u'2'] then Hide 32b1. What are the benefits of using this languag...
- If 32b. Universal Business Language (UBL)? is one of [u'1', u'2'] then Hide 32b2. Are there any challenges or impediments imp...
- If not 32c. Electronic Data Interchange (EDI)? = Yes then Hide 32c1. What are the benefits of using this languag...
- If not 32c. Electronic Data Interchange (EDI)? = Yes then Hide 32c2. Are there any challenges or impediments imp...
- If 32c. Electronic Data Interchange (EDI)? is one of [u'1', u'2'] then Hide 32c1. What are the benefits of using this languag...
- If 32c. Electronic Data Interchange (EDI)? is one of [u'1', u'2'] then Hide 32c2. Are there any challenges or impediments imp...
- If not 32d. United Nations/Electronic Data Interchange f... = Yes then Hide 32d1. What are the benefits of using this languag...
- If not 32d. United Nations/Electronic Data Interchange f... = Yes then Hide 32d2. Are there any challenges or impediments imp...
- If 32d. United Nations/Electronic Data Interchange f... is one of [u'1', u'2'] then Hide 32d1. What are the benefits of using this languag...
- If 32d. United Nations/Electronic Data Interchange f... is one of [u'1', u'2'] then Hide 32d2. Are there any challenges or impediments imp...
 - If not 32e. Other? = Yes, please specify language: then Hide 32e1. What are the benefits of using this languag...
 - If not 32e. Other? = Yes, please specify language: then Hide 32e2. Are there any challenges or impediments imp...
 - If 32e. Other? is one of [u'1', u'2'] then Hide 32e1. What are the benefits of using this languag...
 - If 32e. Other? is one of [u'1', u'2'] then Hide 32e2. Are there any challenges or impediments imp...
 - If not 33. What format(s) can be used to view and share t... = Yes then Hide 33a1. What are the benefits of using this format?
- If not 33. What format(s) can be used to view and share t... = Yes then Hide 33a2. Are there any challenges or impediments imp...
- If 33. What format(s) can be used to view and share t... is one of [u'1', u'2'] then Hide 33a1. What are the benefits of using this format?
- If 33. What format(s) can be used to view and share t... is one of [u'1', u'2'] then Hide 33a2. Are there any challenges or impediments imp...
- If not 33b. Tagged image file format (tiff)? = Yes then Hide 33b1. What are the benefits of using this format?
- If not 33b. Tagged image file format (tiff)? = Yes then Hide 33b2. Are there any challenges or impediments imp...
- If 33b. Tagged image file format (tiff)? is one of [u'1', u'2'] then Hide 33b1. What are the benefits of using this format?
- If 33b. Tagged image file format (tiff)? is one of [u'1', u'2'] then Hide 33b2. Are there any challenges or impediments imp...
- If not 33c. Joint photographic experts group (jpeg)? = Yes then Hide 33c1. What are the benefits of using this format?
- If not 33c. Joint photographic experts group (jpeg)? = Yes then Hide 33c2. Are there any challenges or impediments imp...
- If 33c. Joint photographic experts group (jpeg)? is one of [u'1', u'2'] then Hide 33c1. What are the benefits of using this format?
- If 33c. Joint photographic experts group (jpeg)? is one of [u'1', u'2'] then Hide 33c2. Are there any challenges or impediments imp...
 - If not 33d. Other? = Yes, please specify format: then Hide 33d1. What are the benefits of using this format?
- If not 33d. Other? = Yes, please specify format: then Hide 33d2. Are there any challenges or impediments imp...
- If 33d. Other? is one of [u'1', u'2'] then Hide 33d1. What are the benefits of using this format?
- If 33d. Other? is one of [u'1', u'2'] then Hide 33d2. Are there any challenges or impediments imp...

