

# Application for Mother's/Father's and Child's Annuity

DO NOT WRITE IN THIS SPACE

OFFICIALLY FILED

MONTH	DAY	YEAR

OFFICE NUMBER

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APPROVED

APPLICATION NUMBER

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DATE CODED

MONTH	DAY	YEAR

CODED BY

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## Section 1 General Instructions

Before you complete this application, be sure to read booklet RB-17, Survivor Annuities, which explains information you will need to answer many of the questions in this application. Also be sure to read the important notices at the end of the booklet.

Type or print legibly in ink. If you need more space than is provided to answer a question, use Section 10 for this purpose. If you do not know the answer to a question, print "Unknown" in the space provided for the answer.

When entering dates, always use numbers. Also, be sure there is one number in each box. For example, you would enter June 6, 2015, as:

MONTH	DAY	YEAR
0	6	2015

Some items in this application will not apply to you so you will not need to answer them. Based on your answer to a question, you may be told to skip to another item number, or even another section. Follow the instructions that tell you to "Go to" another item. These are designed to save you time and help you move through the application form quickly, filling in only necessary information. **If no "Go to" instructions are given, answer the next item in order. Do not skip any items unless directed to do so.**

If you are completing this application on behalf of someone else, you must answer each question as it applies to **the applicant**.

## Section 2 Identifying Information

Check the information entered by the Railroad Retirement Board (RRB) for Items 1 through 6 for accuracy.

- If the information is correct, **go to Section 3**.
- If the information is not correct, cross out the incorrect information and enter the correct information above it.
- If the information is missing, fill it in.

Employee Identification	1	EMPLOYEE'S NAME	
	2	EMPLOYEE'S SOCIAL SECURITY NUMBER	
	3	EMPLOYEE'S RAILROAD RETIREMENT CLAIM NUMBER	
Applicant Identification	4	APPLICANT'S NAME	
	5	a STREET ADDRESS	
		b CITY AND STATE	
		c ZIP CODE	
		d COUNTY	
	6	DAYTIME TELEPHONE NUMBER	

### Section 3 Information About The Employee

If a railroad retirement survivor benefit was previously received by someone, **go to Section 4**; otherwise **go to Item 7**.

Birth Date	7 Enter the employee's date of birth. _____ →	Month	Day	Year	
Residence	8 Enter the state (or country if other than United States) which was the employee's permanent home at the time of death. _____ →				
	If the employee was age 62 or older when he or she died, <b>go to Item 10</b> .				
Disability	9 Enter an "X" in the appropriate box: The employee was unable to work at the time of death because of an illness or accident which occurred at least five months before death. →	<input type="checkbox"/> Yes <input type="checkbox"/> No			
Military Service	Please read the section " <i>Credit for Employee's Military Service</i> " in Part V of the RB-17 booklet to find out how active military service is determined.				
	10 Enter an "X" in the appropriate box: The employee was in active military service after September 7, 1939. _____ →	<input type="checkbox"/> Yes → <b>Go to Note and Item 11</b> <input type="checkbox"/> No → <b>Go to Item 13</b>			
	<div><b>Note:</b> If answered "Yes," you will have to submit proof of the employee's military service. If you cannot submit proof show, in Section 10, the branch of the service and the beginning and ending dates for each period of service.</div>				
	11 Enter an "X" in the appropriate box: The employee had voluntary military service during the period June 15, 1948, through December 15, 1950. _____ →	<input type="checkbox"/> Yes → <b>Go to Item 12</b> <input type="checkbox"/> No → <b>Go to Item 13</b>			
	12 Enter an "X" in the appropriate box: The employee had non-railroad earnings after leaving the military service and before returning to the railroad. _____ →	<input type="checkbox"/> Yes <input type="checkbox"/> No			
Recent Employment	13 Regardless of whether the employee was retired at death, show the name and address of each railroad or non-railroad employer for whom the employee performed any part-time or full-time work during the last <b>3 years</b> he or she worked. Print the name and address of the most recent employer in <b>13a</b> , the second in <b>13b</b> , and so on. Enter the date each job began and ended.				
	Name and Address of Employer				
	a Name	Began		Ended	
	Address	Month	Year	Month	Year
	City, State, ZIP Code				
	b Name	Began		Ended	
	Address	Month	Year	Month	Year
	City, State, ZIP Code				
	c Name	Began		Ended	
	Address	Month	Year	Month	Year
	City, State, ZIP Code				
Self-Employment	14 Enter an "X" in the appropriate box: The employee was self-employed during any of the last three calendar years. _____ →	<input type="checkbox"/> Yes → <b>Go to Item 15</b> <input type="checkbox"/> No → <b>Go to Item 17</b>			
	15 Enter an "X" in the appropriate box: The employee's net earnings from self-employment were more than \$400 in any of the last three calendar years. _____ →	<input type="checkbox"/> Yes → <b>Go to Item 16</b> <input type="checkbox"/> No → <b>Go to Item 17</b>			

