

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 07/31/2013

Department of Commerce
Patent and Trademark Office

FOR CERTIFYING OFFICIAL: John Owens
FOR CLEARANCE OFFICER: Jennifer Jessup

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 01/29/2013

ACTION REQUESTED: Revision of a currently approved collection

TYPE OF REVIEW REQUESTED: Regular

ICR REFERENCE NUMBER: 201301-0651-002

AGENCY ICR TRACKING NUMBER:

TITLE: Patent Processing (Updating)

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved with change

OMB CONTROL NUMBER: 0651-0031

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 07/31/2016

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	2,444,305	2,869,625	145,375,747
New	3,817,580	3,792,191	370,573,375
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	-1,787	-2,966	21,147,288
Change due to Agency Adjustment	1,375,062	925,532	204,050,340
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE: Updated supporting statement to account for items not subject to the Paperwork Reduction Act in Rule 1.130, 1.131, 1.132, and Amendments and Responses.

OMB Authorizing Official: Dominic J. Mancini
Acting Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs			
IC Title	Form No.	Form Name	CFR Citation
Information Disclosure Statements that do not require the fee set forth in 37 CFR 1.17(p)	PTO/SB/0008b, PTO/SB/08a	Information Disclosure Statement by Applicant, Information Disclosure Statement by Applicant	37 CFR 1.98, 37 CFR 1.56, 37 CFR 1.97
EFS-Web IDS (Information Disclosure Statements) that do not require the fee set forth in 37 CFR 1.17(p)	PTO/SB/08a	Information Disclosure Statement by Applicant (Not for submission under 37 CFR 1.99)	37 CFR 1.97, 37 CFR 1.56, 37 CFR 1.98
Electronic Transmittal Form	PTO/SB/21	Transmittal Form	37 CFR 1.4, 37 CFR 1.48, 37 CFR 1.111, 37 CFR 1.116, 37 CFR 1.291, 37 CFR 1.121, 37 CFR 1.125, 37 CFR 1.133, 37 CFR 1.5
Electronic Petition for Extension of Time under 37 CFR 1.136(a)	PTO/AIA/22	Petition for Extension of Time Under 37 CFR 1.136(a)	37 CFR 1.136(a)
Electronic Express Abandonment under 37 CFR 1.138	PTO/AIA/24	Express Abandonment Under 37 CFR 1.138	37 CFR 1.138
Electronic Disclaimers	PTO/SB/43, PTO/SB/63, PTO/SB/26, PTO/SB/25	Disclaimer in Patent Under 37 CFR 1.321(a), Terminal Disclaimer to Accompany Petition, Terminal Disclaimer to Obviate a Double Patenting Rejection over a "Prior" Patent, Terminal Disclaimer to Obviate a Provisional Double Patenting Rejection Over a Pending "Reference" Application	37 CFR 1.321
Electronic Request for Expedited Examination of a Design Application	PTO/SB/27	Request for Expedited Examination of a Design Application (37 CFR 1.155)	37 CFR 1.155
Electronic Notice of Appeal	PTO/AIA/31	Notice of Appeal from the Examiner to the Patent Trial and Appeal Board	37 CFR 1.191
Electronic Petition for Revival of an Application for Patent Abandoned Unavoidably	PTO/SB/61	Petition for Revival of an Application for Patent Abandoned Unavoidably under 37 CFR 1.137(a)	37 CFR 1.137
Electronic Petition for Revival of an Application for Patent Abandoned Unintentionally	PTO/SB/64	Petition for Revival of an Application for Patent Abandoned Inintentionally under 37 CFR 1.137(b)	37 CFR 1.137(b)
Electronic Petition for Revival of an Application for Patent Abandoned for Failure to Notify the Office of a Foreign or International Filing	PTO/SB/64a	Petition for Revival of an Application for Patent Abandoned for Failure to Notify the Office of a Foreign or International Filing (37 CFR 1.137(f))	37 CFR 1.213, 37 CFR 1.137
Electronic Requests to Access, Inspect and Copy	PTO/SB/67, PTO/SB/68	Power to Inspect/Copy, Request for Access to an Abandoned Application under 37 CFR 1.14	37 CFR 1.14
Electronic Deposit Account Order Form	PTO/SB/91	Deposit Account Order Form	37 CFR 1.25
Electronic Certificates of Mailing/Transmission	PTO/SB/92, PTO/SB/97	Certificate of Mailing under 37 CFR 1.8, Certificate of Transmission under 37 CFR 1.8	37 CFR 1.8
Electronic Statement Under 37 CFR 3.73(b)	PTO/SB/96, PTO/AIA/96	Statement Under 37 CFR 3.73(b), Statement Under 37 CFR 3.73(c)	37 CFR 3.73(c), 37 CFR 3.73(b)
Electronic Non-publication Request	PTO/SB/35	Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)	37 CFR 1.213(a)

