

OMB Comments ATF Form 1, 4, 5, and 5320.23 30 Day Posting										
Comment #	Date of Submission	Commenter	Contact Information	Form #	Item #	Current	Proposed	ATF Response	Date of Response	
1	7/24/2019	Chris Bass Silencer Shop	christopherbass01@gmail.com	4	2A	Corporation, Other Legal Entity, Individual, Trust	Individual, Legal Entity, Trust	"Other" Legal Entity (All else remains the same). This will be handled similarly on the Form 1 & 5	8/16/2019	
2	7/24/2019	Chris Bass Silencer Shop	christopherbass01@gmail.com	4	Instructions 2i, 2j, 2m and Blanks 5 & 22	"Applicant"		Identify in Instructions who the Applicant is referring to i.e. Transferee, Transferor, or both. This will be handled similarly on the Form 1, 5, & .23	8/16/2019	
3	7/24/2019	Chris Bass Silencer Shop	christopherbass01@gmail.com	4	16, 17a, 17b, 18a, 18b, 18c, & 20		Remove questions	Required as part of the background process. It is not duplicative to the Finger Print card as that is a separate and unrelated process. SSN can be greyed out on the ATF Copy 2 as well as the CLEO copy. Serial Number will also be greyed out on the CLEO copy. This will be handled similarly on the Form 1, 5, & .23	8/16/2019	
4	7/24/2019	Chris Bass Silencer Shop	christopherbass01@gmail.com	4	Instructions 2h and Blanks 16 & 20		Add "Optional" to blanks 16 & 20	Add "See <i>Instruction 2h</i> " to Items 16 & 20	8/16/2019	
5	7/24/2019	Chris Bass Silencer Shop	christopherbass01@gmail.com	4	Transferee Certification	Located at the top of Page 3	Move to Bottom of Page 2	Concurred with comment however, spacing with the other changes would not allow this to happen.	8/16/2019	
6	7/24/2019	Chris Bass Silencer Shop	christopherbass01@gmail.com	4	Definition 1D		Narrow Definition of "Person"	No Change Will Be Made	8/16/2019	
7	7/24/2019	Chris Bass Silencer Shop	christopherbass01@gmail.com	4	"Important Information for Currently Registered Firearms"		Increase font size	Concur. This will be handled similarly on the Form 1, 5, & .23	8/16/2019	
8	7/24/2019	Chris Bass Silencer Shop	christopherbass01@gmail.com	4	2i	All signatures required on ATF Form 4 must be original in ink on both copies. (1) if the applicant is an individual, the applicant shall sign the form; (2) if the applicant is the estate of a decedent where the firearm is being transferred to other than a beneficiary, the executor or administrator of the estate shall sign the form; (3) if the applicant is a trust or legal entity, a responsible person of the trust or legal entity shall sign the form; or (4) if the applicant is a Federal firearms licensee, a responsible person of the Federal firearms licensee shall sign the form.	Signatures. All signatures required on ATF Form 4 must be original on both copies. Original signatures may be in ink, electronically or digitally signed. (1) if the applicant is an individual, the applicant shall sign the form; (2) if the applicant is the estate of a decedent where the firearm is being transferred to other than a beneficiary, the executor or administrator of the estate shall sign the form; (3) if the applicant is a trust or legal entity, a responsible person of the trust or legal entity shall sign the form; or (4) if the applicant is a Federal firearms licensee, a responsible person of the Federal firearms licensee shall sign the form.	Add: Exceptions: In the case of eforms or where a variance has been granted a digital/electronic signature may be used. This will be handled similarly on the Form 1, 5, & .23	8/16/2019	
9	7/24/2019	Chris Bass Silencer Shop	christopherbass01@gmail.com	5320.23	3b & 3C		Remove Email and Telephone Number	No Change Will Be Made - Required for error resolution	8/16/2019	
10	7/24/2019	Chris Bass Silencer Shop	christopherbass01@gmail.com	5320.23	Definitions/Instructions 2j.		2j. Should reference Item 23 rather than 22	Concur	8/16/2019	
11	6/28/2019	Internal ATF Comments	Abbaynesh.Bryant@atf.gov	Form1	Information for the Chief Law Enforcement Officer	A "Yes" Answer to Items 13a through 13h	Should read: A "Yes" Answer to Items 11a through 11h	Concure	6/28/2019	
12	6/28/2019	Internal ATF Comments	Abbaynesh.Bryant@atf.gov	Form 5320.23	Item 8	Answer questions 6a. Through 6h. Answer questions 7 and 8 if applicable	Should read: Answer questions 8a. Through 8h. Answer questions 9 and 10 if applicable	Concure	6/28/2019	

From: [Chancey, James E.](#)
To: [Robertson, James S.](#)
Subject: RE: Accepted Changes from 60 Day OMB Comments - NFA Form 1, 4, 5, and 5320.23
Date: Friday, June 28, 2019 3:22:00 PM

Thanks, we will add these to the 30 day changes.

From: Robertson, James S. <James.Robertson@atf.gov>
Sent: Friday, June 28, 2019 3:21 PM
To: Chancey, James E. <James.Chancey@atf.gov>
Subject: FW: Accepted Changes from 60 Day OMB Comments - NFA Form 1, 4, 5, and 5320.23
Importance: High

FYI – looks like some of the changes we requested didn't get made. See notes below.

James "Scott" Robertson
Firearms & Explosives Specialist
NFA Division - GSB
304.616.4500 - Office Phone

How was my customer service? If you would like to rate my performance, please [Click Here](#).

From: Bryant, Abbaynesh K. <[Abbaynesh.Bryant@atf.gov](#)>
Sent: Friday, June 28, 2019 2:54 PM
To: Robertson, James S. <[James.Robertson@atf.gov](#)>
Cc: Deb, Swati <[Swati.Deb@atf.gov](#)>; Alston-Williams, Lee <[Lee.Alston-Williams@atf.gov](#)>
Subject: RE: Accepted Changes from 60 Day OMB Comments - NFA Form 1, 4, 5, and 5320.23

Hi Scott,

Thanks again for passing the new forms, the team did their initial analysis of the forms. They wanted to pass along some errors they have identified and were not sure if it was already caught but just not updated..

- Form 1 (Page 2 "Information for the Chief Law Enforcement Officer") – "A "Yes" answer to items 13.b. through 13.c. or 15.d. or 15.e."
 - Question 13 does not have a part b or part c
 - Question 13 is not a "Yes" or "No" question
- Form 23 (Page 2 Question 8 Instructions) – "Answer questions 6.a. through 6.h. Answer Questions 7 and 8, if applicable."
 - Should be "Answer questions 8.a. through 8.h. Answer Questions 9 and 10, if applicable."

Thanks,
Abby Bryant