29. Does the e-system use or contain any proprietary data or have any special licensing requirements governing its use?
C YesC NoC Unknown
■ 30. Is the e-system custom-made or commercial-off-the-shelf (COTS)?
Custom COTS

○ Unknown
☑ 31. What electronic and wireless technology(ies) are used by your e-system? Please select all that apply:
Personal digital assistants (PDAs)
✓ Vehicle laptops ✓ Workplace computers
Computer aid dispatch (CAD) terminals
Home computers
☐ Landline telephones ☐ Cellular telephones
☐ Smartphones
Videos (FAX)
☐ Facsimile (FAX) machines ☐ Live web cameras
☐ Pagers
Two-way radios
☐ Walkie-talkies ☐ Tablets
Other, please identify:
32. What type(s) of electronic data exchange language is used by your agency's/company's/organization's e-system? Please
select all that apply:
32a. Extensible Markup Language (XML)?
✓ Yes✓ No
Unknown
4 32a1. What are the benefits of using this language?
32a2. Are there any challenges or impediments imposed by using this language?
Yes, please describe:
No
○ Unknown
32b. Universal Business Language (UBL)?
C Yes
○ No ○ Unknown
32b1. What are the benefits of using this language?
32b2. Are there any challenges or impediments imposed by using this language?
Yes, please describe:
Unknown
32c. Electronic Data Interchange (EDI)?
C Yes
O No

○ Unknown
32c1. What are the benefits of using this language?
32c2. Are there any challenges or impediments imposed by using this language? Yes, please describe: No Unknown
32d. United Nations/Electronic Data Interchange for Administration, Commerce, and Transport (UN/EDIFACT)? Yes No Unknown
32d1. What are the benefits of using this language?
32d2. Are there any challenges or impediments imposed by using this language? Yes, please describe: No Unknown
≅ 32e. Other?
C Yes, please specify language: No C Unknown
32e1. What are the benefits of using this language?
32e2. Are there any challenges or impediments imposed by using this language? Yes, please describe: No Unknown
≅ 33. What format(s) can be used to view and share the data? Please select all that apply:
33a. Portable document format (pdf)? (Yes (No (Unknown
33a1. What are the benefits of using this format?
33a2. Are there any challenges or impediments imposed by using this format?

Yes, please describe: No Unknown
33b. Tagged image file format (tiff)? Yes No Unknown
33b1. What are the benefits of using this format?
33b2. Are there any challenges or impediments imposed by using this format? Yes, please describe: No Unknown
33c. Joint photographic experts group (jpeg)? Yes No Unknown
33c1. What are the benefits of using this format?
33c2. Are there any challenges or impediments imposed by using this format? Yes, please describe: No Unknown
33d. Other? Yes, please specify format: No Unknown
33d1. What are the benefits of using this format?
33d2. Are there any challenges or impediments imposed by using this format? Yes, please describe: No Unknown
34. Is your e-system scalable (i.e., able to expand if the amount of information increases)? Yes No Unknown

35. If your e-system fails during an HM inspection or emergency, is a backup system/procedures available to ensure continuity of information?
Yes, please describe system/procedures: No Unknown
☑ 36. Who enters HM information into your e-system? Please select all that apply:
 All agency/company/organization personnel □ Only agency/company/organization personnel with appropriate clearances □ Contractor personnel with appropriate clearances □ Shippers □ Carriers □ Other, please identify: □ Unknown
■ 37. How long is the HM information stored in your e-system after its initial generation?
 8 hours or less 8+ hours to one week One week to one month One to six months Six months to one year Other, please identify storage time: Unknown
24 hours a day, seven days a weekDuring business hours, please specify business hours (use HH:MM AM/PM format) and days of week:
Other, please identify: Unknown
☑ 39. Who can access the HM information in your e-system? Please select all that apply:
All agency/company/organization personnel Only agency/company/organization personnel with appropriate clearances Contractor personnel with appropriate clearances Shippers Carriers External inspection personnel Emergency responders Other, please identify: Unknown