Self-Employment Con't	16 Enter an "X" in the appropriate box(es): Show the year or years in which the employee's net earnings from self-employment were more than \$400. <span style="float: right;">→</span>					<input type="checkbox"/> This year <input type="checkbox"/> Last year <input type="checkbox"/> Year before last				
Railroad Employment	Answer Items 17 and 18 <b>only</b> if the employee was alive on October 1, 1981, and he or she had at least 25 years of railroad service; otherwise <b>go to Item 19</b> .  If the employee was alive on October 1, 1981, and had at least 25 years of railroad service, please read the section <i>"Requirements the Employee Must Have Met"</i> in Part I of the RB-17 booklet to find out what special conditions may apply.  <div style="border: 1px solid black; border-radius: 15px; padding: 10px; text-align: center; margin: 10px auto; width: 80%;"> <b>Note:</b> You may be requested to submit proof to verify the statements made in Items 17 and 18.         </div>									
	17 Enter an "X" in the appropriate box: The employee "involuntarily and without fault": <ul style="list-style-type: none"> <li>stopped working for his or her last railroad employer on or after October 1, 1975, <b>or</b></li> <li>was on furlough, leave of absence status, or absent because of injury on October 1, 1975, and was never called back to work for that employer. <span style="float: right;">→</span></li> </ul>					<input type="checkbox"/> Yes → <b>Go to Item 18</b> <input type="checkbox"/> No → <b>Go to Item 19</b>				
	18 Enter an "X" in the appropriate box: The employee declined an offer from a railroad employer to return to a job in the same "class or craft" as his or her last railroad job. <span style="float: right;">→</span>					<input type="checkbox"/> Yes <input type="checkbox"/> No				
Employee's Marriages	19 Enter the requested information for <b>each</b> of the employee's marriages. Enter the most recent marriage in <b>19a</b> , the second most recent in <b>19b</b> , and so on.									
	Name of Employee's Wife or Husband (if wife, include maiden name)		Date Married		City and State Married (country if other than United States)	How Marriage Ended (check one)	Answer if Marriage Ended for Reason Other than Employee's Death			
	a		Month	Day	Year	<input type="checkbox"/> Employee's Death <input type="checkbox"/> Spouse's Death <input type="checkbox"/> Divorce <input type="checkbox"/> Annulment	Month	Day	Year	
	b		Month	Day	Year	<input type="checkbox"/> Employee's Death <input type="checkbox"/> Spouse's Death <input type="checkbox"/> Divorce <input type="checkbox"/> Annulment	Month	Day	Year	
	c		Month	Day	Year	<input type="checkbox"/> Employee's Death <input type="checkbox"/> Spouse's Death <input type="checkbox"/> Divorce <input type="checkbox"/> Annulment	Month	Day	Year	
Widow(er)	Answer Item 20 <b>only</b> if you and the employee were divorced.  Please read the marriage requirements in Part III of the RB-17 booklet to find out what categories of widow(er)s may be eligible for a railroad retirement annuity.									
	20 Enter an "X" in the appropriate box: There is a widow(er) or remarried widow(er) who may be eligible for a widow(er)'s annuity. <span style="float: right;">→</span>					<input type="checkbox"/> Yes <input type="checkbox"/> No				

