

C. Listed below are examples of schedules 1-5 and List 1 codes. Check all drug and chemical codes you handle as required.
SCHEDULE For more information, see our web site at www.deadiversion.usdoj.gov, 21 CFR 1308, or call **1-800-882-9539**

CODES

Canine Handlermust mark sched 1	Distributor.....must mark all sched 1, drug code 2012
Exportermust mark all schedule 1-5	Reverse Distributor.....must mark all sched 1, drug code 2012
Importer.....must mark all schedule 1-5 & List 1 codes	Researcher w/Sched 1.....must mark sched 1
Manufacturer.....must mark all schedule 1,2 & List 1 codes	Researcher w/Sched 2-5.....must mark sched 2 to be manufactured or imported as part of research

If you bulk manufacture a substance, check the 'BULK?' column after the applicable class code.

SCHEDULE 1 NARCOTIC & NON-NARCOTIC		CODE	BULK?	SCHEDULE 2 NARCOTIC & NON-NARCOTIC		CODE	BULK?
☐	3,4-Methylenedioxyamphetamine (MDA)	7400	_____	☐	Amobarbital (Amytal, Tuinal)	2125	_____
☐	3,4-Methylenedioxymethamphetamine (MDMA)	7405	_____	☐	Amphetamine (Dexedrine, Adderall)	1100	_____
☐	4-Methyl-2,5-Dimethoxyamphetamine (DOM, STP)	7395	_____	☐	Cocaine (Methyl benzoyllecgonine)	9041	_____
☐	4-Methylaminorex -cis isomer (U4Euh, McN-422)	1590	_____	☐	Codeine (Morphine methyl ester)	9050	_____
☐	Alphacetylmethadol (except LAAM)	9603	_____	☐	Dextropropoxyphene (bulk)	9273	_____
☐	Bufotenine (Mappine)	7433	_____	☐	Diphenoxylate	9170	_____
☐	Marihuana	7360	_____	☐	Fentanyl (Duragesic)	9801	_____
☐	Diethyltryptamine (DET)	7434	_____	☐	Hydrocodone (Dihydrocodeinone)	9193	_____
☐	Difenoxin 1MG/25UG AtSO4 /DU (Motofen)	9167	_____	☐	Hydromorphone (Dilaudid)	9150	_____
☐	Dimethyltryptamine (DMT)	7435	_____	☐	Levo-Alphacetylmethadol (LAAM)	9648	_____
☐	Etorphine (except HCL)	9056	_____	☐	Levorphanol (Levo-Dromoran)	9220	_____
☐	Gamma Hydroxybutyric Acid (GHB)	2010	_____	☐	Meperidine (Demerol, Mepergan)	9230	_____
☐	Heroin (Diamorphine)	9200	_____	☐	Methadone (Dolophine, Methadose)	9250	_____
☐	Ibogaine	7260	_____	☐	Methamphetamine (Desoxyn)	1105	_____
☐	Lysergic Acid Diethylamide (LSD)	7315	_____	☐	Methylphenidate (Concerta, Ritalin)	1724	_____