- If 40. Which technology readiness level best describ... = Level 5--technology product fully operational in real-world environment then Hide 40b1. What is the anticipated time needed for the...
- If 40. Which technology readiness level best describ... = Level 5--technology product fully operational in real-world environment then Hide 40b2. How much money and labor (in U.S. dollars) ...
- If 40. Which technology readiness level best describ... = Level 5--technology product fully operational in real-world environment then Hide 40b3. What work is needed to bring the technology...
- If 40. Which technology readiness level best describ... = Level 5--technology product fully operational in real-world environment then Hide 40b4. How much more money and labor (in U.S. doll...
- If not 40. Which technology readiness level best describ... = Level 5--technology product fully operational in real-world environment then Hide 40a1. How long did it take for your e-system to g...
- If not 40. Which technology readiness level best describ... = Level 5--technology product fully operational in real-world environment then Hide 40a2. Can your agency/company/organization provid...
- If not 40. Which technology readiness level best describ... = Level 5--technology product fully operational in real-world environment then Hide 40a3. Estimated costs (in U.S. dollars):
- If 40. Which technology readiness level best describ... is one of [u'1', u'2', u'3', u'4'] then Hide 40a1. How long did it take for your e-system to g...
- If 40. Which technology readiness level best describ... is one of [u'1', u'2', u'3', u'4'] then Hide 40a2. Can your agency/company/organization provid...
- If 40. Which technology readiness level best describ... is one of [u'1', u'2', u'3', u'4'] then Hide 40a3. Estimated costs (in U.S. dollars):
- If not 40. Which technology readiness level best describ... is one of [u'1', u'2', u'3', u'4'] then Hide 40b1. What is the anticipated time needed for the...
- If not 40. Which technology readiness level best describ... is one of [u'1', u'2', u'3', u'4'] then Hide 40b2. How much money and labor (in U.S. dollars) ...
- If not 40. Which technology readiness level best describ... is one of [u'1', u'2', u'3', u'4'] then Hide 40b3. What work is needed to bring the technology...
- If not 40. Which technology readiness level best describ... is one of [u'1', u'2', u'3', u'4'] then Hide 40b4. How much more money and labor (in U.S. doll...
 - If 40. Which technology readiness level best describ... = Unknown then Hide 40a1. How long did it take for your e-system to g...
- If 40. Which technology readiness level best describ... = Unknown then Hide 40a2. Can your agency/company/organization provid...
- If 40. Which technology readiness level best describ... = Unknown then Hide 40a3. Estimated costs (in U.S. dollars):
- If 40. Which technology readiness level best describ... = Unknown then Hide 40b1. What is the anticipated time needed for the...
- If 40. Which technology readiness level best describ... = Unknown then Hide 40b2. How much money and labor (in U.S. dollars)
- If 40. Which technology readiness level best describ... = Unknown then Hide 40b3. What work is needed to bring the technology...
- If 40. Which technology readiness level best describ... = Unknown then Hide 40b4. How much more money and labor (in U.S. doll...
- If not 40a2. Can your agency/company/organization provid... = Yes then Hide 40a3. Estimated costs (in U.S. dollars):
- If 40a2. Can your agency/company/organization provid... is one of [u'1', u'2', u'3'] then Hide 40a3. Estimated costs (in U.S. dollars):

≅ 40.	Which technology readiness level best describes the technology used to operate your agency's/company's/organization's
e-syste	em?
Lev	el 5technology product fully operational in real-world environment
(Lev	el 4technology product operational in limited real-world environment

- Level 3--prototype demonstrated in laboratory environment
- Level 2--equipment and process concept formulated
- Level 1--basic technology principles observed
- Unknown
- 40a1. How long did it take for your e-system to get to Level 5 from original conception?
- Zero to three months

 Three to six months Six months to one year One to two years More than two years Unknown
40a2. Can your agency/company/organization provide an estimate of the costs to develop, implement, operate, and maintain its e-system? Yes No Unknown My agency/company/organization does not want to provide this information at this time
✓ 40a3. Estimated costs (in U.S. dollars):
Development (one-time cost): Implementation (one-time cost): Operation (annual cost): Maintenance (annual cost): Total costs for development, implementation, operation, and maintenance (fill in this field if breakout costs are unknown):
40b1. What is the anticipated time needed for the technology to reach Level 5 (i.e., fully operational in real-world environment)? Zero to three months Three to six months Six months to one year One to two years More than two years Unknown
40b2. How much money and labor (in U.S. dollars) has your agency/company/organization invested to date? Less than \$5,000 \$5,000 to \$10,000 \$10,000 to \$25,000 \$25,000 to \$50,000 \$50,000 to \$100,000 \$100,000 to \$250,000 \$250,000 to \$500,000 Greater than \$500,000, please estimate amount: Unknown My agency/company/orgnization does not want to provide this information at this time
40b3. What work is needed to bring the technology to Level 5?
40b4. How much more money and labor (in U.S. dollars) is needed to bring the technology to Level 5? Less than \$5,000 \$5,000 to \$10,000 \$10,000 to \$25,000 \$25,000 to \$50,000 \$50,000 to \$100,000 \$100,000 to \$250,000 \$250,000 to \$500,000 Greater than \$500,000, please estimate amount: Unknown