List of ICs			
IC Title	Form No.	Form Name	CFR Citation
Electronic Rescission of Previous Non-publication Request (35 U.S.C. 122(b)(B)(ii)) and, if applicable, Notice of Foreign Filing (35 U.S.C. 122(b)(2)(B)(iii))	PTO/SB/36	Recission of Previous Nonpublication Request (35 U.S.C. 122(b)(2)(B)(ii)) and, if applicable, Notice of Foreign Filing (35 U.S.C. 122(b)(2)(B)(iii))	37 CFR 1.213(b)
Electronic Filing System (EFS) Copy of Application for Publication			37 CFR 1.215, 37 CFR 1.221, 37 CFR 1.217, 37 CFR 1.219
Copy of File Content Showing Redactions			37 CFR 1.217(d)
Copy of the Applicant or Patentee's Record of the Application (including copies of the correspondence, list of the correspondence, and statements verifying whether the record is complete or not)	PTO-2054-A/B, PTO/2055-A/B, PTO-2053-A/B	Notice Under 37 CFR 1.251 - Abandoned Application, Notice Under 37 CFR 1.251 - Patent, Notice Under 37 CFR 1.251 - Pending Application	37 CFR 1.251
EFS-Web Request for Continued Examination (RCE) Transmittal	PTO/SB/30EFS	Request for Continued Examination (RCE) Transmittal (Submitted Only via EFS-Web)	37 CFR 1.114
Electronic Request for Oral Hearing Before the Patent Trial and Appeal Board	PTO/AIA/32	Request for Oral Hearing Before the Patent Trial and Appeal Board	37 CFR 1.194(b)
Electronic Request for Deferral of Examination 37 CFR 1.103(d)	PTO/SB/37	Request for Deferral of Examination 37 CFR 1.103(d)	37 CFR 1.103(d)
EFS-Web Request for Voluntary Publication or Republication (includes publication fee for republication)			37 CFR 1.221
Electronic Applicant Initiated Interview Request Form	PTOL-413A	Applicant Initiated Interview Request Form and Instruction Sheet	37 CFR 1.133
Electronic Processing Fee Under 37 CFR 1.17(i) Transmittal	PTO/SB/17i	Processing Fee Under 37 CFR 1.17(i) Transmittal	37 CFR 1.17(i)
Electronic Request to Retrieve Electronic Priority Application(s) Under 37 CFR	PTO/SB/38	Request to Retrieve Electronic Priority Application(s)	37 CFR 1.155(d)
Electronic Authorization To Permit Access to Application by Participating Offices Under 37 CFR 1.14(h)	PTO/SB/39	Authorization to Permit Access to Application by Participating Offices	37 CFR 1.14(h)
Electronic Petition for Express Abandonment to Obtain a Refund	PTO/AIA/24B	Petition for Express Abandonment to Obtain a Refund	37 CFR 1.138(d)
Electronic Pre-Appeal Brief Request for Review	PTO/AIA/33	Pre-Appeal Brief Request for Review	37 CFR 41.32
EFS-Web Request for Corrected Filing Receipt			37 CFR 1.76(a), 37 CFR 1.48(a) and (c), 37 CFR 1.54
Request for Corrected Filing Receipt			37 CFR 1.54, 37 CFR 1.48(a) and (c), 37 CFR 1.76(a)
Request for First-Action Interview (Pilot Program)(Electronic only)	PTO/SB/413C	Request for First Action Interview (Full Pilot Program)	37 CFR 1.133
EFS-Web Petition to Make Special Based on Age for Advancement of Examination under 37 CFR 1.102(c)(1)	PTO/SB/130	Petition to Make Special Based on Age for Advancement of Examination Under 37 CFR 1.102(c)(1)	37 CFR 1.102(c)(1)
Request for Continued Examination (RCE) Transmittal	PTO/SB/30	Request for Continued Examination (RCE) Transmittal	37 CFR 1.114