☐	Mescaline	7381	_____	☐	Morphine (MS Contin, Roxanol)	9300	_____
☐	Marihuana Extract	7350	_____	☐	Opium, powdered	9639	_____
☐	Methaqualone (Quaalude)	2565	_____	☐	Oxycodone (Oxycontin, Percocet)	9143	_____
☐	Normorphine	9313	_____	☐	Oxymorphone (Numorphan)	9652	_____
☐	Peyote	7415	_____	☐	Pentobarbital (Nembutal)	2270	_____
☐	Psilocybin	7437	_____	☐	Phencyclidine	7471	_____
☐	Tetrahydrocannabinols (THC)	7370	_____	☐	Secobarbital (Seconal, Tuinal)	2315	_____
SCHEDULE 3 NARCOTIC & NON-NARCOTIC		CODE	BULK?	SCHEDULE 4 NARCOTIC & NON-NARCOTIC		CODE	BULK?
☐	Anabolic Steroids	4000	_____	☐	Alprazolam (Xanax)	2882	_____
☐	Barbituric acid derivative	2100	_____	☐	Barbital (Veronal, Plexonal)	2145	_____
☐	Benzphetamine (Didrex, Inapetyl)	1228	_____	☐	Chloral Hydrate (Noctec)	2465	_____
☐	Buprenorphine (Buprenex, Temgesic)	9064	_____	☐	Chlordiazepoxide (Librium)	2744	_____
☐	Butabarbital	2100	_____	☐	Clonazepam (Klonopin)	2737	_____
☐	Butalbital	2100	_____	☐	Clorazepate (Tranxene)	2768	_____
☐	Codeine combo product (Empirin)	9804	_____	☐	Diazepam (Valium)	2765	_____
☐	Dihydrocodeine combo product (Compal)	9807	_____	☐	Flurazepam (Dalmane)	2767	_____
☐	Dronabinol in sesame oil soft cap (Marinol)	7369	_____	☐	Lorazepam (Ativan)	2885	_____
☐	Gamma Hydroxybutyric Acid preparations (Zyrem)	2012	_____	☐	Meprobamate (Miltown, Equanil)	2820	_____
☐	Ketamine (Ketaset, Ketalar)	7285	_____	☐	Midazolam (Versed)	2884	_____
☐	Morphine combo product	9810	_____	☐	Oxazepam (Serax, Serenid-D)	2835	_____
☐	Nalorphine (Nalline)	9400	_____	☐	Phenobarbital (Luminal)	2285	_____
☐	Opium combo product (Paregoric)	9809	_____	☐	Phentermine (Fastin, Zantryl)	1640	_____
☐	Pentobarbital suppository dosage (FP3)	2270	_____	☐	Temazepam (Restoril)	2925	_____
☐	Phendimetrazine (Plegine, Bontril)	1615	_____	☐	Zolpidem (Ambien, Stilnox)	2783	_____
☐	Thiopental	2100	_____	LIST 1 REGULATED CHEMICALS		CODE	BULK?
				** ONLY manufacturers & importers may select List 1			
SCHEDULE 5 NARCOTIC & NON-NARCOTIC		CODE	BULK?	☐	Ephedrine	8113	_____
☐	Codeine preparations (Robitussin A-C, Pediacof)	9050	_____	☐	Phenylpropanolamine	1225	_____
☐	Pyrovalerone (Centroton, Thymergix)	1485	_____	☐	Pseudoephedrine	8112	_____