		nis information at tl		

Branching Information

- If not 41. Did your agency's/company's/organization's em... = Yes then Hide 41a. How many employees received initial training...
- If not 41. Did your agency's/company's/organization's em... = Yes then Hide 41b. In general, what are the job titles/business...
- If not 41. Did your agency's/company's/organization's em... = Yes then Hide 41c. How long does the initial training take to c...
- If not 41. Did your agency's/company's/organization's em... = Yes then Hide 42. Is refresher training on the e-system provide...
- If not 41. Did your agency's/company's/organization's em... = Yes then Hide 43. Can your agency/company/organization provide
- If 41. Did your agency's/company's/organization's em... is one of [u'1', u'2'] then Hide 41a. How many employees received initial training...
- If 41. Did your agency's/company's/organization's em... is one of [u'1', u'2'] then Hide 41b. In general, what are the job titles/business...
- If 41. Did your agency's/company's/organization's em... is one of [u'1', u'2'] then Hide 41c. How long does the initial training take to c
- If 41. Did your agency's/company's/organization's em... is one of [u'1', u'2'] then Hide 42. Is refresher training on the e-system provide...
- If 41. Did your agency's/company's/organization's em... is one of [u'1', u'2'] then Hide 42a. How often is refresher training provided?
- If 41. Did your agency's/company's/organization's em... is one of [u'1', u'2'] then Hide 42b. How long does the refresher training take to...
- If 41. Did your agency's/company's/organization's em... is one of [u'1', u'2'] then Hide 42c. Are all/most employees who receive initial e...
- If 41. Did your agency's/company's/organization's em... is one of [u'1', u'2'] then Hide 43. Can your agency/company/organization provide ...
- If 41. Did your agency's/company's/organization's em... is one of [u'1', u'2'] then Hide 43a. Use any combination of the following categor...
- If not 42. Is refresher training on the e-system provide... = Yes then Hide 42a. How often is refresher training provided?
- If not 42. Is refresher training on the e-system provide... = Yes then Hide 42b. How long does the refresher training take to...
- If not 42. Is refresher training on the e-system provide... = Yes then Hide 42c. Are all/most employees who receive initial e...
- If 42. Is refresher training on the e-system provide... is one of [u'1', u'2'] then Hide 42a. How often is refresher training provided?
- If 42. Is refresher training on the e-system provide... is one of [u'1', u'2'] then Hide 42b. How long does the refresher training take to...
- If 42. Is refresher training on the e-system provide... is one of [u'1', u'2'] then Hide 42c. Are all/most employees who receive initial e...
 - If not 43. Can your agency/company/organization provide ... = Yes then Hide 43a. Use any combination of the following categor...
- If 43. Can your agency/company/organization provide ... is one of [u'1', u'2'] then Hide 43a. Use any combination of the following categor...

E 41. Did your agency's/company's/organization's employees receive initial training on the e-system?
C Yes
○ No
Unknown
9 44 a. Hayy manny ampleys an area is and initial training 2
41a. How many employees received initial training?
① 1 to 10
11 to 25
C 26 to 50
C 51 to 100
(101 to 200
201 to 300
More than 300, please provide number:
Unknown

41b. In general, what are the job titles/business classifications of these employees? Enter "UNKNOWN" if you do not know the titles/classifications.

