Unscoped DCT Report (Custom DCT)

DCT Title: SMS-Emergency Preparedness/Response Design Demonstration(AW)

DCT Type: National/Divisional

Specialty: Airworthiness

Assessment Type: DA

DCT Revision: 2.0 on 01/14/20 (Released)

Summary Information

Purpose (Certificate Holder Responsibility): Implement an emergency response plan (ERP) as necessary, without compromise to safety including documented organizational interfaces.

Objective (FAA Responsibility): Confirm through design demonstration that the certificate holder can effectively transition from normal operations to emergency operations without compromising safety.

Questions	Answers	Comments
1 Does the certificate holder clearly identify "proxies" and the assignment and limitations of their authority to perform safety management responsibilities when select individuals are moved from daily into emergency operations? **REFERENCES:** 5.27(b), 5.27(a) **NOTE:** A proxy is delegated authority on an emergency basis to represent and perform the duties of an individual during their absence. **Safety Attribute:** Management Responsibility, **Question Type:** Output Validation, **Scoping Attribute:** FAR PART = "121" OR "135" OR "145" OR "141" OR "147" , **Rev.** 3 1/14/2020 2:53:02 PM, **QID:** 00048618, **Response Details:** EP & ED DCTs (Both OP and AW), **Status:** Released	○ Yes ○ No ○ Not Applicable	
2 Does the identified proxy understand their defined limitations and authority as documented by the certificate holder for instances where emergency authority is delegated? **REFERENCES:** 5.27(a) **Safety Attribute:** Management Responsibility, **Question Type:** Output Validation, **Scoping Attribute:** FAR PART = "121" OR "135" OR "145" OR "141" OR "147" , **Rev.** 2 1/14/2020 2:53:02 PM, **QID:** 00048619, **Response Details:** EP & ED DCTs (Both OP and AW), **Status:** Released	○ Yes ○ No ○ Not Applicable	
3 Does the certificate holder have documentation that those identified with delegated authority (proxies) have the competencies (i.e., qualification, training, knowledge, and experience) required by the organization to make safety related decisions for their process area (e.g., SRM activities, corrective action oversight, etc.)? **REFERENCES:** 5.91 **Safety Attribute:** Management Responsibility, **Question Type:** Output Validation, **Scoping Attribute:** FAR PART = "121" OR "135" OR "145" OR "141" OR "147" , **Rev.** 2 1/14/2020 2:53:02 PM, **QID:** 00048620, **Response Details:** EP & ED DCTs (Both OP and AW), **Status:** Released	○ Yes ○ No ○ Not Applicable	
4 Does the certificate holder have documentation that emergency response plans are coordinated with external business partners that have emergency response plans (e.g., code share partners, airports, etc.)? **REFERENCES:** 5.27(c) **Safety Attribute:** Procedures, **Question Type:** Output Validation, **Scoping Attribute:** FAR PART = "121" OR "135" OR "145" OR "141" OR "147" , **Rev.** 2 1/14/2020 2:53:02 PM, **QID:** 00048621, **Response Details:** EP & ED DCTs (Both OP and AW), **Status:** Released	○ Yes ○ No ○ Not Applicable	

Response Details List EP & ED DCTs (Both OP and AW) (Released, 1.0)	
1	Unclear procedure(s)
2	Conflicting procedure(s)
3	Used workaround(s)
4	Skipped process step(s)
5	Personnel failed to follow process (procedures, guidance, etc)

6	Procedures/guidance not available
7	Procedures/guidance not current
8	Inconsistent procedure(s)
9	Undocumented procedure(s)
10	Minor typographical error(s) (i.e. record entries)
11	Information missing
12	Communication failure(s)
13	Personnel failed to perform task
14	Process failed to meet desired outcome
15	Process oversight failure
16	Equipment/tools not available
17	Equipment/tools not adequate
18	Equipment/tools not calibrated
19	Facilities not adequate
20	Other

Response Details List N/A Response Detail (Released, 2.0)

1	Not applicable or authorized for the certificate holder or applicant
2	Other