WRITE IN ADDITIONAL CODES

You may write in additional codes in this section. Attach a separate sheet if needed.

SECTION 3**STATE LICENSE(S)**

Be sure to include both state license numbers if applicable

You **MUST** be currently authorized to prescribe, distribute, dispense, conduct research, or otherwise handle the controlled substances in the schedules for which you are applying under the laws of the state or jurisdiction in which you are operating or propose to operate.

State License Number
(REQUIRED)

Expiration Date
(REQUIRED) MM - DD - YYYY

What state issued this license ? _____

State Controlled Substance License Number
(if required)

Expiration Date
(if required) MM - DD - YYYY

What state issued this license ? _____

SECTION 4**LIABILITY**

1. Has the applicant ever been **convicted of a crime** in connection with controlled substance(s) under state or federal law, or been excluded or directed to be excluded from participation in a medicare or state health care program, or is any such action pending?

YES NO

☐ ☐

Date(s) of incident MM-DD-YYYY:

IMPORTANT

All questions in this section must be answered.

2. Has the applicant ever surrendered (for cause) or had a **federal** controlled substance registration revoked, suspended, restricted, or denied, or is any such action pending?

YES NO

☐ ☐

Date(s) of incident MM-DD-YYYY:

3. Has the applicant ever surrendered (for cause) or had a **state** professional license or controlled substance registration revoked, suspended, denied, restricted, or placed on probation, or is any such action pending?

YES NO

☐ ☐

Date(s) of incident MM-DD-YYYY:

4. If the applicant is a **corporation** (other than a corporation whose stock is owned and traded by the public), association, partnership, or pharmacy, has any officer, partner, stockholder, or proprietor been **convicted of a crime** in connection with controlled substance(s) under state or federal law, or ever surrendered, for cause, or had a **federal** controlled substance registration revoked, suspended, restricted, denied, or ever had a **state** professional license or controlled substance registration revoked, suspended, denied, restricted or placed on probation, or is any such action pending?

YES NO

☐ ☐

Date(s) of incident MM-DD-YYYY:

Note: If question 4 does not apply to you, be sure to mark 'NO'. It will slow down processing of your application if you leave it blank.

EXPLANATION OF "YES" ANSWERS

Applicants who have answered "YES" to any of the four questions above must provide a statement to explain each "YES" answer.

Use this space or attach a separate sheet and return with application

Liability question # _____ Location(s) of incident: _____

Nature of incident: _____

Result of incident: _____

SECTION 5 EXEMPTION FROM APPLICATION FEE

- ☐ Check this box if the applicant is a federal, state, or local government official or institution. Does not apply to contractor-operated institutions.

Business or Facility Name of Fee Exempt Institution. Be sure to enter the address of this exempt institution in Section 1.

The undersigned hereby certifies that the applicant named hereon is a federal, state or local government official or institution, and is exempt from payment of the application fee.

FEE EXEMPT CERTIFIER

Signature of certifying official (other than applicant)

Date

Provide the name and phone number of the certifying official

Print or type name and title of certifying official

Telephone No. (required for verification)

SECTION 6**METHOD OF PAYMENT**

Check one form of payment only

- ☐ Check Make check payable to: **Drug Enforcement Administration**
See page 4 of instructions for important information.

- ☐ American Express ☐ Discover ☐ Master Card ☐ Visa

Credit Card Number

Expiration Date

Sign if paying by credit card

Signature of Card Holder

Printed Name of Card Holder

Mail this form with payment to:

DEA Headquarters
ATTN: Registration Section/ODR
P.O. Box 2639
Springfield, VA 22152-2639

FEE IS NON-REFUNDABLE

SECTION 7**APPLICANT'S SIGNATURE**

Sign in ink

I certify that the foregoing information furnished on this application is true and correct.

Signature of applicant (sign in ink)

Date

Print or type name and title of applicant

WARNING: 21 USC 843(d), states that any person who knowingly or intentionally furnishes false or fraudulent information in the application is subject to a term of imprisonment of not more than 4 years, and a fine under Title 18 of not more than \$250,000, or both.

SECTION 1. UPDATE REGISTRATION INFORMATION - Each data field displays the information we have on record for your registration. Fill in blanks, update and correct data in the blocks provided. A physical address is required in address line 1; a post office box or continuation of address may be entered in address line 2. Fee exempt applicant must list the address of the fee exempt institution. Applicant must enter a valid social security number (SSN), or a tax identification number (TIN) if applying as a business entity.

Debt collection information is mandatory pursuant to the Debt Collection Improvement Act of 1996.

SECTION 2A. SCHEDULES - Check the order form box only if you intend to purchase or to transfer schedule 1 and 2 controlled substances. Order forms will be mailed to the registered address following issuance of a Certificate of Registration. All the schedules you were certified for on previous registration are displayed above the dotted line. If you are registering for the same schedule(s) listed, CHECK THE "NO CHANGE" BOX. If you need to make a change, applicant should check all schedules to be handled from the list displayed below the dotted line. However, applicant must still comply with state requirements; federal registration does not overrule state restrictions.