Parents	21 Enter an "X" in the appropriate box: The employee was survived by a parent. _____ →			<input type="checkbox"/> Yes → <b>Go to Item 22</b> <input type="checkbox"/> No → <b>Go to Section 4</b>		
	22 Enter an "X" in the appropriate box: The parent was dependent on the employee for one-half of his or her support. _____ →			<input type="checkbox"/> Yes → <b>Go to Item 23</b> <input type="checkbox"/> No → <b>Go to Section 4</b>		
	23 Enter the requested information for each dependent parent of the employee.					
Name of Parent		Date of Birth		Address and Telephone Number		
a		Month	Day	Year	Address	
					Telephone Number (include area code) (      )	
b		Month	Day	Year	Address	
					Telephone Number (include area code) (      )	

## Section 4 Information About The Applicant

Birth Date	24 Enter your date of birth. _____ →			Month	Day	Year		
Social Security Number	25 Enter your social security number. (If none, enter "To be submitted.") _____ →							
Marriages	26 Enter an "X" in the appropriate box: I am now, or was previously, married to someone other than the employee. _____ →			<input type="checkbox"/> Yes → <b>Go to Item 27</b> <input type="checkbox"/> No → <b>Go to Item 29</b>				
	27 Enter the requested information for <b>each of your marriages to someone other than the employee.</b> Enter the most recent marriage in <b>27a</b> , the second most recent in <b>27b</b> , and so on.							
Your Husband's or Wife's Name and Social Security Number (do not show employee)		Date Married		City and State Married (country if other than United States)	If Marriage Never Ended, Leave These Blank			
					How Marriage Ended (check one)	Date Marriage Ended		
a Name		Month	Day	Year	<input type="checkbox"/> Spouse's Death <input type="checkbox"/> Divorce <input type="checkbox"/> Annulment	Month	Day	Year
b Name		Month	Day	Year		<input type="checkbox"/> Spouse's Death <input type="checkbox"/> Divorce <input type="checkbox"/> Annulment	Month	Day
c Name		Month	Day	Year	<input type="checkbox"/> Spouse's Death <input type="checkbox"/> Divorce <input type="checkbox"/> Annulment		Month	Day
28 Answer only if <b>any</b> of the social security numbers requested in Item 27 are unknown. If more than one social security number is unknown, enter in Section 10, the information requested in this item for each additional unknown number.								
a Enter the name of the husband or wife whose social security number is unknown. _____ →								
b Enter that husband's or wife's <b>date of birth</b> . _____ →				Month	Day	Year		
c Enter that husband's or wife's <b>place of birth</b> . _____ →								

Item 28 continues on the next page.

Marriages (cont.)	28	d	Enter that husband's or wife's <b>father's name</b> . _____ →						
		e	Enter that husband's or wife's <b>mother's maiden name</b> . →						
Support	If you and the employee were divorced, <b>go to Item 35</b> .								
	29	Enter an "X" in the appropriate box: The employee and I were living together when the employee died. If "Yes," and you are male, <b>go to Item 34</b> . If "Yes," and you are female, <b>go to Item 35</b> . _____ →					<input type="checkbox"/> Yes  <input type="checkbox"/> No → <b>Go to Item 30</b>		
	30	Enter the date you and the employee stopped living together. _____ →					Month	Day	Year
	31	Enter the reason you and the employee stopped living together. _____ →		_____					
				_____					
32	Enter an "X" in the appropriate box: The employee was making regular contributions to my support when the employee died. If "Yes," and you are male, <b>go to Item 34</b> . If "Yes," and you are female, <b>go to Item 35</b> . _____ → <b>(Note: Consider the following as contributions to support: money, food, clothes, paying bills, providing rent-free housing.)</b>					<input type="checkbox"/> Yes  <input type="checkbox"/> No → <b>Go to Item 33</b>			
33	Enter an "X" in the appropriate box: The employee was under a court order to contribute to my support. _____ → <b>(Note: Answer "Yes" if there was a court order, even if the employee was <b>not</b> obeying it.)</b>					<input type="checkbox"/> Yes → <b>Go to Item 35</b>  <input type="checkbox"/> No → <b>Go to Item 35</b>			
One-Half Support	Answer Item 34 only if you are working or have ever worked in the railroad industry, <b>and</b> Items 29 or 32 are answered "Yes."								
	34	Enter an "X" in the appropriate box: The employee's contributions to me provided <b>at least</b> one-half of the money needed to support me. _____ →					<input type="checkbox"/> Yes → <b>Go to Note and Item 35</b>  <input type="checkbox"/> No → <b>Go to Item 35</b>		
		<b>Note: If answered "Yes," complete and return to the RRB, Form G-134, Statement Regarding Contributions and Support.</b>							
Criminal Offense	35	Enter an "X" in the appropriate box: Within the past 12 months, I have been imprisoned or given a sentence of confinement due to a conviction for a criminal offense. _____ →					<input type="checkbox"/> Yes → <b>Go to Item 36</b>  <input type="checkbox"/> No → <b>Go to Section 5</b>		
	36	Enter the date of the conviction. _____ →					Month	Day	Year
	37	Enter the date of the sentence of confinement. _____ →					Month	Day	Year
	38	Enter the date that confinement began. _____ →					Month	Day	Year
39	Enter an "X" in the appropriate box: Has the confinement ended? _____ →					<input type="checkbox"/> Yes → <b>Go to Item 40</b>  <input type="checkbox"/> No → <b>Go to Section 5</b>			
40	Enter the date confinement ended. _____ →					Month	Day	Year	



