



FORM APPROVED
OMB No. 3145-0100
Expiration Date: XX/XX/XX

NATIONAL SCIENCE FOUNDATION
ARLINGTON, VA 22230
HIGHER EDUCATION RESEARCH AND DEVELOPMENT SURVEY
FY 2016 Population Review Questionnaire

Please fill in your institution's name and complete the three questions below.

Your inclusion in the FY 2016 Higher Education Research and Development (HERD) Survey will be based on your FY 2015 research expenditures.

Your institution name:

Question 1. During your institution's FY 2015, did your institution have any R&D expenditures?

(Please see definitions below.)

Yes ☐ → Go to Question 2.

No ☐ → Go to Question 3.

Research and development (R&D) is creative and systematic work undertaken in order to increase the stock of knowledge — including knowledge of humankind, culture, and society — and to devise new applications of available knowledge.

R&D expenditures

Include all R&D expenditures from your institution's current operating funds that are separately accounted for. For purposes of this survey, R&D includes expenditures for organized research as defined by 2 CFR 200 Appendix III and expenditures from funds designated for research.

R&D <i>includes</i> :	R&D does <i>not</i> include:
<ul style="list-style-type: none">• Sponsored research (federal and nonfederal)• University research (institutional funds that are separately budgeted for individual R&D projects)• Startup, bridge, or seed funding provided to researchers within your institution• Other departmental funds designated for research• Recovered and unrecovered indirect costs• Equipment purchased from R&D project accounts• R&D funds passed through to a subrecipient organization, educational or other• Clinical trials, Phases I, II, or III• Research training grants funding work on organized research projects• Tuition remission provided to students working on research	<ul style="list-style-type: none">• Public service grants or outreach programs• Curriculum development (unless included as part of an overall research project)• R&D conducted by university faculty or staff at outside institutions that is not accounted for in your financial records• Estimates of the proportion of time budgeted for instruction that is spent on research• Capital projects (i.e., construction or renovation of research facilities)• Non-research training grants• Unrecovered indirect costs that exceed your institution's federally negotiated facilities and administrative (F&A) rate

(Questions continue on page 2)

Question 2. What were your institution's total expenditures for R&D in FY 2015?

Less than \$150,000 ☐

\$150,000 to \$999,999 ☐

\$1,000,000 or more ☐

Question 3. Whom should we contact about the information above?

Name	<input type="text"/>
Title	<input type="text"/>
Institution name	<input type="text"/>
Department/office	<input type="text"/>
Mailing address (line 1)	<input type="text"/>
Mailing address (line 2)	<input type="text"/>
City, state, and ZIP code	<input type="text"/>
Phone number	<input type="text"/>
E-mail address	<input type="text"/>

Thank you for completing this questionnaire. If you have any questions, please contact ICF International at support@herdsurvey.org or (866) 936-9376.