2B. MANUFACTURER ONLY - Mark the chemical/controlled substance schedule(s) handled in each manufacturing stage listed.

2C. SCHEDULE CODES - Report all chemical/drug codes as required for your business activity. Controlled substances manufacturers and importers must obtain a separate chemical registration if they handle chemicals other than an FDA-approved drug product containing 1225, 8112, or 8113.

SECTION 3. STATE LICENSE(S) - Federal registration by DEA is based upon the applicant's compliance with applicable state and local laws. Applicant should contact the local state licensing authority prior to completing this application. If your state requires a license, provide that number on this application.

SECTION 4. LIABILITY - Applicant must answer all four questions for the application to be accepted for processing. If you answer "Yes" to a question, provide an explanation in the space provided. If you answer "Yes" to several questions, then you must provide a separate explanation describing the date, location, nature, and result of each incident. If the "Yes" box is already marked, then we have that data on record from a previous registration. You must provide an explanation for the original and all subsequent [new] incidents. If additional space is required, you may attach a separate page.

SECTION 5. EXEMPTION - Exemption from payment of application fee is limited to federal, state or local government official or institution. The applicant's superior or agency officer must certify exempt status. The signature, authority title, and telephone number of the

Notice to Registrants Making Payment by Check

Authorization to Convert Your Check: If you send us a check to make your payment, your check will be converted into an electronic fund transfer. "Electronic fund transfer" is the term used to refer to the process in which we electronically instruct your financial institution to transfer funds from your account to our account, rather than processing your check. By sending your completed, signed check to us, you authorize us to copy your check and to use the account information from your check to make an electronic fund transfer from your account for the same amount as the check. If the electronic fund transfer cannot be processed for technical reasons, you authorize us to process the copy of your check.

Insufficient Funds: The electronic funds transfer from your account will usually occur within 24 hours, which is faster than a check is normally processed. Therefore, make sure there are sufficient funds available in your checking account when you send us your check. If the electronic funds transfer cannot be completed because of insufficient funds, we may try to make the transfer up to more two times.

Transaction Information: The electronic fund transfer from your account will be on the account statement you receive from your financial institution. However, the transfer may be in a different place on your statement than the place where your checks normally appear.

ADDITIONAL INFORMATION

No registration will be issued unless a completed application has been received (21 CFR 1301.13).

In accordance with the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number. The OMB number for this collection is 1117-0014. Public reporting burden for this collection of information is estimated to average 12 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information.

The Debt Collection Improvements Act of 1996 (31 U.S.C. § 7701) requires that you furnish your Taxpayer Identification Number (TIN) or Social Security Number (SSN) on this application. This number is required for debt collection procedures if your fee is not collectible.

PRIVACY ACT NOTICE: Providing information other than your SSN or TIN is voluntary; however, failure to furnish it will preclude processing of the application. The authorities for collection of this information are §§ 302 and 303 of the Controlled Substances Act (CSA) (21 U.S.C. §§ 822 and 823). The principal purpose for which the information will be used is to register applicants pursuant to the CSA. The information may be disclosed to other Federal law enforcement and regulatory agencies for law enforcement and regulatory purposes, State and local law enforcement and regulatory agencies for law enforcement and regulatory purposes, and persons registered under the CSA for the purpose of verifying registration. For further guidance regarding how your information may be used or disclosed, and a complete list of the routine uses of this collection, please see the DEA System of Records Notice "Controlled Substances Act Registration Records" (DEA-005), 52 FR 47208, December 11, 1987, as modified.

**Your Local
DEA Office****CONTACT INFORMATION**

All offices are listed on web site
(800, 877, and 888 are toll-free)

INTERNET:

www.deadiversion.usdoj.gov

TELEPHONE:

HQ Call Center (800)882-9539

WRITTEN INQUIRIES:

DEA

Attn: Registration Section/ODR

P.O. Box 2639

Springfield, VA 22152-2639