Legal Guardian Con't	44 Print the requested information for <b>every</b> child in Item 41 who has a court-appointed legal guardian. Print the youngest child in <b>44a</b> , etc.											
	First Name of Child					Name and Address of Guardian						
	a											
	b											
Married Children	45 Enter an "X" in the appropriate box: One or more of the children in Item 41 is or has been married. _____ →							<input type="checkbox"/> Yes → <b>Go to Item 46</b> <input type="checkbox"/> No → <b>Go to Item 47</b>				
	46 Print the requested information for <b>every</b> child in Item 41 who has ever been married. Print the youngest child in <b>46a</b> , etc.											
	Child's Married Name				Date Married			Enter an "X" in the Appropriate Box: The Child Is Still Married		Date Marriage Ended if Child Is Not Still Married		
	a				Month	Day	Year	<input type="checkbox"/> Yes <input type="checkbox"/> No		Month	Day	Year
	b				Month	Day	Year	<input type="checkbox"/> Yes <input type="checkbox"/> No		Month	Day	Year
Grand-Children, Other Children	If "Natural" or "Adopted" was checked for <b>every</b> child in Item 41, <b>go to Item 49</b> .											
	47 Enter an "X" in the appropriate box: <b>Every</b> "Grandchild" or "Other Child" in Item 41 was living with the employee at the time the employee died. _____ →							<input type="checkbox"/> Yes → <b>Go to Item 49</b> <input type="checkbox"/> No → <b>Go to Item 48</b>				
	48 Print the requested information for <b>every</b> "Grandchild" or "Other Child" in Item 41 who was not living with the employee at the time the employee died. Print the youngest child in <b>48a</b> , etc. If you need more space use Section 10.											
	First Name of Child		Person with Whom Child Lived at the Time the Employee Died									
			Name			Address			Relationship to Child			
	a											
b												
Children For Whom You Are Not Filing	49 Enter an "X" in the appropriate box: There is a child for whom I am not filing this application who may be entitled to a child's annuity. _____ →							<input type="checkbox"/> Yes → <b>Go to Item 50</b> <input type="checkbox"/> No → <b>Go to Item 51</b>				
	50 Print the requested information for <b>every</b> child for whom you are not filing an application who may be entitled to a child's annuity. Print the youngest child in <b>50a</b> , the next youngest in <b>50b</b> , and so on.											
	Child's Full Name				Reason for Not Filing							
	a											
	b											
	c											