41c. How long does the initial training take to complete?
C Less than 2 hours C 2 to 4 hours C 4 to 8 hours C More than 8 hours C Unknown
😆 42. Is refresher training on the e-system provided?
📰 42a. How often is refresher training provided?
Quarterly (four times per year) Semi-annually (two times per year) Annually (once each year) Other, please identify frequency: Unknown
42b. How long does the refresher training take to complete? Less than 2 hours 2 to 4 hours 4 to 8 hours More than 8 hours Unknown
42c. Are all/most employees who receive initial e-system training provided with refresher training? Yes No Unknown
43. Can your agency/company/organization provide an estimate of the costs for training employees on its e-system? Yes No Unknown My agency/company/organization does not want to provide this information at this time
43a. Use any combination of the following categories to quantify the training costs (in U.S. dollars): Initial training costs per person: Initial training costs agency-/company-/organization-wide: Refresher training costs per person: Refresher training costs agency-/company-/organization-wide: Refresher training costs agency-/company-/organization-wide:

- If not 44. Did your agency/company/organization incorpor... = Yes then Hide 44a. Can your agency/company/organization provide...
- If 44. Did your agency/company/organization incorpor... is one of [u'1', u'2'] then Hide 44a. Can your agency/company/organization provide...

44. Did your agency/company/organization incorporate a customer outreach/education program as part of its e-system implementation?
C Yes C No C Unknown
44a. Can your agency/company/organization provide an estimate of the costs (in U.S. dollars) to conduct customer outreach/education on its e-system?
Yes, please provide estimate (in U.S. dollars): No Unknown My agency/company/organization does not want to provide this information at this time

- If not 46. Which of the following entities outside your a... contains one of [u'0', u'1', u'2', u'3', u'4', u'5', u'6', u'7'] then Hide 46a. What type of involvement and input did these...
- If 46. Which of the following entities outside your a... contains one of [u'8', u'9'] then Hide 46a. What type of involvement and input did these...

Login account
Password
Biometric
☐ Keycard
Other, please identify:
☐ Unknown
AC Military following and the control of the following and the control of the con
46. Which of the following entities outside your agency/company/organization directly utilize your e-system? Please select all
that apply:
∏ Shippers
☐ Carriers
Emergency responders
■ Inspection personnel
Federal government officials
Local government officials
Other, please identify:
□ Unknown
■ No external entities directly utilize the e-system
📰 46a. What type of involvement and input did these entities have in the design and development of your
agency's/company's/organization's e-system?
O No involvement/input
Minimal involvement/input; they were involved in the final review
Some involvement/input; they provided input to the draft design
Significant involvement/input; they were involved in developing the initial design
O Unknown

Branching Information

- If 47c. What benefits resulted from your agency's/co... = [u'7'] then Hide 47c1. What constraints did your agency/company/or...
- If not 47d. Has your agency/company/organization perform... = Yes then Hide 47d1. Can you share the results of these studies ...
- If 47d. Has your agency/company/organization perform... is one of [u'1', u'2'] then Hide 47d1. Can you share the results of these studies ...
- If not 47c. What benefits resulted from your agency's/co... contains one of [u'0', u'1', u'2', u'3', u'4', u'5', u'6'] then Hide 47c1. What constraints did your agency/company/or...
- If not 47g. Has your agency/company/organization identif... = Yes then Hide 47g1. Please select all identified impediments/li...
- If not 47g. Has your agency/company/organization identif... = Yes then Hide 47g2. Please provide additional details regarding...
- If 47g. Has your agency/company/organization identif... is one of [u'1', u'2'] then Hide 47g1. Please select all identified impediments/li...
- If 47g. Has your agency/company/organization identif... is one of [u'1', u'2'] then Hide 47g2. Please provide additional details regarding...

