## **Medicare HOS Survey Status Information**<sup>1</sup>

| Cohort              | Date<br>Fielded | Population       | Reporting<br>Units<br>(MAOs) <sup>2</sup> | Sample<br>Size   | Ineligible<br>Surveys <sup>3</sup> | Completed<br>Surveys <sup>4</sup> | Response<br>Rate <sup>5</sup> |
|---------------------|-----------------|------------------|---|------------------|------------------------------------|-----------------------------------|-------------------------------|
| Cohort 1 Baseline   | May 1998        | M+CO             | 287                                       | 279,135          | 7,196                              | 167,096                           | 61.4%                         |
| Cohort 1 Follow Up  | April 2000      | M+CO             | 188                                       | 89,332           | 1,203                              | 75,593                            | 85.8%                         |
| Cohort 2 Baseline   | March 1999      | M+CO             | 312                                       | 301,184          | 8,829                              | 194,378                           | 66.5%                         |
|                     |                 | PACE             | 20  | 4,225            | 435                                | 1,752                             | 46.2%                         |
| Cohort 2 Follow Up  | June 2001       | EverCare<br>M+CO | 6<br>160                                  | 5,015<br>88,468  | 655<br>1,539                       | 1,226<br>73,015                   | 28.1%<br>84.0%                |
| *                   |                 | M+CO             | 306                                       | 298,883          | 7,662                              | 208,655                           | 71.6%                         |
| Cohort 3 Baseline   | April 2000      | PACE             | 20  | 3,831            | 564                                | 1,672                             | 51.2%                         |
| Cohort 3 Follow Up  | May 2002        | M+CO             | 146                                       | 87,091           | 1,523                              | 65,992                            | 77.1%                         |
| Cohort 4 Baseline   | May 2001        | M+CO             | 197                                       | 190,523          | 6,041                              | 126,255                           | 68.4%                         |
|                     | -               | PACE             | 17  | 3,943            | 362                                | 1,321                             | 36.9%                         |
| Cohort 4 Follow Up  | May 2003        | M+CO             | 152                                       | 71,549           | 951                                | 55,480                            | 78.6%                         |
| Cohort 5 Baseline   | April 2002      | M+CO<br>PACE     | 177<br>20                                 | 171,504<br>4,834 | 5,963<br>710                       | 106,168<br>1,385                  | 64.1%<br>33.6%                |
| Cohort 5 Follow Up  | May 2004        | MA               | 153                                       | 74,562           | 1,265                              | 58,417                            | 80.0%                         |
| Cohort 6 Baseline   | April 2003      | M+CO             | 163                                       | 161,409          | 5,579                              | 100,669                           | 64.6%                         |
| Cohort 6 Follow Up  | May 2005        | MA               | 154                                       | 69,865           | 854                                | 56,075                            | 81.3%                         |
| Cohort 7 Baseline   | March 2004      | MA               | 161                                       | 159,311          | 6,777                              | 99,649                            | 65.3%                         |
| Cohort 7 Follow Up  | May 2006        | MA               | 151                                       | 70,022           | 1,084                              | 57,214                            | 83.0%                         |
| Cohort 8 Baseline   | April 2005      | MA               | 164                                       | 162,902          | 6,966                              | 101,588                           | 65.1%                         |
| Cohort 8 Follow Up  | May 2007        | MA               | 154                                       | 66,292           | 1,351                              | 53,112                            | 81.8%                         |
| Cohort 9 Baseline   | April 2006      | MA               | 203                                       | 188,515          | 7,725                              | 120,708                           | 66.8%                         |
| Cohort 9 Follow Up  | May 2008        | MA               | 187                                       | 77,669           | 819                                | 62,875                            | 81.8%                         |
| Cohort 10 Baseline  | April 2007      | MA               | 286                                       | 321,005          | 19,273                             | 191,308                           | 63.4%                         |
| Cohort 10 Follow Up | May 2009        | MA               | 268                                       | 114,405          | 2,026                              | 93,286                            | 83.0%                         |
| Cohort 11 Baseline  | April 2008      | MA               | 361                                       | 414,655          | 18,420                             | 233,945                           | 59.0%                         |
| Cohort 11 Follow Up | May 2010        | MA               | 330                                       | 114,733          | 901                                | 96,106                            | 84.4%                         |
| Cohort 12 Baseline  | April 2009      | MA               | 424                                       | 487,861          | 26,162                             | 288,794                           | 62.6%                         |
| Cohort 12 Follow Up | May 2011        | MA               | 382                                       | 142,030          | 1,330                              | 106,056                           | 75.4%                         |
| Cohort 13 Baseline  | April 2010      | MA               | 471                                       | 546,931          | 14,079                             | 337,249                           | 63.3%                         |
| Cohort 13 Follow Up | May 2012        | MA               | 432                                       | 168,801          | 1,669                              | 123,247                           | 73.7%                         |
| Cohort 14 Baseline  | April 2011      | MA               | 493                                       | 577,874          | 14,222                             | 297,570                           | 52.8%                         |
| Cohort 14 Follow Up | April 2013      | MA               | 444                                       | 154,291          | 1,263                              | 115,102                           | 75.2%                         |
| Cohort 15 Baseline  | April 2012      | MA               | 506                                       | 591,823          | 13,602                             | 297,974                           | 51.5%                         |
| Cohort 15 Follow Up | April 2014      | MA               | 421                                       | 147,235          | 1,295                              | 105,432                           | 72.2%                         |
| Cohort 16 Baseline  | April 2013      | MA               | 495                                       | 578,074          | 13,080                             | 272,936                           | 48.3%                         |
| Cohort 16 Follow Up | April 2015      | MA               | 364                                       | 128,455          | 1,371                              | 92,258                            | 72.6%                         |
| Cohort 17 Baseline  | April 2014      | MA               | 491                                       | 575,422          | 13,471                             | 261,638                           | 46.6%                         |
| Cohort 18 Baseline  | April 2015      | MA               | 483                                       | 565,150          | 15,243                             | 256,735                           | 46.7%                         |

<sup>1</sup> Over the course of time, the refinement of the HOS measure has resulted in changes to the definition of a "completed survey." The information in the table provides a presentation of how a completed survey and response rate were calculated at

the time of reporting for each of the baseline and follow up cohorts. Given that the definition of a completed survey has evolved over time, this table should not be utilized for response rate comparisons across the cohorts. If you are interested in comparative response rate information, please contact the Medicare HOS Information and Technical Support Line.

<sup>2</sup> For the baseline cohorts, the reporting units represent the individual MAOs sampled for the survey. However for the follow up cohorts, the reporting units have been adjusted to accommodate selected MAO consolidations and service area reductions at the time of performance measurement reporting (which typically occurs in the year subsequent to the collection of the follow up data).

<sup>3</sup> For the baseline cohorts, the ineligible beneficiaries met one of the following criteria: deceased; not enrolled in the MAO; had an incorrect address and phone number; had a language barrier; or were removed from the sample due to age less than 18 years. For the follow up cohorts, the ineligible beneficiaries met one of the following criteria: not enrolled in the MAO; had an incorrect address and phone number; or had a language barrier.

<sup>4</sup> In general, for *Cohorts 1, 2,* and *3 Baseline* a completed survey was defined as a survey that has at least 80% of the questions answered. However, beginning with *Cohort 1 Follow Up* and *Cohort 4 Baseline*, a completed survey was defined as a survey that can be used to calculate physical or mental health summary scores.

<sup>5</sup> Please note, ineligible beneficiaries were removed from the denominator in the response rate calculations. In other words, Response Rate = [Number of Respondents / (Total Sample - Ineligibles)] x 100%.