## Section 6

## Information About Applicant's Other Government Benefits

Public Service Pension	<p>51 Enter an "X" in the appropriate box: I am receiving or expect to receive a pension or I have received or expect to receive a lump-sum payment instead of a pension, based on my earnings, from an agency of the Federal, state, or local government. →</p> <p>(Answer "No" if your only government pension payments are social security, railroad retirement, veterans affairs, worker's compensation, or black-lung benefits. Also, answer "No" if you received a lump-sum payment that was just your contributions to the pension fund plus interest.)</p>	<input type="checkbox"/> Yes → <b>Go to Item 52</b> <input type="checkbox"/> No → <b>Go to Item 54</b>	
Social Security Benefits-Filed For	<p>52 Enter an "X" in the appropriate box: I am/was an employee of the Federal Government. →</p>	<input type="checkbox"/> Yes → <b>Go to Note and Item 54</b> <input type="checkbox"/> No → <b>Go to Item 53</b>	
	<p><b>Note:</b> If answered "Yes," complete and return to the RRB, <b>Form G-208, Public Service Pension Questionnaire</b>, and verification of your pension.</p>		
	<p>53 Enter an "X" in the appropriate box: On my last day of employment, I was employed by a state or local government or the military service, and social security (FICA) taxes were being deducted from my public service earnings. →</p>	<input type="checkbox"/> Yes → <b>Go to Item 54</b> <input type="checkbox"/> No → <b>Go to Note and Item 54</b>	
<p><b>Note:</b> If answered "No," complete and return to the RRB, <b>Form G-208, Public Service Pension Questionnaire</b>, and verification of your pension.</p>			
Social Security Benefits-Future Filing	<p>54 Enter an "X" in the appropriate box: An application has been filed for monthly social security benefits for me or a child. →</p>	<input type="checkbox"/> Yes → <b>Go to Item 55</b> <input type="checkbox"/> No → <b>Go to Item 56</b>	
	<p>55 Enter the requested information for <b>every</b> family member for whom an application has been filed for monthly social security benefits. Use as many lines as are needed beginning with 55a.</p>		
	Family Member	Person Whose Record Was Filed On	Social Security Number Filed On
	a		<div style="display: flex; justify-content: space-around;"> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> </div>
	b		<div style="display: flex; justify-content: space-around;"> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> </div>
c		<div style="display: flex; justify-content: space-around;"> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> </div>	
Social Security Benefits-Future Filing	<p>56 Enter an "X" in the appropriate box: An application will be filed in the future for monthly social security benefits for me or a child. →</p>	<input type="checkbox"/> Yes → <b>Go to Item 57</b> <input type="checkbox"/> No → <b>Go to Item 59</b>	
	<p>57 Enter the name of the person on whose record you are filing. →</p>		
	<p>58 Enter that person's social security number. →</p>	<div style="display: flex; justify-content: space-around;"> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-bottom: 1px solid black; width: 20px; height: 20px;"></div> </div>	



Railroad Retirement Benefits	<b>59</b> Enter an "X" in the appropriate box: An application has been or will be filed within 90 days for monthly railroad retirement benefits for me or a child based on the record of someone other than the employee. _____ →	<input type="checkbox"/> Yes → <b>Go to Item 60</b> <input type="checkbox"/> No → <b>Go to Section 7</b>												
	<b>60</b> Enter an "X" in the appropriate box: The application has been or will be filed based on the record of someone other than myself. _____ →	<input type="checkbox"/> Yes → <b>Go to Item 61</b> <input type="checkbox"/> No → <b>Go to Section 7</b>												
	<b>61</b> Enter the name of the person on whose record the application has been or will be filed. _____ →													
	<b>62</b> Enter that person's Railroad Retirement Board claim number, including the letter prefix. _____ →	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%; text-align: center;"><b>Prefix</b></td> <td style="width: 15%;"></td> <td style="width: 15%; text-align: center;"><b>If only six numbers, enter here</b></td> <td style="width: 15%;"></td> <td style="width: 15%;"></td> <td style="width: 15%;"></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table>	<b>Prefix</b>		<b>If only six numbers, enter here</b>									
<b>Prefix</b>		<b>If only six numbers, enter here</b>												

## Section 7

### Information About Work And Earnings

Please read the section *"How Earnings Affect An Annuity"* in Part V of the RB-17 booklet to find out how work and earnings can affect your railroad retirement annuity or a child's annuity. Also, please refer to **Form G-77, How Earnings Affect Payment of Survivor Annuities**, for the exempt amounts to use when answering Items 63 through 68. When answering Items 63 through 70, consider only yourself and the children listed in Item 41.