47a. What constraints did your e-system have to overcome to be successfully used by your agency/company/organization? Please select all that apply:
Provide immediate access to HM information in all geographic areas Work with other internal (to the agency/company/organization) electronic systems Work with external (outside the agency/company/organization) electronic systems Provide a financial benefit Integrate with current paper system Ease of data entry procedures Provide equivalent or better level of security Accepted by personnel Usability of commercial off the shelf (COTS) system components Usability of custom system components Other, please describe:
□ No constraints
☐ Unknown
Reduced staff time and/or cost to prepare shipping papers
Reduced costs for transfer between modes or carriers
Reduced error rate in data entry
☐ Ease of data entry
Reduced costs for hardcopy storage and retrieval
Faster transport times for shipments
Improved customer satisfaction
Reduced insurance or risk management costs
Improved HM inspection data
Decreased time needed for HM inspections
Faster delivery of HM information to emergency responders
More accurate HM data for emergency preparedness and response
Increased operational efficiency
Improved regulatory compliance
More efficient HM tracking and locating
Improved HM data security
Other, please describe:
No benefits
Unknown

■ 47c. What benefits resulted from your agency's/company's/organization's customer outreach/education efforts regarding your e-system? Please select all that apply:

Improved customer satisfaction Increased business opportunities Reduced insurance or risk management costs Increased operational efficiency Other, please describe: No benefits Unknown
 Not applicable, my agency/company/organization does not have a customer outreach/education program ✓ 47c1. What constraints did your agency/company/organization need to overcome during customer outreach/education regarding
your e-system? Please select all that apply: Work with external (outside the agency/company/organization) electronic systems Provide a financial benefit Integrate with current paper system Ease of data entry procedures Provide equivalent or better level of security Accepted by personnel Other, please describe: Unknown
47d. Has your agency/company/organization performed any studies/analyses on the effectiveness of your e-system, including the e-system's impacts on your agency/company/organization? Yes No Unknown
¥ 47d1. Can you share the results of these studies with us? Yes, please describe results: No
47e. In your opinion, what can improve your e-system's capability? Enter "NO INFORMATION" if you do not have any ideas for how to improve your e-system's capability.
47f. With respect to real-world application, has your agency/company/organization observed any positive or negative interactions between its e-system technology and other e-system technologies? Yes, please describe these interactions: No Unknown
47g. Has your agency/company/organization identified any e-system impediments/limitations? Yes No Unknown
■ 47g1. Please select all identified impediments/limitations:
Lack of access in rural areas Incompatibility issues with other internal or external e-systems Problems with electronic/wireless devices Problems with the data language (e.g., XML, EDI, etc.) Problems with the communication mechanism (e.g., email, Internet reference/link, etc.) Problems with the data format (e.g., jpeg, tiff, etc.) Other, please describe:

47g2. Please provide additional details regarding the impediment agency/company/organization addressed the impediment(s)/limitation additional details.	
	- - -

48a. What constraints would an e-system have to overcome to be successfully used by your agency/company/organization? Please select all that apply: Provide immediate access to HM information in all geographic areas Work with other internal (to the agency/company/organization) electronic systems Work with external (outside the agency/company/organization) electronic systems Provide a financial benefit Integrate with current paper system Ease data entry procedures Provide equivalent or better level of security Be accepted by personnel ■ Use commercial off the shelf (COTS) system components Use custom system components Other, please describe: No constraints Unknown Not applicable 48b. What benefits would an e-system offer over a paper-based system? Please select all that apply: Reduced staff time and/or cost to prepare shipping papers Reduced costs for transfer between modes or carriers Reduced error rate in data entry Ease of data entry Reduced costs for hardcopy storage and retrieval Faster transport times for shipments Improved customer satisfaction Reduced insurance or risk management costs Improved HM inspection data Decreased time needed for HM inspections Faster delivery of HM information to emergency responders More accurate HM data for emergency preparedness and response Increased operational efficiency Improved regulatory compliance

More efficient HM tracking and locating

☐ Improved HM data security
☐ Other, please describe: ____

No benefits☐ Unknown☐ Not applicable

Branching I	Intormation
Dianciniu	ii ii Oi i i ia ii Oi

- eranching Information
 If not 49. Do you have any lessons learned that should b... = Yes then Hide 49a. Please describe the lesson(s) learned:
- If 49. Do you have any lessons learned that should b... = No then Hide 49a. Please describe the lesson(s) learned:

	,		()
49. Do Yes No	you have an	y lessons learned that should be considered for improvement of e-commerce?	
🐴 49a. Pl	ease describ	e the lesson(s) learned:	