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IC Title	Form No.	Form Name	CFR Citation
EFS-Web IDS (Information Disclosure Statements) that require the fee set forth in 37 CFR 1.17(p)	PTO/SB/08a	Information Disclosure Statement by Applicant	37 CFR 1.97, 37 CFR 156, 37 CFR 1.98
Information Disclosure Statements that require the fee set forth in 37 CFR 1.17(p)	PTO/SB/08a, PTO/SB/08b	Information Disclosure Statement by Applicant, Information Disclosure Statement by Applicant	37 CFR 1.56, 37 CFR 1.97, 37 CFR 1.98
Transmittal Form	PTO/SB/21	Transmittal Form	37 CFR 1.4, 37 CFR 1.5, 37 CFR 1.48, 37 CFR 1.111, 37 CFR 1.116, 37 CFR 1.121, 37 CFR 1.125, 37 CFR 1.133, 37 CFR 1.201
Petition for Extension of Time under 37 CFR 1.136(a)	PTO/SB/22	Petition for Extension of Time under 37 CFR 1.136(a)	37 CFR 1.136(a)
Express Abandonment under 37 CFR 1.138	PTO/SB024	Express Abandonment under 37 CFR 1.138	37 CFR 1.138
Disclaimers	PTO/SB/43, PTO/SB/63, PTO/SB/25, PTO/SB/26	Disclaimer in Patent Under 37 CFR 1.321(a), Terminal Disclaimer to Accompany Petition, Terminal Disclaimer to Obviate a Provisional Double Patenting Rejection over a Pending "Reference" Application, Terminal Disclaimer to Obviate a Double Patenting Rejection over a "Prior" Patent	37 CFR 1.321
Request for Expedited Examination of a Design Application	PTO/SB/27	Request for Expedited Examination of a Design Application (37 CFR 1.155)	37 CFR 1.155
Notice of Appeal	PTO/SB/31	Notice of Appeal from the Examiner to the Patent Trial and Appeal Board	37 CFR 1.191
Petition for Revival of an Application for Patent Abandoned Unavoidably	PTO/SB/61	Petition for Revival of an Application for Patent Abandoned Unavoidably under 37 CFR 1.137(a)	37 CFR 1.137
Petition for Revival of an Application for Patent Abandoned Inintentionally	PTO/SB/64	Petition for Revival of an Application for Patent abandoned Unintentionally Under 37 CFR 1.137(b)	37 CFR 1.137(b)
Petition for Revival of an Application for Patent Abandoned for Failure to Notify the Office of a Foreign or International Filing	PTO/SB/64a	Petition for Revival of an Application for Patent Abandoned for Failure to Notify the Office of a Foreign or International Filing (37 CFR 1.137(f))	37 CFR 1.137, 37 CFR 1.213
Requests to Access, Inspect and Copy	PTO/SB/68, PTO/SB/67	Request for Access to an Abandoned Application Under 37 CFR 1.14, Power to Inspect/Copy	37 CFR 1.14
Deposit Account Order Form	PTO/SB/91	Deposit Account Order Form	37 CFR 1.25
Certificates of Mailing/Transmission	PTO/SB/92, PTO/SB/97	Certificate of Mailing under 37 CFR 1.8, Certificate of Transmission under 37 CFR 1.8	37 CFR 1.8
Statement Under 37 CFR 3.73(b)			37 CFR 3.73(b), 37 CFR 3.73(c)
Non-publication Request	PTO/SB/0035	Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)	37 CFR 1.213(a)

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IC Title	Form No.	Form Name	CFR Citation
Recission of Previous Nonpublication Request (35 U.S.C. 122(b)(2)(B)(ii)) and, if applicable, Notice of Foreign Filing (35 U.S.C. 122(b)(2)(B)(iii))	PTO/SB/36	Recission of Previous Nonpublication Request (35 U.S.C. 122(b)(2)(B)(ii)) and, if applicable, Notice of Foreign Filing (35 U.S.C. 122(b)(2)(B)(iii))	37 CFR 1.213(b)
Request for Oral Hearing Before the Patent Trial and Appeal Board	PTO/SB/32	Request for Oral Hearing Before the Patent Trial and Appeal Board	37 CFR 1.194(b)
Request for Deferral of Examination 37 CFR 1.103(d)	PTO/SB/37	Request for Deferral of Examination 37 CFR 1.103(d)	37 CFR 1.103(d)
Applicant Initiated Interview Request Form	PTOL-413A	Applicant Initiated Interview Request Form	37 CFR 1.133
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Request to Retrieve Electronic Priority Applications(s) Under 37 CFR 1.55(d)	PTO/SB/38	Request to Retrieve Electronic Priority Application(s)	37 CFR 1.55(d)
Request for Voluntary Publication or Republication (includes publication fee for republication)			37 CFR 1.221
Authorization to Permit Access to Application by Participating Offices Under 37 CFR 1.14(h)	PTO/SB/39	Authorization to Permit Access to Application by Participating Offices	37 CFR 1.14(h)
Petition for Express Abandonment to Obtain a Refund	PTO/SB/24B	Petition for Express Abandonment to Obtain a Refund	37 CFR 1.138(d)
Pre-Appeal Brief Request for Review	PTO/SB/33	Pre-Appeal Brief Request for Review	37 CFR 41.32
Electronic Filing a submission after final rejection (see 37 CFR 1.129(a))			37 CFR 1.129(a)
Filing a submission after final rejection (see 37 CFR 1.129(a))			37 CFR 1.129(a)