Earnings Last Year	Answer Items 63 and 64 only if the employee died before January 1 of this year.													
(Year)	<b>63</b> Enter an "X" in the appropriate box: My total earnings, or the total earnings of a child, for <b>all</b> employment last year were more than the annual earnings exempt amount shown on Form G-77. _____ →	<input type="checkbox"/> Yes → <b>Go to Item 64</b> <input type="checkbox"/> No → <b>Go to Item 65</b>												
	<b>64</b> Print the requested information for <b>every</b> family member whose total earnings for last year were more than the annual earnings exempt amount shown on Form G-77. Use as many lines as needed beginning with <b>64a</b> .													
	a 1 Family Member	2 Total Earnings for Last Year (Show Dollars Only) \$ _____												
	<b>3</b> Enter an "X" in the appropriate box: The family member earned more than the monthly earnings exempt amount in employment for hire or performed substantial services in self-employment in every month last year. _____ →	<input type="checkbox"/> Yes <input type="checkbox"/> No												
	<b>4</b> Enter an "X" next to <b>each</b> month last year in which the family member did not earn more than the monthly earnings exempt amount or perform substantial services in self-employment.	<table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <tr> <td>JAN</td><td>FEB</td><td>MAR</td><td>APR</td><td>MAY</td><td>JUN</td> </tr> <tr> <td>JUL</td><td>AUG</td><td>SEP</td><td>OCT</td><td>NOV</td><td>DEC</td> </tr> </table>	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
JAN	FEB	MAR	APR	MAY	JUN									
JUL	AUG	SEP	OCT	NOV	DEC									
	b 1 Family Member	2 Total Earnings for Last Year (Show Dollars Only) \$ _____												
	<b>3</b> Enter an "X" in the appropriate box: The family member earned more than the monthly earnings exempt amount in employment for hire or performed substantial services in self-employment in every month last year. _____ →	<input type="checkbox"/> Yes <input type="checkbox"/> No												
	<b>4</b> Enter an "X" next to <b>each</b> month last year in which the family member did not earn more than the monthly earnings exempt amount or perform substantial services in self-employment.	<table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <tr> <td>JAN</td><td>FEB</td><td>MAR</td><td>APR</td><td>MAY</td><td>JUN</td> </tr> <tr> <td>JUL</td><td>AUG</td><td>SEP</td><td>OCT</td><td>NOV</td><td>DEC</td> </tr> </table>	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
JAN	FEB	MAR	APR	MAY	JUN									
JUL	AUG	SEP	OCT	NOV	DEC									

Earnings Last Year Con't	c 1 Family Member		2 Total Earnings for Last Year (Show Dollars Only) \$													
(Year)	3 Enter an "X" in the appropriate box: The family member earned more than the monthly earnings exempt amount in employment for hire or performed substantial services in self-employment in every month last year. →		<input type="checkbox"/> Yes <input type="checkbox"/> No													
	4 Enter an "X" next to <b>each</b> month last year in which the family member did not earn more than the monthly earnings exempt amount or perform substantial services in self-employment.	<table border="1" style="width: 100%; text-align: center; font-size: small;"> <tr> <td>JAN</td><td>FEB</td><td>MAR</td><td>APR</td><td>MAY</td><td>JUN</td> </tr> <tr> <td>JUL</td><td>AUG</td><td>SEP</td><td>OCT</td><td>NOV</td><td>DEC</td> </tr> </table>			JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
JAN	FEB	MAR	APR	MAY	JUN											
JUL	AUG	SEP	OCT	NOV	DEC											
Earnings This Year	65 Enter an "X" in the appropriate box: I expect my total earnings, or the total earnings of a child for <b>all</b> employment this year to be more than the annual earnings exempt amount. →		<input type="checkbox"/> Yes → <b>Go to Item 66</b> <input type="checkbox"/> No → <b>Go to Item 67</b>													
(Year)	66 Enter the requested information for <b>every</b> family member whose total earnings for this year are expected to be more than the annual earnings exempt amount. Use as many lines as needed beginning with <b>66a</b> .															
	a 1 Family Member		2 Total Expected Earnings for This Year (Show Dollars Only) \$													
	3 Enter an "X" in the appropriate box: The family member expects to earn more than the monthly earnings exempt amount in employment for hire or to perform substantial services in self-employment in every month this year. →		<input type="checkbox"/> Yes <input type="checkbox"/> No													
	4 Enter an "X" next to <b>each</b> month this year in which the family member did not, or does not expect to, earn more than the monthly earnings exempt amount or perform substantial services in self-employment.	<table border="1" style="width: 100%; text-align: center; font-size: small;"> <tr> <td>JAN</td><td>FEB</td><td>MAR</td><td>APR</td><td>MAY</td><td>JUN</td> </tr> <tr> <td>JUL</td><td>AUG</td><td>SEP</td><td>OCT</td><td>NOV</td><td>DEC</td> </tr> </table>			JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
JAN	FEB	MAR	APR	MAY	JUN											
JUL	AUG	SEP	OCT	NOV	DEC											
	b 1 Family Member		2 Total Earnings for This Year (Show Dollars Only) \$													
	3 Enter an "X" in the appropriate box: The family member expects to earn more than the monthly earnings exempt amount in employment for hire or to perform substantial services in self-employment in every month this year. →		<input type="checkbox"/> Yes <input type="checkbox"/> No													
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JUL	AUG	SEP	OCT	NOV	DEC											
<b>Note:</b> If there are two or more children qualified to receive benefits and you are earning more than the annual earnings exempt amount, please contact the RRB field office. Someone will be able to help you decide whether it is better for you to file for yourself and the children, or whether you would actually be better off to file for the children alone.																

Earnings Next Year  (Year)	67 Enter an "X" in the appropriate box: I expect my total earnings, or the total earnings of a child, from <b>all</b> employment next year to be more than the annual earnings exempt amount.				<input type="checkbox"/> Yes → <b>Go to Item 68</b> <input type="checkbox"/> No → <b>Go to Item 69</b>	
	68 Enter the requested information for <b>every</b> family member whose total earnings for next year are expected to be more than the annual earnings exempt amount. Use as many blanks as are needed beginning with <b>68a</b> .					
	Family Member	Expected Earnings for Next Year (Show Dollars Only)	Family Member	Expected Earnings for Next Year (Show Dollars Only)	Family Member	Expected Earnings for Next Year (Show Dollars Only)
a	\$	b	\$	c	\$	

  

Railroad Work	69 Enter an "X" in the appropriate box: I have worked, or a child has worked, for a railroad or other employer in the railroad industry.				<input type="checkbox"/> Yes → <b>Go to Item 70</b> <input type="checkbox"/> No → <b>Go to Section 8</b>	
	70 Enter the requested information for <b>every</b> family member who has worked for a railroad or other employer in the railroad industry. Use as many lines as needed beginning with <b>70a</b> .					
	a 1 Family Member		2 Railroad Employer		3 Date Last Worked	
					Month	Day
					Year	
	4 Enter an "X" next to <b>each</b> month in this year during which the family member worked for an employer in the railroad industry.		<div style="display: flex; justify-content: space-between;"> <div>JAN</div><div>FEB</div><div>MAR</div><div>APR</div><div>MAY</div><div>JUN</div> </div> <div style="display: flex; justify-content: space-between;"> <div>JUL</div><div>AUG</div><div>SEP</div><div>OCT</div><div>NOV</div><div>DEC</div> </div>			
	5 If you expect the annuity to begin before January 1 of this year, enter an "X" next to <b>each</b> month of last year during which the family member worked for an employer in the railroad industry.		<div style="display: flex; justify-content: space-between;"> <div>JAN</div><div>FEB</div><div>MAR</div><div>APR</div><div>MAY</div><div>JUN</div> </div> <div style="display: flex; justify-content: space-between;"> <div>JUL</div><div>AUG</div><div>SEP</div><div>OCT</div><div>NOV</div><div>DEC</div> </div>			
	b 1 Family Member		2 Railroad Employer		3 Date Last Worked	
					Month	Day
					Year	
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## Section 8 Filing Date

Filing  
Protection

Answer **only** if you are age 62 or older, disabled, or otherwise eligible for social security old age, disability, or survivor benefits **and** you have not filed an application for such benefits.

71 Enter an "X" in the appropriate box:

I also want this application used to protect my  
filing date for social security benefits.

☐ Yes

☐ No

## Section 9 Receiving Your Payments

All applicants filing for RRB benefits must choose to receive their annuity payments either:

- By **Direct Deposit** to a bank, savings and loan, credit union or other financial institution; or
- Into a **Direct Express® Debit MasterCard®** account.

Please read Part VII of the **RB-17** booklet for an explanation of Direct Deposit and the Direct Express® Debit MasterCard®.

Payment  
Options

72 Enter an "X" in the appropriate box to indicate how you  
want to receive your payments.

☐ Direct Deposit - **Go to Item 73**

☐ Direct Express® Debit MasterCard®  
**Go to Section 10**

☐ Neither Direct Deposit nor Direct Express®  
Debit MasterCard® - **Go to Section 10**

Direct  
Deposit

To provide the information we need to correctly deposit your payments by Direct Deposit, either attach a voided personal check and **go to Section 10**, or call your financial institution for the information you need to complete Items 73 through 77 below.

73 Enter the name of your financial institution. →

74 Enter the telephone number of your financial institution. →

AREA CODE

TELEPHONE NUMBER

75 Enter the routing transit number of your financial institution. →

76 Enter your account number. →

77 Enter an "X" in the appropriate box:

Type of account for the above account number. →

☐ Checking

☐ Savings

**Go to Section 10**

## Section 10 Remarks

Remarks

78 This section is to be used for the continuation of answers to other items. Be sure to include the item number at the beginning of the answer you wish to continue. You may also use this section to enter any additional information that you feel may be important to include.

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## Section 11 Certification

Certification

79 Enter an "X" in the appropriate box:

I will have a guardian or other representative sign this application on my behalf. \_\_\_\_\_ →

- ☐ Yes → **Go to Note and Item 80**  
☐ No → **Go to Item 80**

**Note:** If answered "Yes," your guardian or other representative must sign this application. That person must also complete and return **Form AA-5, Application for Substitution of Payee**.

80 I certify that the information I gave the Railroad Retirement Board (RRB) on this application is true to the best of my knowledge. I know that if I make a false or fraudulent statement or withhold information in order to receive benefits from the RRB, I am committing a crime under Federal law which may be punishable by fines, imprisonment, or both. I have received and reviewed the booklets, **RB-17, Survivor Annuities** and **RB-9s, Events That Affect A Survivor Annuity**. I understand that I am responsible for reporting events that would affect my annuity as explained in the booklets.

I agree to immediately notify the RRB:

- If I marry;
- If I begin to receive a pension from an agency of the Federal, state, or local government, or if my present payments change;
- If an application is filed for social security benefits for me or any child based on **any** person's earnings record;
- If I or any child go to work for a railroad, railroad labor organization or work in any capacity in the railroad industry;
- If I or any child will earn more than the annual earnings exempt amount, and it was not reported on the application;
- If I reported expected earnings for myself or any child and that earnings estimate changes;
- If my address changes;
- If my financial organization or the account number at my financial organization changes;
- If any child for whom I am receiving benefits dies, marries, or leaves my care;
- If I am, or any child is, confined in a jail, prison, penal institution, or correctional institution due to a conviction for a criminal offense.

**Signature** \_\_\_\_\_  
(First Name, Middle Initial,  
Last Name)

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**Date** \_\_\_\_\_

Month		Day		Year			

81 If this certification is signed by mark ("X") in Item 80, two witnesses who know the person signing must sign below, giving their full addresses and daytime telephone numbers.

### a. Signature of Witness

Address (Number and Street)

City, State, ZIP Code

Daytime Telephone Number (include area code) \_\_\_\_\_ →

Area Code			Telephone Number						

### b. Signature of Witness

Address (Number and Street)

City, State, ZIP Code

Daytime Telephone Number (include area code) \_\_\_\_\_ →

Area Code			Telephone Number						

## Section 12 How To Return Your Application

Before you return your application, check to make sure that:

- **Every** question that applies to you has been answered.
- You have entered “unknown” in **any** answer space for which you were unable to answer a question.
- You have signed and dated the application.
- You have included **all** the needed proofs listed in the letter you received with this application.

When you received your application, you should also have received a pre-addressed return envelope. If you do not have this envelope, you can use any envelope as long as it is addressed to the RRB office serving your location. No matter which envelope you use, you must put the correct postage on the envelope. Be careful to provide enough postage, because your application and the accompanying forms may weigh more than a standard letter. The U.S. Postal Service will not deliver your application unless it has the correct postage.

Make one final check before you seal the envelope to ensure that the following are enclosed:

- NEEDED PROOFS
- THE APPLICATION FORM ITSELF
- ADDITIONAL FORMS YOU WERE ASKED TO COMPLETE

**Note:** *After the RRB receives your application, a receipt form with information about your claim will be sent to you. When you receive it, you will know that the RRB has received your application and has started the work needed to determine if you are entitled to benefits. If you do not receive the receipt within two weeks after you have filed this application, please contact us so we can find out what is causing the delay.*